

Comments on 3d Seiberg-like dualities

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ABSTRACT: We study Seiberg-like dualities in three dimensional $\mathcal{N} = 2$ supersymmetric theories, emphasizing Chern-Simons terms for the global symmetry group, which affect contact terms in two-point functions of global currents and are essential to the duality map. We introduce new Seiberg-like dualities for Yang-Mills-Chern-Simons theories with unitary gauge groups with arbitrary numbers of matter fields in the fundamental and antifundamental representations. These dualities are derived from Aharony duality by real mass deformations. They allow to initiate the systematic study of Seiberg-like dualities in Chern-Simons quivers. We also comment on known Seiberg-like dualities for symplectic and orthogonal gauge groups and extend the latter to the Yang-Mills case. We check our proposals by showing that the localized partition functions on the squashed S^3 match between dual descriptions.

KEYWORDS: Supersymmetry and Duality, Duality in Gauge Field Theories, Chern-Simons Theories, Solitons Monopoles and Instantons

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1 Introduction

Dualities in supersymmetric gauge theories are powerful tools providing a better understanding of the strongly coupled regime. In this paper we study some dualities in Yang-Mills (YM) and Yang-Mills-Chern-Simons (YM-CS) theories in three dimensions preserving 4 supercharges ($\mathcal{N} = 2$). In three dimensions the Yang-Mills coupling g^2 is dimensionful, making the theory super-renormalizable but often strongly coupled in the infrared (IR). The theories we consider are believed to flow to interacting fixed points in the IR. In the case where a Chern-Simons term is present one can also define the theory without Yang-Mills coupling, so that the Lagrangian is classically marginal; such a theory is also exactly superconformal in the sense of [1], albeit strongly coupled unless the CS level k or the number of flavors is large, and it can be thought of as the IR limit of a YM-CS theory.

The type of duality we want to consider is an exact equivalence between the IR fixed points of two distinct YM-CS theories. Such an “IR duality” is reminiscent of four-dimensional $\mathcal{N} = 1$ Seiberg duality in the conformal window [2]. There are roughly two kinds of IR dualities which are known to exist in 3d $\mathcal{N} = 2$ gauge theories. The first kind is known as mirror symmetry [3, 4]: its hallmark is that it exchanges the role of fundamental fields and monopole operators [5, 6]. The second kind of duality, more akin to Seiberg duality [5, 7–10], has recently attracted renewed attention [11–19]. In this paper we will focus on such “Seiberg-like” dualities.

We stress that the Chern-Simons terms for global symmetries, which we call “global CS terms”, can change under duality (section 2). We consider (section 3) novel Seiberg-like dualities for YM-CS theories with unitary gauge groups and generic number of fundamental and antifundamental matter representations. They can be obtained from dual pairs of theories considered by Aharony [9] via RG flows triggered by real mass deformations.¹ We are careful to specify the relative global CS terms that arise in these dualities. These terms become especially important when (part of) the global symmetries are gauged within a larger theory, e.g. a quiver (section 4). We support our claims (section 5) by showing that the partition functions Z on the squashed 3-sphere S_b^3 [21] agree on the two sides of the dualities. By numerical computation (section 6) we can check that $|Z|$ increases along the RG flow in some simple cases, giving support to the conjectured “F-theorem” [22]. We further comment (sections 7 and 8) on previously known dualities for the case of symplectic and orthogonal gauge groups and extend the orthogonal dualities of [15] to Yang-Mills theories without CS interactions.² These results can be used to check the duality between $\mathcal{N} = 5$ $\text{Usp}(2N_{\text{Usp}})_k \times O(N_O)_{-2k}$ theories put forward in ABJ [11] from the partition function.

2 Global Chern-Simons terms

Let us consider YM-CS theories with a semi-simple global symmetry group G . We assume that there are no accidental symmetries in the IR. Let J_I , $I = 1, \dots, \text{rank}(G)$ be the conserved currents in the Cartan subalgebra of G . The two-point function of conserved

¹Some of these flows to vectorlike theories were previously analyzed in [20].

²The latter duality is also proposed in [23].

currents in three dimension can contain a conformally invariant contact term [24]

$$\langle J_I^\mu(x) J_J^\nu(y) \rangle = -\frac{w_{IJ}}{4\pi} \epsilon^{\mu\nu\rho} \partial_\rho \delta^3(x-y) + \dots \tag{2.1}$$

The coefficients w_{IJ} are part of the definition of the conformal theory in the IR.

In the UV where we have a Lagrangian description, the contact terms (2.1) correspond to Chern-Simons interactions for the background gauge fields coupling to the conserved currents,

$$S_{\text{background}} = \sum_{I,J} \frac{k_{IJ}}{4\pi} \int_{M_3} A_I \wedge dA_J, \tag{2.2}$$

where M_3 is the manifold on which the theory is defined. We have $w_{IJ}^{UV} = k_{IJ}$. The formulas for the non-Abelian symmetries are similar. For a pair of dual theories with the same IR physics, it might happen that there is a relative global Chern-Simons term so that the IR contact terms w_{IJ} in (2.1) agree. This was noticed previously in the context of mirror symmetry [25] and we find this also to be the case in many Seiberg-like dualities.

Let us further comment on the $\mathcal{N} = 2$ supersymmetric completion of such interactions. Whenever J_I is the current of a non-R Abelian symmetry, J_I^μ is simply the $\theta\bar{\theta}$ component of a linear superfield \mathcal{J}_I (with $D^2\mathcal{J}_I = \bar{D}^2\mathcal{J}_I = 0$), it couples to a background vector superfield V_I , and the $\mathcal{N} = 2$ completion of (2.2) is

$$\sum_{I,J} \frac{k_{IJ}}{4\pi} \int d^3x \int d^4\theta V_I \Sigma_J, \tag{2.3}$$

where $\Sigma_J = \epsilon^{\alpha\beta} \bar{D}_\alpha D_\beta V_J$ is the linear multiplet containing the field strength of V_J . On the other hand, the supersymmetric gauging of the R-symmetry is more subtle; see [21, 26, 27] for recent discussions. The R-symmetry current J_R^μ is the lowest component of a R-multiplet \mathcal{R}_μ including the supercurrent and the stress-energy tensor. It can be coupled minimally to supergravity: there exists a supergravity multiplet³ whose highest component is a gauge field $A_\mu^{(R)}$ for local R-symmetry transformations (it is an auxiliary field in the off-shell SUGRA multiplet). Therefore there exists an $\mathcal{N} = 2$ completion of the CS term

$$\frac{k_{RR}}{4\pi} \int A^{(R)} \wedge dA^{(R)}, \tag{2.4}$$

which involves supersymmetry preserving background fields in the SUGRA multiplet, in the spirit of [21, 27]. A full description of this is left for the future.

3 Dualities for $U(n)$ theories with “chiral” matter

Let us consider the following “SQCD-like” theory in three dimensions. We take a $\mathcal{N} = 2$ supersymmetric gauge theory with gauge group $U(n)$, coupled to s_1 matter superfields \tilde{Q}^a in the antifundamental representation \bar{n} and s_2 matter superfields Q_b in the fundamental representation n ; in short we denote this matter content (s_1, s_2) , and the quiver diagram

³It is the dimensional reduction from 4 to 3 dimensions of the new-minimal SUGRA multiplet of [28].

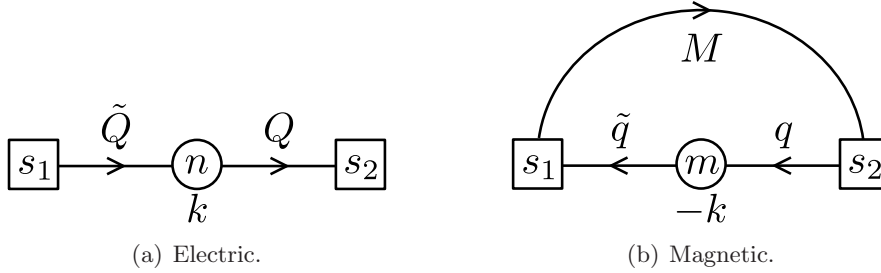


Figure 1. (a) Quiver diagram of the $U(n)_k$ electric theory. (b) Quiver diagram of the magnetic $U(m)_{-k}$ theory, where m is defined in (3.11). For special values of the parameters, there might be extra singlets T and/or \tilde{T} in addition to the mesons M , as explained in the text.

is in figure 1 (left). In addition to the super-Yang-Mills couplings for the $U(n)$ vector multiplet, we allow for $\mathcal{N} = 2$ Chern-Simons interactions, with Chern-Simons level k . Due to the absence of chiral anomalies in three dimensions, we can take $s_1 \neq s_2$, a situation we loosely call “chiral”. On the other hand, cancellation of a \mathbb{Z}_2 anomaly [29, 30] requires that

$$k + \frac{1}{2}(s_1 + s_2) \in \mathbb{Z}. \quad (3.1)$$

This theory is expected to flow to an interacting fixed point in the IR, generally strongly coupled. Dualities can be very useful to obtain more clues about the IR dynamics, for instance about the chiral ring of superconformal primaries.

3.1 Aharony’s duality

The case of a $U(n)$ gauge group with $k = 0$ and matter content (n_f, n_f) has been studied in detail over the years [5, 7, 9, 31]. Classically this three-dimensional SQCD theory has both a Coulomb and a Higgs branch. The Higgs branch corresponds to VEVs for the gauge-invariant mesons $M = \tilde{Q}Q$. The Coulomb branch corresponds to VEVs for the adjoint scalar σ in the vector multiplet. Since a generic VEV for σ breaks the gauge group to its maximal torus and it gives mass to all quarks, the low energy theory along the Coulomb branch consists of free photons (and their superpartners) for the unbroken $U(1)^n$ gauge group. The free vector multiplets can be dualized into chiral superfields $\Phi_i = \frac{2\pi}{e^2}\sigma_i + i\varphi_i$, where φ_i are dual photons (of period 2π), and the classical Coulomb branch is parameterized by monopole operators $Y_i \sim \exp \Phi_i$. However instanton corrections lift most of the Coulomb branch [5, 7, 9]. The only remaining monopole operators are the ones with flux $H = (\pm 1, 0, \dots, 0)$ in the Cartan of the gauge group, which we denote as T and \tilde{T} . They have charge ± 1 under the topological symmetry with current $\text{Tr}(*F)$. At the quantum level, for $n_f < n - 1$ a dynamically generated superpotential lifts all SUSY vacua. For $n_f = n - 1$ the singular classical moduli space is deformed quantum-mechanically. We will focus on the case $n_f \geq n$, for which there is an effective superpotential on the moduli space,

$$W_{\text{eff}} = (n_f - n + 1)(T\tilde{T} \det M)^{\frac{1}{n_f - n + 1}}. \quad (3.2)$$

In the case $n_f = n$ the IR physics is described by a sigma model for the chiral superfields M, T and \tilde{T} . For $n_f > n$ the effective superpotential (3.2) correctly describes the moduli

space⁴ except for the origin $M = T = \tilde{T} = 0$ where it is singular. In 4d $\mathcal{N} = 1$ SQCD it is well known that the same problem is cured by the introduction of a dual gauge group coupled to dual quarks which can be weakly coupled (below the 4d conformal window) [2]. In three dimensions the gauge coupling is never IR free, but a situation similar to the 4d conformal window, with two different theories flowing to the same IR fixed point, can occur. Such a Seiberg-like duality was proposed by Aharony a while ago [9].

Let us call the $\mathcal{N} = 2$ supersymmetric $U(n)$ gauge theory with matter content (n_f, n_f) , denoted (\tilde{Q}^a, Q_b) , the “electric” theory. The “magnetic” theory of [9] is a $U(n_f - n)$ gauge theory with matter content (n_f, n_f) , denoted (q^a, \tilde{q}_b) , together with n_f^2 singlets M_b^a , two singlets T and \tilde{T} and a superpotential

$$W = \tilde{q}Mq + tT + \tilde{t}\tilde{T}, \tag{3.3}$$

where t and \tilde{t} are the monopole operators in the $U(n_f - n)$ gauge group with Abelian flux +1 and -1 respectively. The description of the magnetic theory is to be taken with a grain of salt, because of the appearance of monopole operators in W [9]. The significance of (3.3) is that we have the relations

$$q\tilde{q} = 0, \quad t = 0, \quad \tilde{t} = 0, \tag{3.4}$$

in the chiral ring of the magnetic theory. We also have

$$\tilde{q}M = 0, \quad Mq = 0, \tag{3.5}$$

which tell us that for generic q, \tilde{q} the meson matrix M has at least $n_f - n$ zero eigenvalues, so that $\text{rank } M \leq n$ like in the electric theory. The representations under gauge and global symmetries of the fields in both theories are:

	$U(n)$	$U(n_f - n)$	$SU(n_f)$	$SU(n_f)$	$U(1)_A$	$U(1)_M$	$U(1)_R$
\tilde{Q}	$\bar{\mathbf{n}}$	$\mathbf{1}$	\mathbf{n}_f	$\mathbf{1}$	1	0	r_Q
Q	\mathbf{n}	$\mathbf{1}$	$\mathbf{1}$	$\bar{\mathbf{n}}_f$	1	0	r_Q
\tilde{q}	$\mathbf{1}$	$\mathbf{n}_f - \mathbf{n}$	$\bar{\mathbf{n}}_f$	$\mathbf{1}$	-1	0	$1 - r_Q$
q	$\mathbf{1}$	$\overline{\mathbf{n}_f - \mathbf{n}}$	$\mathbf{1}$	\mathbf{n}_f	-1	0	$1 - r_Q$
M	$\mathbf{1}$	$\mathbf{1}$	\mathbf{n}_f	$\bar{\mathbf{n}}_f$	1	0	$2r_Q$
T	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$-n_f$	1	$n_f(1 - r_Q) - n + 1$
\tilde{T}	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$-n_f$	-1	$n_f(1 - r_Q) - n + 1$
t	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	n_f	-1	$-n_f(1 - r_Q) + n + 1$
\tilde{t}	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	n_f	1	$-n_f(1 - r_Q) + n + 1$

(3.6)

The duality identifies M with the mesons $\tilde{Q}Q$, and the singlets T, \tilde{T} with the gauge-invariant monopole operators of the electric theory. In the magnetic theory we also have

⁴For $\tilde{T} = T = 0$ we have $\text{rank}(M) \leq n$; if either T or \tilde{T} is non-zero we have $\text{rank}(M) \leq n - 1$, while if both T and \tilde{T} are non-zero then $\text{rank}(M) \leq n - 2$. In particular the semi-classical result that $M = \tilde{Q}Q$ has at most rank $n < n_f$ is reproduced.

monopole operators t and \tilde{t} which survive instanton corrections of the $U(n_f - n)$ gauge group.⁵ However they vanish in the chiral ring, due to (3.4).

This duality has received renewed attention recently: it has been checked at the level of the partition function in [14] and the matching of the superconformal index between the dual theories was discussed in [16].

3.2 Chiral SQCD with Chern-Simons levels

In the more general case $s_1 \neq s_2$, the Higgs branch of the $U(n)$ theory is still parametrized by mesons $M = \tilde{Q}Q$, but the Coulomb branch is generically lifted. Indeed, for a generic VEV $\sigma = \text{diag}(x_1, \dots, x_n)$, integrating out the massive quarks generates Chern-Simons terms for the vector multiplets in $U(1)^n$. For $U(1)_i \subset U(1)^n$, we have

$$k_i^{\text{eff}} = k - \frac{1}{2}(s_1 - s_2) \text{sign}(x_i), \quad (3.7)$$

where k is the (possibly vanishing) bare CS level of the $U(n)$ gauge group. A non-zero Chern-Simons level gives a so-called topological mass $m_T = g^2 k / 2\pi$ [32] to the Abelian vector multiplets, lifting the Coulomb branch at one-loop, even before instanton corrections are taken into account. Let us define the effective CS levels

$$k_{\pm} \equiv k \pm \frac{1}{2}(s_2 - s_1). \quad (3.8)$$

There are four interesting cases according to the signs of k_+ and k_- , which we denote by

$$\begin{aligned} [\mathbf{p}, \mathbf{q}]a &= [-k_+, -k_-]a, & [\mathbf{p}, \mathbf{q}]b &= [k_+, k_-]b, \\ [\mathbf{p}, \mathbf{q}]^*a &= [-k_+, k_-]^*a, & [\mathbf{p}, \mathbf{q}]^*b &= [k_+, -k_-]^*b. \end{aligned} \quad (3.9)$$

The labels $[\mathbf{p}, \mathbf{q}]$ are by definition non-negative integers. In fact there are only two inequivalent cases, say $[\mathbf{p}, \mathbf{q}]a$ and $[\mathbf{p}, \mathbf{q}]^*a$, because the b -cases are related to them by a CP transformation. We will therefore consider the a -cases in the following. The limiting cases between the a and *a theories, such as $[\mathbf{p}, \mathbf{0}]a$, are quite interesting: one of the two effective CS levels vanishes and half of the Coulomb branch remains unlifted.

The global symmetry group of our theories is $SU(s_1) \times SU(s_2) \times U(1)_A \times U(1)_M \times U(1)_R$, unless $s_1 s_2 = 0$ in which case $U(1)_A$ is not present. We have

$U(n)$	$SU(s_1)$	$SU(s_2)$	$U(1)_A$	$U(1)_M$	$U(1)_{R_0}$	(3.10)
\tilde{Q}	$\bar{\mathbf{n}}$	\mathbf{s}_1	$\mathbf{1}$	1	0	0
Q	\mathbf{n}	$\mathbf{1}$	$\bar{\mathbf{s}}_2$	1	0	0

The fundamental fields are neutral under the topological symmetry $U(1)_M$, but monopole operators are charged under it. The $U(1)_{R_0}$ defined here is a convenient choice of UV R-charge, corresponding to $r_Q = 0$ in (3.6). We will discuss the superconformal R-symmetry in more detail in section 6.

⁵Note that the topological charge $M = M_e$ of the electric theory is related to the topological charge M_m of the magnetic theory by $M_e = -M_m$.

These theories either break supersymmetry spontaneously (in some cases due to a runaway), or they enjoy a Seiberg-like dual description.⁶ Whenever it exists, the “magnetic” theory is a $U(m)$ gauge theory at Chern-Simons level $-k$, with s_1 chiral superfields \tilde{q}_a in the fundamental representation and s_2 chiral superfields q^b in the antifundamental representation — the quiver is in figure 1 (right). The rank m of the dual gauge group is

$$\begin{aligned}
 [\mathbf{p}, \mathbf{q}]a & : m = \frac{s_1 + s_2}{2} - k - n, \\
 [\mathbf{p}, \mathbf{q}]b & : m = \frac{s_1 + s_2}{2} + k - n, \\
 [\mathbf{p}, \mathbf{q}]^*a & : m = s_1 - n, \\
 [\mathbf{p}, \mathbf{q}]^*b & : m = s_2 - n.
 \end{aligned}
 \tag{3.11}$$

In addition, we have $s_1 \times s_2$ gauge singlets M_b^a , dual to the mesons $\tilde{Q}^a Q_b$. In the case $[\mathbf{p}, \mathbf{0}]a$ we also have an additional singlet T dual to a gauge invariant monopole operator, and in the case $[\mathbf{0}, \mathbf{0}]$ we have the duality of [9]. The singlets are coupled to the magnetic sector through the superpotential

$$W_{\text{mag}} = \tilde{q} M q + \delta_{\mathbf{p}\mathbf{0}} t T + \delta_{\mathbf{q}\mathbf{0}} \tilde{T} \tilde{t}.
 \tag{3.12}$$

Note that the Giveon-Kutasov duality [12] is the case $[\mathbf{p}, \mathbf{p}]a$. Remark that the dual of a theory of type $[\mathbf{p}, \mathbf{q}]a$ is a theory of type $[\mathbf{p}, \mathbf{q}]b$, with extra singlets coupled through (3.12); similarly the dual of a theory of type $[\mathbf{p}, \mathbf{q}]^*a$ is a theory of type $[\mathbf{p}, \mathbf{q}]^*b$.

Supersymmetry breaking occurs when the parameter m in (3.11) is negative (recall however footnote 6). Consider a theory in any of the four cases (3.9), with matter content (s_1, s_2) and $m > 0$. If we give a complex mass to a pair (\tilde{Q}, Q) by a superpotential term $W = \mu \tilde{Q}^{s_1} Q_{s_2}$, we flow to a theory of the same type with matter content $(s_1 - 1, s_2 - 1)$. In the magnetic dual, this correspond to a linear term $\mu M_{s_2}^{s_1}$ in W_{mag} , which leads to a VEV for $q^{s_2} \tilde{q}_{s_1}$ and breaks the dual gauge group to $U(m - 1)$, consistently with the proposed duality. On the other hand, if we start with a theory at $m = 0$, the complex mass deformation leads to a superpotential $W_{\text{mag}} = \mu M_{s_2}^{s_1}$ in the dual theory, which breaks supersymmetry spontaneously.

It is interesting to consider generic real mass parameters for our theories. All the mass terms can be understood as background values for scalars in external vector multiplets which gauge the global symmetries. We have:

$$\begin{aligned}
 \tilde{m}_a & : \quad \text{SU}(s_1) & a = 1, \dots, s_1 \\
 m_b & : \quad \text{SU}(s_2) & b = 1, \dots, s_2 \\
 m_A & : \quad \text{U}(1)_A \\
 \xi & : \quad \text{U}(1)_M
 \end{aligned}
 \tag{3.13}$$

We take \tilde{m}_a to correspond to the Cartan of $U(s_1)$, but we add the constraint $\sum_a \tilde{m}_a = 0$ to get to $SU(s_1)$. Similarly $\sum_b m_b = 0$ for $SU(s_2)$. The term ξ is best thought of as a

⁶The only exception is the case $k = 0, s_1 = s_2 = n_f = n - 1$, where the classical moduli space is deformed quantum-mechanically [5].

Fayet-Iliopoulos (FI) parameter for the diagonal U(1) in the gauge group. The quarks \tilde{Q} , Q have effective real mass

$$\begin{aligned} M[\tilde{Q}_i^a] &= -x_i + \tilde{m}_a + m_A, \\ M[Q_b^i] &= x_i - m_b + m_A, \end{aligned} \tag{3.14}$$

where x_i are the eigenvalues of σ . The duality we consider holds for generic massive deformations, provided that the magnetic theory has some definite Chern-Simons terms for the flavor group, which we will derive.

The numerology (3.11) for the dual gauge group can also be found from a Type IIB brane construction [33], where the statement that SUSY is broken for $m < 0$ follows from the s-rule of [34]. Here we prefer to keep the discussion in purely field theory terms.

3.3 Dual of the $[\mathbf{p}, \mathbf{0}]a$ theory

All Seiberg-like dualities we consider for U(n) gauge theories can be derived from the duality of [9]. Starting from the electric theory $[\mathbf{0}, \mathbf{0}]a$ of section 3.1, we can integrate out $n_f - s_2$ of the quarks Q after giving them a large negative real mass $m_0 < 0$. This gets us to a theory with $s_1 = n_f$ antifundamentals, s_2 fundamentals, and CS level $k = -(s_1 - s_2)/2$, which is precisely the $[\mathbf{p}, \mathbf{0}]a$ case with $\mathbf{p} = s_1 - s_2$. This real mass deformation corresponds to a VEV for a background scalar from the flavor group, so we can easily identify the dual operation in the magnetic theory of [9] and obtain the magnetic dual of our $[\mathbf{p}, \mathbf{0}]a$ theory. Integrating out chiral superfields with real masses also generates Chern-Simons level for the flavor group. For any two Abelian factors U(1) $_i$ and U(1) $_j$ which couple to the fermions ψ with charges q_i, q_j , we generate a Chern-Simons level

$$\delta k_{ij} = \frac{1}{2} \sum_{\psi} q_i(\psi) q_j(\psi) \text{sign}(M[\psi]). \tag{3.15}$$

Let us denote by Q_{β} ($\beta = s_2 + 1, \dots, s_1$) the $s_1 - s_2$ massive quarks of real mass $M[Q_{\beta}] = m_0$, while the rest of the quarks are massless. From (3.14), we must have

$$\tilde{m}_a = 0, \quad m_{b \neq \beta} = \frac{s_1 - s_2}{s_1} m_0, \quad m_{\beta} = -\frac{s_2}{s_1} m_0, \quad m_A = x_i = \frac{s_1 - s_2}{2s_1} m_0, \tag{3.16}$$

Remark that we also need to shift the real scalar for the diagonal U(1) in the gauge group U(n). In this particular vacuum, the effective FI term is

$$\xi_{\text{eff}} = \xi + \frac{s_1 - s_2}{2} |m_0|, \tag{3.17}$$

and this should vanish for the vacuum to be supersymmetric. Therefore we need to turn on a bare FI term $\xi = \frac{s_1 - s_2}{2} m_0$. Denote by F_{β} the U(1) $_{\beta}$ in the Cartan of U($s_1 - s_2$) \subset U(s_1) under which Q_{β} are charged, and by F_b the Cartan of the remaining U(s_2) group. Let us define the symmetry U(1) $_{\mathcal{F}}$ such that

$$M[\phi] = \mathcal{F}[\phi] m_0, \tag{3.18}$$

for any field ϕ , when (3.16) holds. It is

$$\mathcal{F} \equiv \frac{s_1 - s_2}{s_1} \sum_{b \neq \beta} F_b - \frac{s_2}{s_1} \sum_{\beta} F_{\beta} + \frac{s_1 - s_2}{2s_1} A_{[\mathbf{0}, \mathbf{0}]} + \frac{s_1 - s_2}{2} M + \frac{s_1 - s_2}{2s_1} (Q_e + Q_m), \tag{3.19}$$

where Q_e is the charge under the diagonal $U(1)$ in the $U(n)$ gauge groups, while Q_m corresponds to the magnetic gauge group $U(m)$ (in the Aharony dual theory). In this formula $A_{[\mathbf{0},\mathbf{0}]}$ denotes the $U(1)_A$ symmetry of the $[\mathbf{0},\mathbf{0}]a$ theory we start with. Let us define a new $U(1)_A$ symmetry

$$A_{[\mathbf{p},\mathbf{0}]} = A_{[\mathbf{0},\mathbf{0}]} + \sum F_\beta, \tag{3.20}$$

such that $A[Q_b] = 1$ for the light quarks ($b \neq \beta$), and $A[Q_\beta] = 0$. Equivalently, if we break the $SU(s_1)$ flavor group acting on the Q 's into $SU(s_2) \times SU(s_1 - s_2) \times U(1)_B$, where $B[Q_b] = s_1 - s_2$ for $b \neq \beta$, and $B[Q_\beta] = -s_2$, we have

$$A_{[\mathbf{p},\mathbf{0}]} = \frac{s_1 + s_2}{2s_1} A_{[\mathbf{0},\mathbf{0}]} + \frac{1}{s_1} B - \frac{s_1 - s_2}{2s_1} (Q_e + Q_m). \tag{3.21}$$

This definition makes clear that the new axial symmetry is defined through a mixing with the gauge symmetry. Turning on a real mass $m_{\tilde{A}}$ for the $A_{[\mathbf{p},\mathbf{0}]}$ -symmetry corresponds to

$$m_{A_{[\mathbf{0},\mathbf{0}]}} = \frac{s_1 + s_2}{2s_1} m_{\tilde{A}}, \quad m_B = \frac{1}{s_1} m_{\tilde{A}}, \quad x_i = -\frac{s_1 - s_2}{2s_1} m_{\tilde{A}}, \tag{3.22}$$

including a finite shift of the scalar σ in the vector multiplet. We also define a new trial R-symmetry in the chiral theory

$$R_{[\mathbf{p},\mathbf{0}]} = R_{[\mathbf{0},\mathbf{0}]} - \frac{s_1 - s_2}{2} M, \tag{3.23}$$

assigning the same charge to the (bare) monopole operators $T_{[\mathbf{p},\mathbf{0}]}$, $\tilde{T}_{[\mathbf{p},\mathbf{0}]}$ of the IR theory.

In the electric theory $[\mathbf{0},\mathbf{0}]a$, we have

	$U(n)$	$SU(s_1)$	F_b	F_β	$A_{[\mathbf{p},\mathbf{0}]}$	M	$R_{[\mathbf{p},\mathbf{0}]}$	\mathcal{F}
\tilde{Q}^a	$\bar{\mathbf{n}}$	\mathbf{s}_1	0	0	1	0	0	0
Q_b	\mathbf{n}	$\mathbf{1}$	$-\delta_{b'}$	0	1	0	0	0
$Q_{\beta'}$	\mathbf{n}	$\mathbf{1}$	0	$-\delta_{\beta'\beta}$	0	0	0	1

(3.24)

The only microscopic fields charged under \mathcal{F} are Q_β , by definition. Integrating out the $s_1 - s_2$ matter multiplets yields a CS level $k = -(s_1 - s_2)/2$ for the gauge group and a global CS term for our choice of R-symmetry,

$$k_{RR} = -\frac{1}{2} n (s_1 - s_2). \tag{3.25}$$

There also are some more subtle global CS terms due to the definitions we gave for the axial and R-symmetries. Let A be the $U(n)$ gauge field, and $A^{(M)}$, $A^{(A)}$ and $A^{(R)}$ the background gauge fields for the $U(1)_M$, $U(1)_A$ and $U(1)_R$ symmetries, respectively. The FI parameter ξ is the lowest component of the linear multiplet Σ_M containing $dA^{(M)}$, so that

$$\int d^3x \int d^4\theta \Sigma_M \text{Tr}(V) = \int d^3x \xi \text{Tr}(D) + \int dA^{(M)} \wedge \text{Tr}(A) + \dots \tag{3.26}$$

The shifts in (3.21) and (3.23) modifies this last coupling according to

$$dA^{(M)} \wedge \text{Tr}(A) \rightarrow d\left(A^{(M)} + \frac{s_1 - s_2}{2} A^{(R)}\right) \wedge \text{Tr}\left(A - \frac{s_1 - s_2}{2s_1} A^{(A)} \mathbb{1}\right), \tag{3.27}$$

	$U(m)$	$SU(s_1)$	F_b	F_β	$A_{[p,0]}$	M	$R_{[p,0]}$	\mathcal{F}
\tilde{q}_a	\mathbf{m}	$\overline{\mathbf{s}_1}$	0	0	-1	0	1	0
$q^{b'}$	$\overline{\mathbf{m}}$	$\mathbf{1}$	$\delta_{b'b}$	0	-1	0	1	0
$M^a_{b'}$	$\mathbf{1}$	\mathbf{s}_1	$-\delta_{b'b}$	0	2	0	0	0
T	$\mathbf{1}$	$\mathbf{1}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{s_1+s_2}{2}$	1	$\frac{s_1+s_2}{2} - n + 1$	0
$q^{\beta'}$	$\overline{\mathbf{m}}$	$\mathbf{1}$	0	$\delta_{\beta'\beta}$	0	0	1	-1
$M^a_{\beta'}$	$\mathbf{1}$	\mathbf{s}_1	0	$-\delta_{\beta'\beta}$	1	0	0	1
\tilde{T}	$\mathbf{1}$	$\mathbf{1}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{s_1+s_2}{2}$	-1	$\frac{3s_1-s_2}{2} - n + 1$	$-(s_1 - s_2)$

Table 1. Charges for the fundamental fields in the magnetic theory of Aharony. The fields charged under \mathcal{F} (lower part of the table) are massive and integrated out in order to find the Seiberg dual of the $[p, \mathbf{0}]_a$ theory.

and thus generates the mixed CS levels

$$k_{MA} = -n \frac{s_1 - s_2}{2s_1}, \quad k_{RA} = -n \frac{(s_1 - s_2)^2}{4s_1}, \quad (3.28)$$

where the factor of n comes from the trace over the gauge group.

In the magnetic theory of Aharony, we should integrate out all fields charged under \mathcal{F} (3.19). The charges of the various fundamental fields are summarized in table 1. Remark that the monopole operator T survives as a singlet in the dual theory: half of the Coulomb branch of the electric theory survives. In the IR the magnetic theory is a $U(m)$ theory ($m = s_1 - n$) at CS level $k_m = -k = (s_1 - s_2)/2$. There are also various global Chern-Simons terms from integrating out, together with a contribution similar to (3.28). Since we are free to add the same global CS interactions to both sides of the duality, to state the final result we should express all global Chern-Simons levels as relative levels $\Delta k_{IJ} \equiv k_{IJ}^{magn} - k_{IJ}^{elec}$ between the magnetic and the electric description. We also express everything in term of m , s_1 , s_2 and k .

The **electric** theory is a $U(n)_k$ gauge theory having matter content (s_1, s_2) with $s_1 > s_2$, where the CS level is

$$k = -\frac{1}{2}(s_1 - s_2). \quad (3.29)$$

The **magnetic** theory is a $U(s_1 - n)_{-k}$ gauge theory with matter content (s_2, s_1) plus $s_1 s_2$ singlets M_b^a and a singlet T , coupled by a superpotential

$$W = \tilde{q} M q + t \tilde{T}, \quad (3.30)$$

where t is the gauge-invariant monopole operator of the magnetic gauge group. Moreover there are relative global CS levels

$$\Delta k_{SU(s_1)} = k, \quad \Delta k_{SU(s_2)} = 0 \quad (3.31)$$

for the non-Abelian part of the flavor group $SU(s_1) \times SU(s_2)$ and

$$\begin{aligned}
 \Delta k_{AA} &= ks_1 + \frac{1}{8}(s_1 + s_2)^2, & \Delta k_{AM} &= -k + \frac{1}{4}(s_1 + s_2) \\
 \Delta k_{MM} &= \frac{1}{2}, & \Delta k_{AR} &= -k(s_1 + k) - \frac{1}{4}(s_1 + s_2)(m - k) \\
 \Delta k_{RR} &= km + \frac{1}{2}(m - k)^2, & \Delta k_{MR} &= -\frac{1}{2}(m - k).
 \end{aligned} \tag{3.32}$$

for the Abelian flavor symmetries $U(1)_A \times U(1)_M \times U(1)_R$, where $m = s_1 - n$.

The above formulas extend to the case $s_2 = 0$, ignoring the CS levels involving $U(1)_A$.

3.4 Dual of the $[p, q]a$ theory

To go to a $[p, q]a$ theory with $pq > 0$, we start again from the non-chiral theory $[0, 0]a$, and we integrate out $n_f - s_1$ of the antiquarks \tilde{Q} (denoted \tilde{Q}^α , $\alpha = s_1 + 1, \dots, n_f$) together with $n_f - s_2$ of the quarks Q (denoted Q_β , $\beta = s_2 + 1, \dots, n_f$), with $M[\tilde{Q}^\alpha] = M[Q_\beta] = m_0 < 0$. For this we take the mass parameters and FI terms

$$\begin{aligned}
 \tilde{m}_a &= -\frac{n_f - s_1}{n_f}m_0, & \tilde{m}_\alpha &= \frac{s_1}{n_f}m_0, & m_b &= \frac{n_f - s_2}{n_f}m_0, & m_\beta &= -\frac{s_2}{n_f}m_0 \\
 m_A &= \frac{2n_f - s_1 - s_2}{2n_f}m_0, & x_i &= \frac{s_1 - s_2}{2n_f}m_0, & \xi &= \frac{1}{2}(s_1 - s_2)m_0,
 \end{aligned} \tag{3.33}$$

with $m_0 \rightarrow -\infty$. Similarly to the previous subsection, we define a symmetry \mathcal{F} such that (3.18) holds when the masses (3.33) are turned on, and the new axial and R-symmetries

$$A_{[p,q]} = A_{[0,0]} - \sum_\alpha \tilde{F}_\alpha + \sum_\beta F_\beta, \quad R_{[p,q]} = R_{[0,0]} - \frac{s_1 - s_2}{2}M. \tag{3.34}$$

In the electric $[0, 0]a$ theory, we have

	$U(n)$	\tilde{F}_a	\tilde{F}_α	F_b	F_β	$A_{[p,q]}$	M	$R_{[p,q]}$	\mathcal{F}
\tilde{Q}^a	$\bar{\mathbf{n}}$	1	0	0	0	1	0	0	0
Q_b	\mathbf{n}	0	0	-1	0	1	0	0	0
\tilde{Q}^α	$\bar{\mathbf{n}}$	0	1	0	0	0	0	0	1
Q_β	\mathbf{n}	0	0	0	-1	0	0	0	1

(3.35)

Integrating out \tilde{Q}^α and Q_β yields a CS level $k = -n_f + \frac{s_1 + s_2}{2}$ for the $U(n)$ gauge group, together with global CS terms. This leads us to the $[n_f - s_2, n_f - s_1]a$ field theory. The charges of the fields in the magnetic theory are reproduced in table 2.

The **electric** SQCD-like theory is a $U(n)_k$ CS theory with matter content (s_1, s_2) , with

$$k < -\frac{1}{2}|s_1 - s_2|. \tag{3.36}$$

The **magnetic** theory is a $U(m)_{-k}$ CS theory, where

$$m = \frac{1}{2}(s_1 + s_2) - k - n, \tag{3.37}$$

	$U(m)$	\tilde{F}_a	\tilde{F}_α	F_b	F_β	$A_{[p,q]}$	M	$R_{[p,q]}$	\mathcal{F}
\tilde{q}_a	\mathbf{m}	-1	0	0	0	-1	0	1	0
q^b	$\overline{\mathbf{m}}$	0	0	1	0	-1	0	1	0
M^a_b	$\mathbf{1}$	1	0	-1	0	2	0	0	0
\tilde{q}_α	\mathbf{m}	0	-1	0	0	0	0	1	-1
q^β	$\overline{\mathbf{m}}$	0	0	0	-1	0	0	1	-1
M^α_b	$\mathbf{1}$	0	1	-1	0	1	0	0	1
M^a_β	$\mathbf{1}$	1	0	0	-1	1	0	0	1
M^α_β	$\mathbf{1}$	0	1	0	-1	0	0	0	2
T	$\mathbf{1}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{1}{2}(s_1 + s_2)$	1	$n_f - n - \frac{s_1 - s_2}{2} + 1$	$-(n_f - s_1)$
\tilde{T}	$\mathbf{1}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{1}{2}(s_1 + s_2)$	-1	$n_f - n + \frac{s_1 - s_2}{2} + 1$	$-(n_f - s_2)$

Table 2. Charges for the fundamental fields in the magnetic theory. The fields charged under \mathcal{F} are integrated out to obtain the Seiberg dual of the $[p, q]a$ theory.

with matter content (s_2, s_1) along with $s_1 s_2$ singlets M , and superpotential $W = \tilde{q}Mq$. In addition there are relative global CS interactions at level

$$\Delta k_{\text{SU}(s_1)} = \frac{1}{2} \left(k - \frac{s_1 - s_2}{2} \right), \quad \Delta k_{\text{SU}(s_2)} = \frac{1}{2} \left(k + \frac{s_1 - s_2}{2} \right) \quad (3.38)$$

for the non-Abelian flavor symmetry group and

$$\begin{aligned} \Delta k_{AA} &= \frac{1}{2} k (s_1 + s_2) + s_1 s_2, & \Delta k_{AM} &= \frac{1}{2} (s_1 - s_2) \\ \Delta k_{MM} &= 1, & \Delta k_{AR} &= -\frac{1}{2} (k + m) (s_1 + s_2) \\ \Delta k_{RR} &= \frac{1}{2} ((k + m)^2 + m^2) + \frac{1}{8} (s_1 - s_2)^2, & \Delta k_{MR} &= -\frac{1}{2} (s_1 - s_2) \end{aligned} \quad (3.39)$$

for the Abelian symmetries.

3.5 Dual of the $[p, q]^*a$ theory

To obtain a $[p, q]^*a$ theory, we start again from a $[\mathbf{0}, \mathbf{0}]a$ theory with n_f flavors. We now keep the $n_f = s_1$ antiquarks \tilde{Q} massless, while integrating out $s_1 - \tilde{s}$ of the quarks (denoted Q_β) with a negative mass and $\tilde{s} - s_2$ of the quarks (denoted Q_γ) with a positive mass. For this we take the limit $m_0 \rightarrow -\infty$, scaling

$$\begin{aligned} \tilde{m}_a &= 0, & m_b &= \frac{s_1 + s_2 - 2\tilde{s}}{s_1} m_0, \\ m_\beta &= -\frac{2\tilde{s} - s_2}{s_1} m_0, & m_\gamma &= \frac{2s_1 + s_2 - 2\tilde{s}}{s_1} m_0, \\ m_A = x_i &= \frac{s_1 + s_2 - 2\tilde{s}}{2s_1} m_0, & \xi &= \frac{1}{2} (s_1 - s_2) m_0. \end{aligned} \quad (3.40)$$

	U(m)	SU(s ₁)	F _b	F _β	F _γ	A _{[p,q]*}	M	R _{[p,q]*}	\mathcal{F}
\tilde{q}_a	\mathbf{m}	$\overline{\mathbf{s}}_1$	0	0	0	-1	0	1	0
q^b	$\overline{\mathbf{m}}$	$\mathbf{1}$	1	0	0	-1	0	1	0
M^a_b	$\mathbf{1}$	\mathbf{s}_1	-1	0	0	2	0	0	0
q^β	$\overline{\mathbf{m}}$	$\mathbf{1}$	0	1	0	0	0	1	1
q^γ	$\overline{\mathbf{m}}$	$\mathbf{1}$	0	0	1	0	0	1	-1
M^a_β	$\mathbf{1}$	\mathbf{s}_1	0	-1	0	1	0	0	1
M^a_γ	$\mathbf{1}$	\mathbf{s}_1	0	0	-1	1	0	0	-1
T	$\mathbf{1}$	$\mathbf{1}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{s_1+s_2}{2}$	1	$\frac{s_1+2\tilde{s}-s_2-2n}{2} + 1$	$\tilde{s} - s_2$
\tilde{T}	$\mathbf{1}$	$\mathbf{1}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{1}{2}$	$-\frac{s_1+s_2}{2}$	-1	$\frac{3s_1-2\tilde{s}+s_2-2n}{2} + 1$	$-(s_1 - \tilde{s})$

Table 3. Charges for the fundamental fields in the magnetic theory. The fields charged under \mathcal{F} are integrated out to obtain the Seiberg dual of the $[p, q]^*a$ theory.

We define a symmetry \mathcal{F} as before, and the new axial and R-symmetries

$$A_{[p,q]^*} = A_{[0,0]} + \sum_{\beta}^{s_1-\tilde{s}} F_{\beta} + \sum_{\gamma}^{\tilde{s}-s_2} F_{\gamma}, \quad R_{[p,q]^*} = R_{[0,0]} - \frac{s_1 + s_2 - 2\tilde{s}}{2} M. \quad (3.41)$$

In the electric theory we have

	U(n)	SU(s ₁)	F _b	F _β	F _γ	A _{[p,q]*}	M	R _{[p,q]*}	\mathcal{F}
\tilde{Q}^a	$\overline{\mathbf{n}}$	\mathbf{s}_1	0	0	0	1	0	0	0
Q_b	\mathbf{n}	$\mathbf{1}$	-1	0	0	1	0	0	0
Q_β	\mathbf{n}	$\mathbf{1}$	0	-1	0	0	0	0	1
Q_γ	\mathbf{n}	$\mathbf{1}$	0	0	-1	0	0	0	-1

(3.42)

Integrating out the heavy quarks generates a CS level $k = \tilde{s} - (s_1 + s_2)/2$ for the U(n) gauge group and leads us to the $[s_1 - \tilde{s}, \tilde{s} - s_2]^*a$ theory. The charges for the magnetic theory are given in table 3.

The **electric** theory is a $U(n)_k$ CS theory with matter content (s_1, s_2) with $s_1 > s_2$ and

$$|k| < \frac{1}{2}|s_1 - s_2|. \quad (3.43)$$

The **magnetic** theory is a $U(s_1 - n)_{-k}$ theory with matter content (s_2, s_1) together with $s_1 s_2$ singlets M , and superpotential $W = \tilde{q}Mq$. There are relative global CS terms

$$\Delta k_{\text{SU}(s_1)} = k, \quad \Delta k_{\text{SU}(s_2)} = 0 \quad (3.44)$$

for the non-Abelian flavor symmetry group and

$$\begin{aligned} \Delta k_{AA} &= k s_1, & \Delta k_{AM} &= s_1 \\ \Delta k_{MM} &= 0, & \Delta k_{AR} &= 0 \\ \Delta k_{RR} &= -k m, & \Delta k_{MR} &= -m \end{aligned} \quad (3.45)$$

for the Abelian symmetries, with $m = s_1 - n$.

3.6 Summary of the $U(n)_k$ dualities

The missing cases can be obtained from the ones above by parity (P) and/or charge conjugation (C). Firstly, if we go from a $U(n)_k$ theory with matter content (s_1, s_2) and with $k < 0$ to another such theory with $k > 0$, the global CS levels change according to

$$P : \quad k_{\text{global}} = f(k, s_1, s_2, m) \quad \rightarrow \quad k_{\text{global}} = -f(-k, s_1, s_2, m). \quad (3.46)$$

This is our P transformation. Secondly, if we go from a theory with $s_1 > s_2$ to a theory with $s_2 > s_1$, the net effect on the global CS levels is obtained by exchanging s_1 and s_2 :⁷

$$C : \quad k_{\text{global}} = f(k, s_1, s_2, m) \quad \rightarrow \quad k_{\text{global}} = f(k, s_2, s_1, m). \quad (3.47)$$

The **electric** theories $[\mathbf{p}, \mathbf{q}]a$ and $[\mathbf{p}, \mathbf{q}]b$ — that we call *minimally chiral* — have gauge group $U(n)_k$ and matter content (s_1, s_2) with

$$|k| > \frac{1}{2}|s_1 - s_2| \geq 0. \quad (3.48)$$

The dual **magnetic** theories have gauge group $U(m)_{-k}$, where

$$m = \frac{1}{2}(s_1 + s_2) + |k| - n, \quad (3.49)$$

with matter content (s_2, s_1) along with $s_1 s_2$ singlets M and superpotential $W = \tilde{q} M q$. The relative global CS levels are

$$\begin{aligned} \Delta k_{\text{SU}(s_1)} &= \frac{1}{2} \left(k + \text{sign}(k) \frac{s_1 - s_2}{2} \right), & \Delta k_{\text{SU}(s_2)} &= \frac{1}{2} \left(k - \text{sign}(k) \frac{s_1 - s_2}{2} \right), \\ \Delta k_{AA} &= \frac{1}{2} k (s_1 + s_2) - \text{sign}(k) s_1 s_2, & \Delta k_{AM} &= -\text{sign}(k) \frac{s_1 - s_2}{2}, \\ \Delta k_{MM} &= -\text{sign}(k), & \Delta k_{AR} &= \text{sign}(k) \frac{(m - |k|)(s_1 + s_2)}{2}, \\ & & \Delta k_{MR} &= \text{sign}(k) \frac{s_1 - s_2}{2}, \\ \Delta k_{RR} &= -\text{sign}(k) \left(\frac{(m - |k|)^2 + m^2}{2} + \frac{(s_1 - s_2)^2}{8} \right). \end{aligned} \quad (3.50)$$

The **electric** theories $[\mathbf{p}, \mathbf{q}]^*a$ and $[\mathbf{p}, \mathbf{q}]^*b$ — that we call *maximally chiral* — have gauge group $U(n)_k$ and matter content (s_1, s_2) with

$$0 \leq |k| < \frac{1}{2}|s_1 - s_2|. \quad (3.51)$$

The dual **magnetic** theories have gauge group $U(m)_{-k}$, where

$$m = \max(s_1, s_2) - n, \quad (3.52)$$

⁷We loosely call this operation and the previous one C and P , but they are really the C and P transformations together with a relabeling of the parameters.

with matter content (s_2, s_1) along with $s_1 s_2$ singlets M and superpotential $W = \tilde{q} M q$. The relative global CS levels are

$$\begin{aligned}
 \Delta k_{SU(\max(s_1, s_2))} &= k, & \Delta k_{SU(\min(s_1, s_2))} &= 0, \\
 \Delta k_{AA} &= k \max(s_1, s_2), & \Delta k_{AM} &= \max(s_1, s_2), \\
 \Delta k_{MM} &= 0, & \Delta k_{AR} &= 0, \\
 \Delta k_{RR} &= -k m, & \Delta k_{MR} &= -m.
 \end{aligned}
 \tag{3.53}$$

Similarly, one can work out the limiting cases $[\mathbf{0}, \mathbf{p}]b$, $[\mathbf{0}, \mathbf{p}]a$ and $[\mathbf{p}, \mathbf{0}]b$ from the $[\mathbf{p}, \mathbf{0}]a$ case of section 3.3. In the $[\mathbf{0}, \mathbf{p}]b$ case, the electric theory is $U(n)_k$ with matter content (s_1, s_2) and $k = \frac{1}{2}(s_1 - s_2) > 0$, and the relative global CS levels are obtained from (3.31)–(3.32) by a P transformation (3.46). In the $[\mathbf{0}, \mathbf{p}]a$ case, the electric theory $U(n)_k$ has $k = \frac{1}{2}(s_1 - s_2) < 0$, and the global CS levels are obtained from (3.31)–(3.32) by a C transformation (3.47). Finally, the $[\mathbf{p}, \mathbf{0}]b$ case corresponds to an electric theory with $k = -\frac{1}{2}(s_1 - s_2) > 0$, and it is obtained from the $[\mathbf{p}, \mathbf{0}]a$ case by a CP transformation.

4 Consequences for Chern-Simons quivers

In recent years it was realized that M2-branes at Calabi-Yau singularities can be described by $\mathcal{N} = 2$ Chern-Simons quivers [35]. Generally such a quiver has gauge group $U(n_1) \times \dots \times U(n_G)$ with some CS interactions, and fields X_{ij} in bifundamental representations coupled together by a superpotential [36–42]. The M2-brane quivers can also include so-called flavors (fields in the fundamental/antifundamental representation of some of the $U(n_i)$ factors) [43–45]. While most of the literature focussed on the case with equal ranks $n_i = N$, it was argued recently that this is not the case for rather generic M-theory backgrounds [46].

Seiberg-like dualities for M2-brane quivers were studied in [11, 47, 48], but a thorough understanding of Seiberg-like dualities for chiral quivers is still missing. While we hope to report some progress in that direction in the near future, in this section we briefly discuss some obvious consequences of the dualities of section 3 for chiral quivers.

Let us consider a generic quiver of the kind described above. We pick a node with gauge group $U(n_0)$ and Chern-Simons level k_0 , and we consider its direct neighborhood, as shown in figure 2(a). The nodes connected to the node 0 with incoming arrows have gauge groups $U(\tilde{n}_i)$, $i = 1, \dots, L$, and the nodes connected to node 0 with outgoing arrows have gauge groups $U(n_j)$, $j = 1, \dots, R$. Some of these contiguous nodes could be global symmetries, corresponding to flavors. The contiguous nodes have CS levels \tilde{k}_i and k_j , respectively. Let us denote by A_{i0} the number of bifundamental fields between $U(\tilde{n}_i)$ and $U(n_0)$, and by A_{0j} the number of bifundamental fields between $U(n_0)$ and $U(n_j)$. The total numbers of antifundamental and fundamental fields for $U(n_0)$ is

$$s_1 \equiv \sum_{i=1}^L \tilde{n}_i A_{i0}, \quad s_2 \equiv \sum_{j=1}^R A_{0j} n_j.
 \tag{4.1}$$

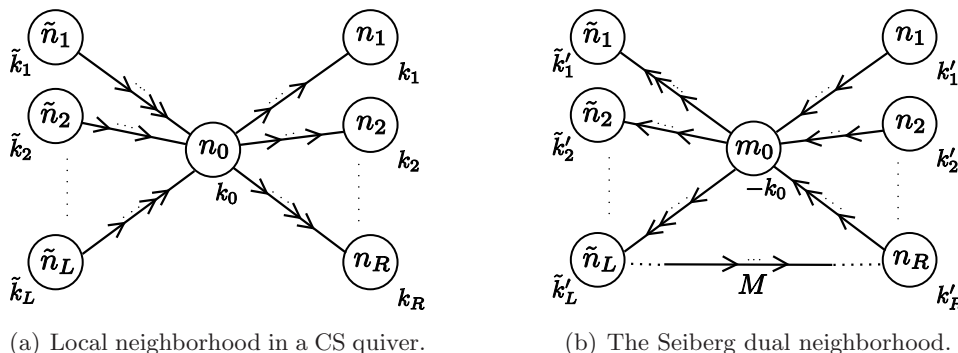


Figure 2. Seiberg-like duality acting on a quiver. Remark that the dual quiver also contains some mesonic fields M , although generally many of the mesons will be massive due to the superpotential.

The big flavor group $SU(s_1) \times SU(s_2)$ of chiral SQCD splits according to⁸

$$SU(s_1) \rightarrow \prod_i SU(\tilde{n}_i) \times SU(A_{i0}), \quad SU(s_2) \rightarrow \prod_j SU(n_j) \times SU(A_{0j}), \quad (4.2)$$

where the factors $SU(\tilde{n}_i)$, $SU(n_j)$ are gauged (unless the node i or j stands for a flavor group), while the factors $SU(A_{i0})$, $SU(A_{0j})$ are global symmetries, which can be further reduced due to the superpotential.

Performing a Seiberg-like duality on node 0 leads to the situation of figure 2(b). All arrows connected to node 0 are reversed, and various mesonic fields are generated. Some of the mesons may have a complex mass, in which case they should be integrated out. While the rank n_0 is the only one which changes under Seiberg-like duality on node 0, the CS levels of the contiguous nodes are in general affected. We have two distinct situations, corresponding to cases $[p, q]$ and $[p, q]^*$ of section 3.

4.1 Minimally chiral case

The first case is a direct generalization of the non-chiral case studied in [47, 48]. If $|k_0| \geq \frac{1}{2}|s_1 - s_2|$, the Seiberg dual has a gauge group $U(m_0)$ at level $-k_0$ for node 0, with

$$m_0 = \frac{s_1 + s_2}{2} + |k_0| - n_0. \quad (4.3)$$

The CS levels of the contiguous nodes are then

$$\tilde{k}'_i = \tilde{k}_i + \frac{1}{2}A_{i0} \left(k_0 + \text{sign}(k_0) \frac{s_1 - s_2}{2} \right), \quad k'_j = k_j + \frac{1}{2}A_{0j} \left(k_0 - \text{sign}(k_0) \frac{s_1 - s_2}{2} \right),$$

which can be deduced from (3.38) or (3.50).

⁸In the following we ignore various $U(1)$ factors. In general the $U(1)$ and $SU(n)$ part of the $U(n)$ gauge groups can have different CS levels, and one should keep track of how the CS terms for the Abelian sector transform under duality. A full discussion of this is beyond the goal of the present section.

4.2 Maximally chiral case

The “maximally chiral” case is when $|k_0| \leq \frac{1}{2}|s_1 - s_2|$. When $s_1 > s_2$, we have $U(m_0)$ at level $-k_0$ for node 0, with

$$m_0 = s_1 - n_0, \tag{4.4}$$

while the CS levels of the contiguous groups are

$$\tilde{k}'_i = \tilde{k}_i + A_{i0} k_0, \quad k'_j = k_j. \tag{4.5}$$

This follows from (3.44). Similarly, if $s_2 > s_1$, we have

$$m_0 = s_2 - n_0; \quad \tilde{k}'_i = \tilde{k}_i, \quad k'_j = k_j + A_{0j} k_0. \tag{4.6}$$

In four dimensional quivers, Seiberg duality of an interesting class of chiral quivers (del Pezzo quivers in particular) can be understood in term of so-called “mutations”, which are mathematical operations which change the basis of fractional branes at a Calabi-Yau singularity [49–51]. It turns out that the mutation prescription of [51] exactly corresponds to the Seiberg-like duality for the maximally chiral case (4.4)–(4.5) or (4.6), for left or right mutations, respectively [52].⁹

5 Dualities for $U(n)$ theories and S_b^3 partition function

Recently it was understood that the partition function Z_{S^3} for any $\mathcal{N} = 2$ superconformal theory on a 3-sphere can be reduced to an ordinary integral using localization techniques [53–55]. The S^3 partition function can be computed for any trial R-charge. We can further squash the S^3 into a $U(1) \times U(1)$ isometric hyperellipsoid S_b^3 with squashing parameter b as in [21] ($b = 1$ corresponding to the round sphere).

Jafferis argued that $|Z_{S^3}|$ is extremized¹⁰ by the superconformal R-charge [54]. We will apply Z-extremization to our theories in section 6. In this section we will derive identities between partition functions which provide strong checks of the proposed dualities.

5.1 S_b^3 partition function for chiral $U(n)$ SQCD

Consider the partition function of the electric theory as defined at the beginning of section 3, namely $U(n)_k$ gauge theory with (s_1, s_2) matter. The localized partition function is an integral on the VEVs of the real scalar $\sigma = (x_1, \dots, x_n)$ in the vector multiplet, all the others fields being frozen to zero expectation value. As a function of the real scalars in background vector multiplets (3.13), the partition function of the electric theory reads

$$Z_{U(n)_k}^{(s_1, s_2)}(\tilde{m}_a; m_b; m_A; \xi) = \frac{1}{n!} \int \prod_{1 \leq i < l \leq n} \frac{1}{\Gamma_h(\pm(x_i - x_l))} \prod_{j=1}^n dx_j e^{-\pi i k x_j^2 - 2\pi i \xi x_j} \tag{5.1}$$

$$\times \prod_{a=1}^{s_1} \Gamma_h(-x_j + \tilde{m}_a + m_A + \omega r_Q) \prod_{b=1}^{s_2} \Gamma_h(x_j - m_b + m_A + \omega r_Q),$$

⁹In 4d we have $s_1 = s_2$ to cancel the gauge anomalies, and there are no CS interactions, therefore the distinction we made between minimally and maximally chiral theories does not arise.

¹⁰In all known examples it is minimized.

where $\omega = i\frac{b+b^{-1}}{2}$ ($\omega = i$ for the round S^3). The building block of the integrand is the hyperbolic Gamma function $\Gamma_h(z) \equiv \Gamma_h(z; \omega_1, \omega_2)$, with periods $\omega_1 = ib$ and $\omega_2 = i/b$ understood from now on. Several useful formulæ about Γ_h and our notations are gathered in appendix A. In particular, we define $\Gamma_h(a \pm x) = \Gamma_h(a+x)\Gamma_h(a-x)$. We are free to choose an R-symmetry R so that $R[\tilde{Q}] = R[Q] \equiv r_Q$ by a mixing with the central $U(1)$ gauge symmetry. The $U(1)_M$ symmetry can also mix with the R-charge (it corresponds to an imaginary part for the FI parameter ξ), but that only affects the R-charge of monopole operators. More generally, mixing a global symmetry $U(1)_I$ with the R-symmetry corresponds to giving an imaginary part to the real mass m_I according to [21, 54, 55]

$$m_I \rightarrow m_I + \omega a_I \quad \Leftrightarrow \quad R \rightarrow R + a_I Q_I, \tag{5.2}$$

where Q_I is the $U(1)_I$ charge.

Integrals such as (5.1) were studied in recent years by mathematicians, in particular by van de Bult [56]. For the integers $n, s_1, s_2 \in \mathbb{Z}_{\geq 0}$ and $t \in \mathbb{Z}$ (unless $s_1 = s_2 = t = 0$), van de Bult defines (Definition 5.3.17 in [56])

$$J_{n, (s_1, s_2), t}(\mu; \nu; \lambda) \equiv \frac{1}{\sqrt{-\omega_1 \omega_2}^n n!} \int_{C^n} \prod_{1 \leq i < l \leq n} \frac{1}{\Gamma_h(\pm(x_i - x_l))} \tag{5.3}$$

$$\times \prod_{j=1}^n dx_j e^{\frac{\pi i}{2\omega_1 \omega_2} (tx_j^2 + 2\lambda x_j)} \prod_{a=1}^{s_1} \Gamma_h(\mu_a - x_j) \prod_{b=1}^{s_2} \Gamma_h(\nu_b + x_j).$$

The contour C is a path that goes from $\Re x = -\infty$ to $\Re x = \infty$, goes below all the poles from $\Gamma_h(\mu - x)$ and above all the poles from $\Gamma_h(\nu + x)$; it can go to infinity on the left and right with some tilt so that (5.3) converges — see [56] for the precise definition. In this paper we will always take C to be the real axis. We see that, for $\omega_1 = ib$, $\omega_2 = ib^{-1}$,

$$Z_{U(n)_k}^{(s_1, s_2)}(\tilde{m}_a; m_b; m_A; \xi) = J_{n, (s_1, s_2), 2k}(\mu; \nu; \lambda), \tag{5.4}$$

with

$$\lambda = 2\xi, \quad \mu_a = \tilde{m}_a + m_A + \omega r_Q, \quad \nu_b = -m_b + m_A + \omega r_Q. \tag{5.5}$$

We have the constraints

$$\sum_{a=1}^{s_1} \mu_a = s_1 m_A, \quad \sum_{b=1}^{s_2} \nu_b = s_2 m_A. \tag{5.6}$$

Following closely the notation of [56], we rename $Z_{U(n)_k}^{(s_1, s_2)}$ according to the four cases (3.9):

$$Z_{U(n)_k}^{(s_1, s_2)} = \begin{cases} I_n^m_{[p,q]a} & \text{if } k \leq -\frac{1}{2}|s_1 - s_2| \\ I_n^m_{[p,q]b} & \text{if } k \geq +\frac{1}{2}|s_1 - s_2| \\ I_n^m_{[p,q]^*a} & \text{if } |k| \leq \frac{1}{2}(s_1 - s_2) \\ I_n^m_{[p,q]^*b} & \text{if } |k| \leq \frac{1}{2}(s_2 - s_1) \end{cases} \tag{5.7}$$

where the rank m of the dual gauge group, is given in (3.11), and p and q are related to the effective CS levels k_{\pm} (3.8) as in (3.9).

5.2 Aharony duality and real mass deformations

Consider Aharony duality [9] reviewed in section 3.1. At the level of the partition function, it can be written (recall $n + m = n_f$)

$$I_{n[0,0]a}^m(\mu; \nu; \lambda) = I_{m[0,0]b}^n(\omega - \nu; \omega - \mu; -\lambda) \Gamma_h\left((m+1)\omega - n_f m_A \pm \frac{\lambda}{2}\right) \prod_{a,b}^{m+n} \Gamma_h(\mu_a + \nu_b). \quad (5.8)$$

It has been noticed in [14] that this is precisely Theorem 5.5.11 of [56], once specialized to parameters satisfying (5.6), namely $\sum_a \mu_a = \sum_b \nu_b = n_f m_A$. The factors $\Gamma_h(\mu + \nu)$ correspond to the mesons M , and the previous factors correspond to the singlets T, \tilde{T} .

To derive the identities associated to the new dualities for chiral SQCD, we proceed to take the limits discussed in sections 3.3 to 3.5 at the level of the partition functions. Let us define

$$c(x) \equiv \exp\left(\frac{\pi i}{2\omega_1\omega_2}x\right), \quad \zeta \equiv \exp\left(\frac{\pi i(\omega_1^2 + \omega_2^2)}{48\omega_1\omega_2}x\right). \quad (5.9)$$

In particular for $\omega_1 = \omega_2 = i$ we have $\zeta = e^{\pi i/12}$. In [56] it is shown that

$$\lim_{x \rightarrow \pm\infty} \frac{\Gamma_h(x)}{\zeta^{-\text{sign}(x)} c(\text{sign}(x)(x - \omega)^2)} = 1. \quad (5.10)$$

Consider integrating out a matter multiplet in the fundamental or antifundamental of the gauge group by giving it a large real mass $q_0 m_0$, corresponding to a background scalar m_0 for some flavor symmetry $U(1)_0$ which assigns charge q_0 to the matter multiplet. In the localized partition function it corresponds to the limit

$$\lim_{m_0 \rightarrow \pm\infty} \Gamma_h(q_e x_i + q_\alpha m_\alpha + q_0 m_0 + \Delta\omega), \quad (5.11)$$

where $q_e = 1$ for the fundamental and $q_e = -1$ for the antifundamental representation, while q_α are the charges under to the remaining flavor symmetries $U(1)_\alpha$, and Δ the R-charge. From (5.10) we see that we generate the terms

$$\zeta^{-\text{sign}(q_0 m_0)} \exp\left(-\text{sign}(q_0 m_0) \frac{\pi i}{2} (q_e x + q_\alpha m_\alpha + \omega(\Delta - 1) + q_0 m_0)^2\right). \quad (5.12)$$

This shows the generation of Chern-Simons terms (3.15) upon integrating out the massive matter superfield (the physical meaning of the ζ term, if any, is less clear). There are also terms depending on the parameter m_0 that we take to infinity. Using the limit (5.10), the check of the various Seiberg-like dualities starting from (5.8) is a straightforward (albeit tedious) exercise.¹¹ The terms depending on m_0 cancel out between the two sides of the identity (5.8), and we find new identities for our chiral SQCD-like theories.

Remark from (5.12) that the CS levels for the global symmetries appear in the partition function as

$$c\left(2k_{RR}\omega^2 + 4\sum_I k_{IR}\omega m_I + 2\sum_{I,J} k_{IJ}m_I m_J\right), \quad (5.13)$$

¹¹The identities we will find have been proven rigorously in Theorems 5.5.11 and 5.5.12 of [56]. Here we reproduce this results with its physical meaning. Moreover there is a small mistake in [56] that we correct in equation (5.18) below.

where here I, J run over the non-R global symmetries. We note that ω enters in the partition function similarly to the real masses m_I associated to the non-R symmetries. A full understanding of that fact from first principle (in the localization procedure) is left for the future.

5.3 The $[\mathbf{p}, \mathbf{0}]a$ theories

To check the duality for the $[\mathbf{p}, \mathbf{0}]a$ theories of section 3.3, we take the limit (3.16) on both side of the identity (5.8). Consider first the electric theory. We have to consider the limit

$$\lim_{m_0 \rightarrow -\infty} I_n^m_{[0,0]a}(\mu + \alpha; \nu_b - \alpha, \nu_\alpha - \alpha + m_0; \lambda + (s_1 - s_2)m_0) \Big|_{x \rightarrow x - \alpha} \quad (5.14)$$

with $\alpha = \frac{s_1 - s_2}{2s_1}m_0$, and the shift $x \rightarrow x - \alpha$ in the integrand is understood. This is better written as

$$\lim_{m_0 \rightarrow -\infty} I_n^m_{[0,0]a}(\mu; \nu_b, \nu_\alpha + m_0; \lambda + (s_1 - s_2)m_0) c\left(n \frac{s_1 - s_2}{s_1} (\lambda + (s_1 - s_2)m_0) m_0\right). \quad (5.15)$$

We can similarly take the limit on the magnetic side of (5.8). Explicit computation shows that all factors involving m_0 cancel out. We should also redefine

$$\lambda \rightarrow \lambda - (s_1 - s_2)\omega + (s_1 - s_2)m_A, \quad (5.16)$$

which corresponds to the redefinitions (3.21) and (3.23) of the axial and R-symmetries. This leaves us with the identity

$$\begin{aligned} I_n^m_{[p,0]a}(\mu_a; \nu_b; \lambda) &= I_m^n_{[p,0]b}(\omega - \nu_b; \omega - \mu_a; -\lambda + (s_1 - s_2)\omega) \prod_{a,b}^{s_1, s_2} \Gamma_h(\mu_a + \nu_b) \\ &\times \Gamma_h\left(-\frac{1}{2}(s_1 + s_2)m_A + \frac{1}{2}\lambda + (m + k + 1)\omega\right) \zeta^{-1} \\ &\times c\left(2k \sum_a^{s_1} \tilde{m}_a^2 + 2ks_1m_A^2 + 2km\omega^2 - 2k\lambda m_A - 4k(s_1 + k)m_A\omega\right) \\ &\times c\left(\left(-\frac{1}{2}(s_1 + s_2)m_A - \frac{1}{2}\lambda + (m - k)\omega\right)^2\right), \end{aligned} \quad (5.17)$$

with $k = -\frac{1}{2}(s_1 - s_2)$. This is precisely the duality we proposed for the $[\mathbf{p}, \mathbf{0}]a$ theory, including the relative CS terms for the global symmetry group.

5.4 The $[\mathbf{p}, \mathbf{q}]a$ theories

To check the duality for the $[\mathbf{p}, \mathbf{q}]a$ theories at the level of the partition function, we can take the limit of section 3.4 on the equality (5.8). One can check that all terms involving

m_0 cancel between the electric and magnetic sides. The identity we obtain is

$$\begin{aligned}
 I_n^m_{[p,q]a}(\mu_a; \nu_b; \lambda) &= I_m^n_{[p,q]b}(\omega - \nu_b; \omega - \mu_a; -\lambda + (s_1 - s_2)\omega) \prod_{a,b}^{s_1, s_2} \Gamma_h(\mu_a + \nu_b) \\
 &\times \zeta^{\frac{1}{4}(s_1 - s_2)^2 - k^2 - 2} c\left(\left(k - \frac{1}{2}(s_1 - s_2)\right) \sum_a^{s_1} \tilde{m}_a^2 + \left(k + \frac{1}{2}(s_1 - s_2)\right) \sum_b^{s_2} m_b^2\right) \\
 &\times c\left(\left(k(s_1 + s_2) + s_1 s_2\right) m_A^2 + \frac{1}{2} \lambda^2 + \left((k + m)^2 + m^2 + \frac{1}{4}(s_1 - s_2)^2\right) \omega^2\right) \\
 &\times c\left(2(k + m)(s_1 + s_2)\omega m_A + (s_1 - s_2)\lambda(m_A - \omega)\right), \tag{5.18}
 \end{aligned}$$

giving a nice check of our proposal.

5.5 The $[p, q]^*a$ theories

We can play the same game for the $[p, q]^*a$ theories, taking the limit (3.40) on the duality relation (5.8). One can show that all factors of m_0 cancel, and that we end up with the relation

$$\begin{aligned}
 I_n^m_{[p,q]^*a}(\mu_a; \nu_b; \lambda) &= I_m^n_{[p,q]^*b}(\omega - \nu_b; \omega - \mu_a; -\lambda - 2k\omega) \prod_{a,b}^{s_1, s_2} \Gamma_h(\mu_a + \nu_b) \\
 &\times c\left(2k \sum_a^{s_1} \tilde{m}_a^2 + 2k s_1 m_A^2 - 2k m \omega^2 + 2\lambda(s_1 m_A - m\omega)\right). \tag{5.19}
 \end{aligned}$$

This agrees with the duality we proposed in section 3.5.

5.6 Complex masses and dual Higgsing

In this section we consider complex mass deformations of the electric theories from the perspective of their localized partition functions. We will see how the dual description in terms of Higgsing of the magnetic gauge group arises due to distributional identities satisfied by hyperbolic gamma functions. By giving complex mass to a suitable number of flavor pairs we can Higgs completely the magnetic gauge group, reaching $m = 0$; proceeding to $m < 0$ brings us out of the conformal window, to a theory that either has a deformed moduli space (if $k = 0$ and $s_1 = s_2 = n_f = n - 1$, giving $m = -1$) or, most often, lacks supersymmetric vacua.

It has been observed previously [13] in the context of Giveon-Kutasov dualities that integrals that define the localized S^3 partition functions of superconformal theories vanish when the field theory breaks supersymmetry. A similar observation applies more generally to the Seiberg-like dualities that we are considering: I_n^m integrals vanish whenever $m < 0$, including the particular case when the theory is believed to have a deformed moduli space. The physical significance of this mathematical fact is however dubious: the partition functions are localized using the superconformal algebra, so that we can trust the matrix model integrals as partition functions of the field theory only if the IR superconformal algebra is visible in the UV. For instance, the partition function of the field theory on its

deformed moduli space, being a free theory, does not vanish, although we find that the $I_{1[0,0]}^{-1}$ integral does.¹²

We start with the case $m = 0$, where the identities (5.17), (5.18) or (5.19) provide an explicit formula for the partition function (5.7), with the understanding that integrals on a vanishing gauge group $I_{0,\dots}$ are to be replaced by 1. Starting from the partition function with $m = 0$, we can obtain the partition function for $m < 0$ (keeping n , p and q fixed) by setting $\mu + \nu = 2\omega$ for a number of pairs (\tilde{Q}, Q) ; as explained in appendix A.2, this corresponds to a complex mass deformation $W = \tilde{Q}Q$ of the electric theory. Using the identities (5.17), (5.18) or (5.19), we see that the partition function vanishes because

$$\Gamma_h(\mu + \nu) = \Gamma_h(2\omega) = 0 \tag{5.20}$$

appears on the magnetic side.

On the contrary, taking $\mu_{s_1} + \nu_{s_2} = 2\omega$ for a pair $(\tilde{Q}^{s_1}, Q_{s_2})$ to reduce to $m - 1$ does not lead to a vanishing result when $m > 0$: the $\Gamma_h(2\omega)$ factor in the magnetic partition function is accompanied by a term which blows up in the integral over the magnetic gauge group, from $\Gamma_h(-x)\Gamma_h(x)$ in the integrand. We should rather set $\mu_{s_1} + \nu_{s_2} = 2\omega - 2i\epsilon$ and then take the $\epsilon \rightarrow 0$ limit on both sides of the identities relating electric and magnetic partition functions. Using the pole structure of $\Gamma_h(z)$, one can show that

$$\lim_{\epsilon \rightarrow 0} \Gamma_h(2\omega - 2i\epsilon) \prod_{j=1}^m \Gamma_h(i\epsilon \pm y_j) = \sqrt{-\omega_1\omega_2} \sum_{j=1}^m \delta(y_j) \prod_{i \neq j}^m \Gamma_h(\pm y_i) \tag{5.21}$$

distributionally, i.e. up to addition of functions with measure zero support that do not affect integration over $\int d^m y$.¹³ The identity (5.21) explains, from the partition function point of view, the Higgsing pattern $U(m) \rightarrow U(m - 1)$ on the magnetic side which is dual to the complex mass for a pair of fields on the electric side, allowing to reduce from ranks (n, m) to ranks $(n, m - 1)$ while keeping $[p, q]$ fixed.

6 Remarks on convergence and Z-minimization

At the IR fixed point the superconformal R-charge is some linear combination

$$R_{\text{supconf}} = R_0 + r_Q A + \Delta_M M, \tag{6.1}$$

where R_0 is the trial R-charge defined above (it is either $R_{[p,q]}$ or $R_{[p,q]^*}$), which gives $R_0[Q] = 0$ to the quarks and antiquarks, fixing the unphysical mixing with the gauge symmetry. We have $R_{\text{supconf}}[Q] = r_Q$, while Δ_M denotes the mixing of the R-current with topological current, and it will only affect the R-charge of monopole operators (in the electric theory). The coefficient r_Q and Δ_M can be found by Z-minimization [54].

¹²(Added in v2:) Recently [19] conjectured that spontaneous supersymmetry breaking implies that the localized S^3 partition function Z^{loc} vanishes. More precisely, they provided suggestive arguments to the effect that if the vacuum is not invariant under the supercharge Q used in the localization, then Z^{loc} must vanish. We thank the authors of [19] for discussion on this point.

¹³The case $m = 1$ is just the statement of duality between $N_f = 1$ SQED and the XYZ model.

An interesting special case is when either s_1 or s_2 vanishes, so that there are no mesonic operators and no Higgs branch. The axial symmetry $U(1)_A$ is part of the gauge group and we can take $r_Q = 0$ in (6.1), since the R-charge of any gauge invariant operator is independent of r_Q .

Let us consider the partition functions in the conformal case, when all real masses are turned off. This means we take

$$\tilde{m}_a = m_b = 0, \quad m_A = \omega r_Q, \quad \lambda = \omega 2\Delta_M. \quad (6.2)$$

in the various formulae. Moreover we will focus on the round S^3 , so $\omega = i$. For the electric partition function,

$$Z^{\text{elec}} = Z_{U(n)_k}^{(s_1, s_2)}(0; 0; ir_Q; i\Delta_M). \quad (6.3)$$

In the limit $x \rightarrow \pm\infty$, the integrand of (6.3) takes the form

$$\exp\left(-\pi i k_{\pm} x^2 + 2\pi(\Delta_M \mp \alpha_{r_Q})x\right), \quad \text{with} \quad \alpha_{r_Q} \equiv \frac{s_1 + s_2}{2}(1 - r_Q) - n + 1. \quad (6.4)$$

Therefore the electric partition function (6.3) converges *absolutely* if and only if

$$0 < |\Delta_M| < \alpha_{r_Q}. \quad (6.5)$$

Consider next the magnetic dual theory. We have

$$Z^{\text{magn}} = Z_{U(m)_k}^{s_2, s_1}\left(0; 0; i(1 - r_Q); i\left(\frac{p - q}{2} - \Delta_M\right)\right) \times (\text{singlets}) \times (\text{phase}), \quad (6.6)$$

where the singlets and extra phase can be read from the identities of the previous section, and p, q in (3.8)–(3.9). One can check that for all cases the condition for the integral in Z^{magn} to converge absolutely is that

$$\alpha_{r_Q} + p - 2 < \Delta_M < -\alpha_{r_Q} - q + 2, \quad (6.7)$$

We see that generically, for some given trial parameters (r_Q, Δ_M) the electric and magnetic partitions functions cannot both converge absolutely. In particular a necessary condition for it to happen is that $p < 2$ and $q < 2$.

In general the physical meaning of absolute convergence is not obvious, although it is of great help both to make sense of the formal limits of section 5.1, allowing to commute limit and integration, and for numerical evaluations. On physical ground simple convergence is enough. When $k_{\pm} \neq 0$ in (6.4) the integral converges thanks to the Gaussian term.¹⁴ When the effective Chern-Simons levels vanish, corresponding to the case $[\mathbf{p}, \mathbf{0}]_a$ with $p \geq 0$, the absolute convergence criterion (6.5) is certainly physical, and corresponds to the gauge invariant monopole operator T having positive dimension. This was noticed in [14] for the $[\mathbf{0}, \mathbf{0}]$ case.

We performed Z-minimization numerically for a few simple cases. The main new ingredient here is that we can have $\Delta_M \neq 0$ in (6.1), unlike the chiral case studied in [14] where charge conjugation invariance sets $\Delta_M = 0$.

¹⁴Generally the integration contour should be taken slightly away from the real axis.

Case $n = 1, k = 0$

$s_1 \backslash s_2$	0	1	2	3	4
0	r_Q Δ_M $-\ln Z $	-	r 0 0.9687	-	r 0 1.9901
1	-	1/3 0 0.8724	-	0.4151 0 1.9548	-
2	r 0 0.9687	-	0.4085 0 1.9340	-	0.4387 0 2.8447
3	-	0.4151 0 1.9548	-	0.4370 0 2.8380	-
4	r 0 1.9901	-	0.4387 0 2.8447	-	0.4519 0 3.6791

Table 4. Superconformal R-charges and free energy for the case $n = 1, k = 0$, and for various values of s_1, s_2 .

We also checked in several examples that whenever two theories can be connected by a RG flow, the “free energy” defined as

$$F \equiv -\ln|Z| \tag{6.8}$$

is smaller in the IR theory. This corroborates the conjectured “F-theorem” [22].

Abelian theory at CS level $k = 0$. Consider a U(1) theory with $k = 0$, and generic s_1, s_2 such that $s_1 + s_2$ is even. It is the simplest example of a $[\mathbf{p}, \mathbf{p}]^*$ theory, with $p = q = |s_1 - s_2|$. The values of r_Q and Δ_M are easily found numerically, and are reported in table 4. In this case we still have parity symmetry, which imposes $\Delta_M = 0$. The diagonal entries in table 4 correspond to the $[\mathbf{0}, \mathbf{0}]$ case of section 3.1, and the same numerical result was reported in [14]. We remark that for $(s_1, s_2) = (s_1, 0)$ or $(0, s_2)$, the quantity $|Z|$ is minimized for any value of r_Q , as expected, since in this case r_Q is a mixing of the R-current with the gauge current.

The theory with $(s_1, s_2) = (2, 0)$ is one of the few examples where both the electric and magnetic partition functions converge when we take x real. The identity (5.19) becomes

$$I_{1[1,1]^*a}^1(ir_Q, ir_Q, i2\Delta_M) = I_{1[1,1]^*b}^1(i(1-r_Q), i(1-r_Q), -i2\Delta_M) e^{2\pi i(2\Delta_M r_Q - \Delta_M)},$$

which converges absolutely for $|\Delta_M| < \min(r_Q, 1 - r_Q)$.

Abelian theory at CS level $k = -\frac{1}{2}$. Let us consider next the U(1) theory at Chern-Simons level $-\frac{1}{2}$, with $s_1 + s_2$ odd. In this case there is no parity symmetry and we have $\Delta_M \neq 0$. The superconformal values of r_Q and Δ_M are reported in table 5. In the case $s_1 s_2 = 0$, the partition function is minimized for any value of r_Q , while there is a physically meaningful contribution to Δ_M .

Case $n = 1, k = -\frac{1}{2}$

$s_1 \backslash s_2$	0	1	2	3	4	5
0	r_Q Δ_M $-\ln Z $	r $-\frac{1}{2}r$ 0.3466	-	r $-\frac{1}{2}r + 0.1404$ 1.5126	-	r $-\frac{1}{2}r + 0.1841$ 2.4459
1	r $\frac{1}{2}r$ 0.3466	-	0.3919 -0.0437 1.4666	-	0.4320 -0.0337 2.4228	-
2	-	0.3919 0.0347 1.4666	-	0.4278 -0.0168 2.4064	-	0.4479 -0.0192 3.2752
3	r $\frac{1}{2}r - 0.1404$ 1.5126	-	0.4278 0.0168 2.4064	-	0.4463 -0.0079 3.2681	-
4	-	0.4320 0.0337 2.4228	-	0.4463 0.0079 3.2681	-	0.4574 -0.0044 4.0882
5	r $\frac{1}{2}r - 0.1841$ 2.4459	-	0.4479 0.0192 3.2752	-	0.4574 0.0044 4.0882	-

Table 5. Superconformal R-charges and free energy for the case $n = 1, k = -\frac{1}{2}$, and for various values of s_1, s_2 . In these theories $\Delta_M \neq 0$.

U(1) $_{-1/2}$ with a single \tilde{Q} . The special case U(1) at level $-\frac{1}{2}$ with a single chiral multiplet of charge -1 is worth some further attention (it falls into the case $[1, \mathbf{0}]_a$). The flavor group is reduced to $U(1)_M \times U(1)_R$, the $U(1)_A$ symmetry being gauged. The Seiberg dual theory is simply a free field T of charge 1 under $U(1)_M$, corresponding to the monopole of the electric gauge group.¹⁵ Since $R[T] = \frac{1}{2}$ in the IR, we must have $\Delta_M = \frac{1}{2}r_Q$, and we can set $r_Q = 0$. In the magnetic theory we also have the CS levels

$$k_{MM} = \frac{1}{2}, \quad k_{RR} = \frac{1}{8}, \quad k_{MR} = -\frac{1}{4}. \quad (6.9)$$

As a function of the FI parameter ξ and of the undetermined (and physically meaningless) r_Q , the electric and magnetic partition functions read

$$Z^{\text{elec}}(\xi; r_Q) = \int dx e^{\frac{\pi i}{2}x^2 - 2\pi i\xi x + \pi r_Q x} \Gamma_h(-x + ir_Q), \quad (6.10)$$

$$Z^{\text{magn}}(\xi; r_Q) = \Gamma_h\left(\xi + \frac{i}{2}\right) e^{-\frac{\pi i}{12}} e^{-\frac{\pi i}{2}(\xi^2 - \frac{1}{4} - r_Q^2)} e^{\pi\xi(r_Q - \frac{1}{2})}. \quad (6.11)$$

They are equal by (5.17); it is also easily checked numerically.

¹⁵See [57] for an early appearance of this duality in the context of mirror symmetry.

7 Dualities for $\text{Usp}(2n)$ theories with fundamentals

Seiberg-like dualities for $\text{Usp}(2n)$ Yang-Mills theories with an even number of fundamentals were conjectured early on by Aharony [9] and recently generalized to Chern-Simons theories by Willett and Yaakov [14]. For completeness, in this section we briefly review those dualities and their checks at the level of partition functions [14], listing explicitly the global CS terms needed to fully specify the duality maps. Later the results of this and the next section will be used to check the $\mathcal{N} = 5$ $\text{Usp} \times O$ dualities proposed in [11] on the ground of a brane construction.

7.1 Yang-Mills theories

A duality for $\text{Usp}(2n)$ theory with $s = 2n_f$ fundamental flavors Q and no CS interactions was proposed by Aharony in [9] for $n_f \geq n + 1$.¹⁶ The global symmetry is $\text{SU}(2n_f) \times \text{U}(1)_A \times \text{U}(1)_R$. For the purpose of defining the partition function of the field theory on S_b^3 , it turns useful to work with the Cartan subgroup $\text{U}(1)_{F_r}^{2n_f} \in \text{U}(2n_f)_F$ of the flavor group. Similarly, we will mostly work with an R-symmetry mixed with these Cartan generators. We define m such that $n_f \equiv n + m + 1$. Then the $\text{Usp}(2n)_0$ **electric** theory has matter content ($i, j = 1 \dots, n$ are gauge indices; $r, u, v = 1, \dots, 2n_f$ are flavor indices)

	x_i	M_r	ω	
	$[\text{U}(1)_i]$	$\text{U}(1)_{F_r}$	$\text{U}(1)_R$	Mass
Q_u^j	$\pm \delta_{ij}$	δ_{ur}	Δ_u	$\pm x_j + \mu_u$
M_{uv}	0	$\delta_{ur} + \delta_{vr}$	$\Delta_u + \Delta_v$	$\mu_u + \mu_v$
Y	0	-1	$-2n - \sum_r (\Delta_r - 1)$	$-\sum_r \mu_r + 2(m + 1)\omega$

(7.1)

with

$$\mu_u \equiv M_u + \Delta_u \omega . \tag{7.2}$$

x_i are the scalars in the dynamical gauge vector multiplet, M_r are the scalars in the background vector multiplets for the flavor symmetry, and ω is the corresponding parameter for the R-symmetry. In the last column we listed the complexifications of the real masses of the various fields, which enter the partition function. The fields listed after the last horizontal line are composite and will not appear in the partition function of the electric theory. The meson $M_{uv} = Q_u \cdot Q_v \equiv J_{ij} Q_u^i Q_v^j$ transforms in the 2-index antisymmetric representation of $\text{SU}(2n_f)$ due to the contraction with the antisymmetric invariant tensor J_{ij} of $\text{Usp}(2n)$. The monopole operator $Y = \prod_{i=1}^n Y_i$ parametrizes the complex dimension of the Coulomb branch which is not lifted by instanton corrections. It is given in terms of the fundamental monopole operators $Y_i \sim e^{\alpha_i^\vee \cdot \Phi}$ (the latter expression is valid in the weak coupling region) associated to the simple coroots α_i^\vee of the gauge Lie algebra.

Aharony conjectured that for $n_f \geq n + 1$ the electric theory is dual to a **magnetic** $\text{Usp}(2m)$ gauge theory, with $m = n_f - n - 1$, with $2n_f$ fundamental flavors q in the antifundamental representation of the flavor group, no CS interactions, a gauge singlet

¹⁶See [8] for the analysis of the moduli space for any n_f .

M dual to the flavor antisymmetric meson, and a gauge singlet Y dual to the monopole operator parametrising the Coulomb branch of the electric theory. Its matter content is

	y_i [U(1) $_i$]	M_r U(1) $_{F_r}$	ω U(1) $_R$	Mass
q^{ju}	$\pm\delta_{ij}$	$-\delta_{ur}$	$1 - \Delta_u$	$\pm y_j + \omega - \mu_u$
M_{uv}	0	$\delta_{ur} + \delta_{vr}$	$\Delta_u + \Delta_v$	$\mu_u + \mu_v$
Y	0	-1	$-2n - \sum_r (\Delta_r - 1)$	$-\sum_r \mu_r + 2(m+1)\omega$
y	0	+1	$-2m + \sum_r \Delta_r$	$\sum_r \mu_r - 2m\omega$

(7.3)

y is the monopole operator of the magnetic theory, defined similarly to Y , not to be confused with the scalars y_i in the dynamical vector multiplet of the magnetic gauge group. Finally, a superpotential

$$W_{mag} = M_{rs} q^r \cdot q^s + Y y \tag{7.4}$$

preserving all the symmetries trivializes the monopole operator y and the meson of magnetic quarks in the chiral ring.

To test the conjectured duality, we introduce the partition function on S^3_b of a symplectic gauge theory with fundamentals. We define the integrals [56]

$$J_{n,s,t}(\mu) \equiv \frac{1}{\sqrt{-\omega_1 \omega_2}^n 2^n n!} \int_{C^n} \prod_{1 \leq j < k \leq n} \frac{1}{\Gamma_h(\pm x_j \pm x_k)} \prod_{j=1}^n \frac{\prod_{r=1}^s \Gamma_h(\mu_r \pm x_j)}{\Gamma_h(\pm 2x_j)} c(2tx_j^2) dx_j, \tag{7.5}$$

with $t + s \in 2\mathbb{Z}$. $2^n n!$ is the order of the Weyl group of $\text{Usp}(2n)$. Then the partition of the $\text{Usp}(2n)_k$ gauge theory with s fundamentals with mass parameters μ_r associated to the global symmetry group is

$$Z_{\text{Usp}(2n)_k}^s(\mu) = J_{n,s,2k}(\mu), \quad \text{where} \quad \mu_r = M_r + \Delta_r \omega. \tag{7.6}$$

$t + s \in 2\mathbb{Z}$ translates into the quantization of the CS level $k + \frac{s}{2} \in \mathbb{Z}$ which ensures invariance of the partition function under large gauge transformations.¹⁷

As pointed out in [14], the proof of the duality of [9] at the level of partition functions is provided by the transformation identity Theorem 5.5.9 of [56], which we rewrite as

$$Z_{\text{Usp}(2n)_0}^{2n_f}(\mu) = Z_{\text{Usp}(2m)_0}^{2n_f}(\omega - \mu) \Gamma_h\left(2(m+1)\omega - \sum_{r=1}^{2n_f} \mu_r\right) \prod_{1 \leq r < s \leq 2n_f} \Gamma_h(\mu_r + \mu_s), \tag{7.7}$$

with $m = n_f - n - 1 \geq 0$. The l.h.s. is the partition function of the electric theory (7.1). The r.h.s. is the partition function of the magnetic theory (7.3): the first piece accounts for the $\text{Usp}(2m)$ theory with $2n_f = 2(n + m + 1)$ fundamentals, the second piece for the singlet Y dual to the monopole, and the third piece for the antisymmetric mesons M .

¹⁷Note that a CS term at level k for $\text{Usp}(2n)$ contributes $\exp(-2\pi i k \sum_{j=1}^n x_j^2) = c(4k \sum_{j=1}^n x_j^2)$. The extra factor of 2 compared to the $\text{U}(n)$ case is due to normalization of the generators [14].

7.2 Chern-Simons theories

Willett and Yaakov derived dualities for $\text{Usp}(2n)$ Chern-Simons theories with fundamental flavors, by means of real mass deformations of Aharony's dual theories [14]. Here we repeat their computation and supplement it with the global Chern-Simons couplings induced by the massive fermions. The relevant identity that we will need is

$$Z_{\text{Usp}(2n)_k}^s(\mu) = \lim_{m_0 \rightarrow \text{sign}(k)\infty} \zeta^{4nk} c(-4nk(m_0 - \omega)^2) Z_{\text{Usp}(2n)_0}^{s+2|k|}(\mu, \underbrace{m_0, \dots, m_0}_{2|k|}). \quad (7.8)$$

In physical terms, we are giving infinite real mass (with same sign as k) to $2|k| \in \mathbb{Z}$ quarks of the ultraviolet YM theory, thus producing CS interactions at level k for the IR gauge theory. Applying this limit to both sides of Aharony's duality (7.7), we find the identity

$$\begin{aligned} Z_{\text{Usp}(2n)_k}^s(\mu) &= Z_{\text{Usp}(2m)_{-k}}^s(\omega - \mu) \prod_{1 \leq u < v \leq s} \Gamma_h(\mu_u + \mu_v) \zeta^{\text{sign}(k)(2|k|-1)(|k|-1)} \\ &\times c\left(-4nk\omega^2 - \text{sign}(k) \left[(2m+1)\omega - \sum_{r=1}^s \mu_r \right]^2 + 2k \sum_{r=1}^s (\mu_r - \omega)^2 + k(2|k|-1)\omega^2\right), \\ m &= |k| + \frac{s}{2} - n - 1 \geq 0, \quad k \neq 0. \end{aligned} \quad (7.9)$$

The sum inside the last term encodes the global CS terms due to the massive fields: massive electric quarks (this contribution is moved from the l.h.s. to the r.h.s.), Y , mesons $M_{u, s+a}$, and mesons $M_{s+a, s+b}$ respectively.

The identity (7.9) reflects the duality of [14] between the **electric** $\text{Usp}(2n)_k$ theory with s fundamentals Q (with $k + \frac{s}{2} \in \mathbb{Z}$),

	x_i	M_r	ω	
	$[\text{U}(1)_i]$	$\text{U}(1)_{F_r}$	$\text{U}(1)_R$	Mass
Q_u^j	$\pm \delta_{ij}$	δ_{ur}	Δ_u	$\pm x_j + \mu_u$
M_{uv}	0	$\delta_{ur} + \delta_{vr}$	$\Delta_u + \Delta_v$	$\mu_u + \mu_v$

(7.10)

and vanishing superpotential, and the **magnetic** $\text{Usp}(2m)_k$ theory with s fundamentals q and singlets M_{uv} dual to the electric mesons,

	y_i	M_r	ω	
	$[\text{U}(1)_i]$	$\text{U}(1)_{F_r}$	$\text{U}(1)_R$	Mass
q^{ju}	$\pm \delta_{ij}$	$-\delta_{ur}$	$1 - \Delta_u$	$\pm y_j + \omega - \mu_u$
M_{uv}	0	$\delta_{ur} + \delta_{vr}$	$\Delta_u + \Delta_v$	$\mu_u + \mu_v$

(7.11)

with superpotential

$$W_{\text{mag}} = M_{uv} q^u \cdot q^v \quad (7.12)$$

when $m = \frac{s}{2} + |k| - n - 1 \geq 0$ and $k \neq 0$. Again μ_r is given by (7.2). In addition there are relative global Chern-Simons terms that can be read from (7.9). For simplicity we list them here only in the case of maximal global symmetry $\text{U}(1)_A \times \text{SU}(s) \times \text{U}(1)_R$ and for an

R-symmetry mixed with A , giving $R[Q] = \Delta$:

$$\begin{aligned}
 \Delta k_{RR} &= \frac{1}{2} \text{sign}(k) [-(2m + 1 - s\Delta)^2 + 2|k|s(\Delta - 1)^2 + |k|(2|k| - 1 - 4n)] \\
 \Delta k_{RA} &= -\text{sign}(k) \frac{s}{2} [2n + 1 - s + (s - 2|k|)\Delta] \\
 \Delta k_{AA} &= -\text{sign}(k) \frac{s}{2} (s - 2|k|) \\
 \Delta k_{\text{SU}(s)} &= k.
 \end{aligned}
 \tag{7.13}$$

Notice that when $m < 0$ supersymmetry is broken and the matrix integral vanishes.

8 Dualities for $O(N_c)$ theories with vector matter

Seiberg-like dualities for Chern-Simons theories with orthogonal gauge groups and matter in the vector representations have been recently conjectured by Kapustin [15]. The duality states that the **electric** $\mathcal{N} = 2$ $O(N_c)_k$ CS theory with N_f flavors of vector matter Q in the fundamental of the $U(N_f)$ flavor group¹⁸

$$\frac{[O(N_c)]}{Q} \left| \begin{array}{c|c} U(N_f) & U(1)_R \\ \hline N_c & \Delta \end{array} \right. \tag{8.1}$$

is dual to a **magnetic** $O(\tilde{N}_c)_{-k}$ theory with N_f flavors of vector matter q in the antifundamental of $U(N_f)$ and a gauge singlet M in the two-index symmetric representation of $U(N_f)$ (dual to the meson of electric quarks)

$$\frac{[O(\tilde{N}_c)]}{q} \left| \begin{array}{c|c} U(N_f) & U(1)_R \\ \hline \tilde{N}_c & 1 - \Delta \\ M & \mathbf{1} \\ & N_f(N_f + 1)/2 \quad 2\Delta \end{array} \right. \tag{8.2}$$

and a superpotential

$$W_{\text{mag}} = M_{uv} q^u \cdot q^v \tag{8.3}$$

when $\tilde{N}_c = N_f + |k| + 2 - N_c \geq 0$. The choice of O rather than SO gauge groups is crucial for the match of global symmetries and moduli spaces of dual theories. In the original paper it was checked numerically for several low rank dual pairs that the absolute values of the electric and magnetic partition functions coincide. Another successful test by means of the superconformal index between dual pairs was performed recently for some low rank examples [58]. In this section we provide a proof that the partition functions of dual theories on S_b^3 match, once certain global CS terms are included, and extend the duality to the IR fixed points of orthogonal Yang-Mills theories.

When writing the partition function we have to distinguish between N_c even and odd, due to the different Lie algebras. The partition function of a $O(2n)_k$ theory with N_f flavors of vectors is

$$Z_{O(2n)_k}^{N_f}(\mu) \equiv \frac{1}{2^{n-1}n!} \int \prod_{1 \leq j < k \leq n} \frac{1}{\Gamma_h(\pm x_j \pm x_k)} \prod_{j=1}^n \prod_{r=1}^{N_f} \Gamma_h(\pm x_j + \mu_r) c(2kx_j^2) dx_j, \tag{8.4}$$

¹⁸The Chern-Simons level k is an integer irrespective of N_f .

where $\mu_r = M_r + \Delta_r \omega$, working again with the Cartan subalgebra of the global symmetry group. The partition function of a $O(2n+1)_k$ theory with N_f flavors is

$$Z_{O(2n+1)_k}^{N_f}(\mu) \equiv \frac{1}{2^n n!} \prod_{r=1}^{N_f} \Gamma_h(\mu_r) \int \prod_{1 \leq j < k \leq n} \frac{1}{\Gamma_h(\pm x_j \pm x_k)} \prod_{j=1}^n \frac{\prod_{r=1}^{N_f} \Gamma_h(\pm x_j + \mu_r)}{\Gamma_h(\pm x_j)} c(2kx_j^2) dx_j . \quad (8.5)$$

By means of a trick analogous to that used in [59] for the superconformal indices of 4d gauge theories, we can reduce the partition functions of the orthogonal gauge theories to those of symplectic gauge theories with fundamental matter (7.6)–(7.5) (see also [60]). We will exploit the identity [61]

$$\Gamma_h(2z) = \Gamma_h(z) \Gamma_h\left(z + \frac{\omega_1}{2}\right) \Gamma_h\left(z + \frac{\omega_2}{2}\right) \Gamma_h(z + \omega) . \quad (8.6)$$

Defining

$$\begin{aligned} U &= \left(0, \frac{\omega_1}{2}, \frac{\omega_2}{2}, \omega\right) & V &= \left(0, \frac{\omega_1}{2}, \frac{\omega_2}{2}\right) \\ U' &= \left(\frac{\omega_1}{2}, \frac{\omega_2}{2}\right) & V' &= \left(\frac{\omega_1}{2}, \frac{\omega_2}{2}, \omega\right) \end{aligned} \quad (8.7)$$

and applying formulas (A.13) and (A.14), we get

$$\Gamma_h(2z) = \prod_{a=1}^4 \Gamma_h(z + U_a) \quad \Gamma_h(\pm 2z) = \prod_{a=1}^3 \Gamma_h(\pm z + V_a) \quad (8.8)$$

$$\frac{\Gamma_h(2z)}{\Gamma_h(z)} = \prod_{a=1}^3 \Gamma_h(z + V'_a) \quad \frac{\Gamma_h(\pm 2z)}{\Gamma_h(\pm z)} = \prod_{a=1}^2 \Gamma_h(\pm z + U'_a) \quad (8.9)$$

$$\prod_{a < b}^4 \Gamma_h(U_a + U_b) = 1 \quad \prod_{a < b}^3 \Gamma_h(V_a + V_b) = \frac{1}{2} \quad (8.10)$$

$$\prod_{a < b}^3 \Gamma_h(V'_a + V'_b) = 2 \quad \prod_{a < b}^2 \Gamma_h(U'_a + U'_b) = 1 . \quad (8.11)$$

We use these identities to rewrite the partition functions of the orthogonal theories in term of those of the symplectic theories. If $N_c = 2n$ is even,

$$Z_{O(2n)_k}^{N_f}(\mu) = \begin{cases} 2 Z_{\text{Usp}(2n)_{k/2}}^{N_f+4}(\mu, U) , & N_f + k \in 2\mathbb{Z} \\ 2 Z_{\text{Usp}(2n)_{k/2}}^{N_f+3}(\mu, V) , & N_f + k \in 2\mathbb{Z} + 1 \end{cases} \quad (8.12)$$

and if $N_c = 2n + 1$ is odd

$$Z_{O(2n+1)_k}^{N_f}(\mu) = \begin{cases} \prod_{r=1}^{N_f} \Gamma_h(\mu_r) \cdot Z_{\text{Usp}(2n)_{k/2}}^{N_f+2}(\mu, U') , & N_f + k \in 2\mathbb{Z} \\ \prod_{r=1}^{N_f} \Gamma_h(\mu_r) \cdot Z_{\text{Usp}(2n)_{k/2}}^{N_f+3}(\mu, V') , & N_f + k \in 2\mathbb{Z} + 1 \end{cases} \quad (8.13)$$

The identities encoding Kapustin's duality for partition functions on the squashed S_b^3 then follow from those for Willett-Yaakov's duality (7.9).

We start by considering $k = 0$, corresponding to the IR fixed point of Yang-Mills theory with N_f flavors of matter in the vector representation, and use the identity (7.7) for Aharony's duality of symplectic SQCD theories. The four cases with N_c and $N_f + k$ even and odd boil down to the single formula

$$Z_{O(N_c)_0}^{N_f}(\mu) = Z_{O(\tilde{N}_c)_0}^{N_f}(\omega - \mu) \Gamma_h\left(\tilde{N}_c\omega - \sum_{r=1}^{N_f} \mu_r\right) \prod_{1 \leq r \leq s \leq N_f} \Gamma_h(\mu_r + \mu_s), \quad (8.14)$$

with $\tilde{N}_c = N_f + 2 - N_c \geq 0$. This identity suggests that the **electric** $O(N_c)_0$ theory with N_f flavors Q in the fundamental of $U(N_f)$,

$[O(N_c)]$	$SU(N_f)$	$U(1)_A$	$U(1)_R$
Q	N_f	1	Δ

(8.15)

is dual to a **magnetic** $O(\tilde{N}_c)_0$ theory with N_f flavors q in the antifundamental of $U(N_f)$, singlets M in the 2-index symmetric of $U(N_f)$ dual to the electric mesons, and a singlet Y dual to a monopole operator of the electric theory with minimal magnetic flux,

$[O(\tilde{N}_c)]$	$SU(N_f)$	$U(1)_A$	$U(1)_R$
q	\tilde{N}_f	-1	$1 - \Delta$
M	$\mathbf{1}$	$N_f(N_f + 1)/2$	2Δ
Y	$\mathbf{1}$	$-N_f$	$\tilde{N}_c - N_f\Delta$

(8.16)

together with a superpotential

$$W_{mag} = M_{rs} q^r \cdot q^s + Yy \quad (8.17)$$

coupling the singlet Y to a monopole operator of minimal magnetic flux in the magnetic theory. Magnetic fluxes B (in the Cartan subalgebra of the Lie algebra of the gauge group G) inserted by monopole operators are constrained by Dirac quantization $\exp(2\pi i B) = id_G$ [62]. Let us introduce generators H_j of the Cartan subalgebra: H_j has vanishing entries except for $\begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$ in the j -th diagonal 2 by 2 block. j runs from 1 to the rank r of the group. The solution of the quantization condition for orthogonal groups is $B = \sum_j m_j H_j$ with integer m_j .¹⁹ A monopole operator with minimal flux turns on a single m_j with magnitude 1: such a minimal monopole operator in the electric theory has the correct quantum numbers to be dual to the singlet Y in (8.16); moreover the quantum numbers of the minimal monopole operator y in the magnetic theory allow the superpotential term Yy in (8.17).

From the quantum numbers of the gauge invariants M_{rs} and Y parametrizing the moduli space that are listed in (8.16), we see that the effective superpotential on the moduli space is, with a suitable normalization,

$$W_{\text{eff}} = \tilde{N}_c (Y^2 \det M)^{\frac{1}{\tilde{N}_c}} \quad (8.18)$$

¹⁹Note that the lattice of allowed magnetic fluxes for an orthogonal group is finer than the coroot lattice of the associated Lie algebra [62], since orthogonal groups have nontrivial fundamental group. Monopole operators with minimal flux do not lie in the coroot lattice.

if $\tilde{N}_c = N_f + 2 - N_c \geq 1$, namely $N_f \geq N_c - 1$. As usual in Seiberg-like dualities, the singularity at the origin is cured by the introduction of the dual gauge group. Adding a superpotential mass term allows us to flow to theories with lower N_f . When $N_f = N_c - 1$, the magnetic gauge group is $O(1) = \mathbb{Z}_2$ and the effective superpotential is regular, $W_{\text{eff}} = Y^2 \det M$. If $N_f = N_c - 2$ the dual gauge group is trivial and the theory has a deformed moduli space described by the constraint $Y^2 \det M = 1$ (the strong coupling scale has been set to unity). Finally there are no supersymmetric vacua if $\tilde{N}_c < 0$, namely $N_f < N_c - 2$.

The identities encoding the dualities of [15] for Chern-Simons theories then follow by real mass deformations of the duality identity (8.14) for the Yang-Mills theories. The result is

$$\begin{aligned}
 Z_{O(N_c)_k}^{N_f}(\mu) &= Z_{O(\tilde{N}_c)_{-k}}^{N_f}(\omega - \mu) \prod_{1 \leq u \leq v \leq N_f} \Gamma_h(\mu_u + \mu_v) \zeta^{\frac{1}{2} \text{sign}(k)(|k|+1)(|k|+2)} \\
 &\times c \left(-N_c k \omega^2 - \text{sign } k \left[(\tilde{N}_c - 1) \omega - \sum_{r=1}^{N_f} \mu_r \right]^2 + k \sum_{r=1}^{N_f} (\mu_r - \omega)^2 + \frac{k}{2} (|k| + 1) \omega^2 \right), \\
 \tilde{N}_c &= N_f + |k| + 2 - N_c \geq 0, \quad k \neq 0,
 \end{aligned} \tag{8.19}$$

which agrees with Kapustin's conjecture and provides the relative global CS terms

$$\begin{aligned}
 \Delta k_{RR} &= \frac{1}{4} k (-2N_c + |k| + 1) + \frac{1}{2} k N_f (\Delta - 1)^2 - \frac{1}{2} \text{sign}(k) (\tilde{N}_c - 1 - N_f \Delta)^2 \\
 \Delta k_{RA} &= \frac{1}{2} \text{sign}(k) N_f \left[(|k| - N_f) \Delta + \tilde{N}_c - 1 - |k| \right] \\
 \Delta k_{AA} &= \frac{1}{2} \text{sign}(k) N_f (|k| - N_f) \\
 \Delta k_{\text{SU}(N_f)} &= \frac{k}{2}.
 \end{aligned} \tag{8.20}$$

We close this section remarking that, equipped with the global CS terms for the Usp and O dualities, one can check at the level of partition functions the dualities for $\mathcal{N} = 5$ $\text{Usp}(2N_{USp})_k \times O(N_O)_{-2k}$ theories put forward in [11] on the ground of a brane construction. The partition functions of dual theories match, up to a phase due to powers of ζ and RR global CS terms, as in the case of unitary ABJ dualities [13].

9 Conclusions

In this paper we studied Seiberg-like dualities between $\mathcal{N} = 2$ gauge theories in three dimensions. We proposed new such dualities for $U(n)$ Yang-Mills and Chern-Simons theories with fundamental and antifundamental matter in complex representation of the gauge group (often referred to as ‘‘chiral’’, with an abuse of terminology). All these dualities follows from the one of Aharony [9]. We were careful to keep track of various relative Chern-Simons levels for the global symmetry group and we stressed that they are crucial to the duality map. We also sketched an application of our results to Seiberg dualities for Chern-Simons quivers.

Similarly, we specified which global Chern-Simons terms should be included in previously known dualities for Chern-Simons-matter theories with symplectic [14] and orthogonal [15] gauge groups. We also proposed an Aharony-like duality for $O(n)$ gauge theories with Yang-Mills kinetic term.

We have checked that all these dualities hold at the level of the partition function. The corresponding identities for the localized partition functions on S_b^3 follow from beautiful recent mathematical results [56], which we checked and explained in physical terms.

There are several obvious roads to travel from here. First, it would be interesting to further check our proposals and investigate the structure of the quantum chiral ring of these theories more in depth. An important tool for that is the superconformal index [63, 64]: it would be nice to check that it matches between dual theories. In particular the global Chern-Simons terms will be important when dealing with the generalized superconformal index recently proposed in [65].

Secondly, we have left one untied knot in our characterization of the Chern-Simons levels for the R-symmetry background gauge field. To clarify the origin of the dependence of the Lagrangian on ω , we should work in supergravity with an off-shell SUGRA multiplet containing $A_\mu^{(R)}$, and see which background supergravity fields should be turned on in order to preserve supersymmetry on S_b^3 . This program allowed [27] to explain why ω enters like in (5.2) in the case of the round sphere ($\omega = i$). One should perform the same kind of analysis for the squashed S^3 and also explain how the $\mathcal{N} = 2$ completion of the CS interaction $A^{(R)} \wedge dA^{(R)}$ leads to the term $k_{RR}\omega^2$ in the field theory Lagrangian on S_b^3 .

Lastly, the dualities studied here can be used as building blocks for Seiberg-like dualities in more complicated theories, such as the quiver theories which describe M2-branes at Calabi-Yau fourfold singularities. This certainly deserves further investigation [66].

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A The S_b^3 partition function and $\Gamma_h(z)$

Let us consider an $\mathcal{N} = 2$ matter multiplet Φ of R-charge Δ and charges q_α under various Abelian groups $U(1)_\alpha$ (which could be part of the gauge group or the flavor group, in the overall theory). The scalar component ϕ couples to real scalars m_α in vector multiplets (including background ones for global symmetries) through

$$V = m^2 |\phi|^2, \quad m \equiv \sum_\alpha q_\alpha m_\alpha \tag{A.1}$$

When we consider the partition function on S^3 , Φ gives a contribution [53–55]

$$Z_{S^3}^\Phi = \prod_{n \geq 1}^\infty \left(\frac{n+1+im-\Delta}{n-1-im+\Delta} \right)^n. \quad (\text{A.2})$$

It can be generalized to the partition function for a $U(1) \times U(1)$ isometrically squashed 3-sphere (or hyperellipsoid) S_b^3 [21]:

$$Z_{S_b^3}^\Phi = \prod_{n_1, n_2 \geq 0}^\infty \frac{(n_1+1)b + (n_2+1)b^{-1} + im - \frac{b+b^{-1}}{2}\Delta}{n_1b + n_2b^{-1} - im + \frac{b+b^{-1}}{2}\Delta}, \quad (\text{A.3})$$

with b the squashing parameter ($b=1$ is the round sphere). As they stand, these infinite products are divergent. There is a very natural regularization of (A.3) in term of the hyperbolic gamma function introduced by Ruijsenaars [67], or equivalently the inverse of the double sine function of Koyama and Kurokawa [61]. We will follow the notation of van de Bult [56], and recall the relation between these various functions in (A.10).

First we introduce the double gamma function Γ_2 , defined as the analytic continuation of

$$\Gamma_2(z; \omega_1, \omega_2) = \exp \left(\partial_s \left(\sum_{n_1, n_2 \geq 0} (n_1\omega_1 + n_2\omega_2 + z)^{-s} \right) \Big|_{s=0} \right). \quad (\text{A.4})$$

Then the *hyperbolic gamma function* is defined as

$$\Gamma_h(z; \omega_1, \omega_2) = \frac{\Gamma_2(z; \omega_1, \omega_2)}{\Gamma_2(\omega_1 + \omega_2 - z; \omega_1, \omega_2)}, \quad (\text{A.5})$$

which formally gives

$$\Gamma_h(z; \omega_1, \omega_2) = \prod_{n_1, n_2 \geq 0} \frac{(n_1+1)\omega_1 + (n_2+1)\omega_2 - z}{n_1\omega_1 + n_2\omega_2 + z}. \quad (\text{A.6})$$

Comparing with (A.3), we see that

$$Z_{S_b^3}^\Phi(z) = \Gamma_h(z; \omega_1, \omega_2), \quad \text{with} \quad \omega_1 = ib, \quad \omega_2 = ib^{-1}, \quad z = m + \omega\Delta, \quad (\text{A.7})$$

where we have defined

$$\omega \equiv \frac{\omega_1 + \omega_2}{2}. \quad (\text{A.8})$$

Physically, for the squashed S_b^3 the parameter ω is purely imaginary with $|\omega| > 1$, while $\omega = i$ for the round S^3 . The presentation (A.6) makes it clear that $\Gamma_h(z)$ has poles at

$$z_{\text{pole}} = -n_1\omega_1 - n_2\omega_2, \quad n_1, n_2 \in \mathbb{Z}_{\geq 0}, \quad (\text{A.9})$$

and zeros at $z = m_1\omega_1 + m_2\omega_2$ for $m_1, m_2 \in \mathbb{Z}_{\geq 1}$. Poles and zeros are simple unless $\omega_1/\omega_2 \in \mathbb{Q}$. In the physical limit (A.7) the poles and zeros fall on the imaginary axis.

Van de Bult's hyperbolic gamma function $\Gamma_h(z; \omega_1, \omega_2)$ is related to Ruijsenaars' hyperbolic gamma function $G(a_+, a_-; z)$ [67] and to the double sine function $S_2(z; a_1, a_2)$ of [61] as follows:

$$\Gamma_h(z; \omega_1, \omega_2) = G(-i\omega_1, -i\omega_2; z - \omega) = S_2^{-1}(-iz; -i\omega_1, -i\omega_2). \quad (\text{A.10})$$

The hyperbolic gamma function also has the following integral representation [56]:

$$\Gamma_h(z; \omega_1, \omega_2) = \exp \left(i \int_0^\infty \left(\frac{z - \omega}{\omega_1 \omega_2 x} - \frac{\sin(2x(z - \omega))}{2 \sin(\omega_1 x) \sin(\omega_2 x)} \right) \frac{dx}{x} \right), \quad (\text{A.11})$$

for z such that $0 < \text{Im}(z) < \text{Im}(\omega_1 + \omega_2)$. It satisfies the difference equations

$$\begin{aligned} \Gamma_h(z + \omega_1) &= 2 \sin \left(\frac{\pi z}{\omega_2} \right) \Gamma_h(z), \\ \Gamma_h(z + \omega_2) &= 2 \sin \left(\frac{\pi z}{\omega_1} \right) \Gamma_h(z), \end{aligned} \quad (\text{A.12})$$

the reflection formula

$$\Gamma_h(z) \Gamma_h(\omega_1 + \omega_2 - z) = 1, \quad (\text{A.13})$$

and takes the values

$$\begin{aligned} \Gamma_h(\omega) &= 1, & \Gamma_h\left(\frac{\omega_1}{2}\right) &= \Gamma_h\left(\frac{\omega_2}{2}\right) = \frac{1}{\sqrt{2}}, & \Gamma_h\left(\omega + \frac{\omega_1}{2}\right) &= \Gamma_h\left(\omega + \frac{\omega_2}{2}\right) = \sqrt{2} \\ \Gamma_h(\omega_1) &= \sqrt{\frac{\omega_1}{\omega_2}}, & \Gamma_h(\omega_2) &= \sqrt{\frac{\omega_2}{\omega_1}}. \end{aligned} \quad (\text{A.14})$$

We also use the identity [61]

$$\Gamma_h(2z) = \Gamma_h(z) \Gamma_h\left(z + \frac{\omega_1}{2}\right) \Gamma_h\left(z + \frac{\omega_2}{2}\right) \Gamma_h(z + \omega). \quad (\text{A.15})$$

In this paper we always write the partition function $Z_{S_b^3}^\Phi$ as Γ_h , suppressing the periodicities in (A.7) from the notation:

$$Z_{S_b^3}^\Phi(z) = \Gamma_h(z) \quad \text{with} \quad z = m + \omega \Delta. \quad (\text{A.16})$$

Finally we use van de Bult's shorthand notation

$$\Gamma_h(a \pm x) \equiv \Gamma_h(a + x) \Gamma_h(a - x), \quad (\text{A.17})$$

so that (A.13) and (A.14) imply

$$\frac{1}{\Gamma_h(\pm x)} = -4 \sin \left(\frac{\pi z}{\omega_1} \right) \sin \left(\frac{\pi z}{\omega_2} \right). \quad (\text{A.18})$$

The last identity allows us to express the contribution of vector multiplets to the S_b^3 partition function [21] in terms of hyperbolic gamma functions as well.

A.1 $Z_{S^3}^\Phi$ in term of Jafferis' function

In the case of the round S^3 , $\omega_1 = \omega_2 = i$, we have the identity [54, 67]

$$\frac{d}{d\tilde{z}} \ln \Gamma_h(i(1 - \tilde{z}); i, i) = -\pi \tilde{z} \cot(\pi \tilde{z}). \quad (\text{A.19})$$

Introducing the complex parameter $\tilde{z} = 1 - \Delta + im = 1 + iz$, and defining the function

$$l(\tilde{z}) = \ln \Gamma_h(i(1 - \tilde{z}); i, i), \quad (\text{A.20})$$

one can integrate (A.19) to find

$$\begin{aligned} \text{if } \Im \tilde{z} < 0 : \quad l(\tilde{z}) &= \frac{1}{2\pi i} \text{Li}_2(e^{-2\pi i \tilde{z}}) - \tilde{z} \ln(1 - e^{-2\pi i \tilde{z}}) - \frac{\pi i \tilde{z}^2}{2} + \frac{\pi i}{12}, \\ \text{if } \Im \tilde{z} > 0 : \quad l(\tilde{z}) &= -\frac{1}{2\pi i} \text{Li}_2(e^{2\pi i \tilde{z}}) - \tilde{z} \ln(1 - e^{2\pi i \tilde{z}}) + \frac{\pi i \tilde{z}^2}{2} - \frac{\pi i}{12}. \end{aligned} \tag{A.21}$$

This function $l(\tilde{z})$ was introduced by Jafferis in [54], although it appeared before in the mathematical literature [61, 67]. We have

$$Z_{S^3}^\Phi(z) = \Gamma_h(z; i; i) = e^{l(1+iz)}, \tag{A.22}$$

which is perhaps the most useful expression for explicit numerical computations.

A.2 Complex masses and integrating out

Consider two chiral superfields Φ and $\tilde{\Phi}$ which are in conjugate representation of the gauge and flavor groups. They contribute

$$\Gamma_h(m + \omega\Delta) \Gamma_h(-m + \omega\tilde{\Delta}) \tag{A.23}$$

to the integrand of the partition function. Now consider adding a superpotential term

$$W = \mu \Phi \tilde{\Phi}. \tag{A.24}$$

This gives a constraint $\Delta + \tilde{\Delta} = 2$ on the R-charges, and

$$\Gamma_h(m + \omega\Delta) \Gamma_h(-m - \omega\Delta + 2\omega) = 1, \tag{A.25}$$

because of (A.13). Hence any pair of fields with a complex mass term is integrated for free in the localized partition function.

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