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Area of Neuroscience

Curriculum in Functional and Structural Genomics

SINEUP long non-coding RNAs:
from molecular mechanism to therapeutic application.

Thesis submitted for the degree of "Doctor Philosophiae"

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Declaration

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SINEUP non-coding RNAs rescue defective OPA1 expression and activity in cellular models of Dominant Optic Atrophy.

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Internal Ribosome Entry Site RNAs act in trans through antisense base-pairing in linear and circular non-coding RNAs.

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Abstract

The post-genomic era has brought to light a previously unknown world of transcripts with the discovery of non-coding RNAs (ncRNAs). Indeed, it became evident that only as few as 1-2% of mammalian transcriptome consists of protein-coding mRNAs. Among several families of ncRNAs, long non-coding RNAs (lncRNAs) are under intense scrutiny for their heterogeneity of forms and molecular activities. A new class of antisense lncRNAs, known as SINEUPs, were previously identified for their ability to specifically enhance the translation of their target sense mRNA. LncRNAs and mRNA were transcribed from a sense/antisense pair locus with an head-to-head divergent configuration. SINEUPs activity relies on the combination of two domains: an overlapping region, or binding domain (BD), that confers specificity, and an embedded inverted SINEB2 element, or effector domain (ED), enhancing target mRNA translation. This new class of transcripts embodies the model of lncRNAs as flexible and versatile modular scaffolds enabling interactions between RNA, DNA and proteins. Furthermore, it represents a promising new RNA therapeutics platform to increase endogenous expression of a protein of interest within a physiological range.

In this work, I provided new insights on the molecular mechanism of SINEUP activity, focusing on the role of N⁶-methyladenosine (m⁶A) modification, and on a Proof-Of-Concept therapeutic application of SINEUPs to rescue haploinsufficient OPA1 gene expression in Dominant Optic Atrophy (DOA).

m⁶A is the most common RNA modification found in mRNAs and ncRNAs, where it is post-transcriptionally installed in the cell nucleus and can exert regulatory functions in many cellular processes such as nuclear export and translation. Here, I observed that both the natural SINEUP *AS Uchl1*, acting in rodent cells, and the synthetic shorter miniSINEUP-DJ1, acting in human cells, are m⁶A-modified. Results indicate METTL3 enzyme as the main responsible for SINEUP RNA modification. I then applied Nanopore direct RNA sequencing to map m⁶A-modified residues and a reverse transcription assay for validation. I monitored SINEUP activity upon METTL3 knock-down and in the presence of mutations on sites of m⁶A deposition. Interfering with a proper m⁶A modification led to a dominant negative effect of SINEUPs RNA on endogenous DJ1 protein levels in both experimental conditions. Applying ribosome fractionation analysis in conditions of inhibition of proper m⁶A deposition, I observed an enrichment of the target DJ1 mRNA associated to 40S and 60S ribosome fractions and a

concomitant depletion from polysomes. These results provide a mechanistic model for its dominant negative effect on endogenous DJ1 protein. These data also suggest the presence of an m⁶A-dependent step in the molecular mechanism of SINEUP activity at the ribosome and contribute to a better understanding of the role of RNA modifications in the regulation of lncRNAs function.

From a therapeutic point of view, SINEUPs are proposed as a new platform for the treatment of i. haploinsufficient diseases, where the lack of a functional allele prevents healthy phenotype formation; ii. complex multifactorial diseases, where increasing a compensatory pathway could preserve or restore physiological activities. Here, I applied SINEUP technology to increase endogenous levels of OPA1 protein to treat DOA, the most common inherited optic neuropathy caused in 75% of cases by heterozygous mutations in OPA1 gene. DOA is an early-onset autosomal dominant haploinsufficient disorder, with a prevalence ranging from 1:12000 to 1:50000 births and characterized by degeneration of the retinal ganglion cells that leads to optic nerve atrophy and blindness. OPA1 is a ubiquitously expressed dynamin-related GTPase protein with crucial functions in mitochondrial homeostasis, that localizes in the Inner Mitochondrial Membrane (IMM), reaching highest expression levels in brain, retina and heart. By *in vitro* screening, I identified OPA1-specific miniSINEUPs able to increase selectively both human and murine OPA1 proteins in a range sufficient to restore neuronal cell functions. Currently, a major limitation to the development of SINEUPs as a RNA drug is represented by their length, that should be reduced to less than 60 nts to allow cost-effective manufacturing and efficient *in vivo* delivery. Recently, encouraging data have proved that the incorporation of chemically modified ribonucleotides restores IVT SINEUP RNA activity, making an important progress for its development as a drug. Here, I successfully designed and tested shorter SINEUP RNA variants that allowed us to reduce their size from ~250 nts down to ~50 nts. Indeed, by transfecting 2'OMeA modified ASO-SINEUP-OPA1, I was able to upregulate endogenous OPA1 protein translation of around 1.8 fold, as achieved with standard plasmid-driven expression of the same nanoSINEUP-OPA1 RNA. Most importantly, I applied previously selected mini- and nanoSINEUP to prove the functional rescue of DOA patients' fibroblasts defects in mitochondrial morphology and activity. In summary, I was able to identify OPA1-specific SINEUPs promoting the recovery of disease-associated defects in patient-derived cellular model of DOA and I optimized SINEUP technology for its development as RNA therapeutic molecule for the treatment of haploinsufficient diseases.

Acronyms

AAV, adeno-associated virus;
ACT, Actin beta;
AGO2, argonaute 2;
AS Uchl1, lncRNA antisense to Uchl1 mRNA;
AS, antisense;
ASOs, antisense oligonucleotides;
ATP, adenosine triphosphate;
BD, binding domain;
bp, base pair;
cDNA, complementary DNA;
CDS, coding sequence;
circRNA, circular RNA
cox7B, cytochrome c oxidase subunit 7B;
CRISPR, Clustered Regularly Interspaced Short Palindromic Repeats;
DGCR8, DiGeorge syndrome critical region gene 8;
DICER, endoribonuclease Dicer or helicase with RNase motif;
DOA, Dominant Optic Atrophy;
ds, double-stranded
ED, effector domain;
ENCODE, Encyclopedia of DNA Elements;
eRNA, enhancer RNA;
ESC, embryonic stem cells
FANTOM, Functional Annotation of the Mammalian Genome;
FBS, fetal bovine serum;
GAPDH, Glyceraldehyde-3-phosphate dehydrogenase
GFP, green fluorescent protein;
HEK, human embryonic kidney;
HRP, horseradish peroxidase;
IL, internal loop;
IMM, inner mitochondrial membrane;
IMS, inner mitochondrial space;
invSINEB2, inverted SINE of B2 subfamily;
IRES, Internal Ribosome Entry Site;

lincRNA, long intergenic non-coding RNA;
LINE, long interspersed elements;
lncRNA, long non-coding RNA;
lncRNP, lncRNA-protein complex;
LTR, long terminal repeat;
MIRb, mammalian interspersed repetitive (MIR) element b;
miRNA, micro RNA;
MOI, multiplicity of infection;
mRNA, messenger RNA;
MTS, mitochondrial targeting sequence;
ncRNA, non-coding RNA;
NMR, nuclear magnetic resonance spectroscopy;
NMHV, nuclear localization signal – MS2 coat protein interacting domain – HA epitope
– (3x) VP16 transactivating domain;
nts, nucleotides;
OMM, outer mitochondrial membrane;
ORF, open reading frame;
OXPHOS, oxidative phosphorylation;
OCR, oxygen consumption rate
PD, Parkinson's disease;
piRNA, piwi-interacting RNA;
PRC2, polycomb repressor complex 2;
pri-miRNA, primary miRNA;
PTM, post-transcriptional modification;
qRT-PCR, quantitative real time PCR;
RBP, RNA-binding protein;
RGC, Retinal Ganglion Cell;
RISC, RNA-induced silencing complex;
RIP, RNA immunoprecipitation
RNA Pol, RNA polymerase;
aRNA, activating RNA;
RNAi, RNA interference;
RNase H, Ribonuclease H;
rRNA, ribosomal RNA;

ROS, reactive oxidative species;
RT, retrotranscriptase;
S, sense;
saRNA, small activating RNA;
shRNA, short harping RNA;
SINE, short interspersed element;
SINEB2, short interspersed element of B2 subfamily;
SINEUP, AS lncRNA with embedded inverted SINE B2 element that UP-regulate target
mRNA translation;
siRNA, short-interfering RNA;
snoRNA, small nucleolar RNA;
SSOs, spice-switching oligonucleotides;
tRNA, transfer RNA;
TE, transposable elements;
TM, transmembrane;
TSS, Transcriptional Start Site;
Uchl1, Ubiquitin carboxyl-terminal hydrolase L1;
UTR, untranslated region;
WB, Western Blot

Introduction

The first draft of the human genome has defined the beginning of the so-called “post-genomic era”. Key discoveries of this revolutionary time were achieved thanks to large-scale genomic projects such as FANTOM¹ and ENCODE², that developed new technologies to unveil the complexity of regulatory elements in genomes and the transcriptomes of mice and humans at unprecedented depth. These consortia surprisingly found that the majority of the mammalian genome is pervasively transcribed (70-80%), with only a very small fraction of the transcripts having protein-coding potential (1-2%). The remaining major portion of transcripts is a diversified repertoire of non-coding RNAs (ncRNAs), including small ncRNAs, long non-coding RNAs (lncRNAs) and Transposable Elements (TEs). Such a deep analysis of various organisms’ transcriptomes highlighted that the number of protein-coding transcripts is reasonably static, while the relative amount of non-coding transcripts positively correlates with organisms’ complexity (Table 1)³. While in most bacterial species the 90% of the genome is represented by protein-coding DNA, the human genome contains only about five times more protein coding genes than *Escherichia coli*, three times more than eucaryotic yeast and even less than mice and *Caenorhabditis elegans*, which is very surprising considering the difference in complexity between these species⁴. This crucial finding shifted scientists’ focus from DNA to RNA, suggesting that the latter plays a much more relevant role in regulatory mechanisms than previously believed.

<i>Organism</i>	<i>Kbp</i>	<i>Coding genes</i>	<i>Ratio (bp/gene)</i>
<i>Escherichia coli</i>	4.6 x 10 ³	4.3 x 10 ³	1.1 x 10 ³
<i>Saccaromyces cerevisiae</i>	1.2 x 10 ⁴	5.8 x 10 ³	2.2 x 10 ³
<i>Caenorhabditis elegans</i>	1.0 x 10 ⁵	2.2 x 10 ⁴	4.6 x 10 ³
<i>Drosophila melanogaster</i>	1.2 x 10 ⁵	1.5 x 10 ⁴	8.2 x 10 ³
<i>Mus musculus</i>	2.8 x 10 ⁹	2.3 x 10 ⁴	1.2 x 10 ⁵
<i>Homo sapiens</i>	3.3 x 10 ⁹	2.0 x 10 ⁴	1.7 x 10 ⁵

Table 1 Comparative genome complexity⁴.

1. LncRNAs

Among ncRNAs, several families of small ncRNAs have been identified, such as transfer RNAs (tRNAs), small interfering RNAs (siRNAs), microRNAs (miRNAs), Piwi-interacting RNAs (piRNAs) and small nucleolar RNAs (snoRNAs), each one exerting specific functions. However, the largest and most heterogeneous portion of

transcriptomes is represented by long non-coding RNAs (lncRNAs), defined as transcripts longer than 200 nucleotides, often polyadenylated and without evident open reading frames (ORFs)⁵. More than 100000 lncRNAs are annotated at date, as reported by LNCipedia v5.2⁶. The majority of them shares features with canonical mRNAs as they are mostly transcribed by RNA polymerase II, they undergo splicing, 5'-capping and polyadenylation⁷. On the other hand, lncRNAs are expressed at lower levels, show an higher tissue-specificity and their localization is often restricted to the cell nucleus compartment, compared to protein-coding genes⁸. lncRNAs are often found to be part of ribonucleoprotein complexes that can regulate gene expression acting at different steps of the process⁵. Indeed, they are able to function as ligands for proteins and also to bind specific DNA or RNA molecules through a base-pairing mechanism⁹, features shared with miRNAs, snRNAs and other small ncRNAs. However, lncRNAs show some peculiar, additional features: they are able to fold in secondary or higher order structures, which confers them a flexible and versatile potential as modular scaffolds¹⁰ able to induce protein interactions¹¹. It is well known that lncRNAs contribute to regulate gene expression with different molecular mechanisms depending on their physical features, subcellular localization and interaction with other molecules both in physiological conditions and in diseases¹².

Being the most heterogenous class of ncRNAs, lncRNAs can be classified according to the following criteria: anatomical properties, subcellular localization and mechanism of action.

1.1 Anatomical properties

Based on the genomic position relative to their nearby protein-coding genes, lncRNAs can be classified as intergenic (long intergenic non-coding RNAs, or lincRNAs) if they do not overlap with any other gene. When they do overlap with exons and intron of genes, they can be both sense (S) and antisense (AS) lncRNAs, as transcribed from the opposite DNA strand that overlaps protein-coding gene.

1.2 Subcellular localization

A distinctive feature of lncRNAs is their preferential subcellular compartmentalization in the cell nucleus, compared to canonical mRNAs. lncRNAs are also less conserved among species and display a general lower expression level when compared to mRNAs. Moreover, while the two transcript classes show a comparable length, lncRNAs are reported to contain fewer and longer exons, lower GC content and very short introns^{4,8,13}.

Nuclear

Nuclear lncRNAs can regulate transcription¹⁴, contribute to the organization and structure of subnuclear components^{15–17} and to chromatin state regulation^{18–20}. The nuclear localization and fate of lncRNAs are finely regulated from transcription to nuclear export by the selective usage of sequence motifs acting in *cis* and factors acting in *trans*. They are mostly transcribed from phosphorylation-dysregulated RNA Pol II which leads them to be weakly co-transcriptionally spliced and to be terminated in a polyadenylation signal-independent manner. Altogether, these peculiar features cause an accumulation of lncRNAs on chromatin with a subsequent rapid degradation¹². Several studies have focused on the characterization of lncRNAs localization pattern with high-throughput approaches, combining computational methods with high-resolution single-molecule imaging techniques^{2,21,22}, but the molecular mechanism that tethers lncRNAs in the cell nucleus is still scarcely known.

Cytoplasmic and organelles-localized

Another portion of lncRNAs reside instead in the cytoplasm, where they take action in post-transcriptional gene expression processing through different mechanisms: acting as miRNA sponges²³, regulating target mRNA metabolism, sequestering specific proteins, altering protein post-translational modifications²⁴ or modulating translation^{25–27}. Interestingly, some lncRNAs have been reported as undergoing shuttling across subcellular compartments in response to specific stimuli^{25,28}. Indeed, recent advances in imaging techniques, such as imaging of thousands of barcoded RNAs or APEX-RIP, have allowed a specific and unbiased quantification of RNAs localized in cellular compartments such as the nucleus and the cytosol, but also mitochondria²⁹, exosomes and *endoplasmic reticulum* (ER)³⁰. It is estimated that the majority of cytoplasmic lncRNAs co-localize with polysomes^{12,31} thanks to *cis* elements, such as long “pseudo” 5' untranslated (5'UTR) regions, deriving their name from their localization upstream “pseudo-open ORFs”. The fate and functions of ribosome-associated lncRNAs is still scarcely known but certainly worth of further investigations.

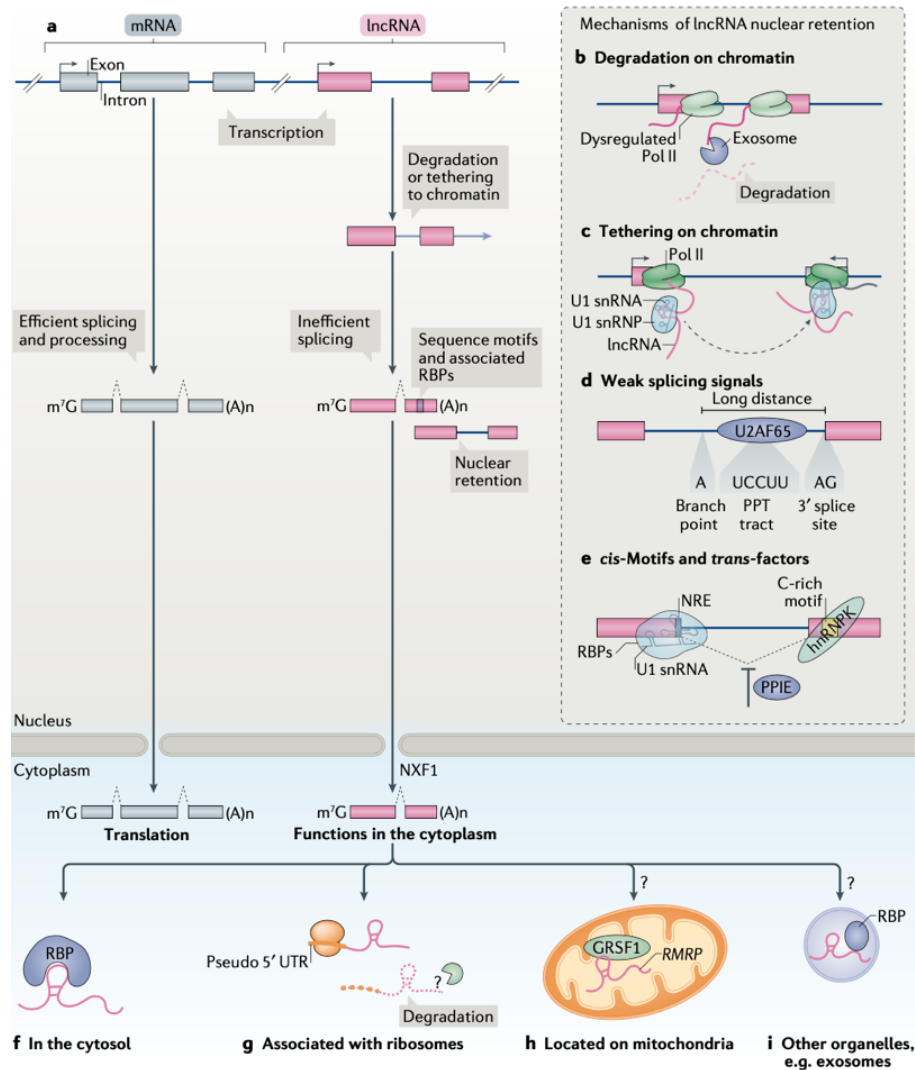


Figure 1 Biogenesis and fate of lncRNAs⁴². **a** Biogenesis of lncRNAs: differently from canonical mRNAs, most lncRNAs are transcribed by RNA pol II but inefficiently processed and therefore retained in the cell nucleus, while others are spliced and exported to the cytoplasm, mainly by nuclear RNA export factor 1 (NXF1). **b** dysregulated-RNA pol II lncRNAs are degraded by nuclear exosome. **c** lncRNAs containing U1 snRNA binding motif can recruit U1 snRNA and associate with Pol II. **d** The sequence between the 3' splice site and the branch point is longer in lncRNAs than in mRNAs and contains a shorter polypyrimidine tract. This results in inefficient splicing. **e** Sequence motifs and co-factors contribute to subcellular lncRNAs localization in cis and in trans respectively. NRE U1 snRNA-binding site C-rich motifs can recruit U1 snRNP19 and hRNPK to enhance nuclear localization of lncRNAs. Other RBPs, such as PPIE 6, inhibit splicing of lncRNAs. **f** In the cytoplasm, lncRNAs may interact with different RBPs. **g** In the cytoplasm, many lncRNAs are associated with ribosomes via a pseudo 5'UTR. These transcripts usually have a short half-life. **h** Several lncRNAs are sorted to subcellular organelles, such as mitochondria, by unknown mechanisms. **i** Some other lncRNAs are found in other organelles, such as exosomes, probably forming complexes with RBPs.

1.3 Mechanism of action

lncRNAs can modulate gene expression with many different mechanisms that result in chromatin structure and function modulation, in the regulation of other genes' transcription, RNA splicing, stability and translation (Figure 2 and 3). lncRNAs are also

involved in the metabolism of cellular organelles and nuclear condensates. Several interdependent factors are considered as key regulators of lncRNA function: the relative location of the lncRNA and target gene, the formation of RNA-DNA and RNA-protein interactions and whether the lncRNA effect is exerted by the transcript or by its transcription¹².

Chromatin regulation

lncRNAs are able to mediate chromatin de-compaction exploiting their negative charge and producing an efficient switch of gene expression. lncRNAs mechanisms of action, both in *cis* and *trans* conformation, may involve direct or indirect DNA interactions, in the former case based on sequence-complementarity and in the latter involving protein interactions. Several lncRNAs were reported to modulate the recruitment of Polycomb repressive complex 2 (PRC2) with both in *cis* and in *trans* interactions. An example is represented by *ANRIL* lncRNA, which is able to recruit PRC1 and PRC2 to the promoter of *CDKN2A* and *CDKN2B* genes, closely positioned, modulating their expression and eventually regulating cell senescence¹⁹. In particular, *ANRIL* is also able to work in *trans* thanks to embedded *Alu* TE, that enable this lncRNA to recruit PRC1 and PRC2 to distant targets¹⁸. Another well-known example is represented by *HOTAIR* lncRNA, which acts in *trans* as negative regulator of *HOXD* genes, through the recruitment of PRC2 and a protein complex that de-methylates H3K4³². Other lncRNAs are able to recruit chromatin modifiers with positive gene regulatory functions, such as *HOTTIP* which is involved in the *HOXA* gene cluster regulation maintaining the chromatin organization in this *locus*³³. Moreover, some lncRNAs have also been reported to act as decoys, able to repress multiple genes at the same time, like *lncPRESS1* that exerts its function on sirtuin 6, eventually leading to the repression of several pluripotency genes¹². Nevertheless, an important feature of lncRNAs is their capability to directly bind DNA, thus altering chromatin state with the formation of hybrid structures also known as R-loops, that can be generated both in *cis* and in *trans* by lncRNAs³⁴, and RNA-DNA-DNA triplexes, that have been reported to mediate both gene silencing and activation³⁵.

Transcription regulation

The transcriptional regulation by lncRNAs can occur through two main mechanisms: the lncRNA itself can regulate transcription of nearby *loci*, or the transcription or splicing of the lncRNA itself can produce a chromatin state or a steric distortion that alters the expression of proximal genes. The most representative example of this kind of mechanism is *XIST* lncRNA, which inactivates in *cis* the X chromosome from which it is

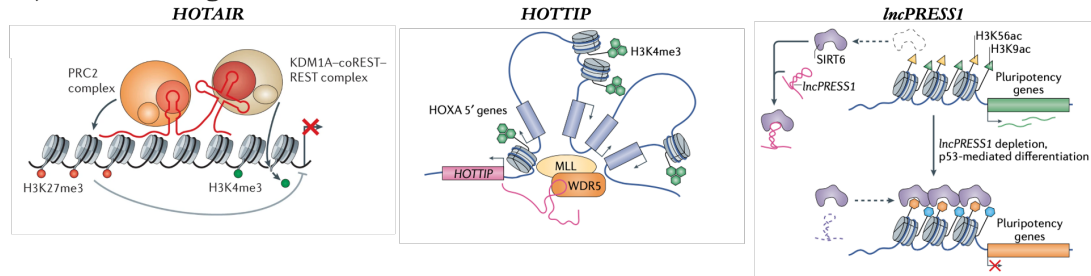
transcribed in female mammalian organisms. This lncRNA is required for the initiation of the X chromosome inactivation and not for its maintenance, thus requiring a fine regulation of its time of expression. *XIST* tethers PRC2 to the inactive chromosome through the formation of repressive heterochromatin, which relies on the cooperation between the lncRNA and several proteins, including transcriptional repressor YY1. *XIST* expression is reported to be controlled both in positive and negative ways by other lncRNAs, such as *Tsix* and *Jpx*^{36,37} respectively. Other lncRNAs, instead, can act in *cis* directly or indirectly interacting with chromatin near a transcription start site (TSS), promoting its inactive state; an example of this case is represented by *COOLAIR* lncRNA, from *A. Thaliana*, whose expression is induced by low temperatures and causes histone demethylation in the gene body of *FLOWERING LOCUS C (FLC)*, thus reducing its transcription⁵. In other cases, lncRNAs can interfere with the transcription machinery to alter transcription factors or RNA Pol II recruitment at the promoter, histone modification or chromatin accessibility¹². A class of enhancer-associated lncRNAs (elncRNAs) has recently been reported. They are produced by RNA pol II binding to specific enhancers and their expression levels induce an increase of messenger RNAs (mRNAs) expression from neighboring genes. While eRNAs are relatively short, bidirectional, capped, non-polyadenylated and unspliced, elncRNAs are in most cases unidirectional, polyadenylated and spliced transcripts. elncRNAs can act on pre-existing chromatin conformation or promoting chromatin looping through the interaction with scaffold proteins. These interactions enable regulatory contacts between enhancers and promoters even when they are located very distant from each other¹².

Scaffolding and condensate formation

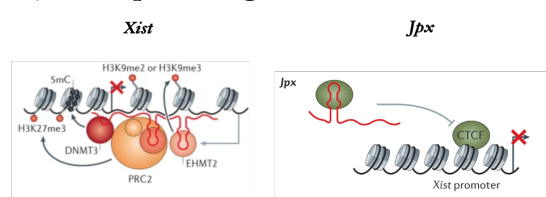
Several lncRNAs were reported to be essential for the assembly and activity of nuclear condensates, which are RNA-protein compartments without membrane. Two of the most studied lncRNAs can fit into this category: *nuclear paraspeckle assembly transcript 1 (NEAT1)* and *metastasis-associated lung adenocarcinoma transcript 1 (MALAT1)*. *NEAT1* is a key player in the organization and function of paraspeckles^{16,38}, acting as two different isoforms originating from alternative splicing: *NEAT1 long* and *NEAT1 short*. The longest transcript is essential for paraspeckles assembly and was demonstrated to recruit core proteins to initiate the assembly through liquid-liquid phase separation¹⁵. *MALAT1*, one of the most highly expressed lncRNAs in cell cultures, is specifically located in nuclear speckles and exerts important functions in pre-mRNA splicing and transcription, cancer progression and metastasis formation^{17,39}. Its artificial downregulation in human lung

cancer cells showed that MALAT1 controls metastatic gene expression program, but a detailed picture of its mechanism of action has not been defined yet³⁹.

A) Chromatin regulation



B) Transcriptional regulation



C) Scaffold and condensate formation

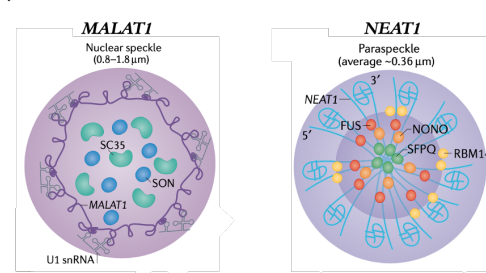


Figure 2 lncRNAs activity in the nucleus^{5,12}. **A) Chromatin regulation:** lncRNAs can interact with chromatin modifiers recruiting them to target-gene promoters, activating or suppressing their transcription. HOXA transcript antisense RNA (*HOTAIR*) acts in trans as HOXD genes regulator; HOXA transcript at the distal tip (*HOTTIP*) acts at the 5' genes of HOXA gene cluster in cis through chromatin looping promoting histone H3 Lys4 trimethylation; p53-regulated and embryonic stem cell-specific lncRNA *lncPRESS1* supports human embryonic stem cells pluripotency by sequestering chromatin modifiers from target genes' promoters. **B) Transcriptional regulation:** lncRNAs can be involved in dosage compensation and genomic imprinting. Examples are *Xist*, *Kcnq1* and *Airn* lncRNAs that induce the formation of repressive chromatin by DNA methyltransferase 3 (DNMT3), PRC2 and N-methyltransferase (EHMT2). The lncRNA *Jpx* binds the transcriptional repressor CTCF inhibiting its binding to *Xist* promoter, eventually activating *Xist* transcription. **C) Scaffold and condensate formation:** *MALAT1* is localized at the periphery of nuclear speckles and is involved in pre-mRNA splicing regulation. At the periphery, it interacts with U1 snRNA, while proteins and splicing components are located in the center of the structure. *NEAT1* lncRNA is essential for the formation of paraspeckles. It sequesters numerous proteins to form a core-shell spheroidal nuclear body. The middle region of the transcript is located in the center of paraspeckles and the distal regions are in the periphery.

Post-transcriptional regulation

lncRNAs can also alter gene expression at post-transcriptional, translational, and post-translational levels with different mechanisms. Indeed, they may be able to regulate mRNA splicing and half-life binding to RNA-binding proteins (RBPs) through specific consensus sequences or structures and forming lncRNA-protein complexes (lncRNPs). In other cases, lncRNAs may be able to modulate post-translational modifications of splicing factors, or to repress splicing through the formation of RNA-RNA hybrids, or to alter a target gene's splicing through chromatin remodelling¹². Some other lncRNAs display miRNA-complementary sequences that allow them to sequester specific miRNAs, competing with the endogenous targets for their binding and thus reducing miRNAs

availability. For this reason, they are also known as competing endogenous RNAs (ceRNAs) and their expression is very finely regulated to counter-act, in a physiological state, miRNAs activity. Recently, a growing number of examples of this kind of mechanism was observed in circular RNAs (circRNAs). CircRNAs, in general, exhibit a longer half-life compared to linear RNAs; as a consequence, circRNAs' turnover can be controlled by a perfectly matched miRNA target site^{5,23}. Post-transcriptional regulation can also be exerted by lncRNAs through a direct base-pairing with other RNAs. An example is represented by Staufen-mediated mRNA decay, that is triggered by lncRNAs with embedded Alu elements or retroelements in human and short interspersed nuclear elements (SINE) in mouse. These lncRNAs are able to recruit Staufen homolog 1 (STAU1) protein which recognizes double-stranded RNA (dsRNA) and promotes RNA decay¹². On the other hand, both β -site APP-cleaving enzyme 1-antisense (*BACE1-AS*) and tissue differentiation-inducing non-protein-coding RNA (*TINCR*) increase the stability of their target mRNAs⁵. Another example of post-transcriptional regulation, that relies on base pairing in *trans*, is represented by a nuclear transcript antisense to ubiquitin carboxy-terminal hydrolase L1 (*AS Uchl1*), that contains an embedded inverted SINEB2 element and will be discussed more in detail in the following paragraphs.

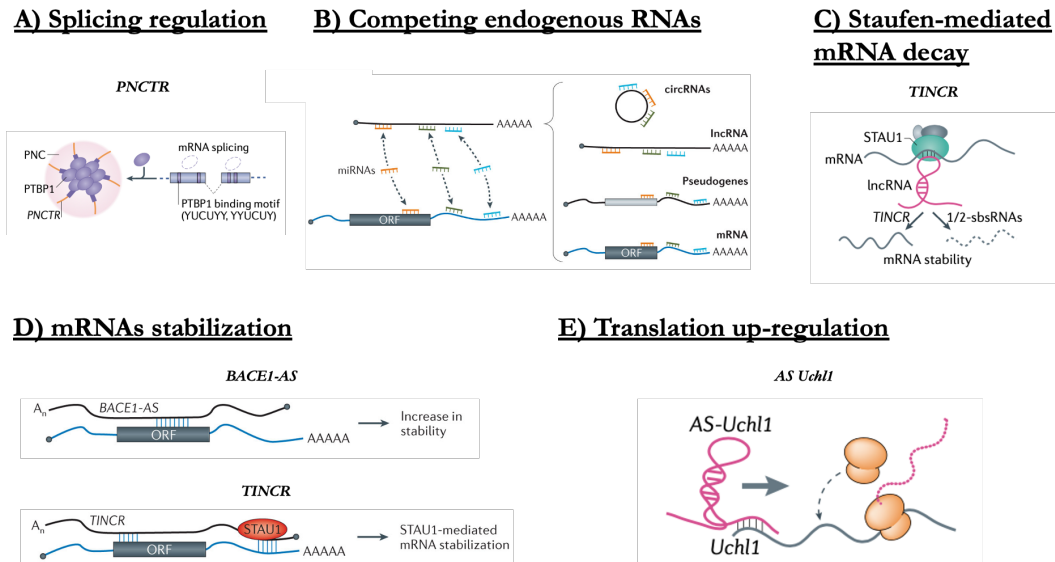


Figure 3 Post-transcriptional lncRNAs activity^{5,12}. **A) Splicing regulation:** lncRNAs can interact in *trans* with RBPs forming structural motifs or through sequence motifs. Pyrimidine-rich non-coding transcript (*PNCTR*) sequesters PTBP1 protein to perinuclear compartment, suppressing PTBP1-mediated mRNA splicing. **B) Competing endogenous RNAs:** ncRNAs, including lncRNAs and circRNAs, can compete with endogenous mRNAs for miRNA binding, resulting in a crosstalk between these different RNA classes. **C) Staufen-mediated mRNA decay:** this process is induced by intermolecular base-pairing between Alu element (or SINE in mice) in the 3'UTR and an Alu element within a half-STAU-binding site RNA. **D) mRNA stabilization:** two examples are reported. In the first, base pairing between specific regions of the human β -site APP-cleaving enzyme 1 (*BACE1*) mRNA and its antisense transcript *BACE1-AS* induces stabilization of target mRNA and increases *BACE1* protein expression. In the other example, STAU1-

mRNA stabilization was described in tissue-differentiation process that induces ncRNA *TINCR*, recognizing its target mRNA through base-pairing. **E) Translation up-regulation:** SINEB2 element of mouse AS Uchl1 complementarily binds its sense target mRNA promoting its association to polysome and thus inducing its translation.

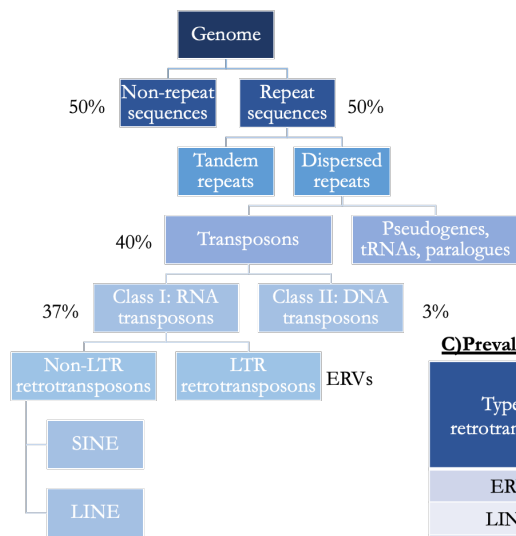
1.3 LncRNAs and TEs relationship

LincRNAs have been found as part of regulatory complexes in about 30% of cases in embryonic stem cells (ESCs), defining a potential general pattern¹¹. A model was thus proposed where lincRNAs might be the crucial elements to build a “modular RNA code”, pacing cell growth states and essential biological processes⁹. A peculiar feature of lincRNAs is the relevance that their secondary and tertiary structure seems to exert. Indeed, several studies reported that common structural features seem to be much more conserved in multiple lincRNAs than their primary sequences, thus suggesting a deep connection between structure and function⁴⁰. An important contribution to the biogenesis and regulation of lincRNAs is given by TEs. This information is coherently mirrored by the discovery that TEs can be found embedded in 75% of mature lincRNAs in vertebrates, while they are scarcely present in protein-coding transcripts^{5,41,42}.

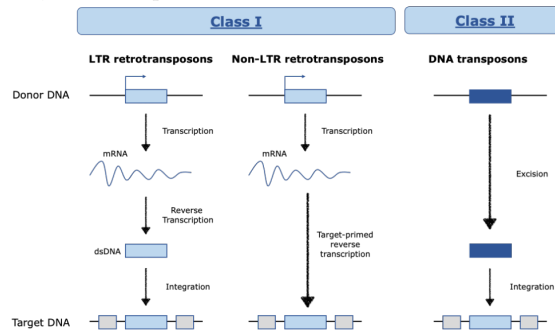
TEs classification

TEs are defined as genomic sequences able to move from their original location in the genome to another position (Figure 4). Two major classes of TEs have been identified based on the mechanism adopted to mobilize: a first one consisting of retrotransposons (class I) and a second one consisting of DNA transposons (class II)^{43,44}. The latter group encodes a transposase enzyme that catalyzes the transposon’s own cut and insertion into another genomic site. Instead, retrotransposons activity depends on a reverse transcriptase (RT) enzyme that first transcribes them into an RNA intermediate, which is then integrated in a new genomic *locus* and can only be found in eukaryotic genomes. Retrotransposons represent around 90% of all TEs⁴¹, representing around 37% of the human genome, and also the majority of lincRNA-embedded TEs⁴⁵. This class can be further divided into three sub-classes: long terminal repeat (LTR) elements, long interspersed nuclear elements (LINEs) and short interspersed nuclear elements (SINEs). LTR retrotransposons are autonomous and very similar to retroviruses in both structure and mechanism of amplification; they exhibit a ORF encoding for an RT enzyme flanked by LTRs. On the contrary, LINEs and SINEs do not present terminal repeats and are therefore called non-LTR retrotransposons⁴⁴.

A) Transposable Elements classification



B) Retro-transposition mechanism



C) Prevalence of Transposable Elements

Type of retrotransposon	Occupation in the genome (human)	Copy number (human)	Occupation in the genome (mouse)	Copy number (mouse)
ERVs	8%	500k	10%	500k
LINEs	18%	870k	9%	660k
Alu/SINEs	11%	1500k	8%	1500k

Figure 4 Transposable Elements. **A) Transposable Elements classification:** composition of the different classes of transposable elements in the human genome. Percentages in the tree correspond to the genomic proportion of each type of element⁴⁵. **B) Retro-transposition mechanism:** class I transposons are also referred to as “retrotransposons” as their activity is dependent on reverse transcription, or “copy and paste” transposons, as they are “copied” in RNA before being “pasted” in the target DNA. LTR transposons are first transcribed in mRNA, then retrotranscribed in dsDNA and finally integrated in the target DNA. Instead, non LTR transposons, such as SINE and LINE elements, are first transcribed in mRNA and then targeted-primed reverse transcribed in target DNA. Class II DNA transposons, on the other hand, do not need to be transcribed, as they are “cut and paste” into target DNA. **C) Prevalence of Transposable Elements** in human and mouse genome⁴⁵.

LINE elements

LINE-1 (L1) are the most common class among retrotransposons, representing around 17-20% of the genome and represent the only active autonomous retrotransposon group. Transcription of L1 elements could be a risk for genome integrity, so several epigenetic strategies have evolved to suppress it, including DNA methylation, histone methylation and small RNA expression. More than 520000 copies of L1s are annotated in the human genome, among which 100-140 are potentially capable to autonomously retrotranspose. About 20 of them are particularly active, producing a ~6 kb long transcript and capable of undertaking a full life cycle finally leading to translation of ORF1 and ORF2, trafficking back to the nucleus and insertion in a new genomic position. Most annotated L1s are, instead, truncated or mutated and, therefore, they can be defined retrotranspositionally incompetent⁴⁶.

SINE elements

SINEs are short elements, up to 1kb long, evolved from RNA genes, such as tRNAs, 7SL RNAs, 5S RNAs⁴³. They are non-autonomous, since they do not encode for a reverse-

transcriptase enzyme, so in most cases they take advantage of L1s retrotransposition machinery to mobilize. The most representative SINE element is the Alu repeat, that reaches over a million copies within the human genome. SINEs can be found in all mammals, reptiles, fishes and in some invertebrates and flowering plants, while they are missing in *Drosophila* and in most unicellular eukaryotes⁴⁷, suggesting its evolutionary recent origin⁴⁸. In rodents, SINE elements account for 7.6% of the genome and can be divided into two families: B1 and B2⁴⁹. They can act as functional elements involved in the regulation of various biological processes. An example of conserved function in human and mouse takes place during stress response. Even if the majority of SINE elements are usually silent, stress signals, such as heat shock, can cause a massive induction of their transcription, which commonly results in the inhibited expression of multiple genes^{50,51}.

Role of TEs in lncRNAs

Recently, many studies have focused on the high prevalence of TEs in lncRNAs compared to mRNAs: in humans, 83% of lncRNAs present in a list of 28 tissues and cell lines contains at least one embedded TE and the same prevalence was observed in mouse, even if at a lower extent⁴⁵. Furthermore, it is estimated that 42% of human lncRNAs originates from a TE. In comparison, only 5.5% of human protein-coding transcripts derive from TEs and only 39% of mRNAs contain a TE⁴¹. It has been found that different TEs contribute at different extent to lncRNAs sequences: 13% from LINEs, 7.7% from SINEs, 3.5% from LTRs and 2.2% from DNA TEs⁵². Furthermore, around 19% of TE-containing lncRNAs, derive more than 50% of their sequence from a TE. Interestingly, TEs may be found in the majority of cases at the last exon of lncRNAs (56%)⁵³. Some TEs, such as Alu sequences, contribute to isoform variety and exon content of lncRNAs since they present splicing signals that, upon insertion in a gene, can create new splicing sites and/or exons⁴⁵. Importantly, TEs were also found in correspondence to or near lncRNAs Transcription Start Sites (TSSs), thus suggesting their possible involvement in the regulation of lncRNA transcription. From these evidences it was then proposed that TEs may contribute to lncRNAs evolution by conferring them tissue-specific expression through transcriptional regulatory signals^{5,41,42,45}. In a number of cases, Alu sequences were found in proximity of lncRNAs 3' end in sense orientation, which, instead, suggests their role in determining a polyadenylation signal⁴⁵, as reported in Kapusta et al., where 30% of the polyadenylation signals in their lncRNA dataset overlapped with TEs⁴². TEs may also function as DNA regulatory elements of lncRNA expression and to participate in the

post-transcriptional modification of the lncRNA they are embedded in. The number of roles assigned to lncRNA-embedded TEs is constantly increasing, but it is still not exactly reflecting the very frequent presence of TEs in this class of transcripts since a detailed dissection of TEs contribution in lncRNAs functions is rarely pursued⁴⁵.

2. SINEUPs: a new functional class of antisense lncRNAs.

Bidirectional transcription leads to the co-existence of RNA molecules transcribed from opposite DNA strands. Indeed, natural overlapping sense/antisense (S/AS) pairs of transcripts have been annotated⁸. S/AS pairs may present all possible combinations of protein-coding and lncRNA genes. Indeed, they can be found 5' head-to-head divergent, 3' tail-to-tail convergent and fully overlapping configurations (Figure 5).

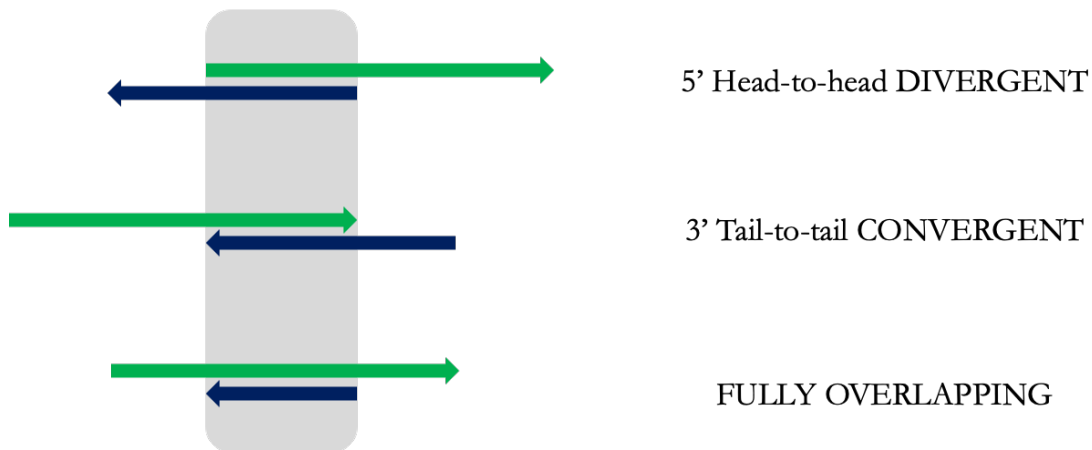


Figure 5 S/AS pairs genomic organization. Sense genes are reported in green, AS ones in blue. Arrows indicate 5'→3' direction; grey box indicates overlapping regions⁵⁴.

It has been reported that lncRNAs in AS orientation to nearby protein-coding genes constitute the 60-70% of the whole transcribed genome⁵⁵. These natural AS transcripts (NATs) can be encoded in *cis* and transcribed from a promoter on the opposite strand of the corresponding protein-coding gene and commonly present a partial overlapping sequence with the sense transcript⁵⁵⁻⁵⁷. As many as 10077 and 8091 S/AS pairs were recently annotated in human and mouse genomes respectively. A significant amount was shown to derive from retrotransposition events in one of the genes or from alternative polyadenylation signals. It was also observed that this genomic configuration, with an antisense transcript partially complementary to the sense one, increases the probability of the respective intron retention⁵⁷. NATs can modulate the corresponding sense gene expression acting in *cis* or in *trans* through several mechanisms⁵⁸.

2.1 Natural SINEUPs: AS Uchl1 and others

Focusing their studies on mouse genomic loci associated with Parkinson's disease (PD), Carrieri and colleagues reported the presence of the aforementioned spliced lncRNA transcript in the *Uchl1*/PARK5 gene, named AS *Uchl1*, mapping in antisense orientation to the protein-coding counterpart *Uchl1* mRNA (Figure 6)^{25,54}. The two transcripts were described in 5' head-to-head configuration with *AS Uchl1* overlapping to the first 73 nts of sense *Uchl1*, including the AUG starting codon (-40/+33, with +1 position corresponding to the A of the starting AUG). The remaining part of *AS Uchl1* lncRNA contains two embedded repetitive sequences: a SINEB1, with features corresponding to F1 subclass (Alu), and a SINEB2 belonging to B3 subclass²⁵.



Figure 6 Natural AS *Uchl1* and *Uchl1* genomic organization²⁵.

In mouse, *AS Uchl1* lncRNA is co-expressed with *Uchl1* mRNA in 40% of cases and is not expressed in absence of the sense transcript²⁵. The two transcripts were also reported to be differentially localized in the cell: mature *Uchl1* mRNA preferentially localizes to the cytoplasm, while, in physiological conditions, *AS Uchl1* is retained in the nucleus, as the majority of reported lncRNAs²⁵. Upon *AS Uchl1* overexpression, a significant increase of UCHL1 endogenous protein was detected, with no alteration in *Uchl1* mRNA levels, suggesting a potential post-transcriptional regulatory function of the lncRNA²⁵. Deletion analysis of *AS Uchl1* allowed the identification of two functional domains within the lncRNA that are essential for its activity: the 5' overlapping region and the inverted SINEB2 element (invSINEB2/invB2)²⁵. It was also observed that, upon CAP-dependent translation inhibition, induced with rapamycin administration, *AS Uchl1* shuttles from the nucleus to the cytoplasm, where it exerts its activity, inducing translation of the sense protein-coding *Uchl1* mRNA by increasing its association to heavy polysomes²⁵. Importantly, AS *Uchl1* lncRNA is able to post-transcriptionally induce UCHL1 protein translation only when containing the overlapping and the invSINEB2 sequences. In the effort to evaluate the possible presence of other AS lncRNAs with the same function and genomic configuration relative to their sense protein-coding gene, FANTOM3 dataset was interrogated, leading to the identification of 31 S/AS transcript pairs with 5'head-to-head overlapping and containing an invSINEB2 element⁵⁹. Among the list, *AS Uxt* was

proved to retain a similar function to *AS Uchl1*: upon overexpression, UXT protein level was increased with no alteration of the corresponding mRNA expression²⁵. Later on, the activity of another AS lncRNA included in the same list was successfully validated targeting Elastin⁶⁰. Given that SINEB2 elements are not present in the human genome, it was important that an AS transcript to protein phosphatase 1 regulatory subunit 12A (PPP1R12A), named R12A-AS1, containing a short Free Right Alu Monomer repeat element (FRAM) was identified in human⁵⁹. In this case, the invSINEB2 ability to up-regulate translation is conferred to the lncRNA by the FRAM element. Indeed, despite their lack of primary sequence homology, SINEB2 and FRAM elements both bind the dsRNA-binding protein ILF3, showing evidence of convergent evolution in different TEs⁶¹. Another lncRNA, AS to Integrin-Alpha FG-GAP Repeat-Containing Protein 2 (ITFG2) also displayed SINEUP activity, mediated by an inverted MIRb TE⁵⁹. 129 potential human natural SINEUPs were computationally identified as part of S/AS pairs, retaining a region overlapping to a protein-coding gene in a head-to-head configuration and combined with an embedded TE⁵⁹. More recently, AS lncRNAs with similar genomic organization and function to *AS Uchl1* were identified among dysregulated transcripts in Autism Spectrum Disorder (ASD). To this end, human neural progenitors with a 50% knock-down of *CHD8* mRNA expression⁶² obtained with a short hairpin RNA (shRNA), recapitulated the haploinsufficient genotype in selected ASD individuals. Among the genes differentially expressed, *RAB11B-AS1* lncRNA was experimentally demonstrated to increase protein levels encoded from the corresponding sense transcript *RAB11B* through a specific overlapping antisense sequence and an invSINEB2 element⁶².

Taken together, these data prove *AS Uchl1* is the representative member of a new functional class of natural AS lncRNAs that up-regulate translation of sense overlapping transcripts. In all cases reported so far, the biological activity of such lncRNAs depends on the combination of two distinctive elements: the overlapping region (Binding Domain, BD), that confers target specificity through complementary base-pairing with target mRNA, and the embedded inverted SINEB2 element (Effector Domain, ED) that is required for translation induction. This new class of lncRNAs has been named SINEUPs, since they take advantage of a SINEB2 element to UP-regulate a target mRNA translation in a selective, post-transcriptional manner^{63,64}. SINEUP lncRNAs modular architecture provide sequences as binding sites for regulatory complexes and for RNA:RNA pairing. At the same time, this modular structure makes SINEUPs easy to be manipulated and synthetically designed to re-direct their activity towards a specific target mRNA of

interest, as it will be further discussed in the next section. Nevertheless, natural SINEUPs' exact mechanism of action remains to be elucidated.

2.2 Synthetic SINEUPs and miniSINEUP development

Replacing the BD sequence with one complementary to a given target mRNA, synthetic SINEUP lncRNAs can be designed, re-directing *AS Uchl1* activity towards exogenously expressed transcripts, such as Green Fluorescent Protein (GFP) (Figure 7)^{25,64}, or endogenous ones^{54,60,65–68}. Synthetic SINEUPs have been successfully applied to enhance protein translation to a wide range of target mRNA including FLAG-tagged proteins, secreted recombinant antibodies, and cytokines. Remarkably, synthetic SINEUPs were proven to be active on endogenous mRNAs both *in vitro* and *in vivo*, with the first example represented by PARK7/DJ-1-targeting SINEUPs⁶³. Other SINEUPs have been shown to be active in cell lines of mouse, hamster, monkey and human origin, proving their wide applicability in *in vitro* experimental settings along with their scalability. Unpublished results also demonstrate that synthetic SINEUPs are active in *Drosophila* cells, confirming their relying on an evolutionary conserved cell process (Matey A. et al., unpublished)⁶⁹. Importantly, from a therapeutic point of view SINEUPs represent an ideal tool to perturb gene expression *in vivo* since they are able to induce a fold-induction from 1.5 to 3 fold, within a physiological range, avoiding the potential side effects of uncontrolled large overexpression.

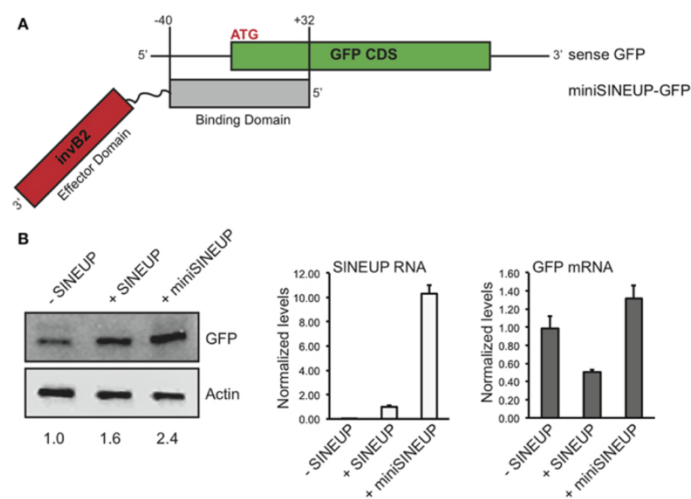


Figure 7 miniSINEUP-GFP design and activity⁶⁴. **A**) Domain organization of miniSINEUP-GFP. BD and ED are reported in gray and green, respectively. **B**) HEK 293T/17 cells were co-transfected with sense GFP vector together with empty vector (-SINEUP), SINEUP-GFP as positive control (+SINEUP) and miniSINEUP-GFP (+miniSINEUP-GFP).

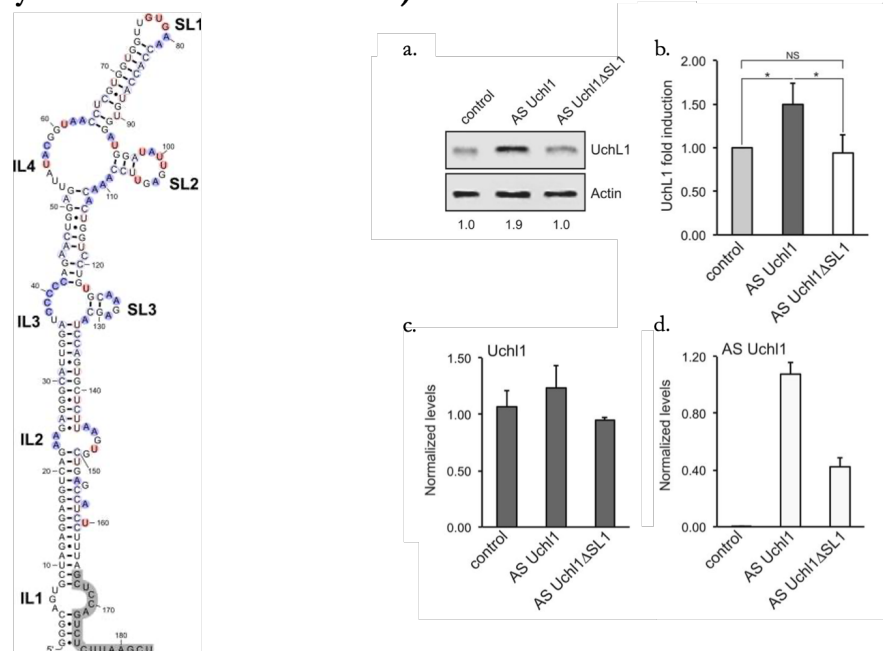
To better understand the structure/function relationship and the molecular mechanism of SINEUP activity, BDs and EDs have been extensively studied, leading to the optimization of artificial SINEUPs and the identification of minimal structural features required for activity⁷⁰. Indeed, while the first generation of *AS Uchl1*-derived synthetic SINEUPs were about 1200 nts long, composed of a 73 nts long BD, a 172 nts long ED, a partial Alu element and a 3' tail²⁵, a shorter version of SINEUP RNAs, named miniSINEUPs, was obtained from the exclusive combination of a BD and an ED, reducing the RNA length to ~ 250 nts⁶³. miniSINEUPs ability to increase protein levels was proved for several targets, including GFP and DJ1^{60,64,70}. Although the anatomy of natural *AS Uchl1* has been taken as a model for BD design of synthetic SINEUPs, additional BDs were successfully tested for several target mRNAs: -40/+4, -40/0, -14/+4 and -14/0 have been empirically identified as most probably effective BD variants^{67,68}. Intriguingly, examples of effective BDs include sequences targeting the starting AUG as well as internal in frame AUG sequences found along the ORF of the target mRNA. The exact BD design rules are not entirely defined yet. On the contrary, it is well known that a precise knowledge of the real TSS of the target mRNA is crucial for appropriate BD design. This can be achieved using FANTOM5⁷¹ datasets and ZENBU Genome Browser Tool for data visualization⁷² that allow to monitor TSS usage of a specific mRNA in the tissue of interest.

2.3 Mechanism of action: recent insights

Recent studies have focused on SINEUPs secondary structure to gain better knowledge of their molecular mechanism of action and to optimize its use as therapeutics. Applying chemical footprinting, four Internal Loops (IL) and three Stem Loop (SL) were detected within natural *AS Uchl1* invSINEB2 (Figure 8)⁷³. Based on this observation, a deletion analysis revealed that, upon hairpin structure (SL1) deletion (nucleotides 68-77, Δ SL1 mutant), *AS Uchl1* completely lost its capacity to induce UCHL1 protein translation, thus proving the essential role of SL1 in SINEUP activity⁷³. SL1 hairpin structure was then further refined through NMR studies performed on the fragment in solution, showing an A-type helical stem terminated by a triloop structure⁷³. Using a combination of experimental data (Nuclear Overhauser Effect, NOE) and molecular dynamics simulations, a minimal set of four SL1 conformations compatible with experimental data was obtained⁷⁴. More recently, NMR “fingerprints” allowed the identification of minimal units retaining original structure and function within the invSINEB2 element as one

dynamic domain and two discrete structured domains, named C and M domains⁷⁵. More in detail, the 31-199 nts fragment showed an identical fold and retained 80% of SINEUP activity compared to the full length *invSINEB2* element⁷⁵. Altogether these data provide important information for the identification of minimal structural elements required for ED activity, which is a necessary step towards the miniaturization and optimization of the molecule. Furthermore, these observations represent the starting point for comparative studies on other EDs found in natural SINEUPs and for the identification of structural commonalities. Indeed, we recently reported structural and functional similarities between an Internal Ribosome Entry Site (IRES) element and the *AS Uchl1* *invSINEB2*⁷⁶. In particular, a parallelism between HCV IRES III_d domain, known to be essential for IRES activity, and *invSINEB2* SL1 was established through a mutation analysis. Interestingly, it was demonstrated that *invSINEB2* could work as an IRES element with a bi-cistronic assay and, the other way around, IRES elements were proven to be active as SINEUP EDs when substituting the *invSINEB2* in a synthetic SINEUP RNA⁷⁶.

A) Secondary structure of *invSINEB2* B) SL1 is essential for AS *Uchl1* activity



*Figure 8 Secondary structure of invSINEB2 from AS Uchl1 functional characterization*⁷³. **A) Secondary structure of *invSINEB2***: blue and red highlights tDMS and CMCT reactive nucleotides, respectively. Grey-highlighted segment indicate DNA primer hybridization site. **B) SL1 is essential for AS *Uchl1* activity**: murine neuroblastoma N2A cell line was transfected with AS *Uchl1* and Δ SL1 mutant constructs. Control cells were transfected with an empty control. a. representative Western Blot protein analysis. b. graphical representation of AS *Uchl1* and Δ SL1 mutant activity on UCHL1 protein. c. *Uchl1* mRNA levels as measured by qRT-PCR Real-time is stable upon constructs transfection. d. AS *Uchl1* and Δ SL1 mutant expression level.

Another important piece of information was acquired with studies focusing on invSINEB2 protein interactors. In a first study previously mentioned, the double-stranded RNA-binding protein ILF3 was identified as *AS Uchl1* RNA interactor⁶¹. Intriguingly, ILF3 was also demonstrated to bind FRAM element⁶¹, which had been reported as embedded TE acting as ED in human natural SINEUPs⁵⁹. This similarity is not reflected as high sequence homology between the two genomic elements, suggesting, together with no evidence of a clear consensus motif for ILF3 binding, that the RNA-protein interaction results from a similarity in the two lncRNAs secondary structure. The interaction with ILF3 influences *AS Uchl1* subcellular localization⁶¹. Recently, it was also showed that SINEUP RNAs interact with other RBPs, such as polypyrimidine tract binding protein-1 (PTBP1) and heterogeneous nuclear ribonucleoprotein K (HNRNPK)⁷⁷. In this study it was also demonstrated that these proteins binding is essential for SINEUP RNA functional subcellular localization and for the assembly of translation initiation machinery. By knocking-down or over-expressing PTBP1 and HNRNPK proteins, both SINEUP shuttling from nucleus to cytoplasm and activity were altered, proving the important contribution given by RBPs in SINEUP RNA dynamics and functionality⁷⁷.

2.4 SINEUPs as a novel toolbox for RNA therapeutics

In last years, gene therapy has paved the way for nucleic acid-based therapies. With significant improvements in safety and efficacy, these technology arose hopes to target undruggable diseases, culminating with the FDA approval of the first gene modification therapy drug for genetic disease treatment in December 2017⁷⁸. To express therapeutic molecules *in vivo*, different options are available: DNA gene delivery, most commonly with viral vectors, and RNA-based systems (Figure 9). DNA-based therapies have been recently developed to replace defective or missing proteins, as vaccines encoding specific antigens or as a treatment for genetic disorders^{69,78-80}. In gene therapy, a limitation is represented by the relatively small cargo capacity of Adeno-Associated Virus (AAV) vectors, which shortens the list of genes that it is possible to deliver. Moreover, transgene expression achieved with this technology can reach levels well beyond the physiological range, which could be detrimental in terms of safety. The lack of specific promoters for each cell type could lead to ectopic gene expression in non-specific tissues.

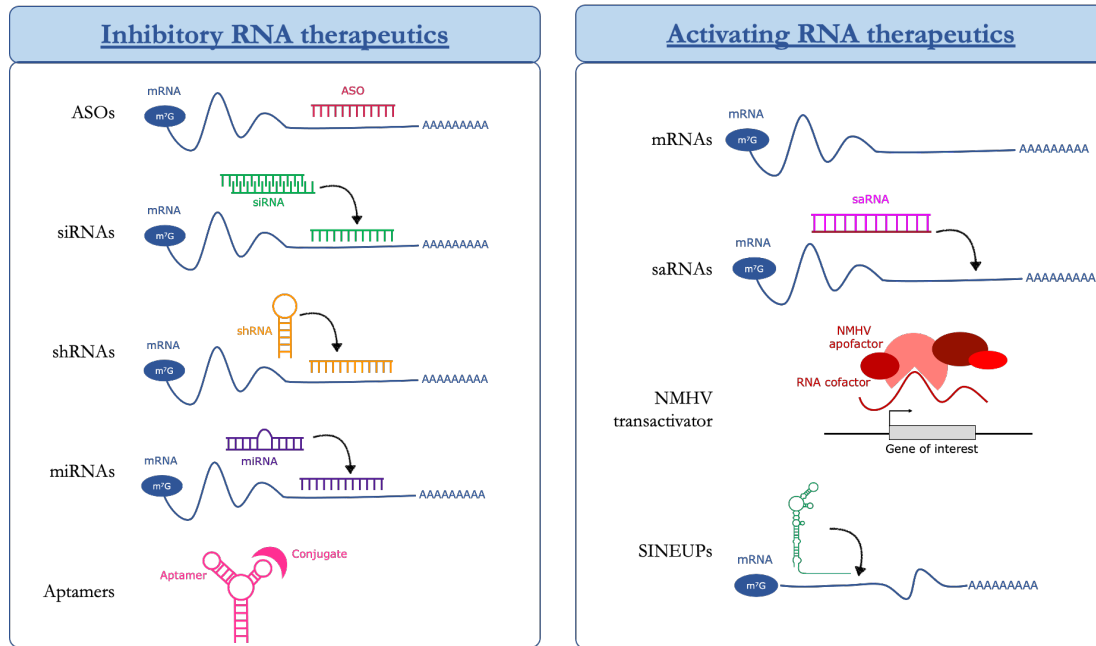


Figure 9 RNA therapeutics under development at date. Most RNA therapeutics technologies rely on complementary base pairing of synthetic RNA molecules. They are usually extensively modified to obtain a higher resistance to RNases, the lowest level of immunogenicity and the maximum binding affinity to their targets. Among these drugs, two main groups can be identified: inhibitory and activatory RNA therapeutics. The first group includes Antisense Oligonucleotides (ASOs), small interfering RNAs (siRNA) and short hairpin RNAs (shRNAs), synthetic microRNAs (miRNA), including miRNA mimics, and aptamers, short single stranded RNAs that can bind a target molecule delivering drugs, like other therapeutic RNAs or proteins or other compounds. The activatory RNA therapeutics group is much less numerous and comprises full synthetic mRNA molecules, small activating RNAs (saRNAs), NMHV transactivators, and SINEUPs, synthetic RNAs designed to redirect natural AS Uchl1 lncRNA activity against a gene of interest.

On the other hand, in the case of RNA therapeutics, major limitations are represented by the rapid degradation of exogenous RNA molecules by ubiquitous RNases, difficulties in the development of effective delivery strategies of negatively charged RNA across the hydrophobic cytoplasmic membrane, and a high immunogenicity risk caused by exogenous RNA leading to toxicity and impaired translation^{79,81}. Nevertheless, major advantages that contribute to a rapid expansion and development of RNA-based drugs, especially when compared to DNA-based therapies, include the ability to target previously undruggable diseases, their convenient production in terms of both time and costs and the possibility to develop them as personalized drugs, or easily adapting them to evolving pathogens⁷⁹.

The path towards RNA therapeutics development can take two different directions depending on the gene expression dysfunction that causes a disease: inhibitory or activating RNAs (Figure 9).

Inhibitory RNAs

The category of inhibitory RNAs comprises a vast repertoire of molecules: antisense oligonucleotides (ASOs), miRNAs, miRNA sponges, small interfering RNAs (siRNA), short hairpin RNAs (shRNAs), and aptamers.

ASOs are short single-stranded molecules complementary to a defined target RNA sequence. They can be divided in two sub-classes: the more commonly used RNase H-dependent ASOs, dependent on the enzyme to hydrolyze the RNA strand of an RNA/DNA duplex, and RNase H-independent ASOs^{69,79,82,83}. RNase H-dependent ASOs were reported to be more efficient in gene-expression knock-down⁷⁹.

siRNAs can be single or double stranded RNA molecules that follow endogenous miRNA pathway to mediate specific, perfectly complementary mRNA silencing by loading them onto RNA-induced silencing complex (RISC)^{79,82,84}.

shRNAs exploit miRNA maturation pathway as well and are commonly delivered to cells with viral vector systems. Interestingly, two bifunctional shRNA molecules are currently under phase I clinical trial evaluation^{79,82,84}. This type of shRNA show higher efficiency as they produce multiple transcripts with perfect and imperfect complementarity to drive degradation and translation inhibition at once^{79,82,84}.

miRNAs are small ncRNAs that reduce the expression level of multiple RNAs at once by blocking their translation or inducing their degradation. miRNA drugs can be divided into two subclasses: miRNA mimics, dsRNAs mimicking endogenous miRNAs activity, and miRNA inhibitors that are ssRNA molecules synthetically designed to interfere with endogenous miRNAs^{79,82,84}.

Aptamers are short single-stranded nucleic acids that can target a variety of molecules exploiting their tertiary structure⁷⁹.

Activating RNAs.

The class of activating RNA therapeutics comprises non-degradative ASOs, RNA activators (RNAa) and Nuclear localization signal – MS2 coat protein RNA interacting domain – HA epitope – (3x) VP16 trans-activating domain (NMHV) transcription factors.

Non-degradative ASOs are applied to up-regulate target genes' expression with different mechanisms, such as interfering with miRNA activity or modulating mRNAs processing acting on their splicing. Among these, the most important examples include exon-skipping ASOs or splice-switching oligonucleotides (SSOs)⁸⁵⁻⁸⁷.

RNA activation technology applies small RNAs to enhance transcription and it was first described in 2006 by Li and colleagues⁸⁸. More recently, several reports confirmed RNAa as a common mechanism of gene expression regulation⁸⁹⁻⁹¹. These RNA molecules can be sense or antisense oriented and can target TSSs^{88,92}, sequences nearby polyadenylation sites⁹³, cis-active elements within the gene of interest or the transcribed region of the gene⁹⁴. Some small activating RNAs (saRNAs) can bind AS transcripts interfering with their repressive functions⁹⁵ or can induce gene locus transactivation⁹². Two of their features are particularly attractive for application: they have a prolonged effect⁸⁸ and reach an mRNA up-regulation that commonly falls within the physiological range^{89,91}.

NMHV transcription factors is a new class of artificial trans-activators, which are RNA-programmable enzymes. They consist of a synthetic ribonucleoprotein transcription factor that stimulate transcription, combined with a ncRNA domain that drives the enzyme to a specific target gene⁹⁶. The two domains are linked by two accessory domains: an MS2 RNA-interacting domain⁹⁷ and a hairpin interactor⁹⁸, joined to the transcription factor and to the ncRNA domain respectively.

SINEUPs as a new therapeutic platform

In current medical practice, there are several unmet therapeutic needs to increase protein levels *in vivo*. As a broad classification, we can envision the use of SINEUP technology to:

- i. genetic diseases with the lack of one functional allele for a single (haploinsufficiency) or multiple (copy number variations; CNVs) genes;
- ii. complex diseases where the increase of compensatory pathways may preserve or restore physiological activities.

Haploinsufficiencies are a wide spectrum of diseases (more than one thousand) where the protein product of both alleles is required to ensure the normal phenotype, but one allele is inactive due to hereditary or germline mutations leading to lower expression of a functional protein. They are very heterogeneous (each of them involving a different gene) and rare (they occur in a very limited number of patients), limiting drug development by the private sector. Importantly, recent data has shown that an uncontrolled, ectopic, large expression of some of these target genes can be detrimental, phenocopying the disease or leading to life-threatening side-effects. These worrisome results strongly support the need for new technologies able to restore the physiological range of expression of the gene of interest.

Targeted enhancement of protein level would also be beneficial for diseases caused by pathogenic CNVs. In these cases, heterozygous deletion of a portion of a chromosome

(from 50 bps to several million bases) leads to multiple genes' haploinsufficiencies. Pathogenic CNVs are significantly enriched for genes involved in neurodevelopment and include at least one dosage-sensitive gene, whose duplication or deletion is usually negatively selected. Recurrent deletion and duplication syndromes can either manifest with similar characteristics or with mirror image traits indicating that duplication of the very same region may be pathogenic (reciprocal CNVs). As a consequence, overexpressing large amounts of proteins encoded by hemideleted genes can be pathogenic as phenocopies of duplications. It is therefore crucial to increase protein levels of multiple target genes within the physiological range. Since no technologies are currently available to restore the expression of multiple genes, large pathogenic CNVs remain untreatable.

In many complex, multifactorial diseases, the increase of pro-survival factors and enzymes may improve the well-being of patients. As an example, exogenous delivery of neurotrophic factors has been proposed as therapeutic treatments for neurodegenerative diseases. However, dosage and bioavailability issues hamper the therapeutic benefits with current delivery strategies. Moreover, toxicity from off-target distribution highlights the need for tissue-specific expression. Therefore, there is a crucial unmet therapeutic need to enhance the expression of compensatory pathways within the physiological range and in the appropriate brain region and body district at large. Similarly, increasing the concentrations of transcription factors and enzymes involved in pathways whose efficiency is lowered in neurodegenerative diseases, such as autophagy and mitochondrial biogenesis, can result in valuable novel therapeutic options.

SINEUP technology presents specific advantages over current technologies to increase protein expression *in vivo* for therapy:

- i. the increase of protein quantities is within physiological range. This is important when the overexpression of the protein of interest is toxic as in the case of proteins with pro-oncogenic properties or of reciprocal CNVs, when duplication could elicit a mirror disease
- ii. SINEUP activity occurs exclusively in cells that express the mRNA target avoiding the toxicity associated to the ectopic expression of the protein in unwanted cells and not requiring cell-type specific promoters
- iii. AAV can be used to induce the expression of large proteins overcoming constrains in cDNA length

- iv. it is the only technology targeting translation leaving room for combinatorial therapy
- v. multi-target SINEUPs can increase expression of several proteins at the same time.

The first demonstration of SINEUP activity *in vivo* was obtained in a medakafish (*Oryzias latipes*) model of microphthalmia with linear skin lesions (MLS) syndrome⁹⁹. It is caused by mutations in enzymes of the mitochondrial respiratory chain including the subunit 7B of cytochrome c oxidase (cox7b). Medakafish model of MLS displays down-regulation of cox7b resulting in microcephaly and microphthalmia. When a SINEUP for medakafish cox7b was microinjected into embryos, the microcephaly and microphthalmia disease phenotype was completely reverted, due to restoration of physiological levels of cox7b, with no transcriptional effects⁹⁹.

As a second Proof-of-Concept, our lab focused its attention on rescuing haploinsufficiency in patients' cells from a human disease: Friedreich's Ataxia (FRDA)⁶⁸. FRDA is a fatal and presently untreatable genetic disease due to a decreased expression of frataxin (FXN), caused by hyperexpansion of GAA repeats. The FXN gene encodes for frataxin, a protein involved in the biosynthesis of the iron-sulfur cluster (ISC). An insufficient ISC biosynthesis leads to decreased mitochondrial activity. *SINEUP*- and *miniSINEUP*-*FXNs* increased frataxin expression of 2-folds in FRDA-derived fibroblasts and lymphoblasts re-establishing frataxin physiological levels. This increase was sufficient to restore the physiological mitochondrial activity of patients'-derived primary cell lines, a major disease-associated phenotypic trait⁶⁸.

As a Proof-Of-Concept of SINEUP activity in a complex disease, our laboratory confronted a mouse model of Parkinson Disease (PD)⁶⁷. PD is one of the most common neurodegenerative diseases and is caused by loss of dopaminergic (DA) neurons in the Substantia Nigra. Glial cell-derived neurotrophic factor (GDNF) is a neurotrophic factor, promoting the survival of DA neurons²⁵ and it has been studied as potential agent to halt neurodegeneration in PD. However, long-term delivery of GDNF resulted in toxicity. We have recently shown that the expression of *miniSINEUP*-*GDNF* RNA in the mouse striatum delivered by an AAV9 vector led to an increase of endogenous GDNF protein of two-fold for at least six months and the potentiation of DA system's functions. The common side effects caused by the ectopic expression of GDNF were not observed. *miniSINEUP*-*GDNF* was able to ameliorate motor deficits and neurodegeneration of DA neurons in a neurochemical mouse model of PD⁶⁷.

As described above, SINEUP molecules could be administered following two different strategies: as DNA molecules through AAV delivery, when SINEUP molecules are chronically expressed *in vivo*, or as chemically synthesized RNA molecules. The first approach is particularly indicated when a physiological upregulation of the target mRNA translation is crucial for phenotypic rescue of the disorder and the uncontrolled expression of the protein in given tissues could be detrimental. In other cases, SINEUPs delivery as RNA molecules could be advantageous as well. Indeed, with this approach, there would be no stable genomic alteration, reducing genotoxicity risks. The development of RNA therapeutics has been massively optimized in recent years, especially for siRNAs and ASOs and could be exploited for SINEUP technology application. Limitations in this sense are posed by SINEUP RNA molecule length, that should be reduced to less than 60 nts to allow cost-effective manufacturing and efficient *in vivo* delivery. It is reported that *in vitro* transcribed (IVT) SINEUP RNA is not active in cells, suggesting that chemical modification would be needed to preserve RNA function and stability. Recently, encouraging data have proved that the incorporation of chemically modified ribonucleotides restore IVT SINEUP RNA activity, making an important progress for its development as a RNA drug^{100,101}. However, it would be extremely important to gain further knowledge about natural chemical modification of SINEUP RNA to improve its efficacy.

3. RNA modifications

The first discovered modification of an RNA base was pseudouridine (Ψ), as far back in time as 1951¹⁰², shortly after the discovery of DNA 5-methylcytosine. Over time, the number of identified RNA post-transcriptional modifications (PTMs) has constantly increased, reaching more than 150 known modifications at date^{103,104}. Only in recent times, the term “epitranscriptomics” was coined to indicate all modifications that are added on transcribed nucleotides. Such chemical modifications are, indeed, catalytically deposited and removed by specific enzymes. Being detected by specific “reader” proteins, PTMs can perturb RNAs features and functions, including stability, structure, interactions and subcellular localization¹⁰⁵. Chemical modifications were reported on all four ribonucleotides consisting in the addition of a chemical group or in the modification of the original RNA sequence (Figure 10). 75% of PTMs is represented by the addition of methyl groups, causing a gain of positive electrostatic charge¹⁰⁵.

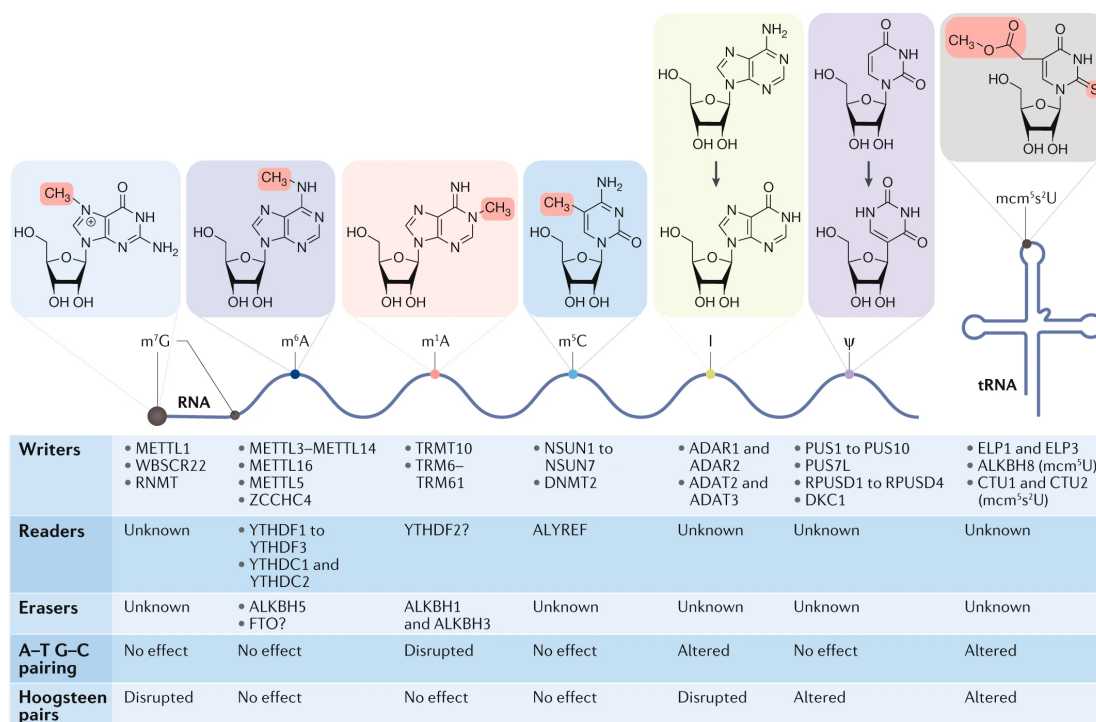


Figure 10 Internal RNA modifications¹⁰⁶. Specific modification groups, with relative main protein factors involved in deposition, removal and recognition, are reported. Effect of each modification on base-pairing is also reported.

3.1 Pseudouridine (Ψ)

Among RNA bases, uridine has the largest number of reported modifications. 5-riboseyluracil, also known as pseudouridine (Ψ), besides being the first discovered modification, is also the most overall abundant one, reported in almost all RNA classes¹⁰² (rRNA, snRNA, snoRNA, tRNA and mRNA). Its deposition is catalyzed by a conserved family of enzymes called pseudouridine synthase. In tRNAs Ψ is conserved in position 55 within the T-arm loop, contributing to tertiary structure, but it has also been reported in numerous other positions along tRNA primary structure, where it is suggested to contribute to stability and structure¹⁰⁵.

3.2 7-methylguanosine (m^7G)

m^7G has been detected in 5' cap structures of eukaryotic mRNA as well as in internal positions within tRNAs and rRNAs across all species. Its deposition is catalyzed by a number of enzymes, among which the best-characterized is METTL1^{107,108}. This modification dramatically perturbs the charge density of RNA, possibly altering the secondary RNA structure, but does not impair Watson-Crick G:C base pair formation. Altogether these features make m^7G neutral to base-pairing and not altering reverse transcription, leading to a difficult detection of the modification.

3.3 5-methylcytosine (m⁵C)

m⁵C was discovered more than 40 years ago and mapped in mRNAs and lncRNAs through a modification of bisulfite methodology used for DNA¹⁰⁹. It has been reported in tRNA, rRNA, lncRNA and in mouse and human protein-coding transcripts, where they are usually found about 100 nts downstream TSSs and in the UTRs¹¹⁰. This modification and the protein responsible for its deposition and elimination resulted important to control the fate and function of RNAs, since their alteration was linked with pathological states¹¹⁰. m⁵C is read by the ALYREF protein that functions as mRNA export adaptor, suggesting a possible role of this modification in nuclear export regulation¹⁰⁹.

3.4 2'O-Methyl-Adenosine (2'OMeA, Am)

2'O-Methyl-Adenosine is often found in the extended cap structure in mRNAs, where 2'O sites of the first and second nucleotides next to the cap are methylated. The first of them can also bear an additional N6-methylation (m⁶Am), proven to regulate mRNAs and ncRNAs stability. 2'O-Methyl-Adenosine also affects translation efficiency and self-non-self recognition, being important for induction of self-tolerance or de-immunization of exogenous RNA¹⁰¹.

3.5 N6-methyladenosine (m⁶A)

N6-methyladenosine is the most common RNA modification in many different species among viruses^{111,112}, bacteria, yeast, plants and mammals¹¹³. Watson-Crick base pairing of an m⁶A with an opposite U forces rotation of the carbon-nitrogen bond destabilizing the RNA duplex to form locally unstructured transcripts¹⁰⁹. Indeed, m⁶A peaks are reported to be preferentially enriched within unstructured regions of RNA, compared to loops or bulges of stem-loops¹¹⁴. This implicates a wide range of effects of m⁶A modification, often relying on a deep structure-function connection¹¹⁵. In mammals, m⁶A is the most common RNA modification found in mRNAs and ncRNAs, where it is post-transcriptionally deposited in the cell nucleus and can exert regulatory functions in many cellular processes such as RNA splicing, stability, nuclear export, and translation. High-throughput transcriptome-wide approaches, commonly combined with antibody enrichment, have allowed the identification of a consensus motif for m⁶A sites deposition: DRACH (with D = G, A, or U; R = G or A; and H = C, A, or U)^{116,117}. While consensus sequences are quite common through the transcriptome, only a few of them are actually methylated with a site and transcript specificity that is still poorly understood¹¹⁸. Another important step has been achieved with the identification of several m⁶A-modifying proteins with different roles: “writers” (methyltransferases, mainly METTL3 and its

adaptors)^{119,120}, “erasers” (demethylases FTO and ALKBH5) and “readers” (YTHDF1, YTHDF2, YTHDF3 and others)¹²¹. Despite rising interest in understanding m⁶A modification function, the majority of technologies currently used to map modified residues still rely on very long and complex protocols such as site-specific cleavage and radioactive-labeling followed by ligation-assisted extraction and thin-layer chromatography (SCARLET)¹²², m⁶A individual-nucleotide-resolution cross-linking and immunoprecipitation (miCLIP)¹²³, or are based on peculiar signatures derived from reverse transcription (RT)^{124,125}, or rely on m⁶A sensitive RNase digestion¹²⁶. In this scenario, a major improvement is represented by Nanopore direct RNA sequencing (DRS), that allows to directly sequence native RNA molecules with a system that has been reported to intrinsically retain information about RNA modifications^{127–130}. Indeed, the presence of nucleotide modifications can induce shifts in time and current intensity while the nucleic acid passes through the sequencing pore. These variations have been successfully used to detect DNA modifications at single-nucleotide resolution, while RNA modification detection is under optimization.

m⁶A modification in mRNAs

m⁶A-RIP-Seq analysis demonstrated that m⁶A residues are enriched in specific regions along mRNA transcripts¹¹⁴: near the stop codon, evenly distributed up- and downstream of the site, in the 5'UTR, with strikingly high concentration and interesting tissue-specific differences, and in 3'UTR outside region adjacent to the stop codon and within the coding sequence, with lower concentration. No m⁶A site was found in polyA termination. Many different effects of m⁶A on mRNAs regulation have been reported. In some cases, m⁶A has a role in modulating RNA-protein interactions, either blocking or inducing them¹¹⁵. It can also regulate RNA functionality altering its structure or folding, as the A•U basepair still forms but is slightly destabilized, as already mentioned. Although this effect results to be light on an RNA duplex, it could influence some interactions that are based on duplex stability, such as microRNA-mRNA ones¹¹⁴. In other cases, m⁶A modification of mRNAs has been reported to regulate isoform diversity by alternative splicing. mRNAs that undergo alternative splicing are, indeed, more likely to contain m⁶A and METTL3 binding domain than mRNAs that display a single isoform¹¹⁴. However, a molecular mechanism for this function is not fully understood yet. Nevertheless, the first characterized m⁶A function was to cause mRNA instability¹¹⁸, established through a comparison between m⁶A- and non-m⁶A-containing RNAs half-lives¹³¹. More recently, this function appears to be co-regulated by YTH domain-containing family¹³². m⁶A may

also enhance mRNA export from the cell nucleus taking advantage of YTHDC1 reader protein binding¹¹⁸. Other examples refer m⁶A function as translational enhancer and will be discussed in the following paragraphs.

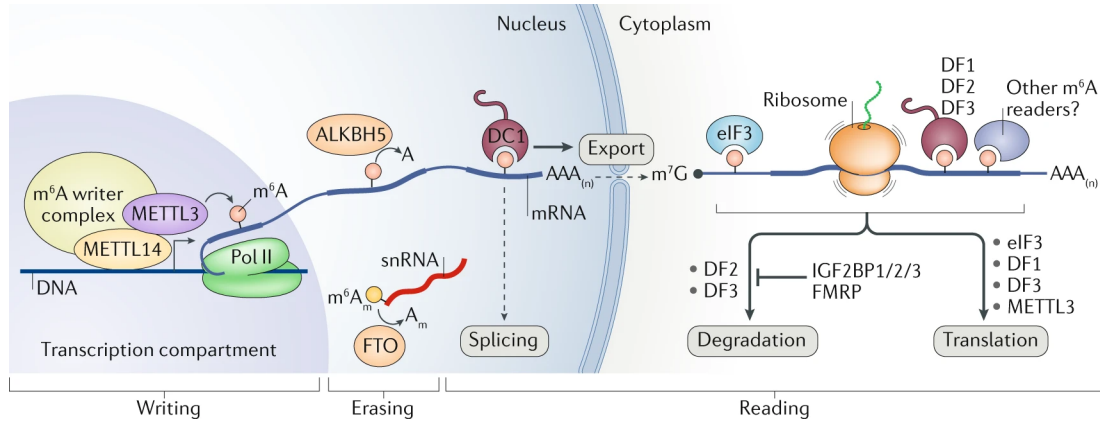


Figure 11 *m⁶A mRNA modification life cycle*¹¹⁸. m⁶A writer complex is composed by the core methyltransferase-like protein 3 (METTL3) and its adaptors. m⁶A erasers are also mainly localized in the cell nucleus. Here, the modified nucleotide can be recognized by nuclear reader proteins, such as YTHDC1 (DC1), that can affect splicing or other processes, such as mRNA export. Once in the cytoplasm, m⁶A can bind other reader proteins that can act on its stability, translation efficiency or subcellular localization.

m⁶A modification in lncRNAs

To date, most studies investigate m⁶A modifications role in mRNAs, while much less is known about their function in lncRNAs, with major attention focusing on cancer-related transcripts^{110,133}. For example, in the case of human lncRNA *MALAT1*, one m⁶A methylation site has been identified within a hairpin stem and it has been demonstrated to destabilize the transcript structure making the DRACH sequence opposing U-tract more accessible for RNA-binding proteins¹¹⁵. A recent study reported a YTHDC1-driven pathway required for *XIST* functions involving 78 m⁶A residues¹³⁴. Furthermore, in the case of circRNAs, it has been reported that m⁶A modifications may play a relevant role in their biogenesis and also in their cap-independent translation¹³⁵. Recently, chromatin state and transcriptional regulatory function was also reported for m⁶A modification on chromatin-associated RNAs (carRNAs)¹³⁶. This class of RNAs comprises promoter-associated, enhancer and repeat RNAs. It was demonstrated that YTHDC1 protein induced the decay of a subset of these m⁶A-methylated RNAs, especially of LINE-1 family, through the nuclear exosome targeting-mediated nuclear degradation¹³⁶.

m⁶A role in translation

An interesting functional effect of m⁶A is translation upregulation. Thanks to several recent studies, m⁶A has been demonstrated to mediate translation upregulation through three different mechanisms. The first consists of a direct translation activation by

METTL3¹³⁷, which, according to this model, remains anchored to the methylated transcript after its export to the cytoplasm (Figure 12). Here, METTL3 would bind eIF3 that interacts with the mRNA cap-associated proteins, inducing the formation of a loop in the same mRNA, and eventually allowing ribosomes at the stop codon to reload onto 5'UTR¹³⁷. Another m⁶A-mediated translation upregulation mechanism involves the m⁶A reader YTHDF1 protein. According to this model, the reader protein would bind eIF3, that, in turn, recruits the 40S ribosome unit to the mRNAs, enhancing their translation¹³⁸. An additional example of this particular function of m⁶A modification is a proposed mechanism involving a direct binding of a 5'UTR-contained m⁶A to eIF3¹³⁹. In this case of study, the modification is required to be located in the 5'UTR to exert the translation up-regulatory function. Intriguingly, this m⁶A-dependent translation initiation mechanism does not require eIF4E, m⁷G-containing mRNA cap-binding protein, thus defining a new model of cap-independent translation initiation, alternative to the well-established IRES model. Indeed, 5'UTR m⁶As differ from IRES elements for their ability to recruit ribosomal preinitiation complexes to the 5' end of mRNAs instead of allowing internal ribosome entry¹³⁹. Furthermore, the importance of 5'UTR m⁶A-dependent translation initiation is confirmed by their selectivity for specific forms of stress, such as heat shock¹³⁹. Despite growing knowledge, more studies are needed to determine m⁶A relevance and mechanisms in translation up-regulation processes.

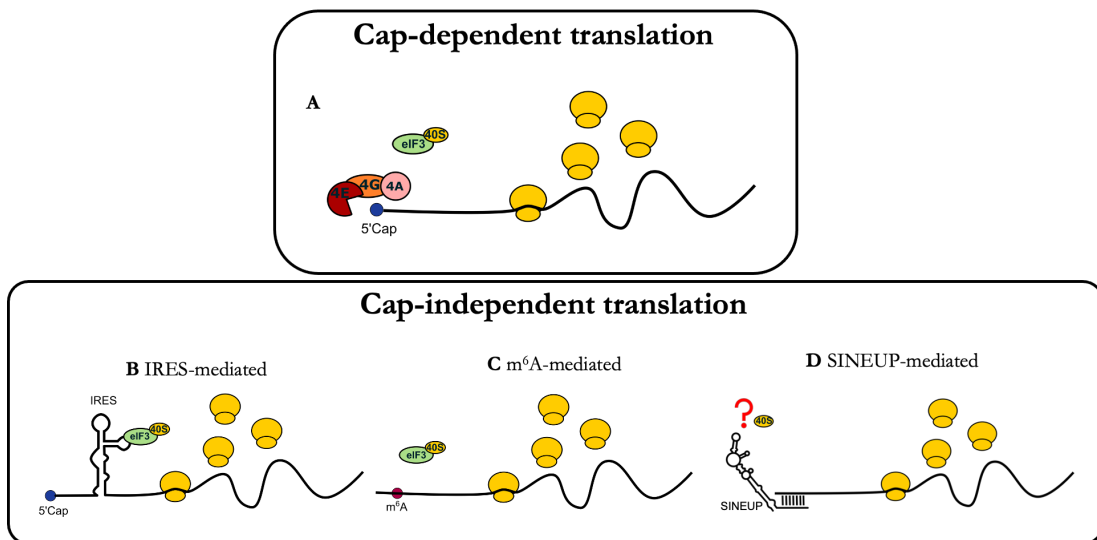


Figure 12 Translation initiation mechanisms in eukaryotes. A) Cap-dependent translation. In eukaryotic cells under physiological conditions, most of the mRNAs are transcribed with a cap-dependent and scanning-dependent mechanism. This process starts with eIF4F complex binding to m⁷G cap located at the 5' end of mRNAs. The helicase eIF4A unwinds this region of the mRNA, while eIF4E helps the assembly of the other factors and eIF4G binds eIF3 recruiting the ribosome. The whole complex scans the along the mRNA in a 5'→3' direction to identify the AUG starting codon. Once this is recognized, scanning is arrested, part of the co-factors is released and recycled for translation of other mRNAs, while the remaining complex binds the 60S ribosome to form an elongation-competent 80S ribosome. **B) IRES-mediated translation**

Canonical cap-dependent translation is often inactivated as a protective mechanism against different types of cellular stress, such as viral infections. In such cases, translation of proteins can be initiated through an Internal Ribosome Entry Site (IRES). These RNA element was first discovered in viruses such as picornaviruses and Hepatitis C virus, and later were also found in cellular RNAs, reaching a number of 50 and 70 viral and cellular IRESs at date respectively¹⁴⁰. IRESes can interact with translation initiation factors or directly with the 40S subunit, allowing to bypass the cellular block in cap-dependent translation initiation and leading to protein production. This leads to ribosomal positioning at or near the initiation codon and promoting translation initiation. Their activity is regulated by IRES trans-acting factors (ITAFs) that are RNA-binding proteins (RBPs). IRESes are also reported as short elements that can base-pair with ribosomal RNA (rRNA), similar to bacterial Shine-Dalgarno sequences. **C) m⁶A-mediated translation** An alternative translation initiation mechanism was recently reported to involve m⁶A modification. Indeed, it was demonstrated that uncapped mRNAs are translated in cell-free extracts if containing m⁶A in their 5'UTR in absence of EIF4F complex. It was also reported that m⁶A modification can directly bind eIF3, that, in turn, recruits the initiation complex for translation initiation. It has been proposed that m⁶A-triggered translation initiation could be used in cellular stress conditions¹³⁹. **D) SINEUP-mediated translation** Another cap-independent translation initiation mechanism is suggested by SINEUP lncRNAs. They have been reported to be active under mTORC pathway inhibition, which is a well-established cap-dependent repressive condition. SINEUP lncRNAs have been proved to increase their mRNA target association to heavy polysomes, but the molecular mechanism of their activity is not entirely understood at date^{25,77}.

3.6 RNA modifications in the SINEUP world: what is known

In a diversified and dynamic context, such as the one of epitranscriptomics, the study of the complex relationship between endogenous RNA modifications and functions is very attractive. In the case of SINEUP technology, information about nucleotide modifications could be of extreme interest for two different purposes: on one hand it would be important to characterize endogenous RNA modifications to better understand their potential involvement in natural SINEUP activity regulation, on the other hand, the results of the investigation could be advantageous for optimizing SINEUP RNA for therapy. Unfortunately, no data is available at date about endogenous SINEUP RNA modifications; instead, some recent studies focused on the identification of optimal modifications that allow to design fully functional *in vitro* transcribed (IVT) SINEUPs. The first report of IVT SINEUP application was described in a Medaka model of microphthalmia with linear skin lesions⁹⁹. A fully synthetic IVT SINEUP was designed targeting endogenous *cox7B* mRNA and successfully achieved the protein level rescue in *cox7b* morphants, with functional rescue of eye and brain size. In human cells, on the other hand, IVT SINEUP RNAs targeting exogenous transfected GFP and endogenous *DJ-1* mRNA, previously validated SINEUP targets⁵⁴, were reported to be inactive^{100,101}. However, encouraging data have proved that the incorporation of chemically modified ribonucleotides restore IVT SINEUP RNA activity, making an important progress for its development as a drug^{100,101}. In a first study, m⁵C, Ψ and N¹Ψ were used to replace all C or U nucleotides during SINEUP RNA *in vitro* transcription. The three modified IVT

(mIVT) RNAs were able to rescue SINEUP activity on *EGFP* mRNA both in a cell system and in cell-free extract¹⁰⁰. A second study selected three different modifications among many others as the most promising ones: 2'OMethyladenosine (2'OMeA), m⁶A and, again, Ψ. All three modifications were able to preserve SINEUP functionality when introduced in the IVT reaction at optimized ratio and combination, however their effects were not additive. It was also suggested that different combinations of modifications may stabilize a core structural domain which could be disrupted by an excess of such modified nucleotides. The percentage of modified nucleotides needed to rescue SINEUP activity was indeed way lower than what expected, since, from MS analysis, the 20% of Am in the Am+m⁶A combination was sufficient to rescue activity, while a fully m⁶A modified transcript was inactive. In addition, the study underlines how both an appropriate set of chemical modifications and a functional structural arrangement are essential to design a functional SINEUP RNA therapeutic molecule¹⁰¹.

4. SINEUPs therapeutic application: Dominant Optic Atrophy

Dominant Optic Atrophy (DOA) (OMIM #165500) is an optic neuropathy with early onset of visual impairment that, eventually, can lead to blindness^{141,142}. It was first described by Batten in 1896 and appears with variable degree of visual loss.

4.1 Clinical features

DOA is the most common hereditary optic neuropathy, with a prevalence ranging from 1:12000¹⁴² in Denmark, due to a founder mutation, to 1:50000¹⁴³⁻¹⁴⁶. Main symptoms are progressive decrease in visual acuity, tritanopia (confusion in distinguishing blue-yellow hues), loss of sensitivity in central visual fields and optic disk pallor, as visible in Figure 13B. Unlike most common causes of blindness, DOA is not associated with age progression, as patients usually lose their vision before adulthood. In most cases, patients start to lose sight during the first or second decade of their life, they present a non-syndromic, bilateral optic neuropathy that causes a progressive, irreversible and symmetric decrease of vision, triggered degeneration of Retinal Ganglion Cells (RGCs), located in the inner layer of the retina, and optic nerve atrophy (Figure 13A)^{141,142,146,147}. Clinical examinations report bilateral pallor of the temporal sector of the optic nerve head, loss of RGCs entering the optic nerve, thickness reduction of the prepapillary retinal nerve fiber layer as can be seen with high resolution optical coherence tomography^{142,143,148-150}. Visual acuity typically remains better than 20/200, with most patients retaining moderately good functional vision until relatively late in the disease progression¹⁴³. From a histopathological

point of view, DOA is found as RGCs degeneration focused in the papillomacular bundle. It is also possible to confirm DOA diagnosis with a demonstration of preferential RGCs loss in the macula and peripapillary retinal nerve fiber layer with high-resolution optical coherence tomography imaging. Electrophysiological studies can be also performed in indefinite cases of DOA¹⁴³. In all cases of DOA, pupillary light reflex and circadian rhythms are preserved¹⁴³. Since RGCs originate from an extension of the diencephalon, DOA can be classified as a central nervous system disease; at the same time the disease is also defined as a mitochondriopathy, because the causative genes encode for ubiquitously expressed Inner Mitochondrial Membrane (IMM) proteins¹⁴⁴. However, DOA penetrance is incomplete and its severity is largely variable, with patients that display visual acuity from 20/20 to light perception¹⁵¹. The disease is also reported to present, in up to 20% of cases, extra-ocular symptoms, producing a DOAplus phenotype. This form of the disease presents sensorineural hearing loss, progressive external ophthalmoplegia, peripheral neuropathy, myopathy, ataxia and progressive external ophthalmoplegia^{143,144,151,152}. Hearing loss is the prevalent extraocular feature and is reported in association with a specific OPA1 mutation in exon 14 (c.1334G > A, p.R445H)¹⁴³. An age-related decrease in OPA1 protein was reported in sedentary but not in active humans in association with muscle loss¹⁵⁰. In mice, *OPA1* muscle-specific deletion was found to induce precocious senescence and premature death, while conditional inducible deletion only alters mitochondrial morphology and function with no change in mtDNA content¹⁵⁰. In these cases, OPA1 loss results in ER stress that induces a catabolic program of muscle loss and systemic aging¹⁵⁰. Clinical evaluation and correct diagnosis of this disorder are further hindered by a wide inter- and intra-familial variability and incomplete penetrance¹⁵³, which suggests that genetic background and environmental factors could have a significant role in the determination of clinical phenotype.

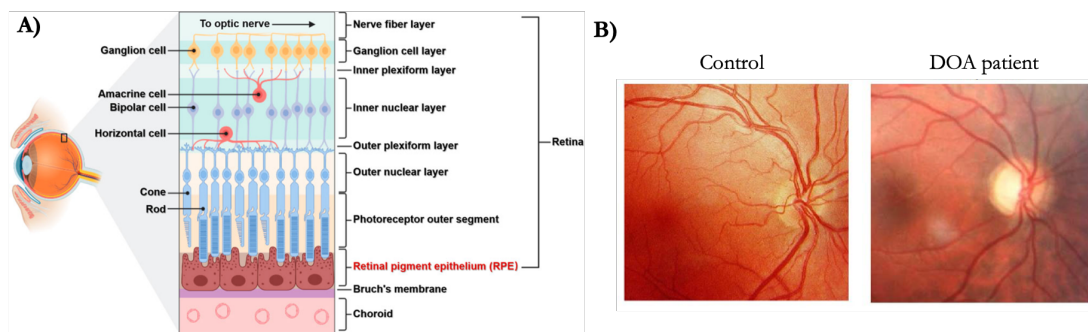


Figure 13 Retinal structure and optic disk examination. A) Retinal structure. The retina is composed of multiple layers and different cell types. Retinal Ganglion Cells axons project into the nerve fiber layer and form the optic nerve¹⁵⁴. **B) Eye fundus examination in healthy control and DOA patient.** It appears an evident

optic nerve pallor in the DOA patient, particularly on the temporal side, while the rest of the retina appears comparable to the healthy control¹⁴⁴.

4.2 Etiology

Four genes have been identified as responsible of DOA: OPA1 (3q28-29), OPA3 (19q13.2-13.3), OPA4 (18q12.2-12.3) and OPA5 (22q12.1-q13) with OPA1 gene accounting for 65-90% of cases, and the other causative genes each one for less than 1% of cases^{143,147,153,155}. More than 500 variants are reported at date on the locus-specific database dedicated to OPA1 (<https://databases.lovd.nl/shared/genes/OPA1>) 80% of which are pathogenic^{156,157} (Figure 14); the large majority of variants can be found in the dynamin and in the GTPase domains and pathogenic mutations account for more than 60% of the total number of variants. In about 50% of cases pathogenic mutations cause the production of a truncated form of the protein that undergoes mRNA decay and eventually leads to a loss of function of the mutant allele, suggesting haploinsufficiency as the most common causative mechanism of DOA¹⁵⁸⁻¹⁶⁰. Missense mutations, generally found in the GTPase domain, account for around 27% of total number of mutations, show a dominant-negative effect, often associated with the severe syndromic disease identified as DOA “plus”, characterized by multisystemic involvement and a large spectrum of clinical features, including Parkinsonism and dementia^{143,161}. The remaining mutations comprise 27% causing splice variant, 23% of frame shift mutations, 16,5 % of nonsense mutations and 6% deletion or duplication errors¹⁴³. Only a few mutations are recurrently reported, but some are frequently reported: c.2873_2876del variant in exon 29, that induces p.(Val959Glyfs*3) frameshift mutation that leads to premature truncation, reported 22 times, c.1311A>G variant in exon 14, inducing a missense mutation p.(Ile437Met) which is asymptomatic and reported 16 times, c.2635C>T variant in exon 26, inducing a nonsense mutation p.(Arg879*), reported 16 times. As for mutations that lead to premature termination, frame shift and deletion/insertion mutations also result in incomplete and significant decrease of OPA1 wild-type protein, leading to haploinsufficient phenotype. DOA plus syndromes are usually caused by missense mutations affecting the GTPase domain and suggest a possible dominant-negative effect as a contributor to pathogenic phenotype development¹⁴³. Recently, OPA1 has been reported to be dramatically downregulated in both *in vitro* and *in vivo* models of prion diseases and its overexpression alleviated prion-induced mitochondrial dysfunctions¹⁶².

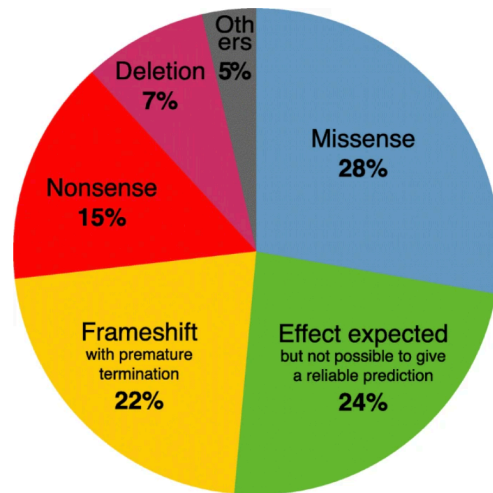


Figure 14 *OPA1* protein pathogenic mutations effect. Other consequences (5%) include: synonymous, no protein production, duplication, and extension. Reported data are deposited in a public database that includes 831 patients, 697 of which have DOA, 47 DOA plus, 83 are asymptomatic or unclassified. Four other patients showed a phenotype not reported in association with *OPA1*¹⁵⁷.

4.3 *OPA1* protein

Structure

OPA1 protein is part of the dynamin superfamily that reaches highest expression levels in brain, retina and heart¹⁴⁷. Dynamin proteins are GTPases and include classical dynamins (1,2,4), mitofusins, Drp1, *OPA1*, Mx proteins, guanylate-binding proteins (GBPs) and atlastins in eukaryotic cells. Studies on protein structures show that all dynamins include a GTPase domain that binds GTP, hydrolyzing it, a α -helical bundle domain, a middle domain involved in oligomerization and a GTPase effector domain (GED), with the last two involved in stimulation of GTPase activity. At date, no crystal structure of *OPA1* protein is available, probably for its high complexity. Human *OPA1* gene consists of 30 exons that give rise to at least eight alternatively spliced variants. *OPA1* protein presents a mitochondrial targeting sequence (MTS) followed by a transmembrane (TM) domain, cleaved to exert mitochondrial functions. A recent study applied the threading approach to obtain a model of the three-dimensional structure of *OPA1* protein without MTS and TM domains, using *OPA1* isoform 8 (Figure 15)¹⁶³. This model identified a N-terminal and C-terminal region. The N-terminal region is rich in α -helices with no specific domain and differs among the eight spliced variants for the long peptide chain length. On the other side, the C-terminal domain of the protein was found as a compact structure containing the GTPase, PH and GED domains, that differs from the one of other dynamin proteins mediating membrane fusion, but was found similar to dynamin 1 and MxA, that can self-assembly in dimers by the middle domain and GED. The evolutionary

conservation of the C-terminal domain was significantly higher than the one of the N-terminal region and, interestingly, an analysis of the localization of known pathogenic mutations reported that the sites were mostly located in the C-terminal region¹⁶³.

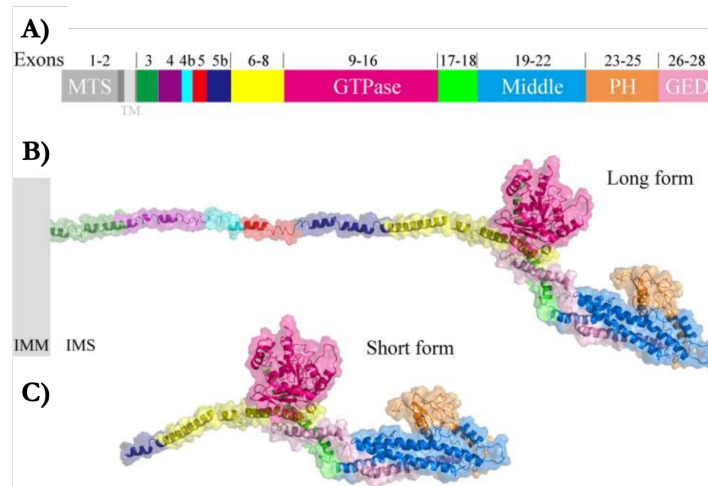


Figure 15 Three-dimensional model of OPA1 protein. **A)** Domain architecture of OPA1 isoform 8. Each domain is labeled with different colors and the exon number is also reported. **B)** Structural model of long OPA1 protein. Isoform 8 without MTS and TM (long form) highlights an α -helices rich N-terminal domain with no specific domain, and a dense structure in C-terminal domain containing GTPase, PH and GED domains. **C)** Structural model of OPA1 protein short isoform.

Processing

All OPA1 protein isoforms are ubiquitous, but each one's expression level is tissue dependent. Once the precursor protein is imported through outer mitochondrial membrane (OMM) and IMM translocases, OPA1 long forms (l-forms) are produced by MTS cleavage (Figure 16). These can be further proteolytically processed at the N-terminal domain producing short forms (s-forms), that are soluble and located in the inner mitochondrial space (IMS). Four isoforms that retain exon 4b upon alternative splicing are totally processed to form soluble s-forms by two peptidases located in the IMM: OMA1, activated upon stress conditions, cleaves exon 5 in S1 site, while YME1L, constitutively active, cleaves exon 5b in S2 site. A finely-tuned mechanism regulates OMA1 and YME1L levels depending on both $\Delta\Psi_m$ and ATP levels and regulating, as a result, OPA1 l-/s- forms' balance and network morphology¹⁶⁴. An unbalance in l-/s-forms ratio with more abundant s-forms than physiological state causes fusion inhibition and mitochondrial network fragmentation. In turn, the two events trigger a mitochondrial quality control (MQC) process that marks mitochondrial dysfunctional fragments for removal by mitophagy. MQC is regulated by a group of factors that include PINK1/Parkin axis, known to be involved in Parkinson disease pathogenesis. Indeed, it

has been also reported that Parkin and OPA1 proteins expression is also linked through the ubiquitination of NF- κ B essential modulator^{165,166}.

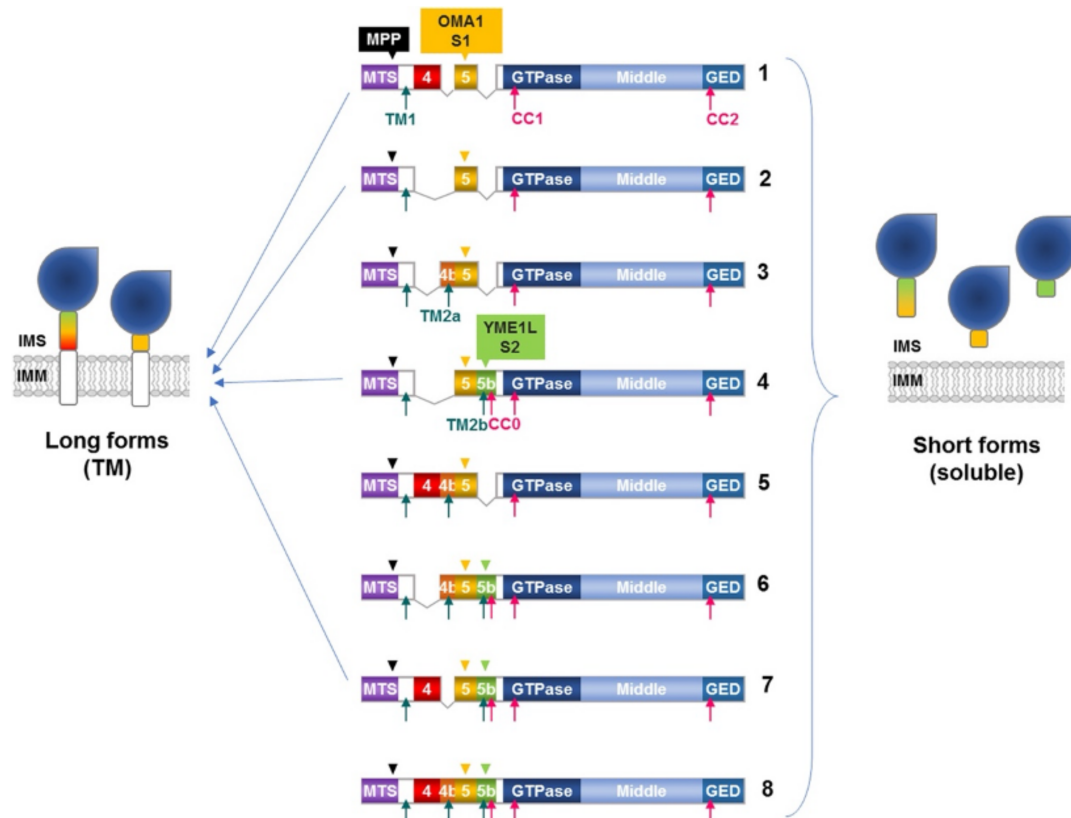


Figure 16 OPA1 protein isoforms¹⁶⁴. Human OPA1 gives rise to eight isoforms upon alternative splicing. After import in the IMM, the long forms are generated by cleavage of mitochondrial targeting sequence (MTS) by mitochondrial processing peptidase (MPP). Transmembrane domains (TM1, TM2a, TM2b) and coiled coil domains (CC0, CC1, CC2) are indicated with blue and red arrows respectively. All isoforms contain exon 5, including S1 cleavage site, while isoforms 4, 6, 7, 8 also contain S2 site. When these sites are cleaved by OMA1 and YME1L concerted activity, soluble short forms of OPA1 protein are produced.

Function

OPA1 protein has primary functions in mitochondrial network dynamics: together with Mitofusins MFN1 and MFN2, promotes mitochondrial fusion, a process associated with increased respiratory efficiency, co-operates with pro-fission DRP1 and DNM2 and contributes to mtDNA maintenance. OPA1 protein polymerization also preserves *crisetae* morphogenesis, facilitating the activity of respiratory super-complexes¹⁶⁷. It has a main role in controlling the apoptotic process as it is fundamental for the compartmentalization of cytochrome C, whose dysregulated release leads to cell death. Any of the eight OPA1 isoforms can support its three essential functions: energetics, structural and mtDNA maintenance, but a balance between long and short isoforms is reported to be a crucial requirement for a full recovery of the mitochondrial network¹⁶⁴. A much more complex

picture emerges when trying to fully characterize each OPA1 isoforms' function, in particular for the great variability among the different isoforms' expression among human tissues. To this purpose, a recent study carried out a molecular and biochemical analysis in OPA1-null cells where single splice forms were stably expressed to evaluate each one's effect¹⁶⁴. It was reported that any OPA1 isoform maintains the physiological mtDNA content, restores *cristae* structure and preserves respiratory complexes organization, while mitochondrial network morphology, that appears completely fragmented in OPA1^{-/-} cells, can be partially rescued by mRNA isoforms giving rise to both l- and s-forms¹⁶⁴. Importantly, to achieve full recovery of mitochondrial network morphology, at least two OPA1 isoforms, with a specific balance of l- and s- isoforms were necessary, suggesting the need for a multiplicity of isoforms to flexibly shape mitochondrial dynamics as a response to diverse metabolic and stress conditions that may perturb cellular homeostasis¹⁶⁴.

Mitochondria play an essential role in cellular homeostasis processes such as organelle dynamics control, interaction with other organelles, apoptosis regulation, calcium homeostasis maintenance and autophagy, but, most importantly, they are key suppliers of cellular energy through oxidative phosphorylation (OXPHOS). In mitochondria, five enzymatic complexes cooperate in the process and lead to the synthesis of adenosine triphosphate (ATP), through electrons shuttling from complex I to IV. The energy is then conserved and used by complex V to catalyze ATP synthesis. Alteration of this complex multi-step process can cause a reduction of ATP synthesis and an increase of reactive oxidative species (ROS), inducing damage in the respiratory chain and activation of apoptotic pathways up to mtDNA mutations accumulation. All these molecular effects can lead to energy failure and eventually cell death. In cells, mitochondria can be found as discrete organelles or as part of a network. Their transition between these two phases depends on fusion and fission processes, that are regulated by a protein machinery guided by OPA1 protein. Transport and distribution of mitochondria require an interplay with cytoskeletal proteins whose alteration provokes metabolic imbalance. In most cases, mitochondrial dysfunctions lead to neurodegeneration, addressing particularly RGC cells. This cell type is hardly damaged by energy failure because they present narrower not myelinated axons, that imply the absence of saltatory conduction of action potentials, highly requiring energy supply from mitochondria clustering within unmyelinated retinal and prelaminar sectors and less abundant in the posterior part of lamina cribrosa.

4.4 Therapeutic approaches

At date, no therapy is available to cure DOA and there is currently no active clinical trial. Since the disorder leads to degeneration of the optic nerve and loss of retinal functionality, visual aids such as glasses or contacts would not help to improve vision impairment. The most promising therapeutic approaches aim to complement OPA1 defective function through gene therapy or mitochondrial dysfunctions-targeting drugs. DOA is a good candidate for gene therapy for several reasons: it is a monogenic disorder, and the eye is an excellent organ to target since it is small, compartmentalized and easily accessible. Furthermore, the eyes can be monitored by noninvasive approaches (electroretinography (ERG) and optical coherence tomography (OCT))^{78,168}. A first pre-clinical Adeno-Associated Viral (AAV) vector-based gene therapy trial targeting OPA1 has been recently reported to be successful in a mouse model of the disease carrying the delTTAG mutation thanks to the introduction a functional copy of OPA1 gene¹⁶⁹. Nevertheless, looking at possible limitations of this type of approach for DOA, a first issue could be represented by the limited capacity of AAV vectors, given that OPA1 gene is quite large in size. Also, a crucial point, that has not yet been fully elucidated, is which isoform of the OPA1 gene should be selected and used in humans to gain maximal phenotypic rescue in affected RGCs. Besides isoform 1 that has been used in mice^{148,169,170}, all eight isoforms, when overexpressed within physiological levels, have similar ability to rescue OPA1 essential functions (mtDNA, cristae and energetics), but not network dynamics functionality^{148,164,168,170}. Therefore, the ideal therapy should not only finely restore OPA1 physiological protein amount, but it should also fulfill tissue-specific requisites such as the physiological balance between l- and s-forms. Other therapeutic options are represented by strategies aimed at increasing neuronal survival by using growth factors such as ciliary neurotrophic factor (CNTF), glia-cell derived neurotrophic factor (GDNF), brain-derived neurotrophic factor (BDNF) or antioxidant transcription factors such as NRF2¹⁷¹. Furthermore, the reduction of ATP synthesis peculiar to DOA patients' fibroblasts¹⁷² and patient-derived iPSCs¹⁷³ suggests the use of electron donors and acceptors like coenzyme Q10 (CoQ10) and riboflavin as potential drugs, but CoQ10 efficacy has resulted limited to disorders characterized by its primary deficiency¹⁶⁸. Idebenone and EPI-743, CoQ10 analogues, were demonstrated to be potentially more effective than CoQ10, but a randomized, placebo controlled trial on a mouse model of DOA harboring OPA1^{Q285STOP} mutation, reported limited therapeutic effect of idebenone on RGCs dendropathy¹⁷⁴. Moreover, the increased ROS levels reported in OPA1 mutated cells¹⁷⁰ could suggest

using antioxidants such as vitamin C, E, B2, B3, B12, lipoic and folic acids to reduce toxic effects of OPA1 mutations, but these supplements administration is not supported by any evidence of efficacy.

Disease models

Cellular models

Patients' fibroblasts and lymphoblasts are extensively studied as OPA1 mutations pathophysiology model. The majority of clinical observations reported in affected patients has been confirmed in these cellular models: defective mitochondrial network dynamics, energetic metabolism, cristae structure maintenance and increased sensitivity to apoptosis stimuli, while depletion of mtDNA copy number has been reported in fibroblasts in a few cases of missense or compound heterozygous mutations¹⁷⁵. Along with these alterations, an increase of ROS production, low levels of antioxidant enzymes and alteration of calcium uptake have been reported. Moreover, basal mitophagy resulted increased in OPA1 dominant-negative mutations, while haploinsufficiency seems to correlate with reduced mitochondrial turnover and autophagy¹⁷⁵.

Other cellular models take advantage of induced pluripotent stem cell (iPSC) technology to generate *in vitro* human models of the disorder from patient-derived primary cells. iPSC can be differentiated in specific cell types, allowing the study of pathophysiological effects of OPA1 mutations in specific tissues. Recent data report the generation of dopaminergic neurons carrying OPA1 haploinsufficient mutation from iPSCs derived from two patients of the same family that developed different phenotypes: DOA and DOA with syndromic Parkinsonism¹⁴⁹. The two models showed reduced oxygen consumption rate (OCR), complex I levels and activity, while the only Parkinsonism model also presented mitochondrial fragmentation and increased OPA1 s-forms¹⁴⁹.

Mouse models

The most well established DOA animal models are three mouse lines with a heterozygous germline mutant *OPA1* allele^{176–178} that show a mild, age-dependent RGCs dysfunction and loss, optic nerve degeneration and mild neuromuscular impairment. The first, B6;C3-*OPA1*^{329-355del} mouse, has an in-frame deletion of 27 aminoacidic residues in the dynamin GTPase domain¹⁷⁶. The second, B6;C3-*OPA1*^{Q285STOP} mouse, instead, has a truncated form of the protein¹⁷⁷. Both models show a 50% OPA1 expression reduction and the homozygous condition is embryonically lethal. The third model is a knock-in mouse carrying *OPA1 c.2708_2711delTTAG* mutation, common in humans, on a C57BL16/J

background¹⁷⁸. This model reports a 25% OPA1 protein reduction in brain, retina, and optic nerve and a 50% reduction in oxidative fibers and heart, with embryonic lethality when in homozygous condition, in line with the other two models. All three models show mild, age-dependent RGCs dysfunction and loss, and optic nerves degeneration. Autophagic elimination of fusion-impaired mitochondria was reported in B6;C3-*OPA1*^{Q285STOP} and *OPA1* c.2708_2711del^{ITAG} mice. Increased mitophagy was also reported in B6;C3-*OPA1*^{Q285STOP} mice and confirmed in mouse RGCs overexpressing mutated OPA1. B6;C3-*OPA1*^{329-355del} also showed an unbalanced redox state, probably increasing mitochondrial ROS. All these disfunctions may lead to most RGCs death, while melanopsin-expressing RGCs are reported to survive, according to what observed in humans affected from mitochondrial optic neuropathies¹⁷⁵. Aging impairment of cardiac function was also reported in all considered models, while in humans, it was reported for the first time in two patients with homozygous recessive *OPA1* mutation leading to encephalopathy and hypertrophic cardiomyopathy¹⁷⁹. Altogether the three models show a phenotype in accord with the human disease. Their characterization reported mild neuromuscular impairment mirroring the clinical spectrum of the human disorder, ranging from DOA to DOA “plus”. All these models are useful for drugs and therapies testing: *OPA1*^{delITAG} mouse, in fact, has already been used to test OPA1 isoform 1 gene therapy, showing a reduction of RGCs degeneration¹⁶⁹.

Materials and methods

Oligonucleotides

The complete list of oligonucleotides used for quantitative real-time PCR experiments and RT assays is included in Supplementary Table 1.

Plasmids

Complete list of plasmids is reported in Supplementary Table 2. For *EGFP* mRNA and miniSINEUP-GFP WT expression I used a plasmid vector derived from pEGFP-C2 vector (Clontech) previously described in⁶⁸, substituting miniSINEUP-FXN with miniSINEUP-GFP. miniSINEUP-DJ1 plasmid was generated based on SINEUP-DJ1 and miniSINEUP-GDNF, previously described^{63,67}. ASUchl1 expressing plasmid was previously described²⁵. m⁶A sites miniSINEUP mutants were all synthesized by commercial preparation service (GeneScript).

miniSINEUP-OPA1 plasmids were generated using miniSINEUP DJ1 as backbone, replacing DJ1 BD with BDs designed in antisense orientation to target a common region between all eight OPA1 isoforms, targeting both the first and the second in frame AUG with longer (-40/+4) or shorter (-14/+4) overlapping regions^{67,68}. The constructs were all synthesized by commercial preparation service (GeneScript). nanoSINEUP-OPA1 plasmid was generated replacing the invSINEB2 ED with nanoED (64-92) from nanoSINEUP-GFP. For *EGFP* mRNA and miniSINEUP-GFP expression I used a plasmid vector derived from pEGFP-C2 vector (Clontech) previously described in⁶⁸, substituting miniSINEUP-FXN with miniSINEUP-GFP. Shorter EDs were gene synthesised by commercial preparation service (GeneScript) and cloned in the same plasmid backbone.

Lentiviral backbone plasmids

Lentiviral plasmids used in this study have been optimized using pLV[Exp]-CMV>mCherry from Vector Builder. Starting from this, expression cassettes conformation was modified to have optimal conformation for lentiviral particles packaging. Ctrl plasmid harboring Δ BD, miniSINEUP-OPA1 and nanoSINEUP-OPA1, containing -14/+4 M1-targeting BD selected as most effective from HEK293T/17 screening, were generated by Gibson Cloning from pLKO-based vectors previously produced by cloning. In the optimized LV backbone plasmid hPGK promoter drives

TurboRFP reporter gene expression, while CAG promoter drives SINEUP RNAs expression.

ASO-SINEUPs

nanoSINEUP-OPA1, nanoSINEUP-GFP and femtoSINEUP-GFP RNA oligo were obtained by commercial preparation service with 2'OMe-A modification to achieve maximum purity and efficiency of modification incorporation (IDT). 2'OMe-A RNA modification was previously reported to guarantee optimal SINEUP RNA functionality¹⁰¹.

Cell lines

MN9D cells were obtained from M. J. Zigmond and maintained in culture with High Glucose Dulbecco's Modified Eagle Medium (Thermo Fisher, Cat. No. 41965069) supplemented with 10% fetal bovine serum (Thermo Fisher Cat. No. 10270106) and 1% antibiotics (penicillin/streptomycin).

HEK 293T/17 were obtained from ATCC® (Cat. No. CRL-11268™) and maintained in culture with High Glucose Dulbecco's Modified Eagle Medium (Thermo Fisher, Cat. No. 41965069) supplemented with 10% fetal bovine serum (Thermo Fisher Cat. No. 10270106) and 1% antibiotics (penicillin/streptomycin), as suggested by the vendor.

Sh-Ctrl and Sh-METTL3 stably transfected A549 cells were maintained in culture with High Glucose DMEM (Thermo Fisher, Cat. No. 41965069), supplemented with 10% fetal bovine serum (Thermo Fisher Cat. No. 10270106) and 1% antibiotics (penicillin/streptomycin). To induce ShRNA expression, cells were treated with 2 ng/mL doxycycline (Sigma, Cat. No. D9891) every other day and transfected on day 7 of induction in all experiments.

All human fibroblasts lines (Table 2) were maintained in culture with High Glucose or Galactose supplemented Dulbecco's modified Eagle's medium (DMEM) (Gibco by Life Technologies, Cat. No. 41090-028) supplemented with 10% fetal bovine serum (Sigma, Cat. No. F2442) and 1% antibiotics (penicillin/streptomycin). All lines were obtained from the laboratory of Prof. Valerio Carelli (University of Bologna).

<i>Cell line</i>	<i>Sex and age</i>	<i>Clinical state</i>	<i>Mutation</i>
Ctrl 6	Male, 50 yo	Unaffected	-
Ctrl 9	Female, 40 yo	Unaffected	-
F40D	Male, 55 yo	DOA affected	3q28 c.703 C>T p.R235X Ex.7
F171	Female, 27 yo	DOA affected	3q28 c.2823_26delAGTTp.K941fsX966

Table 2 List of patients' derived fibroblasts lines. Complete list of fibroblasts lines used in this study with detailed description of sex, age, clinical state and OPA1 mutation.

Plasmid DNA and RNA oligo transfections

MN9D, A549, HEK293T/17, Neuro2a and C8-D1A astrocyte type I cells were plated in 6 well-plates or 150 mm dishes (for m⁶A-RIP experiments and nucleo-cytoplasm fractionation) and transfected respectively with 1 ug or 16 ug of control or miniSINEUP encoding plasmids or 7 pmol RNA oligo using Lipofectamine 2000 (Thermo Fisher Scientific, Cat. No. 11668019) and following manufacturer's instructions. Cells were harvested 48 hours after transfections. For SINEUP activity experiments, RNA and proteins were obtained from the same transfection in each biological replicate.

Stable transduction of DOA patients' fibroblasts

Patients' fibroblasts were used to establish constitutively expressing Ctrl, miniSINEUP-OPA1 and nanoSINEUP-OPA1 cell lines. 2x10⁵ were transduced with constitutive lentiviral vectors previously described at MOI 10. 72h after transduction cells were trypsinized to detach and washed 2X with PBS. Cells were then FACS analyzed and TurboRFP positive cells were sorted and put back in culture. A second round of transduction was performed 7 days after the first on sorted cells. 72h after transduction a second sorting for TurboRFP positive cells was performed to ensure isolation of stably transduced cells. Cell lines were then expanded to perform functional assays.

Western Blot

Cells were lysed in radioimmunoprecipitation assay (RIPA) buffer with the addition of protease inhibitor cocktail (Sigma-Aldrich, Cat. No. P83490), briefly sonicated, and boiled with 1X Laemmli Buffer for 5 min at 95°C. 5 µg of total lysate were resolved by 10% or 4-20% SDS-PAGE TGX pre-cast gels (Bio-Rad) and transferred to nitrocellulose membrane using Trans-Blot Turbo Transfer System (Bio-Rad). Membranes were blocked with 5% non-fat dry milk in TBS/0.1% Tween 20 and incubated with the following

indicated primary and secondary antibodies: anti- β -actin 1:15000 (Sigma Aldrich, Cat. No. A2066), anti-Uchl1 1:1000 (Cell Signaling, Cat. No. 3524S), anti-DJ1 1:8000 (Enzo Lifesciences, Cat. No. ADI-KAM-SA100-E), anti-OPA1 1:1000 (BD Bioscience, Cat. No. 612606). The antibody against OPA1 detects at least 5 different isoforms of the protein, with an apparent molecular weight ranging from approximately 80 to 100 kDa. Proteins of interest were visualized with the SuperSignal™ West Pico PLUS Chemiluminescent Substrate (Thermo Fisher Scientific, Cat. No. 34579). Western blotting images were acquired with ChemiDoc MP Imaging System (Bio-Rad), and band intensity was calculated using ImageJ Software.

RNA extraction, Retro-transcription and qRT-PCR Real-time

Total RNA was extracted from cells using RNeasy Mini Kit (Qiagen, Cat. No. 74106) following the manufacturer's instructions. RNA samples were subjected to DNase treatment (Qiagen, Cat. No. 79254) to avoid plasmid DNA contamination. A total of 500 ng RNA was subjected to retro-transcription using iScript cDNA Synthesis Kit (Bio-Rad, Cat. No. 1708890), according to the manufacturer's instructions. qRT-PCR was carried out using SYBR green fluorescent dye (SsoAdvanced Universal SYBR Green Supermix, Bio-Rad, Cat. No. 1725271) and CFX96 Real time PCR System (Bio-Rad). The reactions were performed on diluted cDNA (10 ng). Human and mouse glyceraldehyde 3-phosphate dehydrogenase (GAPDH) were used as the normalizing controls respectively for HEK293T/17 and patients' fibroblasts or for N2A and astrocytes in all qRT-PCR experiments. The amplified transcripts were quantified using the comparative Ct method, and the differences in gene expression were presented as normalized fold expression with the $\Delta\Delta C_t$ method.

Methyl-RNA immunoprecipitation (m⁶A-RIP)

m⁶A-RIP was performed as previously described with some modifications¹¹⁶. Briefly, cells were harvested 48h post-transfection and total RNA was extracted with QIAzol reagent or RNeasy Mini Kit (Qiagen, Cat. No. 74106) and DNA contamination was removed by treatment with DNaseI following manufacturer's instructions. Total RNA extract, diluted with IP buffer (10 mM Tris-HCl pH 7.4, 150 mM NaCl, 0.1 % NP-40, RNase inhibitor supplemented) was then incubated with m⁶A (SySy, Cat. No. 202111) with 1:10 ug ratio between antibodies and RNA for 2 hours at 4°C on a rotating wheel. The mixture was

then immunoprecipitated with G-coupled dynabeads (Thermo Fisher, Cat. No. 10003D) at 4°C for additional 2 hours. Beads were washed 5 times with IP buffer and resuspended in QIAzol reagent and RNA was extracted according to manufacturer's protocol and analysed by RT-qPCR Real-time. Normal mouse IgG antibody (SantaCruz, Cat. No. 2025) and beads-only samples were used as negative controls.

In vitro transcription

For production of unmodified miniSINEUP RNA, synthetic double stranded DNA miniSINEUP template was cloned downstream a T7 or SP6 promoter. 1.4 ug of linearized DNA template was used to transcribe and purify miniSINEUP RNAs with MEGAscript T7 or SP6 Transcription Kit (Thermo Fisher Scientific, Cat. No. AM1333 or AM1330) following manufacturer's protocol.

Nanopore targeted direct RNA sequencing

RNA sequencing was performed following Oxford Nanopore Technologies (Oxford, UK) instruction, on FLO-MIN106D flowcells (R9.4.1 chemistry) and direct-RNA sequencing kit (SQK-RNA002). For library preparation 2 ug of total RNA from each replicate were used with custom reverse transcription adapters complementary to the 3' end of miniSINEUP-DJ1 RNA following ONT sequence specific DRS protocol. (DSS_9081_v2_revM_14Aug2019). Total RNA with 0.01 % unmodified IVT miniSINEUP-DJ1 RNA spike-in was used as negative control. The amount of IVT spiked-in RNA to be added was determined by comparison with expression levels of transfected miniSINEUP-DJ1 through qRT-PCR Real-time in order to maintain the amount of reads within the same range for each sample and replicate.

I compared the differences in electrical signal between 1) transfected *versus* IVT-spiked samples and 2) transfected WT *versus* MET^{1L3} Knock-Down Cells using xPore (<https://doi.org/10.1038/s41587-021-00949-w>). The putative m⁶A sites were extracted in a stringent way by intersecting the statistically significant positions identified by both comparisons and retaining those contained within a DRACH motif.

Subcellular fractionation

For subcellular fractionation experiments cells were transfected as previously described. Nucleo-cytoplasmic fractionation was performed as previously described¹⁸⁰. Nucleus and

cytoplasmic RNAs were extracted using RNeasy Mini Kit (Qiagen, Cat. No. 74106) with DNase I treatment to remove DNA contamination.

The purity of nuclear and cytoplasmic fractions was confirmed by qRT-PCR as on pre-ribosomal RNA 45S and GAPDH and/or CytB respectively. qRT-PCR reactions were performed as previously described.

Polysome fractionation

Polysome fractionation was performed as previously described¹⁸¹. Briefly, A549 ShCtrl and ShMETTL3 were plated in 15 cm plates and transfected as previously reported after 7 days of doxycycline induction with control, miniSINEUP-DJ1 WT or miniSINEUP-DJ1 A46U;AAA109-111UUU vectors. 48 hours after transfection, cells were incubated with 0.1 mg/mL cycloheximide (CHX) for 10 minutes at 37°C. Cells were then washed with 0.1 mg/mL CHX-supplemented PBS and harvested by scraping. Collected cells were centrifuged at 400 $\times g$ for 5 minutes at 4°C. Cell pellets were resuspended in 400 μ L of ice-cold lysis buffer (20 mM Tris-HCl pH 7.5, 100mM KCl, 5 mM MgCl₂, 0.5% Nonidet P-40) supplemented with 0.1 mg/mL CHX and RNase inhibitors. The cell lysate was incubated for 15 min on ice followed by centrifugation at 16000 $\times g$ at 4°C for 10 min to separate the nuclei. The cytoplasmic lysate was layered onto a 15–50% sucrose gradient and centrifuged in an SW41Ti Beckman rotor at 41 000 $\times g$ at 4°C for 3 h. The sucrose gradient was separated into 29 fractions calculated by Triax flow cell (Biocomp). 527 μ L of each fraction was then used to extract and analyze by Sodium Acetate overnight precipitation. Briefly, 170 μ L 3M Sodium Acetate pH 5.3 was added to each fraction together with 1 mL of 100% EtOH, 3 μ L Glycoblue co-precipitant (Thermo Fisher Scientific, Ct. No. AM9515) and 300 μ g IVT GFP spike-in, used for qRT-PCR Real-time normalization of target RNA expression levels. The solution was incubated overnight at -20°C for precipitation. The following day samples were centrifuged at 13000 $\times g$ for 30 minutes at 4°C. Pellets for Free, 40S and 60S and three fractions each for 80S, light and heavy polysomes were pulled together at this point, washing with 1 mL 100% EtOH. Samples were then centrifuged 13000 $\times g$ for 15 minutes at 4°C and EtOH was removed. Pellets were then resuspended in H₂O with subsequent cleanup using RNeasy Mini Kit (Qiagen, Cat. No. 74106) and DNase I treatment to remove DNA contamination. As a control, *GAPDH* mRNA analysis was performed, according to previous publications.

IVT GFP RNA spiked-in was used to normalize for RNA precipitation efficiency. qRT-PCR reactions were performed as previously described.

Functional role of m⁶A-modification in SINEUP RNA activity.

1. Results

1.1 Natural *AS Uchl1* lncRNA and synthetic miniSINEUP-DJ1 RNAs are m⁶A-methylated.

We previously reported that the natural SINEUP *AS Uchl1* activity is triggered by inhibition of cap-dependent translation, which can be induced by several stress conditions, such as depletion of mTOR pathway activity. This causes *AS Uchl1* RNA shuttling from the nucleus to the cytoplasm, with consequent increase of *Uchl1* mRNA association to heavy polysomes and UCHL1 protein up-regulation^{25,64}. ncRNAs, as well as mRNAs, were previously reported to be extensively m⁶A-methylated with a wide range of functional effects derived from this modification. Among them, many evidences support a relevant involvement of m⁶A methylation of RNAs in transcription and translation regulation^{135,139} as well as in RNA subcellular localization¹⁸². Since SINEUPs' mechanism of action is still not fully known, I hypothesized that m⁶A modification could play a functional role in SINEUP activity. I therefore identified natural *AS Uchl1* and synthetic miniSINEUP-DJ1 as representative SINEUP RNAs for further studies. Indeed, while *AS Uchl1* is a natural murine SINEUP, miniSINEUP-DJ1 is a synthetically designed shorter RNA targeting endogenous human *DJ1/Park7*. miniSINEUPs are exclusively composed of an invSINEB2 element, acting as ED, combined with an overlapping sequence specific for each target mRNA, the BD. miniSINEUPs have been successfully applied to cellular and animal disease models^{67,68,99}, as they show a comparable efficiency with a reduced size, compared to full-length *AS Uchl1* and synthetic SINEUPs, which is a major advantage towards the development of an RNA-based therapeutic molecule.

By performing a methyl-RNA immunoprecipitation (m⁶A-RIP) in untreated MN9D cells, I found that endogenous *AS Uchl1* was modified in physiological conditions (Figure 17A), compared to an unmodified *in vitro* transcribed (IVT) spiked-in RNA encoding a portion of *EGFP* mRNA, used as negative control. I also performed an m⁶A-RIP on overexpressed *AS Uchl1*, when post-transcriptional protein translation up-regulation was active, as confirmed by Western Blot (WB) and qRT-PCR analysis of UCHL1 protein level and *Uchl1* mRNA expression level analysis (Supplementary Figure 1A-D), and reported to be preferentially localized in the cytoplasm⁶¹. I found an enrichment of overexpressed *AS Uchl1* lncRNA in m⁶A antibody-immunoprecipitated RNA comparable to what was observed for endogenous *AS Uchl1* (Figure 17A). To verify the presence of

m⁶A modification on synthetic miniSINEUP RNA, I performed an m⁶A-RIP on miniSINEUP-DJ1-transfected A549 cells. By qRT-PCR analysis I detected a significant enrichment level of miniSINEUP-DJ1 compared to the negative control proving the presence of m⁶A sites (Figure 17B).

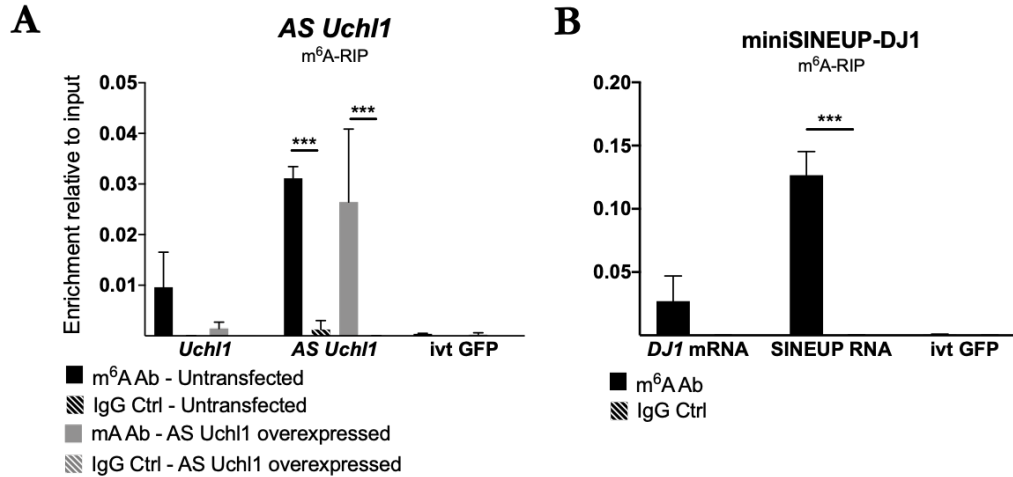


Figure 17 m⁶A-RIP qRT-PCR. **A)** *Uchl1* mRNA and AS *Uchl1* RNA relative enrichment in m⁶A immunoprecipitated RNA from untransfected and AS *Uchl1*-overexpressing MN9D cells. ivt GFP spiked-in and IgG Ctrl samples (striped columns) were used as negative controls. **B)** *DJ1* mRNA and miniSINEUP-DJ1 RNA relative enrichment in m⁶A immunoprecipitated RNA from untransfected and AS miniSINEUP-DJ1 transfected A549 cells. ivt GFP spiked-in and IgG Ctrl samples (striped columns) were used as negative controls. Data are expressed as enrichment relative to input and indicate mean \pm SEM from three independent experiments. p values are calculated by Two-way ANOVA follows by Sidak multiple comparison (***, p<0,001).

To evaluate whether m⁶A modification of synthetic miniSINEUPs was not exclusive to A549 cells, I also performed the same RNA immunoprecipitations on HEK293T miniSINEUPs-transfected cells, confirming miniSINEUP RNA methylation is not a cell-type dependent feature of synthetic miniSINEUPs (Supplementary Figure 1I). This result also confirmed that m⁶A-methylation is not exclusive to *AS Uchl1* lncRNA and is conserved in miniSINEUPs shorter ncRNAs as a common feature between a natural lncRNA found in a murine system and the synthetic one directed against human *DJ1* mRNA. To confirm miniSINEUP-DJ1 activity in A549 cell line, cells were transfected with miniSINEUP-DJ1 or a miniSINEUP lacking the BD (miniSINEUP- Δ BD) as a negative control, as previously reported⁶⁸. As expected, miniSINEUP-DJ1 was able to induce around 1.5 fold increase of DJ1 protein compared to the control, as assessed by Western Blot analysis (Supplementary Figure 1E). Both *DJ1* mRNA (Supplementary Figure 1G) and miniSINEUP RNA levels (Supplementary Figure 1H) were analyzed by

qRT-PCR Real-time, confirming no change in *DJ1* mRNA level upon miniSINEUP-DJ1 expression and therefore miniSINEUP's post-transcriptional activity.

1.2 Identification of SINEUP lncRNAs m⁶A methylation sites.

I applied the m⁶A prediction score algorithm (SRAMP)¹⁸³ to identify m⁶A DRACH consensus sites within natural *AS Uchl1* transcript. m⁶A consensus site has been deeply investigated and is now well established as D = G, A, or U; R = G or A; and H = C, A, or U. The analysis identified nine putative consensus sites with different degrees of confidence along *AS Uchl1* full-length sequence: two in the overlapping BD region, one adjacent to a partial Alu sequence, one in the invSINEB2 element, and other five in the downstream region. I then manually annotated other three weaker putative consensus sites: 407, 425, 455, within the invSINEB2 element (Figure 18 and Supplementary Figure 1J).

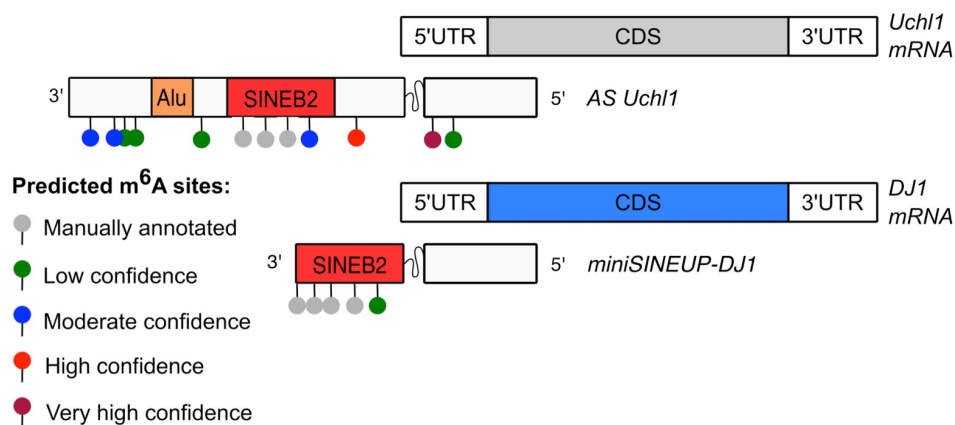


Figure 18 Annotation of predicted m⁶A sites in *AS Uchl1* and *miniSINEUP-DJ1* RNAs. SRAMP algorithm was interrogated (<https://www.cuilab.cn/sramp>) resulting DRACH consensus sites are annotated with confidence level reported in the legend. Additional consensus sites were manually annotated (grey).

By applying SRAMP algorithm to the miniSINEUP-DJ1, one putative site was identified in position A46. I then manually annotated other three weaker putative consensus sites: A61, A81 and A111 (Figure 18 and Supplementary Figure 1K). Most of the common methods used to map post-transcriptional modifications are currently based on RNA immunoprecipitation¹¹⁷, chemoenzymatic substitution of the modified base^{122,184} or detection through specific reverse transcriptase (RT) enzyme efficiency alteration in correspondence of m⁶A modification sites^{124,125}. All these methods suffer from low sensitivity and specificity and errors introduced by complex and long protocols. In the effort to overcome these issues and to be able to map m⁶A methylation sites on miniSINEUP RNA, I used a combined approach relying on Nanopore targeted direct

RNA sequencing and a recently developed RT-qPCR based method that involves the use of *BstI* enzyme for reverse transcription of putative m⁶A residues¹²⁵. Indeed, it is well established that modified nucleotides induce relevant signal deviations during the sequencing of a nucleic acid molecule with this technology, allowing the mapping of modification sites on both DNA and RNA molecules with a resolution that is very close to single nucleotide^{127,130,185}. Since invSINEB2 is essential for SINEUP activity, the m⁶A sites it contained were more likely to play a crucial role in SINEUP activity regulation. Indeed, deletion of other portions within *AS Uchl1* sequence have been proven to reach unaltered activity levels^{25,63,77}. Here, I took advantage of A549 cells stably transduced with inducible ShCtrl or ShMETTL3 viral vector. To get reliable results, I compared the sequencing of IVT miniSINEUP-DJ1 RNA transfected in A549 ShCtrl cells to the same IVT RNA transfected in A549 ShMETTL3 derived from 3 biological replicates. As a non-modified control, I also used the very same IVT miniSINEUP-DJ1 RNA spiked in total RNA extract from A549 ShCtrl cells (Figure 19A). In this way, I were able to detect two relevant modification sites: A46 and A111 of the invSINEB2 element (Figure 19B). To validate Nanopore sequencing results, I took advantage of *BstI* enzyme reverse transcriptase (RT), whose efficiency was reported to be markedly reduced when used in combination with a primer adjacent to an m⁶A residue. I performed the *BstI* RT followed by qPCR of the product to the very same RNA samples used for Nanopore sequencing, using four reverse transcription primers (A46+, A63+, A81+ and A111+) located adjacent to m⁶A putative sites, and one primer with no m⁶A consensus site nearby (-). After qRT-PCR Real-time of the RT product, I compared *BstI* reverse transcription efficiency with primers (+) to the one with primer (-) and I also compared it to RT products from MRT enzyme reactions, whose efficiency is not affected by the proximity of m⁶A residues, performed with the same primers. I then applied the following formula to calculate relative m⁶A level with qRT-PCR, as previously reported: $\text{Relative m}^6\text{A} = 2^{-(\text{Ctprimer}(-)\text{BstI}-\text{Ctprimer}(-)\text{MRT}/\text{Ctprimer}(+)\text{BstI}-\text{Ctprimer}(+)\text{MRT})}$. I confirmed the absence of m⁶A sites in IVT miniSINEUP-DJ1 spiked-in RNA and IVT miniSINEUP-DJ1 transfected in ShMETTL3 cells (Figure 19C, E), while I observed a significant relative m⁶A level at A46 and A111 sites in IVT miniSINEUP-DJ1 ShCtrl cells (Figure 19D). I also assessed METTL3 knock-down by qRT-PCR analysis of *METTL3* mRNA levels in the same samples (Figure 19F).

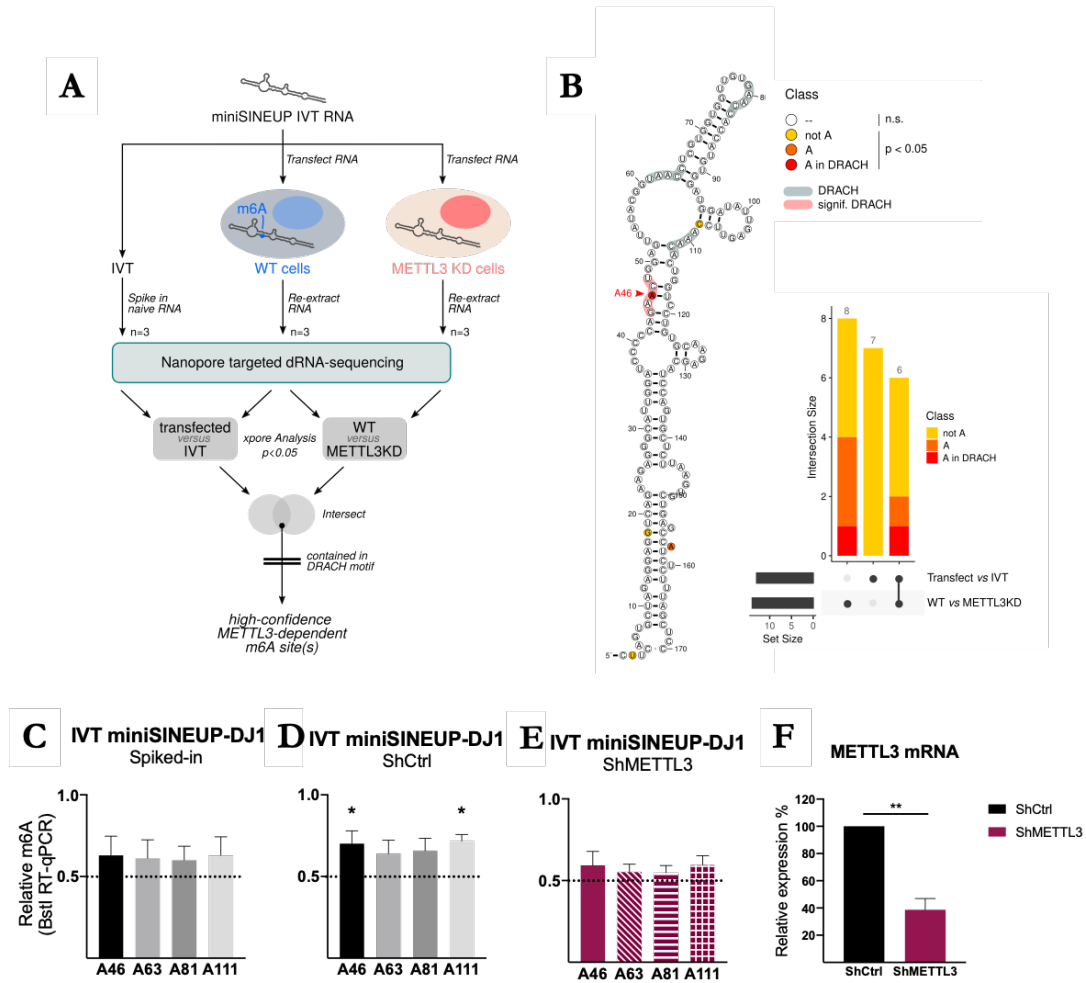


Figure 19 Nanopore direct RNA sequencing mapping of m^6A sites in transfected IVT miniSINEUP-DJ1 and validation with RT assay. **A**) Experimental design **B**) Nanopore direct RNA sequencing analysis with resulting m^6A sites annotated as colored nucleotides and DRACH consensus sites highlighted, as reported in the legend. **C-E**) m^6A sites validation through *BstI* RT assay on Nanopore-analysed samples. Columns represent each putative site probability of harboring m^6A modification as difference in retro-transcription efficiencies of *BstI* and *MRT* reverse transcriptase using the same primer adjacent to putative consensus site (Relative m^6A ≤ 0.5 indicates absence of modification, Relative m^6A > 0.5 indicates presence of m^6A site). Data indicate mean \pm SEM from three independent experiments. p values are calculated by One Sample t and Wilcoxon test, comparing to 0.5 control (*, $p < 0,05$). **C**) miniSINEUP-DJ1 RNA relative m^6A quantification in IVT miniSINEUP-DJ1 RNA spiked in A549 ShCtrl total RNA extract. **D**) miniSINEUP-DJ1 RNA relative m^6A quantification in IVT miniSINEUP-DJ1 transfected A549 ShMETTL3 cells. **E**) miniSINEUP-DJ1 RNA relative m^6A quantification in IVT miniSINEUP-DJ1 transfected A549 ShMETTL3 cells. **F**) *METTL3* mRNA expression in ShCtrl and ShMETTL3 cells used for m^6A mapping Nanopore direct RNA sequencing and *BstI* RT-qPCR analysis. Data indicate mean \pm SEM from three independent experiments. p values are calculated by One Sample t and Wilcoxon test, comparing to 100% control (**, $p < 0,01$).

The same method was then used to map plasmid-encoded miniSINEUP-DJ1 m^6A sites in ShCtrl and ShMETTL3 cells (Figure 20A) and *AS Uchl1* m^6A sites in MN9D cells (Figure 20B). For miniSINEUP-DJ1, I were able to confirm A46 and A111 as m^6A sites previously identified with Nanopore direct RNA sequencing in ShCtrl cells (Figure 20A, 19B) while no putative m^6A site was found modified in ShMETTL3 knock-down cells

(Figure 20A). In the case of *AS Uchl1* I observed a marked relative m⁶A level in sites A275, A390 and A455, with the last two contained in the invSINEB2 element and corresponding to A46 and A111 (Figure 20B).

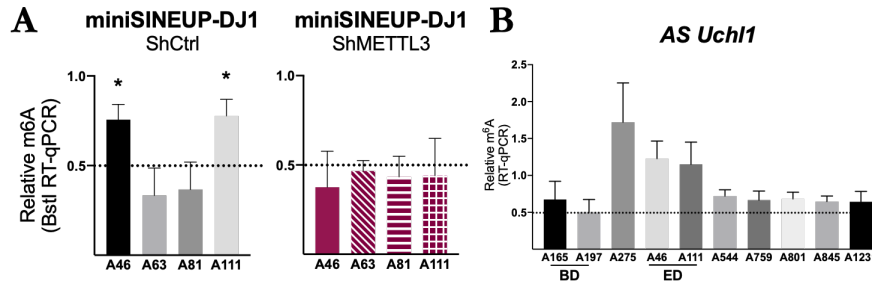


Figure 20 m⁶A sites mapping in plasmid encoded miniSINEUP-DJ1 and *AS Uchl1* RNA. m⁶A sites mapping through *BstI* RT assay. Columns represent each putative site probability of harboring m⁶A modification as difference in retro-transcription efficiencies of *BstI* and *MRT* reverse transcriptase using the same primer adjacent to putative modification site (Relative m⁶A ≤ 0.5 indicates absence of modification, Relative m⁶A > 0.5 indicates presence of m⁶A site). **A**) miniSINEUP-DJ1 RNA relative m⁶A quantification in plasmid-encoded miniSINEUP-DJ1 transfected in A549 ShCtrl (left, scale of grey) and ShMETTL3 (right, purple) cells. **B**) *AS Uchl1* RNA relative m⁶A quantification in annotated DRACH consensus sites. Data indicate mean ± SEM from at least three independent experiments. p values are calculated by One Sample t and Wilcoxon test, comparing to 0.5 control (*, p<0,05).

1.3 METTL3 expression regulates synthetic miniSINEUPs activity without altering RNA subcellular distribution.

Given the effects of decreased METTL3 expression on m⁶A sites detection by Nanopore sequencing, I carried out m⁶A-RIP experiments on METTL3-depleted cells transfected with miniSINEUP-DJ1. A significantly lower level of enrichment of miniSINEUP-DJ1 RNA was observed upon ShMETTL3 expression induction, confirming METTL3 enzyme as the main writer of miniSINEUP RNA m⁶A modification (Figure 21A). To evaluate the functional consequences of METTL3-dependent m⁶A modification on SINEUP activity, I tested miniSINEUP-DJ1 activity in A549 METTL3 knock-down cells, compared to controls. Interestingly, while miniSINEUP-DJ1 activity was confirmed to reach around 1.6 fold increase in control cells, in ShMETTL3 cells DJ1 protein level decreased to around 0.5 fold compared to the negative control (Figure 21B), showing a dominant negative effect on endogenous DJ1 expression. qRT-PCR on *DJ1* mRNA confirmed an equal level in both ShCtrl and ShMETTL3 cells (Supplementary Figure 2A), and a comparable transfection efficiency of SINEUP plasmid (Supplementary Figure 2B). *METTL3* mRNA knock-down was also confirmed by qRT-PCR analysis (Figure 21C).

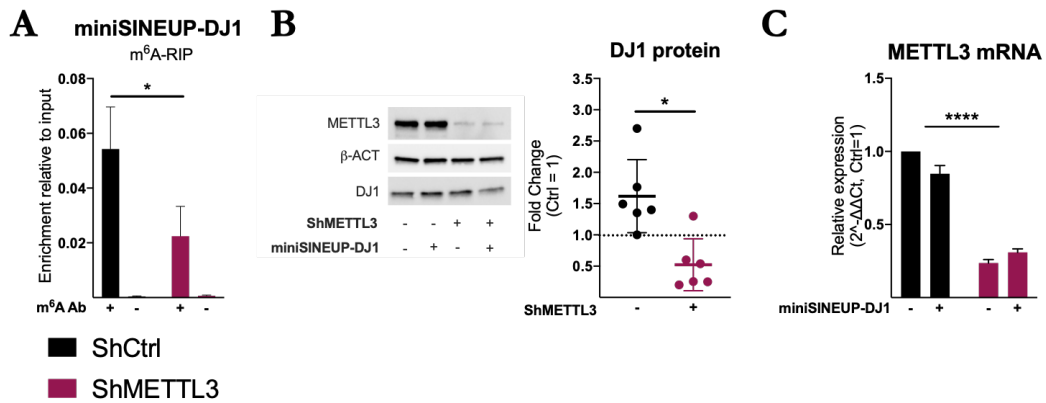


Figure 21 *METTL3* enzyme is responsible for miniSINEUP-DJ1 m⁶A modification and regulates its activity. **A)** miniSINEUP-DJ1 enrichment level in m⁶A immunoprecipitated total RNA from ShCtrl (black) and ShMETTL3 (purple). IgG ctrl (-m⁶A Ab) and IVT GFP spike-in (Supplementary Figure x) were used as negative controls. Data are expressed as enrichment relative to input. **B)** miniSINEUP-DJ1 activity in Ctrl and METTL3 KD cells. Left: representative western blot analysis with METTL3 and DJ1 antibodies show protein levels in ShCtrl and ShMETTL3 cells. Right: summary of DJ1 protein levels in ShCtrl (black) and ShMETTL3 (purple) cells. Fold changes in DJ1 protein expression are relative to Ctrl plasmid transfected cells. **C)** *METTL3* mRNA levels relative quantification with qRT-PCR Real-time in samples described in Ctrl and miniSINEUP-DJ1 transfected ShCtrl and ShMETTL3 cells. Data indicate mean \pm SEM from at least three independent experiments. p values are calculated by Two-way ANOVA followed by Sidak multiple comparison (*, p<0,05, ****, p<0,0001).

Since SINEUP RNA subcellular distribution was previously reported to be a key factor in the regulation of target mRNA translation^{25,77} and m⁶A modification has been reported to influence subcellular RNA localization in several cases, I investigated whether a METTL3-dependent differential distribution of SINEUP RNA was responsible for the reduction in activity upon METTL3 depletion, analyzing nuclear and cytoplasmic RNA levels upon subcellular fractionation followed by qRT-PCR analysis (Figure 22). I observed no difference in the subcellular distribution between ShCtrl and ShMETTL3 knockdown cells, with ~20% of miniSINEUP-DJ1 RNA localized in the cell nucleus and ~80% in the cytoplasm, in accordance with what has been reported for other SINEUP RNAs^{25,61,77}. No variation in *DJ1* mRNA subcellular distribution was also observed (~40% in the nucleus and ~60% in the cytoplasm).

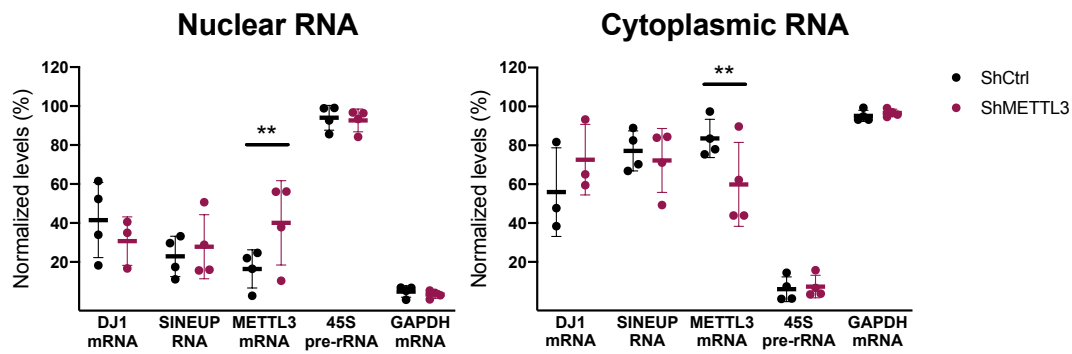


Figure 22 METTL3 enzyme depletion does not alter miniSINEUP-DJ1 nor target mRNA subcellular localization. Subcellular distribution of DJ1 mRNA, miniSINEUP-DJ1 RNA, METTL3 mRNA was analysed in ShCtrl (black) and ShMETTL3 (purple). Nucleocytoplasmic fractionation was performed and RNA levels in nuclear (left) and cytoplasmic (right) fractions were quantified by qRT-PCR Real-time. Purity of cellular fractions was checked by monitoring GAPDH and 45S pre-rRNA levels. Data are expressed as percentages of total RNA and derive from three independent experiments. Data indicate mean \pm SEM from four independent experiments. p values are calculated by Two-way ANOVA follows by Sidak multiple comparison (**, $p < 0,01$).

Taken together, these results show that m⁶A modification of miniSINEUP-DJ1 RNA is deposited by METTL3 m⁶A writer whose down-regulation negatively regulates SINEUP activity without altering its subcellular distribution.

1.4 m⁶A methylation sites regulate miniSINEUP-DJ1 activity.

With the aim of gaining a more detailed insight on the role of m⁶A modification in SINEUP activity, I mutated m⁶A sites in the invSINEB2 element of synthetic SINEUPs as identified through Nanopore targeted direct RNA sequencing and *BstI*-RT-qPCR. Since I previously reported that there is a strong correlation between structure and functionality of SINEUP invSINEB2 ED and that this is a highly structured molecule^{73,75}, I engineered a point mutation in A46 m⁶A site, by substitution of the A with a U, in the effort to perturb RNA secondary structure as little as possible. In the case of A111 m⁶A site, instead, I had to perform a 3-nucleotide mutation to avoid possible formation of any cryptic consensus sites, substituting AAA109-111 with UUU sequence. I then transfected miniSINEUP-DJ1 WT and its mutants A46U, AAA109-111UUU and A46U;AAA109-111UUU in A549 cells and I assessed RNA m⁶A-modification level through m⁶A-RIP and target protein expression through WB. Following m⁶A-RIP all SINEUP RNA were significantly less enriched in the immunoprecipitated RNA, which indicates a successful killing of all m⁶A modified sites (Figure 23A). Interestingly, while miniSINEUP-DJ1 WT confirmed its capability to induce DJ1 protein expression increase to around 1.5 fold, upon A46 and AAA109-111UUU single m⁶A sites mutation, I did not observe any

translation upregulation. Moreover, when the double m⁶A sites mutant miniSINEUP-DJ1 A46;AAA109-111UUU was transfected, a decrease in DJ1 protein levels to around 0.5 fold compared to the control, was observed which is in line with miniSINEUP-DJ1 WT activity in ShMETTL3 knock-down cells (Figure 23B) and with a dominant negative effects on endogenous DJ1 protein levels. *DJ1* mRNA and miniSINEUP-DJ1s RNA expression were analyzed by qRT-PCR to confirm miniSINEUP's post-transcriptional activity and unaltered expression of m⁶A sites mutants (Supplementary Figure 2F-G).

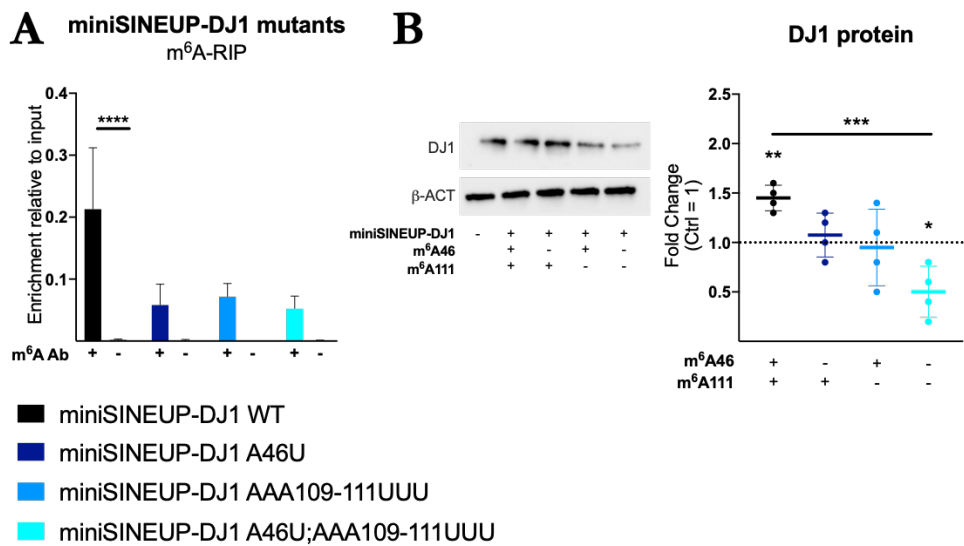


Figure 23 m⁶A methylation sites regulate miniSINEUP-DJ1 activity. Mutation analysis was performed by selective removal of m⁶A consensus sites A46 and A111, previously identified as modified residues, from miniSINEUP ED sequence. miniSINEUP-DJ1 WT, single m⁶A site mutants A46U and AAA109-111UUU and double m⁶A sites mutant A46U;AAA109-111UUU mutants were transfected in A549 cells. **A)** Total RNA was then m⁶A immunoprecipitated and miniSINEUP RNAs' enrichment was analysed with qRT-PCR Real-time. Data are expressed as enrichment relative to input and indicate mean \pm SEM from three independent experiments. p values are calculated by Two-way ANOVA follows by Sidak multiple comparison (****, p<0,0001). **B)** miniSINEUP-DJ1 mutants' activity. Fold changes in DJ1 protein expression are relative to Ctrl plasmid transfected cells. Left: representative western blot analysis with DJ1 antibody. Right: summary of DJ1 protein levels in miniSINEUP-DJ1 WT and mutants transfected cells. Data indicate mean \pm SEM from four independent experiments. p values are calculated by One sample t and Wilcoxon test for comparison with Control plasmid and One-way ANOVA followed by Dunnett's multiple comparison test (*, p<0,05, **, p<0,01, ***, p<0,001).

The reduction of activity was not due to an alteration in *DJ1* target mRNA nor in miniSINEUP-DJ1 RNA subcellular distribution as proved by qRT-PCR analysis of subcellular fractions of nuclear and cytosolic miniSINEUP-DJ1 mutants RNAs (Figure 24). In summary, the requirement of m⁶A methylation for miniSINEUP-DJ1 activity was proved by two experimental strategies: upon depletion of the methyltransferase enzyme responsible for their deposition and by mutating the plasmid-encoded RNA in both the mapped modified sites.

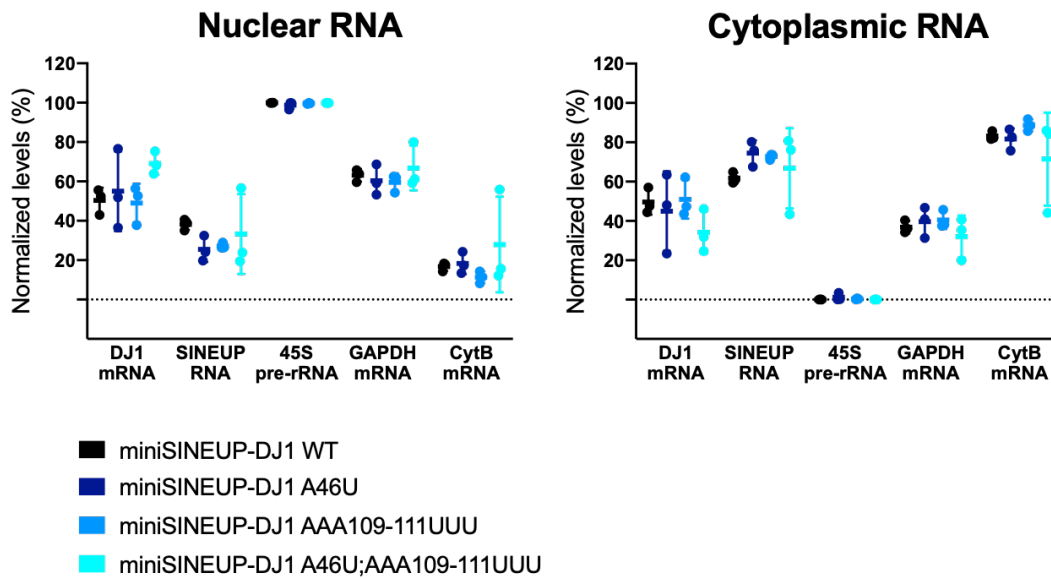


Figure 24 *m⁶A sites mutation does not alter miniSINEUP-DJ1 nor target mRNA subcellular localization.* Subcellular distribution of DJ1 mRNA and miniSINEUP-DJ1 WT and mutants RNA. Nucleocytoplasmic fractionation was performed and RNA levels in nuclear (left) and cytoplasmic (right) fractions were quantified by qRT-PCR Real-time. Purity of cellular fractions was checked by monitoring 45S pre-rRNA (nuclear) and GAPDH and Cytochrome B (cytoplasmic) levels. Data are expressed as percentages of total RNA and derive from three independent experiments. Data indicate mean \pm SEM from three independent experiments. p values are calculated by Two-way ANOVA follows by Sidak multiple comparison.

1.5 miniSINEUP-DJ1 translation enhancing activity is impaired upon loss of m⁶A modification.

Natural *AS Uchl1* activity has been previously described as post-transcriptional, reporting the translation enhancement of the target *Uchl1* mRNA as the result of its increased association to heavy polysomes²⁵. More recently, the same mechanism was observed for a synthetic SINEUP-GFP, reporting a shift of *EGFP* mRNA to heavy polysome and a SINEUP RNA distribution gradually decreasing from free RNA fractions to heavy polysome ones⁷⁷.

I therefore applied ribosome fractionation analysis to further dissect the mechanism causing the reduction of DJ1 protein expression upon miniSINEUP-DJ1 m⁶A sites removal by both METTL3 depletion and synthetic mutation. To this purpose, *DJ1* target mRNA and miniSINEUP RNA distribution were analyzed using as a normalizing factor the IVT EGFP fragment previously used as m⁶A-RIP negative control, as well as *GAPDH* mRNA and as a reference. Ribosome fractionation was first performed on A549 ShCtrl and ShMETTL3 untransfected cells using a 15-50% sucrose gradient separated into 13 fractions to compare endogenous *DJ1* and *GAPDH* mRNAs distribution in physiological condition (Supplementary Figure 3D-F). In these two conditions, a comparable profile

and a similar distribution for *DJ1* and *GAPDH* mRNA was observed. Indeed, the majority of *DJ1* and *GAPDH* mRNAs was found associated to heavy polysomes to comparable extent in ShCtrl and ShMETTL3 cells.

The same experiment was performed on A549 ShCtrl cells transfected with the control, miniSINEUP-DJ1 WT or miniSINEUP-DJ1 A46U;AAA109-111UUU plasmid and ShMETTL3 cells transfected with the control or miniSINEUP-DJ1 WT plasmid (Figure 25). In this experiment, to get a higher resolution of *DJ1* mRNA and SINEUP RNA distribution I analyzed all the fractions separately. In the control plasmid transfected samples, both in ShCtrl and ShMETTL3 cells, *DJ1* mRNA was found to be mostly co-localized with light polysomes (15% to 20% in each light polysome fraction, Figure 25C), with a minor shift of localization compared to untransfected cells (Supplementary Figure 3E). Importantly, upon miniSINEUP-DJ1 WT transfection in ShCtrl cells a significant increase of *DJ1* mRNA % in light polysomes fractions was detected, as expected for SINEUP activity (Figure 22C). On the other hand, in ShMETTL3 cells a marked shift of *DJ1* mRNA to non-actively translated fractions was observed: from $\approx 6\%$ in ShCtrl miniSINEUP-DJ1 transfected cells to $\approx 13\%$, confirming what previously observed through western blot as DJ1 protein level decrease below control levels (Figure 25C). These results confirmed WB analysis results (Figure 22B). By analyzing SINEUP RNA a similar distribution was found across all samples, regardless the presence of DJ1 BD or *METTL3* expression, with a clear enrichment of SINEUP RNA in 40S and 60S fractions and depletion from polysomes (Figure 25D), in line with what previously reported¹⁸⁶.

I then analyzed *DJ1* mRNA and SINEUP RNA distribution upon miniSINEUP-DJ1 A46U;AAA109-111UUU transfection and, surprisingly, a significant accumulation of *DJ1* mRNA in 40S and 60S fractions compared to control and miniSINEUP-DJ1 transfected cells (Figure 25D) was detected, with a concomitant depletion from polysomes fractions (Figure 25B). A slightly different SINEUP RNA distribution upon m⁶A sites mutation was observed, although not significant, in 40S fraction (Figure 25D). *DJ1* mRNA and SINEUP RNAs expressions were analyzed in total RNA to confirm comparable expression level (Supplementary Figure 3A). All together, these results unveil a m⁶A-dependent step in the molecular mechanism of SINEUP activity during translation. When SINEUP RNA is not appropriately m⁶A-modified, the sequestration of endogenous *DJ1* mRNA to 40S and 60S ribosomal fractions by miniSINEUP-DJ1-A46U;AAA109-

111UUU, causes the inhibition of target mRNA translation and the consequent downregulation of endogenous DJ1 protein levels.

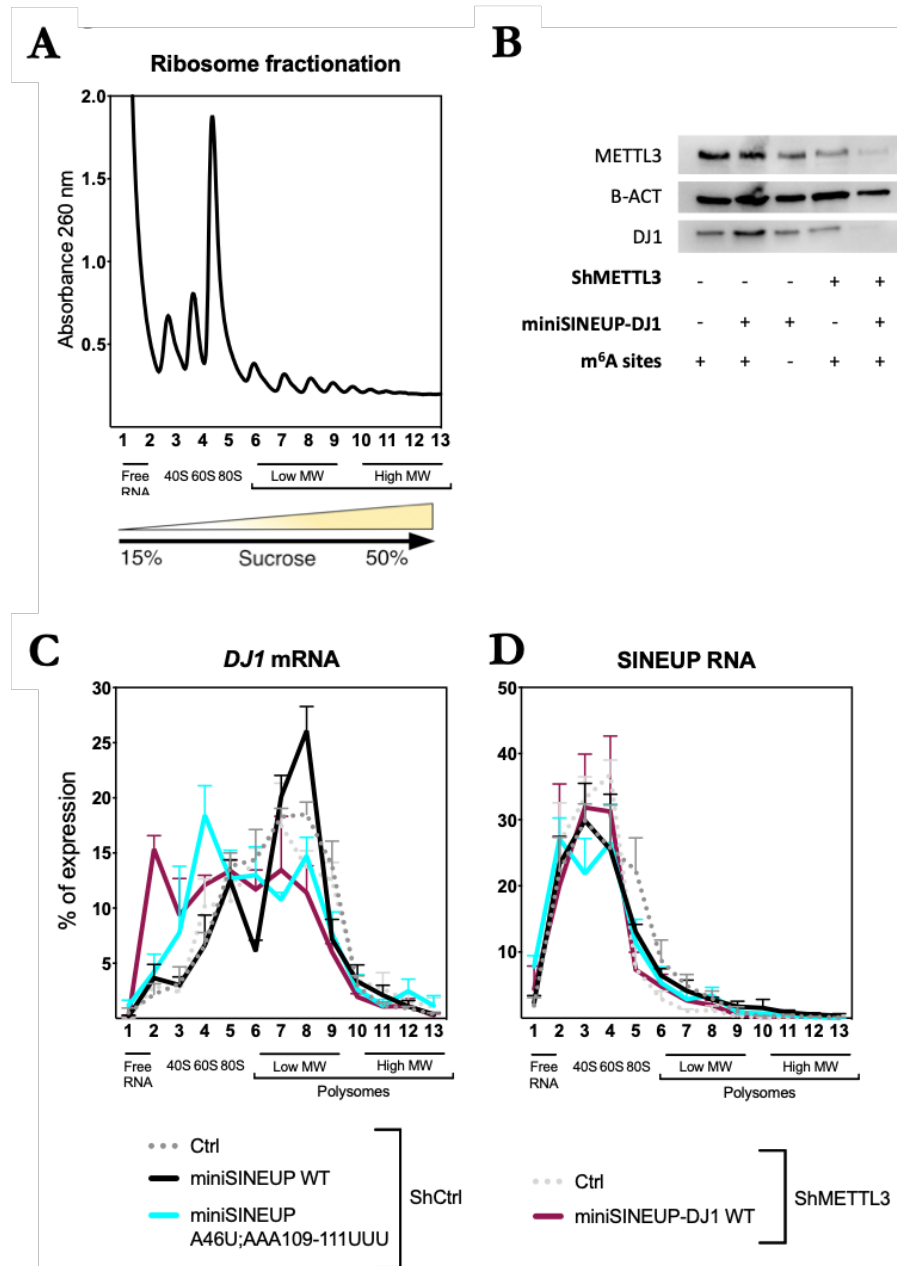


Figure 25 miniSINEUP-DJ1 translation enhancing activity is impaired upon loss of m⁶A modification. A) Representative ribosome fractionation profile with optical density 260 nm, obtained with a 15% to 50% sucrose gradient. RNA was extracted from single fractions. *B)* Representative western blot analysis of DJ1 and METTL3 protein level in total lysate of samples used for ribosome fractionation analysis. *C-D)* RNA distribution in polysome fractions from ShCtrl cells overexpressing Ctrl (dotted, dark grey), miniSINEUP-DJ1 WT (bold, black) and miniSINEUP-DJ1 double m⁶A sites mutants A46U;AAA109-111UUU (bold, light blue), and ShMETTL3 cells overexpressing Ctrl (dotted, light gray) and miniSINEUP-DJ1 WT (bold, purple) cells. *C)* DJ1 mRNA polysome profiling. *D)* SINEUP RNA polysome profiling. Data are expressed as percentages of total RNA in each fraction and derive from three independent experiments. Data indicate mean \pm SEM from three independent experiments.

2. Discussion

We previously reported that natural *AS Uchl1* is enriched in the nucleus in physiological conditions, while, upon mTOR pathway inhibition with rapamycin administration, it is exported in the cytoplasm, where it triggers the cap-independent translation up-regulation of the overlapping *Uchl1* mRNA promoting ribosomal machinery recruitment and inducing *Uchl1* mRNA shift to heavy polysomes²⁵.

Recently, a new type of function for m⁶A has been associated to mRNA translation through the direct binding of 5'UTR-contained m⁶A to eIF3¹³⁹. In this case, the modification was required to be located in the 5'UTR. Intriguingly, this m⁶A-dependent translation initiation mechanism did not require eIF4E, the m⁷G-containing mRNA cap-binding protein, thus defining a new model of cap-independent translation initiation, alternative to the well-established IRES model¹³⁹. Another study recently reported m⁶A modification as an important regulator of IRES-mediated translation in HCV virus life cycle¹¹¹. While most studies investigate m⁶A modification role in mRNAs, much less is known about their function in lncRNAs, with major attention focusing on cancer-related transcripts¹¹⁰. For example, in the case of human lncRNA MALAT1 (Metastasis Associated Lung Adenocarcinoma Transcript), one m⁶A methylation site has been identified within a hairpin stem and it has been demonstrated to have a destabilizing effect on the transcript structure that makes the DRACH sequence opposing U-tract more accessible for RNA-binding proteins¹⁸⁷. Furthermore, in the case of circRNAs hosting an IRES and an open reading frame, it has been recently reported that m⁶A modifications may play a relevant role in their biogenesis and in their cap-independent translation¹³⁵.

Since the molecular mechanisms of SINEUP activity are still not fully understood, I hypothesized an involvement of m⁶A modification acting in *trans*. Performing m⁶A RNA immunoprecipitation on i. endogenous and overexpressed *AS Uchl1* targeting endogenous *Uchl1* mRNA in MN9D murine cells and on ii. synthetic miniSINEUP-DJ1 targeting endogenous *DJ1* mRNA in human A549 and HEK293T cells, I found that in all cases SINEUP RNAs were enriched in the immunoprecipitated fractions, suggesting m⁶A modifications are a constant feature of active SINEUPs^{67,68,99}.

In the effort to further elucidate SINEUP activity, I used miniSINEUPs, as they are exclusively composed by the invSINEB2 as ED and the target-specific antisense sequence as BD. Using Nanopore targeted direct RNA sequencing, I found that m⁶A modification sites are present along the invSINEB2 element in position A46 and A111 and validated these results with a reverse transcription approach. Using the latter, an additional

methylation site in position A275 was detected in *AS Uchl1* lncRNA, in the region between BD and ED that was previously demonstrated not to be essential for SINEUP activity^{63,67,68}. Moreover, METTL3 was identified as the main responsible enzyme for m⁶A deposition using an inducible knock-down cell system that allowed us to evaluate the effect of indirect m⁶A depletion from miniSINEUP RNA. Interestingly, upon METTL3 knock-down induction, SINEUP RNA activity was abolished and, most importantly, it had a dominant negative effect on target mRNA translation. In parallel, by directly removing m⁶A sites through sequence mutagenesis, a similar effect was observed, proving that m⁶A methylation is playing a crucial role in SINEUP activity regulation.

Interestingly, SINEUP RNA is associated to 40S and 60S ribosomal subunit independently of m⁶A since no changes were detected in SINEUP RNA ability to associate to ribosomal subunits upon removal of m⁶A sites modifications with both METTL3 knock-down and mutagenesis. On the contrary, the specific increase of target mRNA translation required the presence of a selective BD and of the m⁶A methylation of both A46 and A111 sites. The low association of SINEUP RNA to 80S and polysome fractions indicated that its activity took place only in the initial steps of translation, while, upon formation of 80S-target mRNA complex, SINEUP ncRNA was released, possibly for recycling or decay. Indeed, when SINEUP RNA-target mRNA complex is formed, as previously reported¹⁸⁶, it would not be possible for the mRNA to be translated as its 5'UTR and starting codon are paired with SINEUP RNA. This observation implicates the potential activity of an unknown RNA helicase (possibly m⁶A-dependent) to unwind and separate the two RNA species. Mutant SINEUP RNA indeed sequestered endogenous target mRNAs to the 40S and 60S fractions lowering their association to polysomes for active translation.

These results have two important and original implications: i. an m⁶A-dependent step is required for SINEUP activity at the ribosome; ii. in the absence of m⁶A, SINEUP RNAs possess dominant-negative activity reducing endogenous protein quantity of the target mRNA. In summary, this work has provided new cues on the molecular mechanism of SINEUP activity and for the development of new RNA-based therapeutics.

SINEUP non-coding RNAs rescue defective OPA1 expression and activity in a cellular model of Dominant Optic Atrophy.

1. Results

1.1 Synthetic miniSINEUP OPA1 design

Given that SINEUPs target specificity is based on the antisense pairing with the target mRNA sequence around the translation initiation codon AUG (Figure 26A), a deep and detailed analysis of the surrounding region of transcription initiation (TSS) is essential, particularly in tissues and cell types involved in the DOA. To have a complete picture of the TSS usage and of the 5' UTR region of endogenous human and murine *OPA1* mRNAs, we used the Cap Analysis of Gene Expression (CAGE) collection, known as FANTOM5 (Functional ANnotation Of the Mammalian Genome), and Zenbu Genome Browser Tool^{71,72}. We noticed that the annotated reference sequences did not fully recapitulate the complex scenario of *OPA1* TSS usage, especially in human samples. Indeed, we observed a relevant discrepancy between UCSC annotated in UCSC and Gencode catalogue of transcripts (Figure 26B). In addition, various TSSs were also annotated for mouse sample (Figure 26D). Since a balance between long and short *OPA1* protein isoforms has been proven to be an essential requirement for a full recovery of the mitochondrial network¹⁶⁴, we chose to design *OPA1*-specific mini-SINEUPs (miniSINEUP-*OPA1*) in antisense orientation to the common region shared between all *OPA1* mRNAs for both human and mouse, following the antisense-pairing rules of the natural *Uchl1* locus^{25,64}, with minor modifications (Figure 26B). Although the natural BD of *AS Uchl1* was described to have a -40/+32 anatomy, with a 72 nts length, recent results from synthetic SINEUPs targeting a wide range of mRNAs, both endogenous and exogenously transfected in cells, have been shown to retain a comparable level of activity with a reduced BD size^{63,67,68}. An overall reduction of SINEUPs' size would be extremely advantageous, especially for their development as RNA therapeutics. To this purpose, we generated a -40/+4 and a shorter -14/+4 BD miniSINEUP-*OPA1* around the starting AUG for both human and mouse *OPA1* mRNAs (Figure 26C, E). Then, since another methionine (M125) is present, in-frame, in the third exon, we designed an additional -40/+4 BD around this sequence for human and a -41/+4 BD for mouse (Figure 26C, E). Finally, we designed an additional -14/+4 BD targeting the same second in-frame methionine (M125) in human *OPA1* mRNAs (Figure 26C). Each BD was combined with the very same ED, consisting of the invSINEB2 sequence derived from the natural AS

Uchl1 (Figure 26C, E). With this strategy, our constructs would synthesize miniSINEUP-OPA1 RNAs of around 200 nts in length.

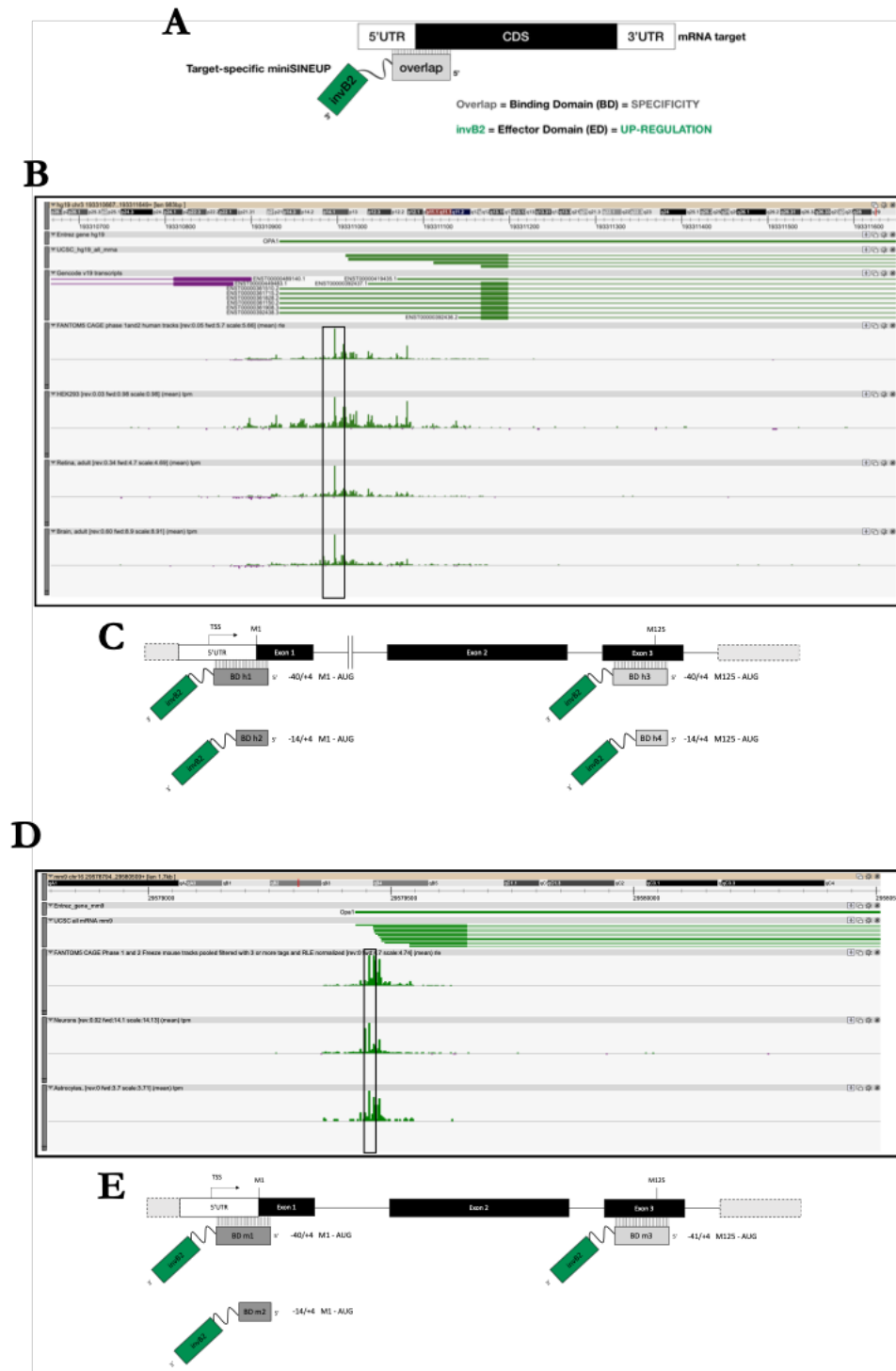


Figure 26 Design of synthetic miniSINEUPs to target *OPA1* mRNA. **A**) Schematic representation of SINEUPs functional domains. The binding domain (BD, gray) provides SINEUP specificity and it is in antisense orientation to the sense protein coding mRNA (mRNA target). The inverted SINEB2 element (invB2) is the effector domain (ED, green) and confers enhancement of protein synthesis. 5' to 3' orientation of sense and antisense RNA molecules is indicated. Structural elements of target mRNA are shown: 5' untranslated region (5'UTR, white), coding sequence (CDS, black), and 3' untranslated region (3'UTR, white). The scheme is not drawn in scale. **B**) ZENBU genome browser view of human *OPA1* locus, showing *OPA1*

TSS in HEK293T, brain and retina. *OPA1* reference sequences and Gencode annotated transcripts are indicated. **C)** Scheme of human *OPA1* mRNA and BDs design (dark grey, M1 targeting BDs; light grey, M125 targeting BDs). The numbering refers to the position according to the methionine (i.e. -40/+4, from 40 nucleotides upstream and to 4 nucleotides downstream M1-AUG). The scheme is not drawn in scale. **D)** ZENBU genome browser view of mouse *OPA1* locus, showing *OPA1* TSS in neurons and astrocytes. *OPA1* reference sequences and Gencode annotated transcripts are indicated. **E)** Scheme of mouse *OPA1* mRNA and BDs design (dark grey, M1 targeting BDs; light grey, M125 targeting BDs). The numbering refers to the position according to the methionine (i.e. -40/+4, from 40 nucleotides upstream and to 4 nucleotides downstream M1-AUG). The scheme is not drawn in scale.

1.2 Synthetic miniSINEUP *OPA1* are active *in vitro*

Human miniSINEUP-OPA1 RNAs increase OPA1 protein expression in human cells.

To screen human miniSINEUP-*OPA1* activity, I took advantage of HEK 293T/17 cells, since they endogenously express *OPA1* mRNA and have already been proven to support SINEUP activity on a variety of endogenous genes^{54,60,65-68}. HEK293T/17 were transfected with miniSINEUP-*OPA1* (+miniSINEUP) or with a control vector harboring the only ED, without any BD (Δ BD). miniSINEUP activity was assessed as fold change in protein expression levels by western blotting, using β -actin for normalization (Figure 27). SINEUPs' post-transcriptional activity was monitored and confirmed by qRT-PCR quantification of *OPA1* mRNA (Supplementary Figure 4A). I also confirmed that all miniSINEUPs, included the Δ BD control, reached comparable level of expression upon transfection (Supplementary Figure 4B). SINEUP activity does not seem to be significantly influenced by the overlapping region: no significant activity difference was observed between M1-AUG and M125-AUG targeting miniSINEUP-*OPA1*. In particular, all tested human miniSINEUP-*OPA1*s were able to induce a significant increase of *OPA1* protein levels (from \sim 1.4- to \sim 2.4-fold), with the -14/+4 M1-AUG miniSINEUP-*OPA1* reaching the highest efficacy level. In summary, I successfully designed synthetic miniSINEUPs able to increase the production of human *OPA1* protein without altering its mRNA levels nor the expression pattern of *OPA1* isoforms.

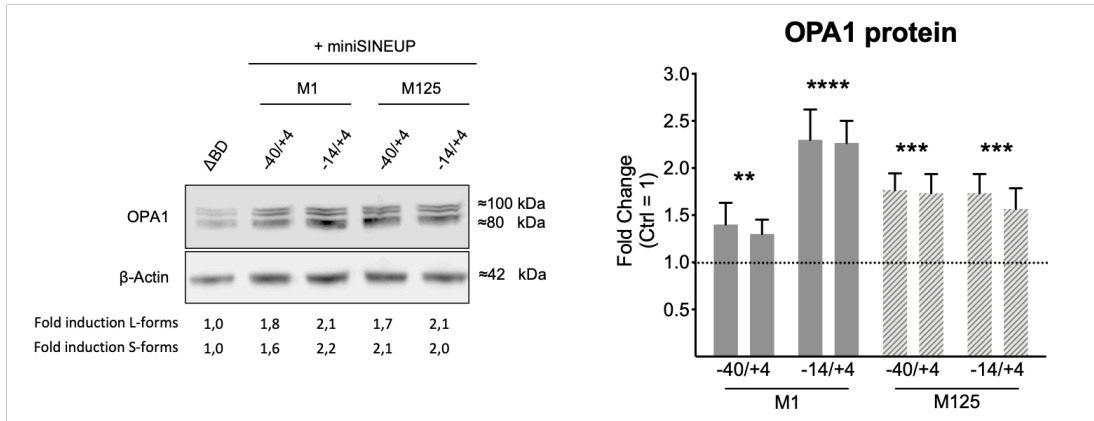


Figure 27 Synthetic miniSINEUPs increase quantities of endogenous *OPA1* in vitro in human cells. HEK293T/17 cells were transfected with miniSINEUP-*OPA1* variants and control vector (Δ BD) and harvested 48 hours post-transfection. Whole cell lysates were analyzed by western blotting with anti-*OPA1* and anti- β -actin antibodies. Left: one representative experiment is shown. First, *OPA1* band intensity was normalized to the relative β -actin band. Then, fold change values were calculated normalizing to control cells (Ctrl, Δ BD). miniSINEUP-*OPA1*-transfected cells showed increased levels of endogenous *OPA1* protein. Right: average fold change of *OPA1* protein levels. Columns represent mean \pm SEM from three independent experiments. p values are calculated by One-way ANOVA followed by Dunnett's post-test.

Murine miniSINEUP-OPA1 RNAs increase OPA1 protein expression in murine cell lines.

To test mouse miniSINEUP-*OPA1* activity, I used two different murine cell lines endogenously expressing *OPA1* mRNA: neuroblastoma Neuro2A (N2A) and astrocytes C8-D1A cell lines, already proven to support SINEUP activity⁶⁷. Both cell lines were transfected with miniSINEUP-*OPA1* (+miniSINEUP) or with a control vector harboring the only ED, without any BD (Δ BD). miniSINEUP activity was then assessed as fold change in protein amount by western blotting, using β -actin for normalization (Figure 28A, B). SINEUPs' post-transcriptional activity was monitored and confirmed by qRT-PCR quantification of *OPA1* mRNA (Supplementary Figure 5A). A comparable expression level among miniSINEUP and Δ BD control was confirmed by qRT-PCR Real-time (Supplementary Figure 5B). All tested murine miniSINEUP-*OPA1*s showed statistically significant increase of *OPA1* protein (from \sim 1.5- to 2-fold in N2A cell line and \sim 1.7-fold in astrocytes). Interestingly, murine miniSINEUP-*OPA1* -14/+4 M1-AUG, harboring the shorter BD, was proven to reach the highest efficiency: \sim 1.7-fold in astrocytes and \sim 2-fold in N2A. qRT-PCR Real-time analysis confirmed no variation in *OPA1* mRNA levels proving that all the miniSINEUP-*OPA1*s are acting at post-transcriptional level. Hence, I managed to produce synthetic miniSINEUP-*OPA1*s able to increase the production of murine *OPA1* protein without altering its mRNA levels. Most importantly, miniSINEUPs-*OPA1* were proven to be able to increase the amount

of OPA1 protein without altering the balance among different isoforms, as inferred by western blot images (Figure 28A, B).

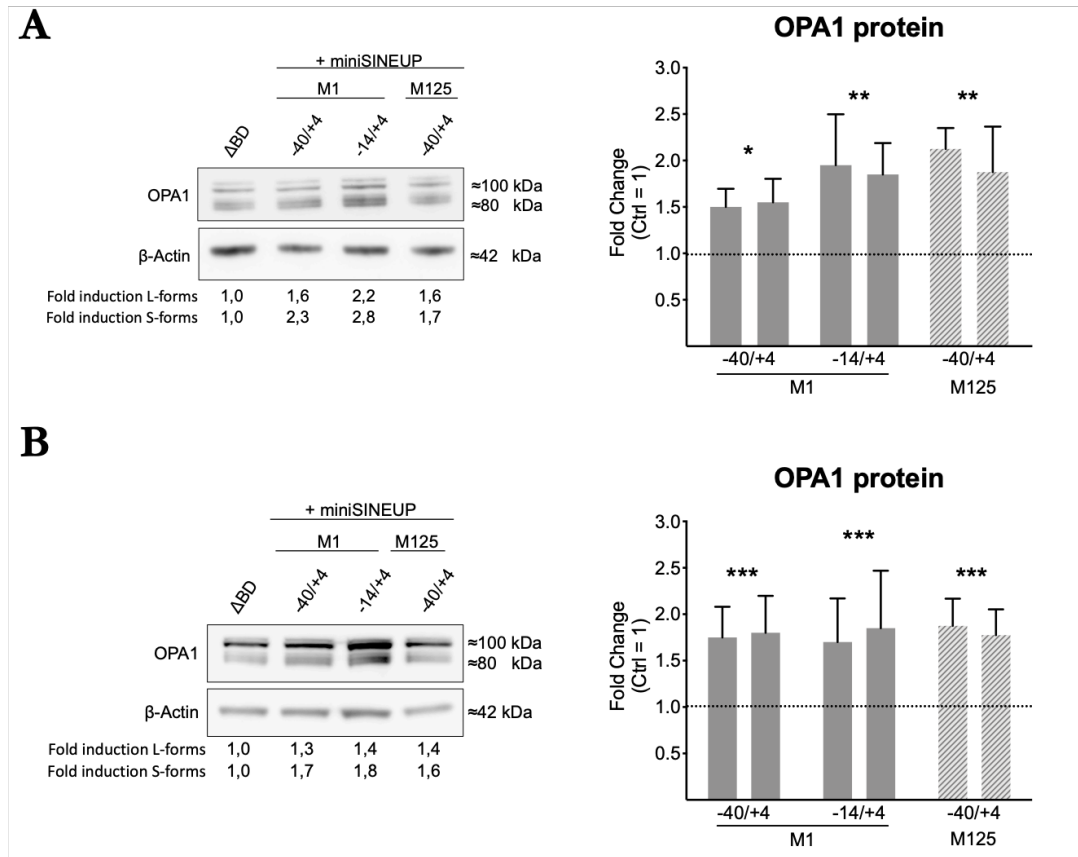


Figure 28 Synthetic miniSINEUPs increase quantities of endogenous OPA1 *in vitro* in mouse cells. Neuro2A cells (**A**) and C8-D1A astrocytes (**B**) were transfected with miniSINEUP-OPA1 variants and control vector (Δ BD) and harvested 48 hours post-transfection. Whole cell lysates were analyzed by western blotting with anti-OPA1 and anti- β -actin antibodies. Left: one representative experiment is shown. First, OPA1 band intensity was normalized to the relative β -actin band. Then, fold change values were calculated normalizing to control cells (Ctrl, Δ BD). miniSINEUP-OPA1-transfected cells showed increased levels of endogenous OPA1 protein. Right: average fold change of OPA1 protein levels. Columns represent mean \pm SEM from three independent experiments. p values are calculated by One-way ANOVA followed by Dunnett's post-test.

1.3 SINEUP optimization for RNA therapeutics development

Recent results from our lab and others show that *in vitro* transcribed SINEUP and miniSINEUP RNAs are active in cells when appropriately modified^{100,101}. Based on this information and keeping in mind that RNA molecules length and stability are strict limitation to the application of naked RNA both *in vitro* and *in vivo*, I aimed to identify a minimal active SINEUP (ASO-SINEUP) that could be successfully administered as RNA therapeutic. First, a series of shorter plasmid-encoded SINEUP EDs was designed and combined them with a GFP-targeting BD already in use in the lab⁷⁰, to be tested as a proof of principle. To reduce SINEUP RNAs length, I designed progressively shorter

ED domains with the aim to maintain the SL1 region unaltered, previously reported to be the key structural and functional domain of AS Uchl1 invSINEB2¹⁸⁸. As a result, I generated a microED (44-120), two nanoEDs (59-96 and 64-92) and two femtoEDs (64-82 and 64-81) (Figure 29A). miniSINEUP-GFP and its shorter variants were transfected in HEK293T cells and EGFP protein expression level was assessed with both Western Blot and fluorescence measurement at 488 nm (Figure 29B, C). Compared to the control, all constructs were able to induce a GFP protein increase of >2.5 fold. Expression levels of *EGFP* mRNA and SINEUP RNA were monitored by qRT-PCR Real-time confirming no variation in target mRNA's expression and a comparable level of expression among all truncated SINEUP forms (Supplementary Figure 6).

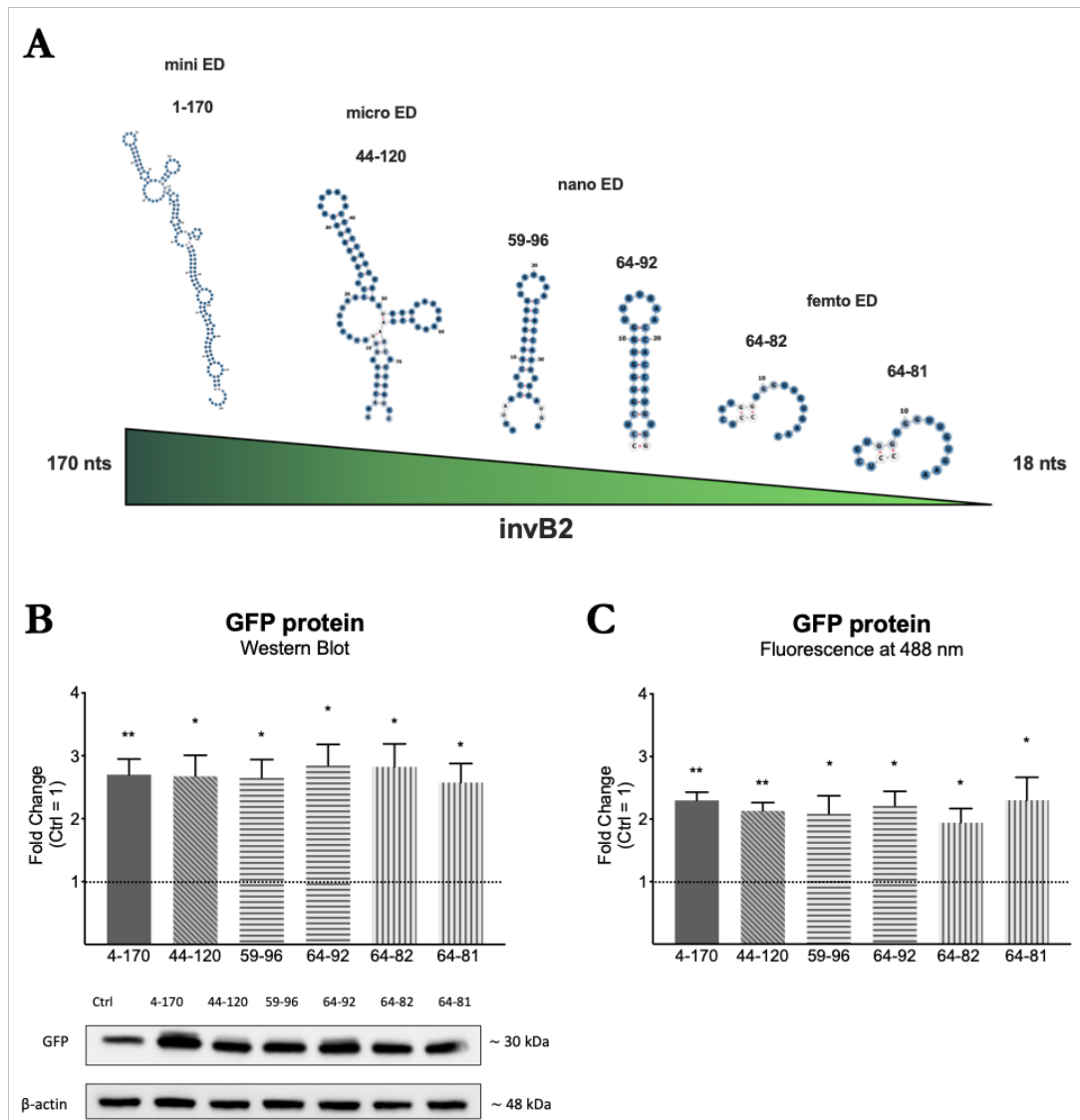


Figure 29 SINEUP miniaturization design and testing. **A**) Secondary structure prediction of mini, micro, nano and femto EDs. **B-C**) HEK293T/17 cells were transfected with pDUAL plasmid co-expressing *EGFP* target mRNA and SINEUP variants and the same plasmid expressing the only *EGFP* mRNA was transfected as negative control. Cells were harvested 48 hours post-transfection and processed for protein

and RNA analysis (Supplementary Figure 6). **B)** Whole cell lysates were analyzed by western blotting with anti-EGFP and anti- β -actin antibodies. Up: one representative experiment is shown. First, EGFP band intensity was normalized to the relative β -actin band. Then, fold change values were calculated normalizing to control cells (Ctrl). SINEUP-GFP-transfected cells showed increased levels of EGFP protein. Down: one representative experiment is shown. **C)** Harvested cells were resuspended in PBS 1X and incubated with NucBlue probe for fluorescence normalization. Cell suspension was then transferred to 96-well plate and EGFP (488 nm) and NucBlue (460 nm) fluorescence were measured. First, EGFP fluorescence intensity was normalized to the relative NucBlue intensity. Then, fold change values were calculated normalizing to control cells (Ctrl). RNA analysis is reported in Supplementary Figure 6. Columns represent mean \pm SEM from three independent experiments. p values are calculated by One sample t and Wilcoxon test.

To investigate whether nano and femtoSINEUP-GFP were also active when transfected as RNA molecule, as previously shown for miniSINEUP-DJ1 in our lab¹⁰¹, I transfected an *in vitro* synthesized RNA oligo carrying 2'OMe-Adenosine modification in all available positions and evaluate SINEUP activity through fluorescence measurement at 488 nm (Figure 30). Remarkably, ASO-SINEUP-GFP was able to increase GFP protein production reaching around 1.5 fold induction, even if at lower extent compared to plasmid-driven SINEUP expression. This could be due to a sub-optimal ratio between *EGFP* target mRNA and nanoSINEUP expression levels. qRT-PCR analysis confirmed plasmid-expressed SINEUPs and ASO-SINEUP-GFPs post-transcriptional activity and comparable expression levels (Supplementary Figure 6C,D).

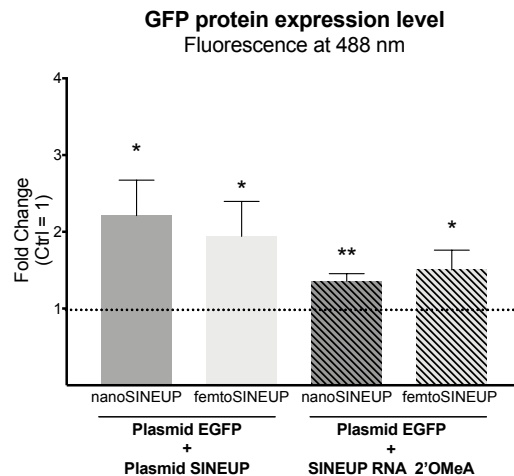


Figure 30 ASO-SINEUP-GFP are active in vitro. HEK293T/17 cells were transfected with pDUAL expressing the only *EGFP* mRNA alone and in combination with nanoED 2'OMeA RNA oligo as negative controls. pDUAL plasmids co-expressing *EGFP* mRNA with nano- and femtoSINEUP-GFP were transfected as positive controls. To test ASO-SINEUP-GFP activity pDUAL plasmid expressing the only *EGFP* mRNA was transfected in combination with ASO-SINEUP-GFP 2'OMeA RNA oligo variants. Cells were harvested 48 hours post-transfection and processed for protein and RNA analysis (Supplementary Figure 6). Harvested cells were resuspended in PBS 1X and incubated with NucBlue probe for fluorescence normalization. Cell suspension was then transferred to 96-well plate and EGFP (488 nm) and NucBlue (460 nm) fluorescence were measured. First, EGFP fluorescence intensity was normalized to the relative NucBlue intensity. Then, fold change values were calculated normalizing to control cells (Ctrl). RNA analysis is reported in Supplementary Figure 6. Columns represent mean \pm SEM from at least three independent experiments. p values are calculated by One sample t and Wilcoxon test.

I then chose the shorter nanoED (64-92) to be tested in combination with OPA1 BD -14/+4 targeting M1 giving rise to nanoSINEUP-OPA1 plasmid-encoded RNA. In parallel with this construct, an *in vitro* synthesized RNA molecule with 2'OMe-Adenosine modification was tested in HEK293T cells. It is formed by the combination of OPA1 BD -14/+4 targeting M1 and the nanoED 64-92 with a 5 nts spacer in between, (Figure 31A). Interestingly, both plasmid-encoded nanoSINEUP-OPA1 RNA and ASO-SINEUP-OPA1 RNA were proved to be active, reaching a fold-induction level of around 1.7 (Figure 31B, C). qRT-PCR Real-time analysis confirmed no variation in *OPA1* mRNA levels proving that both nanoSINEUP-OPA1 and ASO-SINEUP-OPA1 are acting at post-transcriptional level (Supplementary Figure 7). This result represents a major advancement in the development of a SINEUP RNA for therapy.

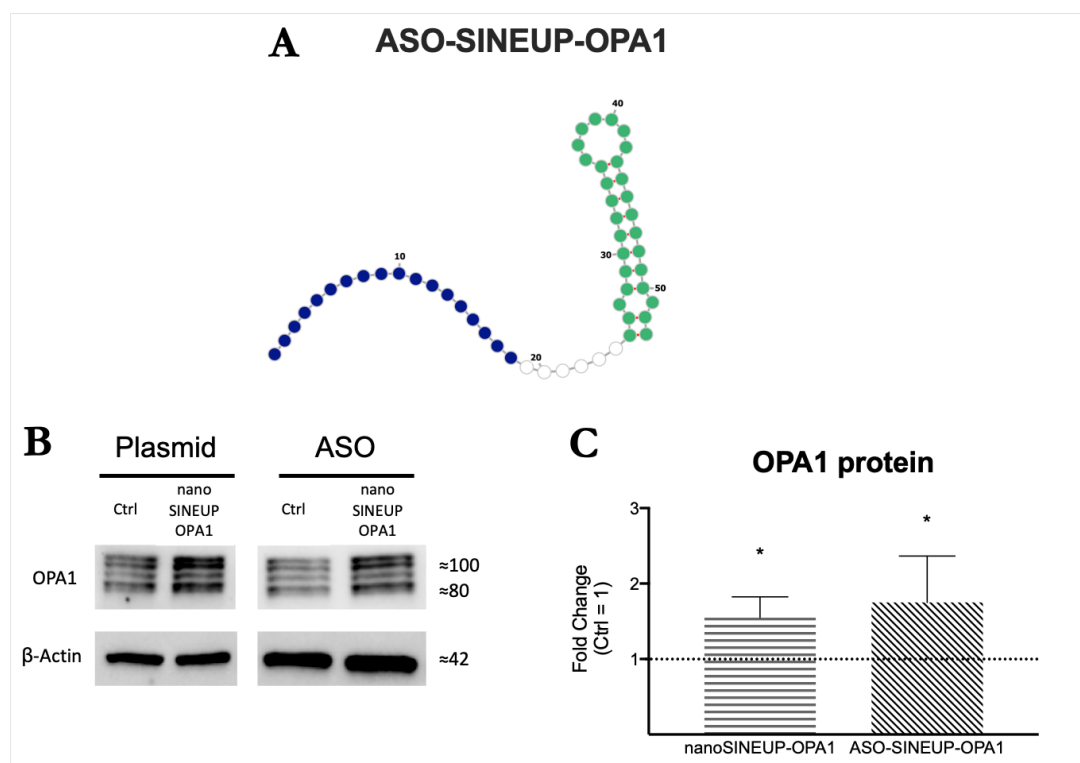


Figure 31 *nanoSINEUP-OPA1* and *ASO-SINEUP-OPA1* are active *in vitro*. HEK293T/17 cells were transfected with control or nanoSINEUP-OPA1 expressing plasmids or with nanoED or ASO-SINEUP-OPA1 with nanoED 2'OMeA RNA oligo. Cells were harvested 48 hours post-transfection and processed for protein and RNA analysis (Supplementary Figure 7). Whole cell lysates were analyzed by western blotting with anti-OPA1 and anti-β-actin antibodies. **B)** one representative experiment is shown. First, OPA1 band intensity was normalized to the relative β-actin band. Then, fold change values were calculated normalizing to control cells (Ctrl, ΔBD). miniSINEUP-OPA1-transfected cells showed increased levels of endogenous OPA1 protein. **C):** average fold change of OPA1 protein levels. Columns represent mean ± SEM from at least three independent experiments. p values are calculated by One sample t and Wilcoxon test.

1.4 OPA1 protein rescue in DOA patients' fibroblasts

DOA patients-derived fibroblasts represent the most relevant cellular model to evaluate potential therapeutic strategies. The most efficient miniSINEUP-OPA1 and the nanoSINEUP-OPA1 were cloned into a lentiviral constitutive expression vector harboring TurboRFP as reporter gene along with the previously described Δ BD as a negative control. At first, lentiviral constructs were transfected as plasmids in HEK293T cells, to ensure appropriate activity and expression level of SINEUPs and TurboRFP reporter gene (Figure 32, Supplementary Figure 8). Upon both miniSINEUP and nanoSINEUP transfection, OPA1 endogenous protein was increased of around 2-fold, confirming both RNA's activity.

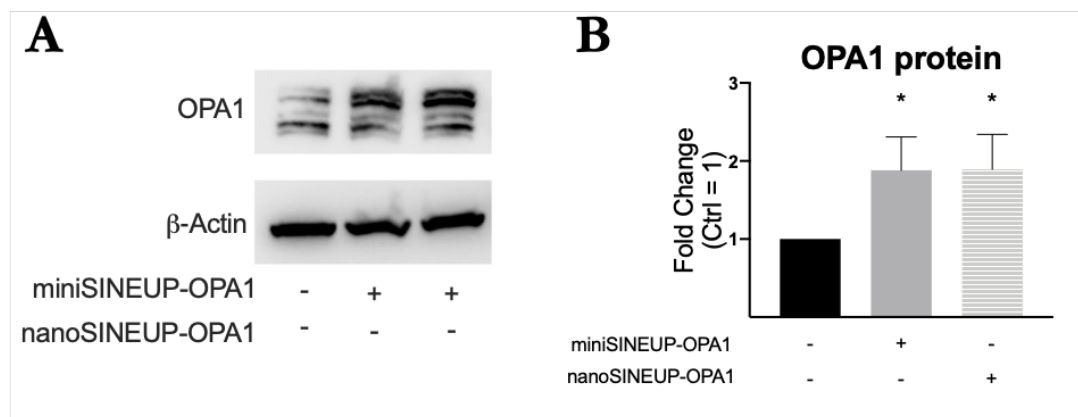


Figure 32 Lentiviral plasmids encoded mini and nanoSINEUP-OPA1 are active *in vitro*. HEK293T/17 cells were transfected with SINEUP-OPA1 variants and control vector and harvested 48 hours post-transfection. Whole cell lysates were analyzed by western blotting with anti-OPA1 and anti- β -actin antibodies. **A**) one representative experiment is shown. First, OPA1 band intensity was normalized to the relative β -actin band. Then, fold change values were calculated normalizing to control cells (Ctrl, Δ BD). Mini and nanoSINEUP-OPA1-transfected cells showed increased levels of endogenous OPA1 protein. **B**) Average fold change of OPA1 protein levels. Columns represent mean \pm SEM from four independent experiments. p values are calculated by One sample t and Wilcoxon test.

SINEUP-OPA1 lentiviral particles were then used to infect patients' primary dermal fibroblasts. Each cell line was FACS-sorted twice for TurboRFP expression to select stably transduced cells. Among DOA patients' fibroblasts lines, we selected one derived from a male 55 years old donor carrying the mutation c.703 C>Tp.R235 in exon 7 (F40D) and one derived from a 27 years old female donor, carrying c.2823_26delAGT*TpK941fsX966 mutation (F171). As controls, we used two healthy donor-derived fibroblasts lines, age and sex-matched. As a first step, I performed Western Blotting to assess OPA1 protein dosage rescue upon SINEUP expression. A marked reduction in OPA1 protein expression was detected in both DOA patients-derived cell

lines, when compared with the respective healthy control. Indeed, F40D and F171 cells were found to express only 50% and 25% OPA1 protein compared to the respective healthy control. qRT-PCR Real-time also confirmed a reduced expression of OPA1 mRNA (Supplementary Figure 9). Remarkably, upon SINEUP expression, through lentiviral infection, I was able to detect a rescue of OPA1 protein levels ranging from 65% to 100% (Figure 33).

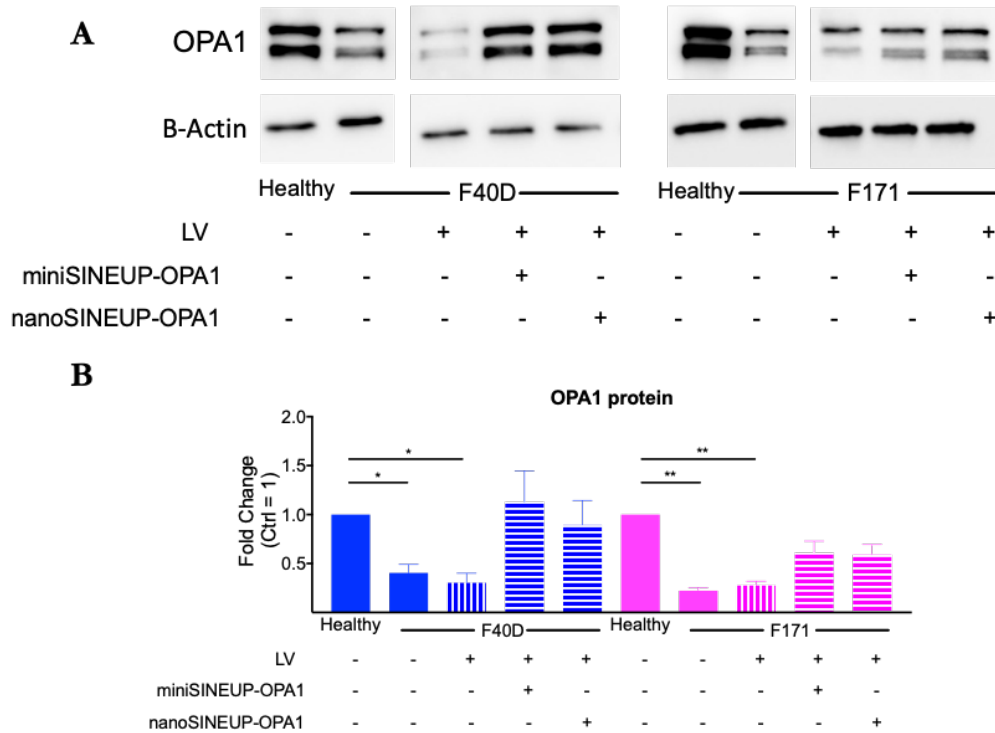


Figure 33 OPA1 protein rescue in DOA patients' fibroblasts. Human primary fibroblast lines were transduced with control, mini- and nanoSINEUP-OPA1 expressing lentiviral. Cells were FACS sorted for highest and most stable reporter expression to establish stably expressing cell lines. Each cell line was expanded and harvested for protein level assessment. Whole-cell lysates were analyzed by western blotting with anti-OPA1 and anti- β -actin antibodies. **A:** one representative experiment is shown. First, OPA1 band intensity was normalized to the relative β -actin band. Then, fold change values were calculated normalizing to healthy control cells. miniSINEUP-OPA1 and nanoSINEUP-OPA1-transduced F40D and F171 cells showed increased levels of endogenous OPA1 protein. **B:** average fold change of OPA1 protein levels. Columns represent mean \pm SEM from three independent experiments. p values are calculated by One sample t and Wilcoxon test.

Discussion

Human OPA1 gene started to draw attention in 2000, when its mutations were found associated with DOA. This rare genetic disorder was originally characterized back in 1959 from the Danish ophthalmologist Paul Kjer as a progressive decrease in visual acuity, tritanopia, loss of sensitivity in central visual field and optic disk pallor. DOA is generally considered as part of diversified groups of optic neuropathies and mitochondrial diseases and, with a prevalence of 1:25000, is the most common inherited mitochondrial optic neuropathy. OPA1 protein is a ubiquitous dynamin-related GTPase residing in the inner

mitochondrial membrane (IMM) and presenting 8 differently processed isoforms whose expression level is tissue-dependent. An unbalance in long and short OPA1 forms ratio with more abundant s-forms than in physiological state causes fusion inhibition and mitochondrial network fragmentation. Importantly, to achieve full recovery of mitochondrial network morphology, at least two OPA1 isoforms with a specific balance of l- and s- isoforms are necessary, suggesting the need for a multiplicity of isoforms to flexibly shape mitochondrial dynamics as a response to different metabolic and stress conditions perturbing cellular homeostasis¹⁶⁴. OPA1 protein plays a key role in mitochondrial network dynamics: it promotes mitochondrial fusion with MFN1 and MFN2, acts in concert with pro-fission proteins DRP1 and DNM2 and contributes to mtDNA maintenance. OPA1 protein polymerization also preserves *cristae* morphogenesis, facilitating the activity of respiratory chain super-complexes¹⁶⁷. It has a main role in controlling the apoptotic process as it is fundamental for the compartmentalization of cytochrome C. Indeed, mitochondria play an essential role in cellular homeostasis processes such as organelle dynamics control, interaction with other organelles, apoptosis regulation, calcium homeostasis maintenance and autophagy, but, most importantly, they are key suppliers of cellular energy through oxidative phosphorylation (OXPHOS). Alteration of this complex multi-step process can cause a reduction of ATP synthesis and an increase of reactive oxidative species (ROS), inducing damage in the respiratory chain and activation of apoptotic pathways up to mtDNA mutations accumulation. All these molecular effects can lead to energy failure and eventually cell death. In most cases, mitochondrial dysfunctions lead to neurodegeneration, addressing particularly RGC cells. This cell type is severely damaged by energy failure because they present narrower not myelinated axons, that imply the absence of saltatory conduction of action potentials, highly requiring energy supply from mitochondria clustering within unmyelinated retinal and prelaminar sectors and less abundant in the posterior part of lamina cribrosa.

The essential role of OPA1 in the regulation of mitochondrial metabolism is nowadays accepted. It represents a key crossroad for mitochondrial homeostasis whose mutations reflect into a progressively enlarging repertoire of clinical phenotypes, including DOA plus syndromes, multiple sclerosis, Parkinsonism and dementia, infantile Leigh syndrome and cardiomyopathy.

Therapeutic treatments for DOA are still in early stages development, with major focus on idebenone administration, an ubiquinone analog, currently under evaluation for

treatment of Leber hereditary optic neuropathy (LHON), also characterized by RGC loss¹⁸⁹. Off-label clinical trials in OPA1-caused DOA patients show some recovery of visual parameters with idebenone¹⁹⁰. Unfortunately, benefits from idebenone treatment were reported to be temporary in a placebo-controlled trial on OPA1 mouse model¹⁹¹. Interestingly, recent studies report first attempts to apply gene therapy as DOA treatment. Indeed, as the majority of OPA1-related DOA cases derives from haploinsufficiency, this approach could be extremely advantageous. Recent results from clinical studies for LHON treatment reported significant bilateral improvements, indicating feasibility of gene therapy treatment of optic neuropathies^{192,193}. Nevertheless, three major drawbacks can be envisioned when evaluating the development of gene therapy approaches to restore OPA1 protein levels: i. OPA1 coding sequence, being quite long, could be hard to be delivered by commonly used AAV gene therapy vectors, ii. given the presence of 8 different isoform and the requirement of a correct balance between long and short OPA1 forms, the delivered sequence should be carefully chosen, iii. overexpression of OPA1 beyond physiological levels is reported to be detrimental¹⁹⁴. Furthermore, the lack of specific promoters for every cell type can give rise to ectopic expression.

In this scenario of unmet clinical needs for DOA treatment, I chose to evaluate the application of SINEUP technology as new RNA-based therapeutic approach to target OPA1 defective gene expression, allowing the rescue of protein levels within physiological range with a post-transcriptional regulation. For RNA-based therapies, chemically modified *in vitro* synthesized mRNAs can give rise to ectopic overexpression occurring in a short timeframe. Similarly, in the case of small activating RNAs (saRNAs) major limitations reside in the triggering of gene targets transcription upregulation. To date, two strategies can be pursued for the delivery of SINEUP therapeutics to patients: the first takes advantage of AAV delivery system, with chronic *in vivo* expression of a SINEUP molecule, while the second strategy could use non-viral systems, such as lipid complexes or other nanoparticles commonly used for RNA therapeutics delivery, to administrate SINEUPs as RNA molecules. A first Proof-Of-Concept of miniSINEUP AAV delivery was successfully shown in our laboratory to increase endogenous GDNF protein levels *in vivo*⁶⁷. On the other hand, in the last years, the demonstration that the incorporation of selected chemically-modified ribonucleotides during IVT restores SINEUP activity, which is absent in non-modified IVT RNA molecules^{100,101}, provided promising new data on the way to a chemically synthesized, active SINEUP.

In this study, miniSINEUP-OPA1 are designed in antisense orientation 5' head-to-head to common regions among all OPA1 mRNA splice variants. Different BDs for human and murine OPA1 have been tested, each one combined with the invSINEB2 from *AS Uchl1* as ED. Our results confirm BD's flexibility previously reported for other targets^{67,68} designing effective BDs around both the starting AUG and the first internal in-frame methionine. Interestingly, in both human and mouse systems, the most efficient BD were the short -14/+4 sequences, designed around the starting AUG. A major limitation of RNA-based therapeutics development is represented by the requirement of a sequence length ≤ 50 nts for delivery purposes. Given that a miniSINEUP presents an average length of 250 nts, a further miniaturization is required. Here, for the first time, I designed and optimize nanoSINEUPs by reducing the ED length from 172 to 29 nts, with a rational that takes into account recent indication on the essential function of invSINEB2 SL1 for SINEUP activity⁷³. I combined nanoED with canonical exogenous mRNA targeting GFP BD and endogenous mRNA targeting OPA1 BDs and evaluate each one's activity. Most remarkably, based on recent evidence of IVT miniSINEUP-DJ1 RNA activity when 2'O-Me-Adenosine modified¹⁰¹, I was able to apply nanoSINEUP-GFP and nanoSINEUP-OPA1 in the form of chemically synthesized RNA oligo which were able to reach a comparable level of activity to their plasmid-encoded counterparts. This is, to date, the first prove that SINEUP RNA synthetic molecules as short as ≈ 50 nts are able to increase exogenous and endogenous target mRNA translation, shortening the distance to be covered for SINEUP technology application as RNA therapeutics.

Most importantly, using patients' derived human dermal primary fibroblasts carrying various mutations as a model of the complex clinical features of DOA, I proved the ability of both mini- and nanoSINEUP to rescue OPA1 protein amount to healthy control levels. These cells' preparations will be now used to assess the functional rescue of DOA phenotypes, evaluated for Oxygen consumption rate assay (Seahorse), mitochondrial morphology analysis and mitochondrial DNA content assessment. AAV will be then produced to investigate the effects of SINEUP-OPA1 overexpression on the retina of a mouse model of DOA.

In conclusion, with this study, I provided strong evidence that synthetically designed SINEUP molecules are able to increase OPA1 endogenous protein expression to physiological level in a cellular model of DOA. Their ability to rescue pathological phenotypes in human patients' cells ensures the pre-clinical evaluation of a SINEUP-

based therapy to treat DOA and supports SINEUP technology as scalable platform to treat haploinsufficient diseases.

Conference proceedings

1. **Pierattini B.**, D'Agostino S., Bon C., Espinoza S., Valentini P., Pandolfini L., Gustincich S. *N⁶-methyladenosine modification regulates SINEUP lncRNAs activity*. **2022 SIBBM Seminar “The RNA world”**, 20-22 June 2021, Rome, **Oral presentation**
2. D'Agostino S.*, Matey A.*, Volpe M., **Pierattini B.**, Lau P., Bon C., Peruzzo O., Braccia C., Armirotti A., Scarpato M., Di Carlo V., Santoro C., Persichetti F., Espinoza S., Zucchelli S., Sanges R., and Gustincich S. *Internal Ribosome Entry Site RNAs act in trans through an antisense sequence in linear and circular non-coding RNAs*. **2022 SIBBM Seminar “The RNA world”**, 20-22 June 2021, Rome
3. **Pierattini B.**, Valentini P., Bon C., Espinoza S., Pandolfini L., and Gustincich S. *N⁶-methyladenosine modification regulates SINEUP lncRNAs activity*. **EMBL in Italy 2021**, 20-21 May 2021, **Poster presentation**
4. D'Agostino S.*, Matey A.*, Volpe M., **Pierattini B.**, Lau P., Bon C., Peruzzo O., Braccia C., Armirotti A., Scarpato M., Di Carlo V., Santoro C., Persichetti F., Espinoza S., Zucchelli S., Sanges R., and Gustincich S. *Internal Ribosome Entry Site RNAs act in trans through an antisense sequence in linear and circular non-coding RNAs*. **EMBL in Italy 2021**, 20-21 May 2021
5. **Pierattini B.**, Valentini P., Bon C., Espinoza S., Pandolfini L., and Gustincich S. *N⁶-methyladenosine modification regulates SINEUP lncRNAs activity*. **Non-Coding RNAs: Biology and Applications, Keystone eSymposia**, 11-14th May 2021, **Poster presentation**
6. **Pierattini B.**, Bon C., Espinoza S., D'Agostino S., Carelli V., Zucchelli S., Gustincich S., *SINEUP non-coding RNAs selectively up-regulate OPA1 expression in rodent and human cells*. **The non-coding genome, EMBO/EMBL Symposia**, October 16-19th 2019, **Poster presentation**
7. Espinoza S, Bon C, **Pierattini B**, Jones MH, Luffarelli R, D'Agostino S, Valentini P, Matey A, Condò I, Cotella D, Santoro C, Zucchelli S, and Gustincich S. *SINEUPs: a new antisense, long non-coding RNA-based platform to increase endogenous protein levels for therapy*. UMass Medical School, **RNA therapeutics Conference 2019** , From Base Paires to Bedsides, Worcester MA, June 26-28, 2019
8. **RNA therapeutics Conference, SMi**, London February 19-20th 2019

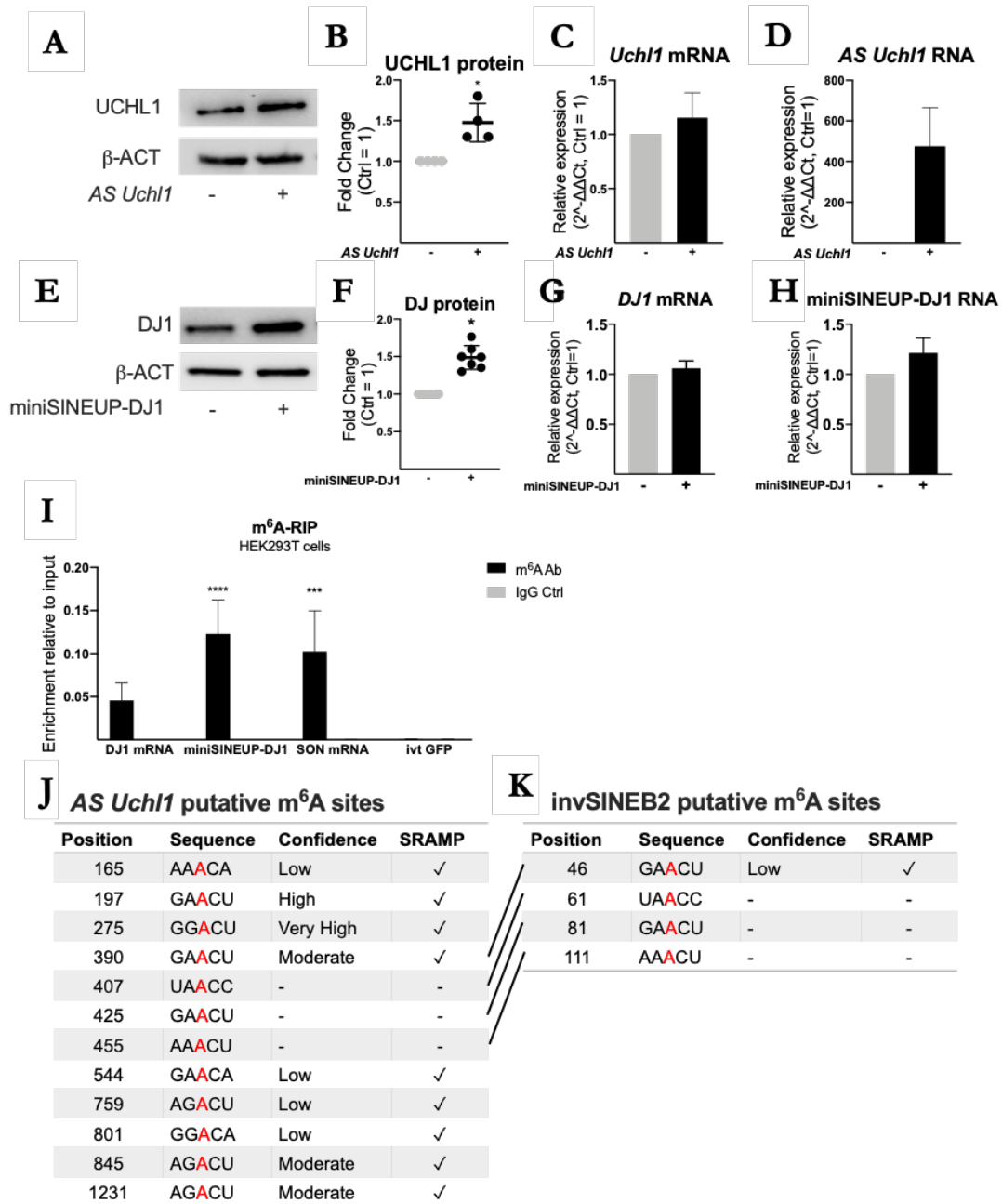
List of publications

1. **Pierattini B.**, D'Agostino S., Bon C., Peruzzo O., Alendar A., Espinoza S., Valentini P., Pandolfini L. and Gustincich S. *N6-methyladenosine modification regulates SINEUP non-coding RNA activity*. Manuscript in preparation.
2. **Pierattini B.**, Del Dotto V., Bon C., D'Agostino S., Carelli V., Zucchelli S., Espinoza S. and Gustincich S. *SINEUP non-coding RNAs rescue defective OPA1 expression and activity in cellular models of Dominant Optic Atrophy*. Manuscript in preparation
3. D'Agostino S.*, Matey A.*, Volpe M., **Pierattini B.**, Cheung P.L.P., Bon C., Peruzzo O., Armirotti A., Scarpato M., Di Carlo V., Santoro C., Persichetti F., Espinoza S., Zucchelli S., Sanges R. and Gustincich S. *Internal Ribosome Entry Site RNAs act in trans through antisense base-pairing in linear and circular non-coding RNAs*. Submitted
4. Valentini P., **Pierattini B.**, Zacco E., Mangoni D., Espinoza S., Webster N.A., Andrews B., Carninci P., Tartaglia G.G., Pandolfini L., Gustincich S. *Towards SINEUP-based therapeutics: Design of an in vitro synthesized SINEUP RNA*. *Mol Ther Nucleic Acids* 2022 Feb 2; 27:1092-1102. doi: 10.1016/j.omtn.2022.01.021.eCollection 2022 Mar 8
5. Espinoza S., Bon C., Valentini P., **Pierattini B.**, Tettey Matey A., Damiani D., Pulcrano S., Sanges R., Persichetti F., Takahashi H., Carninci P., Santoro C., Cotella D. and Gustincich S. *SINEUPs: a novel toolbox for RNA therapeutics*. *Assays in Biochem*. 2021 Oct 27;65(4):775-789. doi: 10.1042/EBC20200114.
6. Bon C., Luffarelli R., Russo R., Fortuni S., **Pierattini B.**, Santulli C., Fimiani C., Persichetti F., Cotella D., Mallamaci A., Santoro C., Carninci P., Espinoza S., Testi R., Zucchelli S., Condò I. and Gustincich S. *SINEUP non-coding RNAs rescue defective frataxin expression and activity in a cellular model of Friedreich's Ataxia*. *Nucleic Acid Research* 2019 Nov18;47(20):10728-10743.doi:10.1093/nar/gkz798

Patents

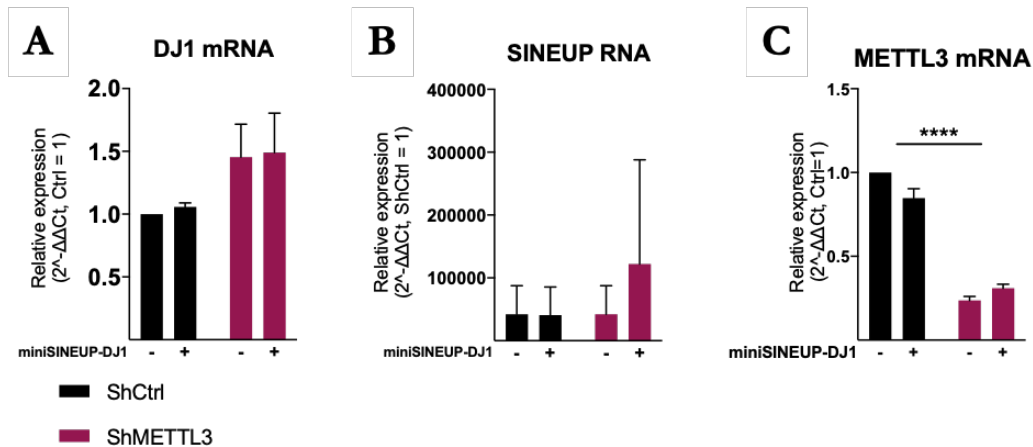
1. "FUNCTIONAL NUCLEIC ACID MOLECULES" to increase OPA1 expression, TSI-C-P2811GBp
2. "MODIFIED FUNCTIONAL NUCLEIC ACID MOLECULES" on chemical modifications of SINEUP RNAs, PT200555
3. "FUNCTIONAL NUCLEIC ACID MOLECULES" on circRNA function, P123747GB

Supplementary Figures

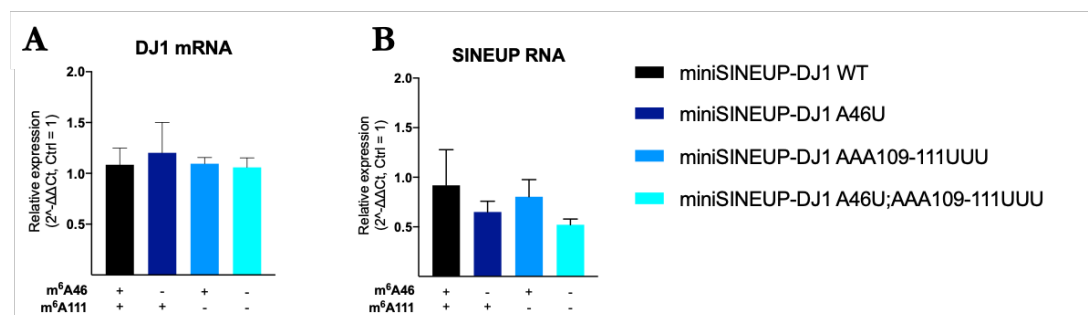


Supplementary Figure 1 A-D) AS Uchl1 activity in MN9D cells. MN9D cells were transfected with control or AS *Uchl1* plasmid and harvested 48 hours post-transfection. Whole cell lysates were analyzed by western blotting with anti-Uchl1 and anti-β-actin antibodies. **A)** One representative experiment is shown. AS *Uchl1*-transfected cells showed increased levels of endogenous UCHL1 protein. **B)** Average fold change of UCHL1 protein levels. Data indicate mean ± SEM from four independent experiments. p values are calculated by One sample t and Wilcoxon (*, p<0,05). **C)** *Uchl1* mRNA levels were analyzed by qRT-PCR with specific primers. *Uchl1* mRNA expression was stable (ns, p>0,05) **D)** AS *Uchl1* RNA levels were analyzed by qRT-PCR with specific primers confirming overexpression. **E-H) miniSINEUP-DJ1 activity in A549 cells.** A549 cells were transfected with control (ΔBD) or miniSINEUP-DJ1 plasmid and harvested 48 hours post-transfection. Whole cell lysates were analyzed by western blotting with anti-DJ1 and anti-β-actin antibodies. **E)** One representative experiment is shown. miniSINEUP-DJ1-transfected cells showed increased levels of endogenous DJ1 protein. **F)** Average fold change of DJ1 protein levels. Data indicate mean ± SEM from four independent experiments. p values are calculated by One sample t and Wilcoxon (*, p<0,05). **G)** *DJ1* mRNA levels were analyzed by qRT-PCR with specific primers. *DJ1* mRNA expression

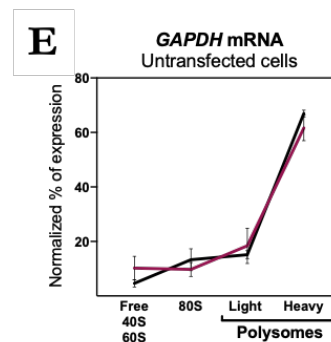
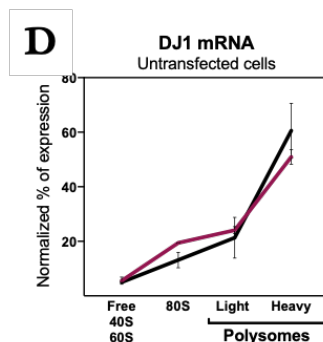
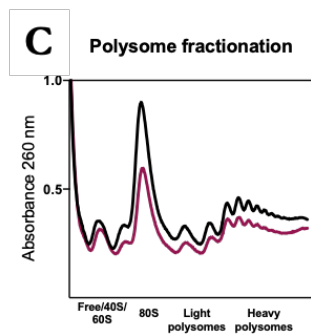
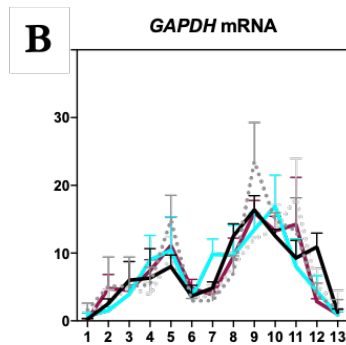
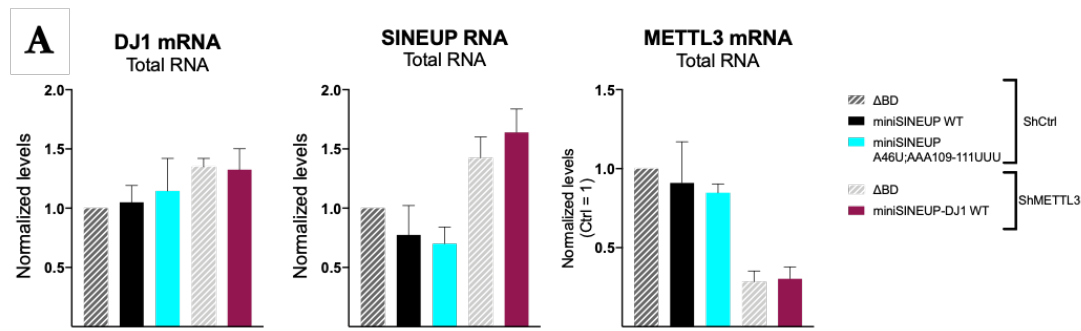
was stable (ns, $p > 0.05$) **H**) Control and miniSINEUP-DJ1 RNA levels were analyzed by qRT-PCR with specific primers confirming overexpression. **I**) **m⁶A RNA immunoprecipitation in miniSINEUP-DJ1 transfected HEK293T/17 cells.** *DJ1* mRNA and miniSINEUP-DJ1 RNA relative enrichment in m⁶A immunoprecipitated RNA from untransfected and AS miniSINEUP-DJ1 transfected A549 cells. ivt GFP spiked-in and IgG Ctrl samples (striped columns) were used as negative controls. *hSON* mRNA was analyzed as positive control. Data are expressed as enrichment relative to input and indicate mean \pm SEM from three independent experiments. p values are calculated by Two-way ANOVA follows by Sidak multiple comparison (***, $p < 0.001$). **J**) m⁶A consensus sequences in AS Uchl1 detailed annotation. **K**) m⁶A consensus sequences in invSINEB2 detailed annotation.



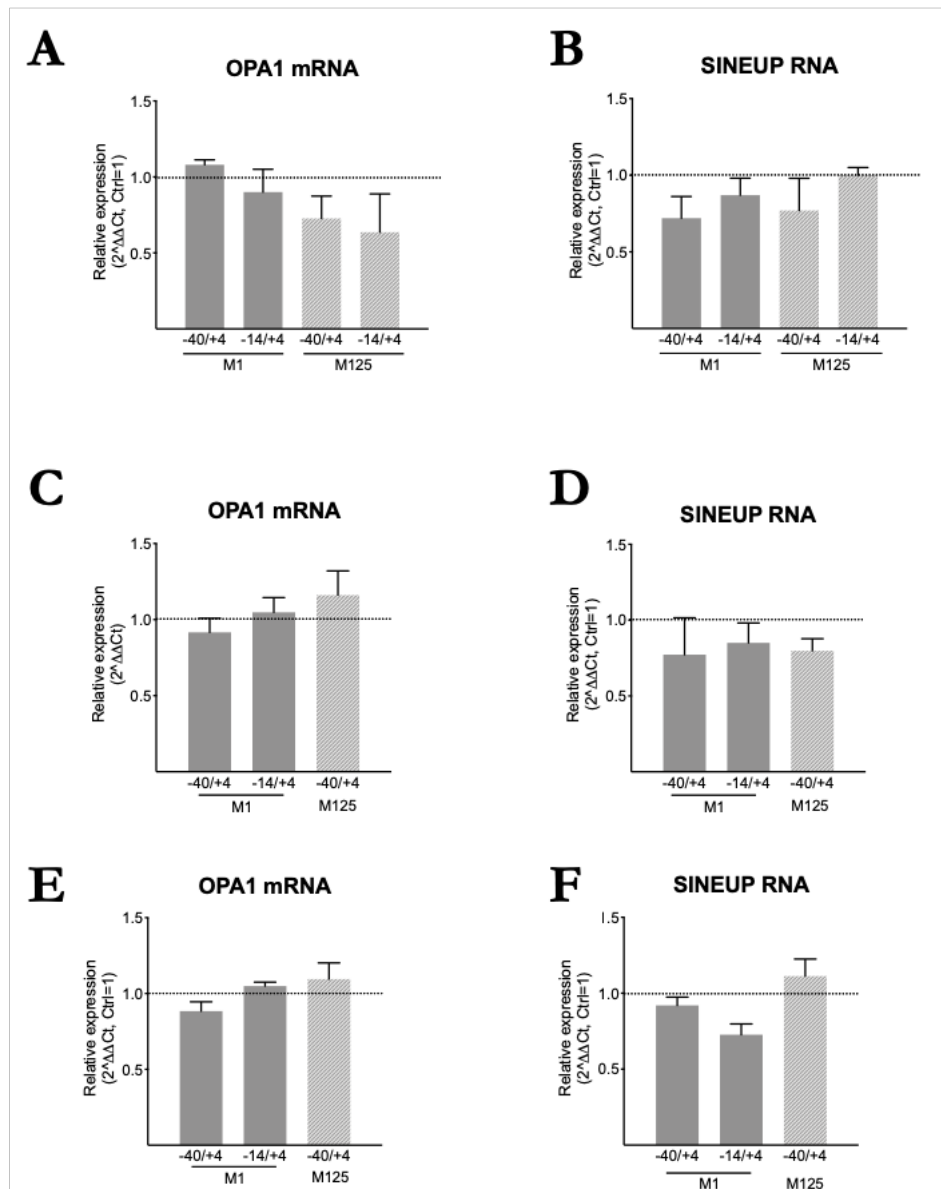
Supplementary Figure 2 Total RNA expression analysis. **A**) *DJ1* mRNA levels were analyzed by qRT-PCR with specific primers. *DJ1* mRNA expression was stable (ns, $p > 0.05$). **B**) miniSINEUP-RNA levels were analyzed by qRT-PCR with specific primers. miniSINEUP-DJ1 expression was stable (ns, $p > 0.05$) **C**) *METTL3* mRNA levels were analyzed by qRT-PCR with specific primers. mRNA expression confirmed 0.7 fold knock-down (****, $p > 0.0001$)



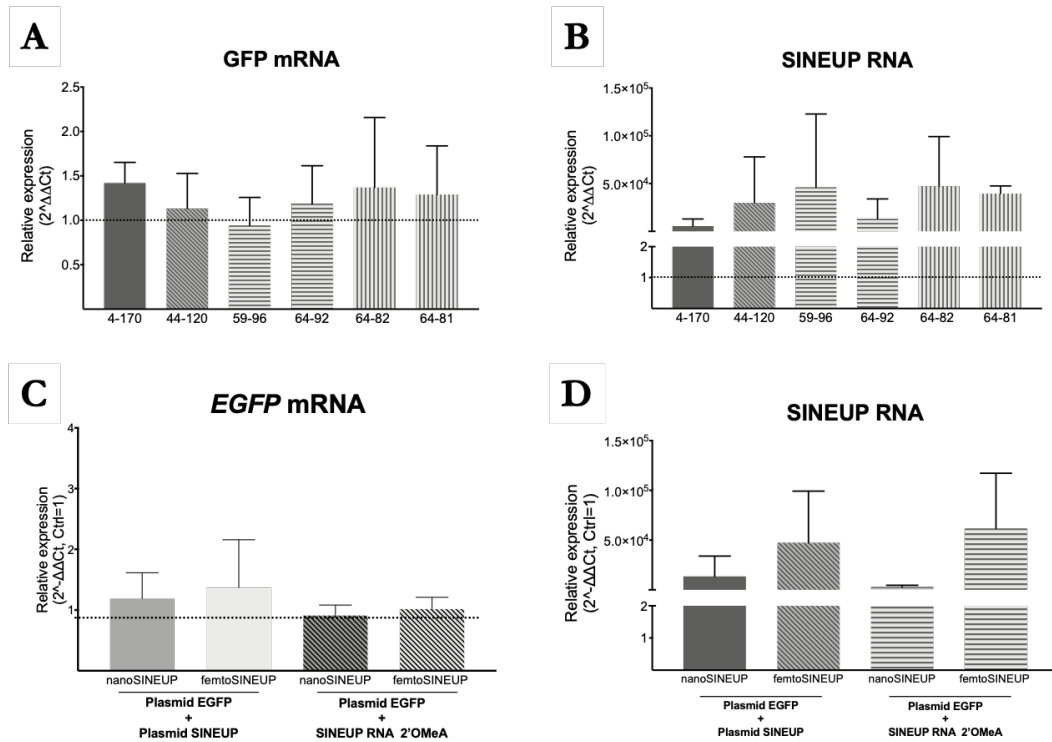
Supplementary Figure 3 Total RNA expression analysis. **A**) *DJ1* mRNA levels were analyzed by qRT-PCR with specific primers. *DJ1* mRNA expression was stable (ns, $p > 0.05$). **B**) miniSINEUP-RNA variants levels were analyzed by qRT-PCR with specific primers. miniSINEUP-DJ1 expression was stable (ns, $p > 0.05$)



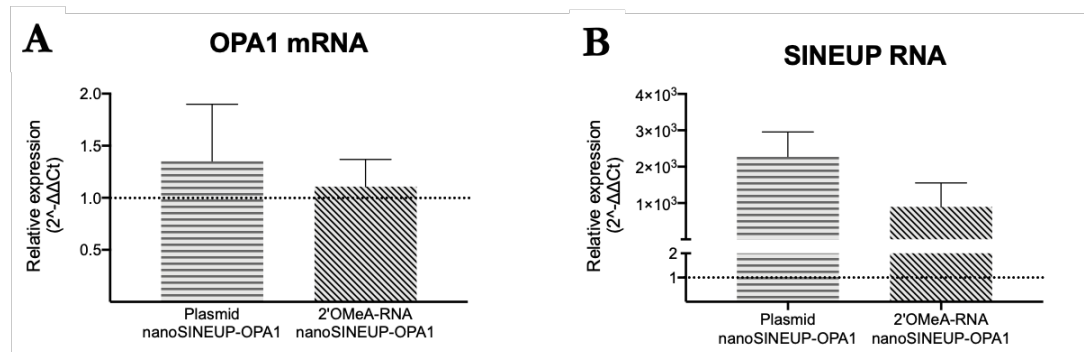
Supplementary Figure 4 **A**) Left: *DJ1* mRNA levels were analyzed by qRT-PCR with specific primers. *DJ1* mRNA expression was stable (ns, $p > 0.05$). Center: SINEUP-RNA levels were analyzed by qRT-PCR with specific primers. miniSINEUP-DJ1 expression was stable (ns, $p > 0.05$) Right: *METTL3* mRNA levels were analyzed by qRT-PCR with specific primers. mRNA expression confirmed -0.7 fold knock-down (****, $p > 0.0001$). Data are normalized on *GAPDH* mRNA expression in total RNA and derive from three independent experiments. Data indicate mean \pm SEM from three independent experiments. **B**) *GAPDH* mRNA polysome profiling. Data are expressed as percentages of total RNA in each fraction and derive from three independent experiments. Data indicate mean \pm SEM from three independent experiments. **C-E**) Polysome profile of untransfected A549 ShCtrl (black) and ShMETTL3 (purple) knock-down cells. **C**) Representative ribosome fractionation profile with optical density 260 nm, obtained with a 15% to 50% sucrose gradient. Equal volumes from 3 fractions for each Free RNA-40S-60S, 80S, Light Polysomes and Heavy Polysomes were pulled for RNA extraction and qRT-PCR Real-time analysis. **C**) *DJ1* mRNA polysome profiling. **D**) *GAPDH* mRNA polysome profiling. Data are expressed as percentages of total RNA in each fraction and derive from three independent experiments. Data indicate mean \pm SEM from two independent experiments.



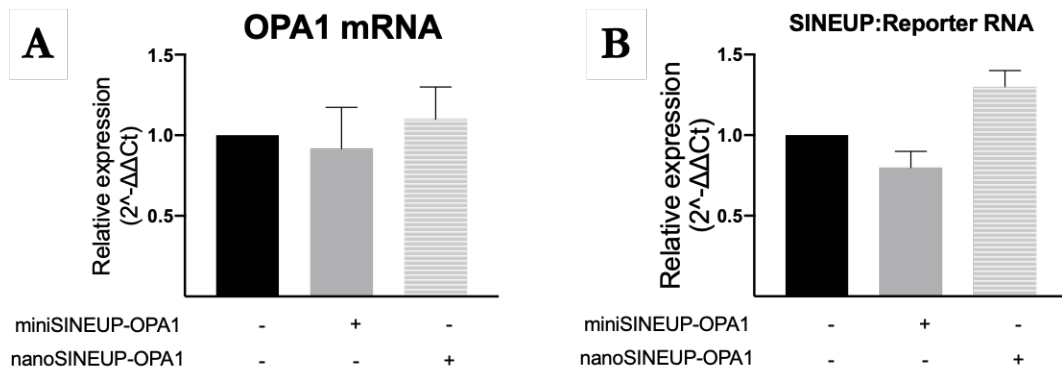
Supplementary Figure 5 Total RNA expression analysis. A-B) HEK293T/17 cells were transfected with miniSINEUP-OPA1 variants and control vector (ΔBD) and harvested 48 hours post-transfection. **A**) *OPA1* mRNA levels were analyzed by qRT-PCR with specific primers. *OPA1* mRNA expression was stable (ns, $p > 0.05$). **B**) miniSINEUP-RNA variants expression level was analyzed by qRT-PCR with specific primers. miniSINEUP variants expression was stable (ns, $p > 0.05$) **C-D**) N2A cells were transfected with miniSINEUP-OPA1 variants and control vector (ΔBD) and harvested 48 hours post-transfection. **C**) *OPA1* mRNA levels were analyzed by qRT-PCR with specific primers. *OPA1* mRNA expression was stable (ns, $p > 0.05$). **D**) miniSINEUP-RNA variants expression level was analyzed by qRT-PCR with specific primers. miniSINEUP variants expression was stable (ns, $p > 0.05$). **E-F**) C8-D1A astrocytes were transfected with miniSINEUP-OPA1 variants and control vector (ΔBD) and harvested 48 hours post-transfection. **E**) *OPA1* mRNA levels were analyzed by qRT-PCR with specific primers. *OPA1* mRNA expression was stable (ns, $p > 0.05$). **F**) miniSINEUP-RNA variants expression level was analyzed by qRT-PCR with specific primers. miniSINEUP variants expression was stable (ns, $p > 0.05$)



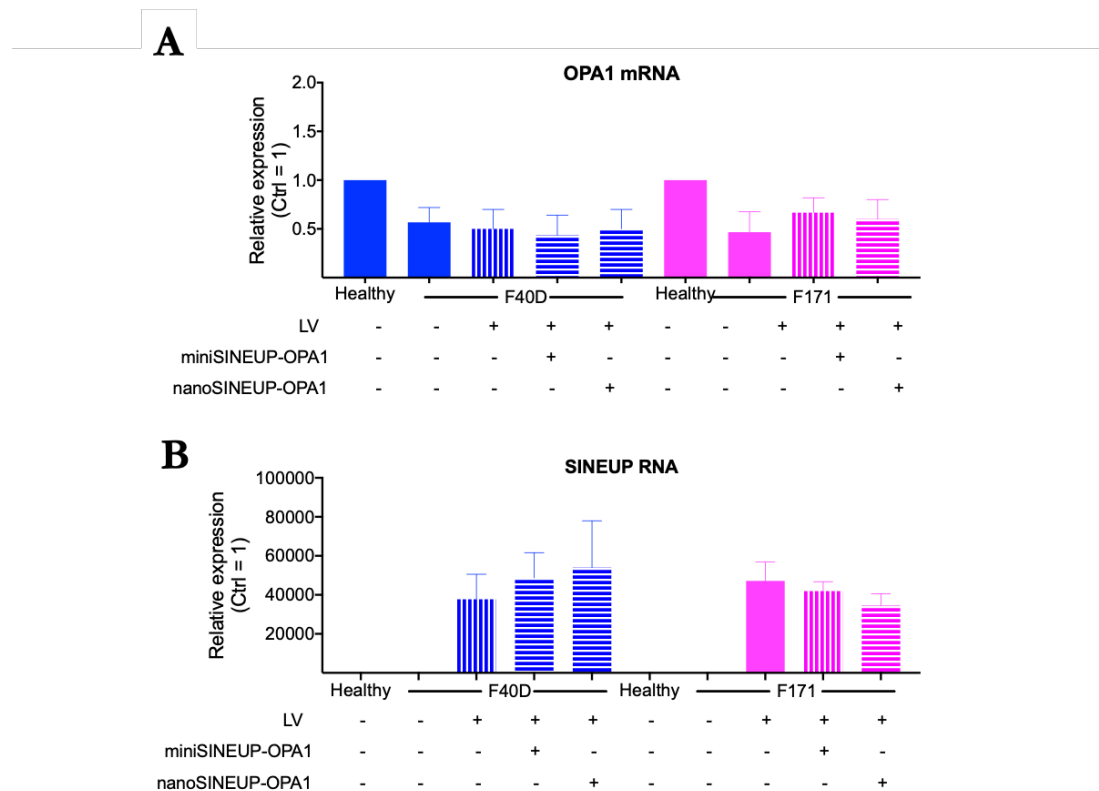
Supplementary Figure 6 Total RNA expression analysis. **A-B)** HEK293T/17 cells were transfected with SINEUP-GFP variants and control vector and harvested 48 hours post-transfection. **A)** *EGFP* mRNA levels were analyzed by qRT-PCR with specific primers. *EGFP* mRNA expression was stable (ns, $p > 0.05$). **B)** SINEUP-RNA variants expression level was analyzed by qRT-PCR with specific primers. SINEUP variants expression was stable (ns, $p > 0.05$). **C-D)** HEK293T/17 cells were transfected with SINEUP-GFP variants and control plasmid vector, expressing the only EGFP, or with control and ASO-SINEUP-GFP. ASO-SINEUP-GFP negative control consists of the only nanoED sequence. Cells were harvested 48 hours post-transfection. **C)** *EGFP* mRNA levels were analyzed by qRT-PCR with specific primers. *EGFP* mRNA expression was stable (ns, $p > 0.05$). **D)** SINEUP-RNA variants expression level was analyzed by qRT-PCR with specific primers. SINEUP variants expression was stable (ns, $p > 0.05$)



Supplementary Figure 7 Total RNA expression analysis. HEK293T/17 cells were transfected with SINEUP-OPA1 variants and control plasmid vector, or with control and ASO-SINEUP-OPA1 variants. ASO-SINEUP-OPA1 negative control consists of the only nanoED sequence. Cells were harvested 48 hours post-transfection. **A)** *OPA1* mRNA levels were analyzed by qRT-PCR with specific primers. *OPA1* mRNA expression was stable (ns, $p > 0.05$). **D)** SINEUP-OPA1 RNA variants expression level was analyzed by qRT-PCR with specific primers. SINEUP variants expression was stable (ns, $p > 0.05$)



Supplementary Figure 8 Total RNA expression analysis. **A-B**) HEK293T/17 cells were transfected with SINEUP-OPA1 variants and control vector and harvested 48 hours post-transfection. **A**) *OPA1* mRNA levels were analyzed by qRT-PCR with specific primers. *OPA1* mRNA expression was stable (ns, $p > 0.05$). **B**) SINEUP-RNA variants:reporter TurboRFP mRNA expression level was analyzed by qRT-PCR with specific primers. SINEUP variants expression was stable (ns, $p > 0.05$)



Supplementary Figure 8 Total RNA expression analysis. Human primary fibroblast lines were transduced with control, mini- and nanoSINEUP-OPA1 expressing lentiviral. Cells were FACS sorted for highest and most stable reporter expression to establish stably expressing cell lines. **A**) *OPA1* mRNA levels were analyzed by qRT-PCR with specific primers. *OPA1* mRNA expression was stable (ns, $p > 0.05$). **D**) SINEUP-OPA1 RNA variants expression level was analyzed by qRT-PCR with specific primers. SINEUP variants expression was stable (ns, $p > 0.05$)

Supplementary tables

<i>SybrGreen qRT-PCR Oligo Name:</i>	<i>Forward (5'-->3')</i>	<i>Reverse (5'-->3')</i>
<i>bGAPDH</i>	TCTCTGCTCCTCCTGTTC	GCCCAATACGACCAAATCC
<i>mACT</i>	CACACCCGCCACCAGTTC	CCCATTCCCACCATCACACC
<i>45S rRNA</i>	GAACGGTGGTGTGTCTGTT	GCGTCTCGTCTCGTCTCACT
<i>bMETTL3</i>	CTGAGGCAGGAGAATTGCTT	GGCAGCCATACACGTTAAGA
<i>bHPRT1</i>	TGACACTGGCAAAACAATGC	GGTCCCTTTTCACCAGCAAGCT
<i>bSON</i>	TGACAGATTTGGATAAAGGCTCA	GCTCCTCCTGACTTTTTTAGCAA
<i>bCREBBP</i>	CATGGCCAAGATGGGAATAA	TGCATCTGAGACATATTTGGC
<i>bRFP</i>	AAGCTGTACATGGAGGGCAC	CCGGGCATCTTGAGGTTCTT
<i>AS Uchl1</i>	CTGGTGTGTATCTCTTATGC	CTCCCAGTCTCTGTAGC
<i>mUchl1</i>	CCCGCCGATAGAGCCAAG	ATGGTTCACTGGAAAGGG
<i>pTS invB2</i>	CAGTGCTAGAGGAGGTCAGAAG A	GGAGCTAAAGAGATGGCTCAGC ACT
<i>Overlap</i>	CTCGGGGTTAATCTCCATCGGC	TCTGCTCCCGTCTCCC
<i>m6A amp</i>	ATATGTTTACAAGCCCCACACCA	TCTGACCTCCTCTAGCACTGA
<i>bOPA1</i>	GACAAAGAGAAAATTGACCAACT TCAGGAAG	CTTAAGCTTTCTATGATGAATGC CTTTGTCA
<i>mOPA1</i>	GCITCAAAGACCCCAACTAAGGA CAC	CCITGCTGGCCAAAAGTTCCTGC G
<i>nanoSINEUP</i>	GCCGGCGGGGAATCTG	TGGTGGTTCACAACCACCACG
<i>bOPA1.2</i>		
<i>bDJ1</i>	GAGACGGTCATCCCTGTAG	CATCTTCAAGGCTGGCATC
<i>EGFP</i>	GCCCGACAACCACTACCTGAG	CGGCGGTCACGAACTCCAG
<i>inv GFP</i>	AGGAGCGCACCATCTTC	GATGCCCTTCAGCTCGAT
<i>BstI RT Assay Oligo Name:</i>	Reverse (5'-->3')	
<i>m6A -</i>	GAGCTAAAGAGATGGCT	
<i>m6A 44-48 +</i>	GGTTACCGTATAACTCC	
<i>m6A 61-65 +</i>	TTTACAACCACCACGA	
<i>m6A 79-83 +</i>	CTCAATATCCATCCACATG	
<i>m6A 109-113 +</i>	TCTTGCACAGGACCAG	
<i>A165+</i>	CCTCCTCTGCTTGT	
<i>A197+</i>	GTGCATGGGGGAG	
<i>A275+</i>	GCTACCATGCCAG	
<i>A390+</i>	GGTTACCGTATAACTCCAG	
<i>A801+</i>	CTCCCTCTCTGCTTG	
<i>A845+</i>	CAGTTTGCTAAGGAACATAG	
<i>A1231+</i>	CATCGGTTCAATGGAAG	
<i>A759+</i>	AAAGGGCCTTATTACAAAG	
<i>A544+</i>	AGCTCCCTTGCTG	
<i>m6A ASUchl1 -</i>	GGAGCTAAAGAGATGGC	

Supplementary Table 1 Complete list of primers used in this study.

NAME	BACKBONE	BD	ED (<i>invB2</i>)
DJ1 O/L (BD)	pCS2+	DJ1 BD (-40/+4)	-
EGFP	pDUAL	-	-
Empty pCS2+	pCS2+	-	-
<i>femto</i> -DJ1.1	pCS2+	DJ1 BD (-40/+4)	64-81
<i>femto</i> -DJ1.2	pCS2+	DJ1 BD (-40/+4)	64-82
<i>femto</i> -GFP.1	pCS2+	DJ1 BD (-40/+4)	64-81
<i>femto</i> -GFP.1	pDUAL	GFP BD (-40/+32)	64-82
<i>femto</i> -GFP.2	pCS2+	GFP BD (-40/+32)	64-81
<i>femto</i> -GFP.2	pDUAL	GFP BD (-40/+32)	64-82
<i>femto</i> -OPA1H2.1	pCS2+	Human OPA1 BD2 (-14/+4)	64-81
<i>femto</i> -OPA1H2.2	pCS2+	Human OPA1 BD2 (-14/+4)	64-82
GFP O/L (BD)	pCS2+	GFP BD (-40/+32)	-
<i>mini-b</i> OPA1.1	pCS2+	Human OPA1 BD1 (-40/+4)	1-170
<i>mini-b</i> OPA1.2	pCS2+	Human OPA1 BD2 (-14/+4)	1-170
<i>mini-b</i> OPA1.2	pDUAL	Human OPA1 BD2 (-14/+4)	1-170
<i>mini-b</i> OPA1.3	pDUAL	Human OPA1 BD3 (-40/+4)	1-170
<i>mini-b</i> OPA1.3	pCS2+	Human OPA1 BD3 (-40/+4)	1-170
<i>mini-b</i> OPA1.4	pCS2+	Human OPA1 BD3 (-14/+4)	1-170
<i>mini-m</i> OPA1.1	pCS2+	Mouse OPA1 BD1 (-40/+4)	1-170
<i>mini-m</i> OPA1.2	pCS2+	Mouse OPA1 BD2 (-14/+4)	1-170
<i>mini-m</i> OPA1.3	pCS2+	Mouse OPA1 BD3 (-41/+4)	1-170
<i>mini</i> ED/ Δ BD	pCS2+	-	1-170
<i>mini</i> -SINEUP DJ1	pCS2+	DJ1 BD (-40/+4)	1-170
<i>mini</i> -SINEUP GFP	pDUAL	DJ1 BD (-40/+4)	1-170
<i>nano</i> -DJ1.1	pCS2+	DJ1 BD (-40/+4)	54-96
<i>nano</i> -DJ1.2	pCS2+	DJ1 BD (-40/+4)	64-92
<i>nano</i> -GFP.1	pCS2+	GFP BD (-40/+32)	54-96
<i>nano</i> -GFP.1	pDUAL	GFP BD (-40/+32)	54-96
<i>nano</i> -GFP.2	pCS2+	GFP BD (-40/+32)	64-92
<i>nano</i> -GFP.2	pDUAL	GFP BD (-40/+32)	64-92
<i>nano</i> -OPA1H2.1	pCS2+	Human OPA1 BD2 (-14/+4)	54-96
<i>nano</i> -OPA1H2.2	pCS2+	Human OPA1 BD2 (-14/+4) ^{h2}	64-92
<i>p</i> EGFP	pCMV	GFP	-
Plasmid #26046	pMSCV	human OPA1 isoform 1	-
Plasmid #62845	pclbw	myc-tagged Opa1 mouse isoform1	-
<i>p</i> LKO <i>mini-b</i> OPA1.2	pLKO LV	Human OPA1 BD2 (-14/+4)	1-170
<i>p</i> LKO <i>nano-b</i> OPA1.2	pLKO LV	Human OPA1 BD2 (-14/+4)	64-92
<i>p</i> LKO Δ BD	pLKO LV	-	1-170
<i>p</i> LV <i>mini-b</i> OPA1.2	VB LV	Human OPA1 BD2 (-14/+4)	1-170

<i>pLV_{nano}-bOPA1.2</i>	VB LV	Human OPA1 BD2 (-14/+4)	64-92
<i>pLV_ΔBD</i>	VB LV	-	1-170
<i>SINEUP 005</i>	pCS2+	GFP BD (-40/+32)	FL
<i>micro-bOPA1.2</i>	pDUAL	Human OPA1 BD2 (-14/+4)	44-120
<i>micro-bOPA1.3</i>	pDUAL	Human OPA1 BD3 (-40/+4)	44-120
<i>micro-DJ1</i>	pCS2+	DJ1 BD (-40/+4)	44-120
<i>micro-GFP</i>	pDUAL	GFP BD (-40/+32)	44-120
<i>micro-GFP.1</i>	pCS2+	GFP BD (-40/+32)	44-120
<i>micro-bOPA1.2</i>	pCS2+	Human OPA1 BD2 (-14/+4)	44-120
<i>micro-bOPA1.3</i>	pCS2+	Human OPA1 BD3 (-40/+4)	44-120
<i>miniDJ1-A46U</i>	pCS2+	DJ1 BD (-40/+4)	1-170 A46U
<i>miniDJ1-AAA109-111UUU</i>	pCS2+	DJ1 BD (-40/+4)	1-170 AAA109-111UUU
<i>miniDJ1-A46U;AAA109-111UUU</i>	pCS2+	DJ1 BD (-40/+4)	1-170 A46U;AAA109-111UUU
<i>AS Uchl1</i>	pCDNA3.1(-)	Uchl1 BD (-70/+32)	FL
<i>AS Uchl1 A275U</i>	pCDNA3.1(-)	Uchl1 BD (-70/+32)	FL A275U
<i>AS Uchl1 A46U</i>	pCDNA3.1(-)	Uchl1 BD (-70/+32)	FL A46U
<i>AS Uchl1 AAA109-111UUU</i>	pCDNA3.1(-)	Uchl1 BD (-70/+32)	FL AAA109-111UUU
<i>AS Uchl1 A275U;A46U;AAA109-111UUU</i>	pCDNA3.1(-)	Uchl1 BD (-70/+32)	FL A275U;A46U;AAA109-111UUU

Supplementary Table 2 Complete list of plasmids used in this study

Acknowledgments

This thesis is the result of more than four years of professionally and personally challenging work that wouldn't have been possible without the critical thinking, mentoring, and constructive dialogue with my supervisor Prof. Stefano Gustincich.

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