

Five-dimensional CFTs from the ϵ -expansionFabiana De Cesare¹, Lorenzo Di Pietro,^{2,3} and Marco Serone^{1,3}¹SISSA, Via Bonomea 265, I-34136 Trieste, Italy²Dipartimento di Fisica, Università di Trieste, Strada Costiera 11, I-34151 Trieste, Italy³INFN, Sezione di Trieste, Via Valerio 2, I-34127 Trieste, Italy

(Received 13 July 2021; accepted 8 October 2021; published 18 November 2021)

We look for UV fixed points of non-Abelian $SU(n_c)$ gauge theories in $4 + 2\epsilon$ dimensions with n_f Dirac fermions in the fundamental representation, using the available five-loop $\overline{\text{MS}}$ β -function and employing Padé-Borel resummation techniques and Padé approximants to the series expansion in ϵ . We find evidence for a five-dimensional UV fixed point for $SU(2)$ theories with $n_f \leq 4$ and pure $SU(n_c)$ theories for $n_c \leq 4$. We also compute the anomalous dimensions γ and γ_g of respectively the fermion mass bilinear and the gauge kinetic term operator at the UV fixed point.

DOI: [10.1103/PhysRevD.104.105015](https://doi.org/10.1103/PhysRevD.104.105015)**I. INTRODUCTION**

Conformal Field Theories (CFTs) play a major role in theoretical physics. They are the starting and ending points of renormalization group (RG) flows in quantum field theories, they describe second-order phase transitions in critical phenomena and they possibly allow us to have a nonperturbative definition of quantum gravity theories by means of the AdS/CFT correspondence. In absence of extra symmetries, most notably supersymmetry, finding CFTs become increasingly difficult as the dimension of space-time d increases. The only analytical evidence of the existence of four-dimensional (4D) nonsupersymmetric CFTs comes from the Veneziano limit (large number of colors and flavors) of non-Abelian gauge theories, where we can tune the one-loop coefficient of the β -function to be parametrically small and negative, while having a positive two-loop coefficient (Caswell-Banks-Zaks [1,2] fixed points). To what extent the IR fixed point persists at finite N is a nontrivial question which still has to be settled.

In $d > 4$, gauge theories are perturbatively nonrenormalizable and should be considered effective field theories which become free theories in the IR. Therefore in $d > 4$ the natural question is whether there exists a UV fixed point, i.e., an interacting CFT that when deformed by a relevant operator admits an effective description as a non-Abelian gauge theory. The existence of such a fixed point for Yang-Mills theories in $d > 4$ would be analogous to well-known lower-dimensional examples of perturbatively

nonrenormalizable theories with a nontrivial continuum limit, such as the nonlinear σ -model. This theory is renormalizable and asymptotically free in two dimensions and has a nontrivial UV fixed point which can be studied with the ϵ -expansion in $2 < d < 4$. Since this model belongs to the same universality class of $\lambda\varphi^4$ scalar theory, the corresponding critical behavior can be verified by matching the results obtained by applying the ϵ -expansion to both theories (in $d = 2 + \epsilon$ and $d = 4 - \epsilon$). A similar story holds for the Gross-Neveu theory in $2 < d < 4$.

A parametrically weakly coupled UV fixed point in non-Abelian gauge theories can in fact be established in $4 + 2\epsilon$ dimensions with $\epsilon \ll 1$ [3]. It is of course crucial to understand if this UV fixed point persists up to $d = 5$. Evidence that this is the case has been provided by [4], where fixed points of this kind have been studied up to $\mathcal{O}(\epsilon^4)$. The analysis in Ref. [4] used the then-available four-loop β -function for non-Abelian gauge theories and was based on the optimal truncation of the series in ϵ . Note that no example of nonsupersymmetric interacting unitary CFTs is currently known in $d = 5$. A conjectural example was the UV fixed point of the $O(N)$ model, whose $1/N$ expansion was shown in Ref. [5] to be compatible with unitarity for N larger than a certain critical value N_c . However it was later realized that this fixed point is rendered nonunitary even for $N > N_c$ by certain instantonic contributions to the imaginary part of observables, that are exponentially small at large N [6].

The aim of this paper is to extend the analysis of Ref. [4] by adding one more term in the analysis, thanks to the by now known five-loop β -function [7–10], and to use Borel resummation techniques, which allow us to have better control on the (plausibly) asymptotic series in ϵ . We consider $SU(n_c)$ gauge theories with n_f Dirac fermions in the fundamental representation of the gauge group.

Published by the American Physical Society under the terms of the [Creative Commons Attribution 4.0 International license](https://creativecommons.org/licenses/by/4.0/). Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI. Funded by SCOAP³.

We find good evidence for the existence of UV fixed points when both n_c and n_f are small enough. The evidence gets weaker and weaker as either n_c or n_f increase, so the most reliable result applies for pure SU(2) non-Abelian gauge theories. Very similar results are obtained using ordinary Padé approximants, without using Borel resummation techniques.

The paper is structured as follows. In Sec. II we briefly set the stage of our computation, which follows the same logic used in Ref. [11] to study the conformal window in 4D QCD. We present our results in Sec. III, which include also the computation of the anomalous dimension γ_g of the gauge kinetic term operator $\text{Tr}(F_{\mu\nu}F^{\mu\nu})$ and of the fermion mass operator γ (when present) $\bar{\psi}\psi$. The existence of a five-dimensional (5D) UV fixed point in non-Abelian gauge theories is debated in the literature. We briefly review in Sec. IV previous studies by means of different techniques aimed at looking for 5D nonsupersymmetric CFTs. We summarize our results and conclude in Sec. V.

II. PRELIMINARIES

The existence of a Wilson-Fisher fixed point for Yang-Mills theories in $d = 4 + 2\epsilon$ with $\epsilon \ll 1$ is easily established. By denoting the loopwise expansion parameter

$$a \equiv \frac{g^2}{16\pi^2}, \quad (2.1)$$

where g is the usual gauge coupling constant, we have in $d = 4 + 2\epsilon$ dimensions

$$\beta(a) = \frac{1}{2} \frac{da}{d \log \mu} = \epsilon a + \beta^{4d}(a) \equiv \epsilon a - \sum_{n=0}^{\infty} \beta_n a^{n+2}, \quad (2.2)$$

where β^{4d} denotes the ordinary 4D β -function. For $n_f < \frac{11}{2}n_c$ the leading contribution to $\beta^{4d}(a)$ is famously negative and hence the existence of a parametrically weakly coupled UV-stable fixed point can be established for $\epsilon \ll 1$, as pointed out long ago by Peskin [3]. The question of whether or not this result can be extended to a physical number of dimensions, in particular $d = 5$ ($\epsilon = 0.5$), requires an analysis of higher order terms in β^{4d} . This beta function, as well as the fermion mass anomalous dimension γ , for generic gauge groups with n_f fundamental fermions is known up to five-loop orders [7–10, 12–14] in $\overline{\text{MS}}$.¹ As is well known, in quantum field theory the loopwise expansion of physical observables is generally divergent asymptotic. In non-Abelian gauge theories the asymptotic expansion is also non-Borel resummable because of instantons and renormalon singularities. On the other hand, the nature of the coupling expansion of

nonphysical quantities, like the β -function, depends on the renormalization scheme. It is still unclear whether β in $\overline{\text{MS}}$ is convergent or divergent asymptotic and, in the latter case, if the associated series is Borel resummable or not.

Theoretical and numerical arguments supporting a non-Borel resummable nature of the series for β and γ have been given in Ref. [11], where it has been pointed out that the perturbative β -function could miss nonperturbative contributions of the kind

$$\delta\beta^{4d} \sim \hat{h} \left(\frac{\Lambda_{\text{QCD}}}{\mu} \right)^k = \hat{h} e^{-\frac{k}{\beta_0 a}}, \quad (2.3)$$

with $k \geq 2$. If the series expansion of $\beta^{4d}(a)$ is divergent, so is also the series of the function $\epsilon(a^*)$ obtained by solving $\beta(a^*) = 0$, and its inverse $a^*(\epsilon)$:

$$a^*(\epsilon) = \epsilon \sum_{n=0}^{\infty} b_n \epsilon^n, \quad \beta(a^*) = 0. \quad (2.4)$$

We will assume in the following the most conservative and worst-case scenario, namely that the coupling expansion of $\beta(a)$ is divergent asymptotic and non-Borel resummable. As explained in Ref. [11], depending on the location of the leading singularity in the Borel plane, the numerical reconstruction of a formally non-Borel resummable function might lead to a better accuracy in the ending result compared to perturbation theory or optimal truncation. We use Padé approximants to estimate the Borel transform of $a^*(\epsilon)$ as well as of $\gamma^* = \gamma(a^*)$ and $\gamma_g^* = \gamma_g(a^*)$. This method is commonly dubbed as Padé-Borel (PB) resummation.² In order to avoid confusion with the use of Padé approximants that directly estimate a quantity rather than its Borel transform, we will denote by Padé-Borel approximants those entering in the reconstruction of the Borel transform, keeping the name of Padé approximants for the direct estimate of the quantity. The numerical implementation of our procedure essentially follows that used in Ref. [11] to study the QCD conformal window. See the Appendix for details. The existence of the fixed point a^* will be considered reliable only if the error band does not reach negative values, which would correspond to a possibly unphysical fixed point. Since we do not know whether the series in ϵ are convergent or divergent asymptotic, we are not guaranteed to do better with Padé-Borel rather than simple Padé (SP) approximants. Theoretically, namely by knowing a parametrically large number of perturbative coefficients, we would expect to better reconstruct a quantity using simple Padé approximants if that is analytic at $\epsilon = 0$, or Padé-Borel

¹General expressions for $\beta^{4d}(a)$ and $\gamma(a)$ can be found in, e.g., [8, 14], respectively.

²Our knowledge of the analyticities properties of the Borel function associated to these observables is unfortunately too limited to implement more efficient resummation techniques based on conformal mappings.

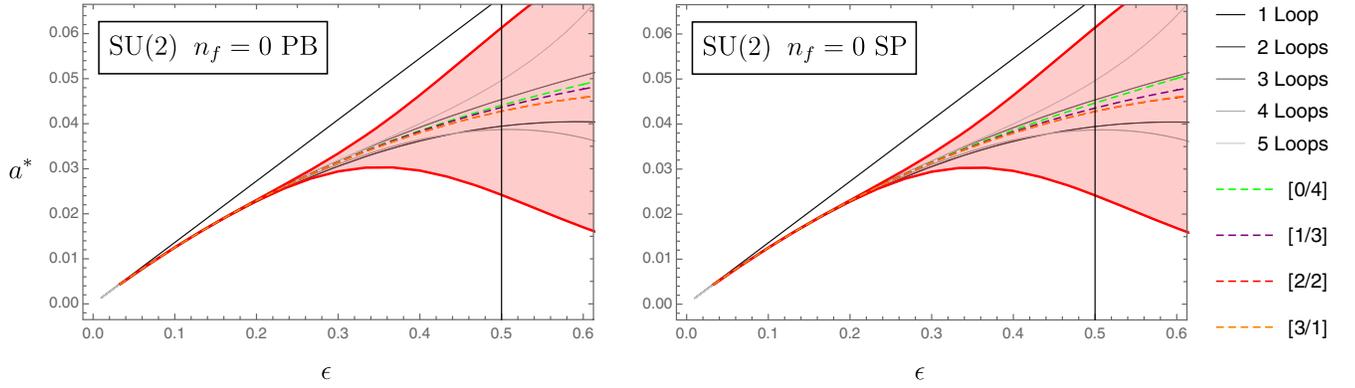


FIG. 1. The value of the coupling at the fixed point a^* as a function of ϵ for pure SU(2) gauge theory in $d = 4 + 2\epsilon$. (Left) Results of perturbation theory (gray lines) and of Padé-Borel (PB) approximation (dashed lines). (Right) Results of perturbation theory (gray lines) and of simple Padé (SP) approximants (dashed lines). The shaded area in both panels corresponds to the error band associated to the optimal approximant $[2/2]$, whose central value is given by the red dashed line.

approximants if that is nonanalytic at $\epsilon = 0$. In practice, having only a few perturbative coefficients at our disposal, such considerations cannot be tested. For this reason, we have also considered ordinary Padé approximants for a^* , γ^* , and γ_g^* , defining an error band to each approximant. This error is identical to that used in the Padé-Borel method but does not contain the contribution (A9) in the Appendix. The results obtained by taking ordinary Padé approximants and by Padé-Borel resummations are nicely in remarkable agreement. The central values are essentially identical and often the error bands are very close. Only in a few cases Padé-Borel resummations give more accurate results. For this reason we will report in Sec. III only the results obtained using the Padé-Borel method.

III. RESULTS

We report in this section our results starting from the case of pure SU(2) gauge theory, our best example, and then we generalize to different values of n_c and n_f . We will consider fermion matter in the fundamental representation, but clearly other choices could be investigated too.

A. Existence of a fixed point in $d = 5$ for pure SU(2)

We report in the left panel of Fig. 1 the value of the fixed point coupling a^* as a function of ϵ obtained with both simple perturbation theory and Padé-Borel approximation. In order not to clutter the picture, the error band is shown only for the optimal approximant $[2/2]$, which has been determined by using exact $\mathcal{O}(1/n_f)$ results for β in the large- n_f limit. See the Appendix for the details of this procedure. We see that up to five loops every order in perturbation theory would predict a fixed point at $\epsilon = 1/2$, i.e., $d = 5$ dimensions. On the other hand the values of a^* differ substantially from order to order, an indication that the ϵ -expansion is not convergent there. Note how Padé-Borel techniques give more consistent results than the loop

expansions and provide evidence for the persistence of the fixed point up to $d = 5$. For an illustration of the nice agreement between Padé-Borel and Padé methods made at the end of Sec. II, we report in the right panel of Fig. 1 the results obtained using simple Padé approximation, together again with those coming from perturbation theory. The good agreement among the two results is evident, as the two panels are almost indistinguishable.

In Fig. 2 we report the anomalous dimension γ_g^* of the gauge kinetic operator $\text{tr}(F_{\mu\nu}F^{\mu\nu})$, defined as

$$\Delta_{F^2} = d + \gamma_g^*, \quad (3.1)$$

as a function of ϵ . As for a^* , the error band is shown only for the optimal approximant $[3/1]$, determined again by using exact $\mathcal{O}(1/n_f)$ results for γ_g in the large- n_f limit and excluding Padé-Borel approximants with poles on the positive real axis. For $\epsilon = 1/2$, γ_g^* is quite large and

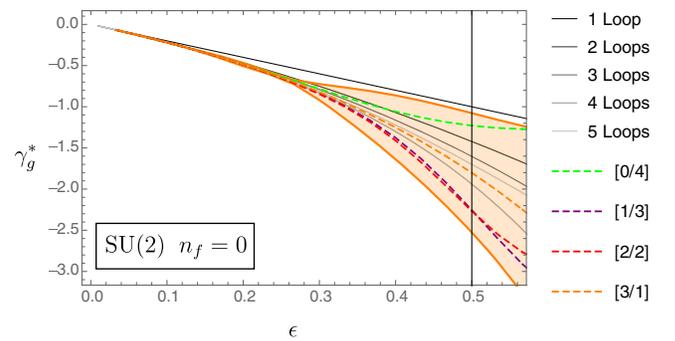


FIG. 2. The value of the anomalous dimension γ_g^* in $d = 4 + 2\epsilon$ at the fixed point for pure SU(2) gauge theories. Gray lines correspond to perturbative results, while dashed lines refer to Padé-Borel approximations. The orange shaded area represents the error band for the $[3/1]$ approximant, whose central value is the orange dashed line.

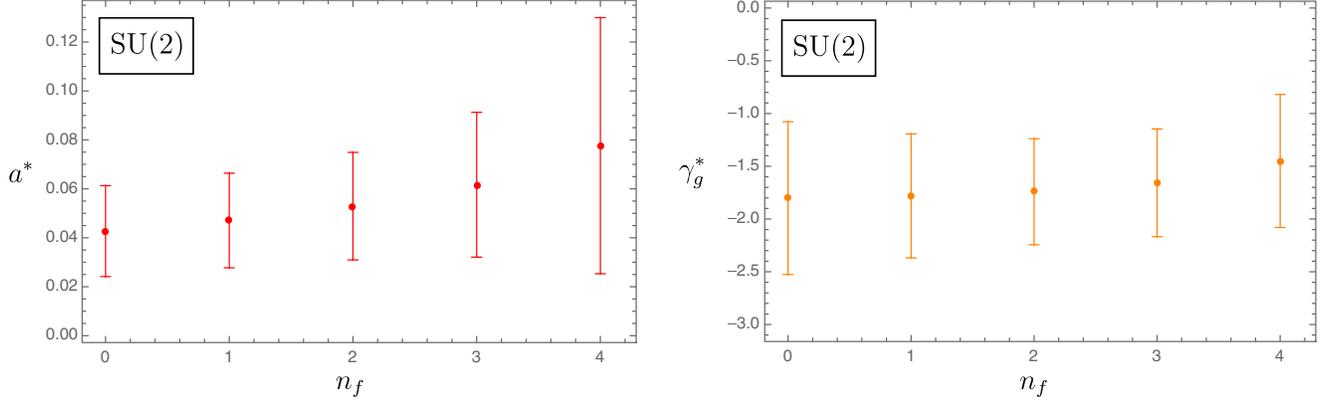


FIG. 3. The value of the coupling at the fixed point a^* (left) and of the anomalous dimension γ_g^* (right) as a function of n_f for SU(2) gauge theories at $\epsilon = 1/2$ ($d = 5$). The central values and the corresponding error bands are obtained using the best Padé-Borel approximants, respectively [2/2] and [3/1].

negative, but above the unitarity bound for scalar operators $\Delta \geq (d-2)/2$, which corresponds to

$$\gamma_g^* > -3 - \epsilon \quad (3.2)$$

in $d = 4 + 2\epsilon$ dimensions. The value of $a^*(\epsilon = 1/2) \sim 4 \times 10^{-2}$ could naively lead us to believe that the putative UV fixed point is relatively weakly coupled. This number is however renormalization scheme dependent and *per se* not that relevant, in contrast to γ_g^* which is a scheme-independent observable. The large value of $\gamma_g^* \sim -2$ points instead towards a putative strongly coupled fixed point. As a comparison, we note that a IR fixed point with a similar value of a^* was found in ordinary 4D QCD with $n_f = 12$ fundamental fermion flavors using the same resummation techniques (and the same scheme $\overline{\text{MS}}$) used here, but resulted in values of γ_g^* , roughly one order of magnitude smaller [11]. While the main source of error in the study of the QCD conformal window was given from the numerical reconstruction of the Borel function, in the 5D case analyzed here this is the case only for γ_g , as the dominant error for a^* comes from the nonperturbative term, Eq. (A10).

As can be seen from Fig. 2, the operator $\text{tr}(F_{\mu\nu}F^{\mu\nu})$ is strongly relevant in the UV and is in fact the relevant deformation driving the UV CFT to the SU(2) pure Yang-Mills theory. Whether this is the only possible relevant singlet deformation of the UV theory remains an open question.

B. Generalization to different values of n_f and n_c

The results reported in subsection III A are easily generalized in the presence of fermion matter and for other simple gauge groups. Again, the Padé-Borel technique provides particularly similar results to those obtained with simple Padé approximation, which we will not report in this case.

Let us first consider the addition of n_f Dirac fermion fields in the fundamental representation coupled to SU(2) gauge bosons. In the left panel of Fig. 3 we report the value of the fixed point coupling a^* as a function of n_f . By increasing the number of fermion fields the value of a^* increases. This is simple to understand by noting that the one-loop fixed point is given by

$$a_{1\text{-loop}}^* = \frac{\epsilon}{\beta_0}. \quad (3.3)$$

Since, at fixed n_c , β_0 decreases as n_f increases, we expect a^* to increase, at least for sufficiently small ϵ .

The dominant source of error comes from the non-perturbative contribution, which is proportional to $\exp(-2/(\beta_0 a^*))$. This is independent of n_f and proportional to $\exp(-2/\epsilon)$ if we use the leading one-loop order result (3.3) for a^* . The nonperturbative source of error is actually smaller, because the resummed value of a^* is typically smaller than $a_{1\text{-loop}}^*$. However, the value of a^* gets closer and closer to $a_{1\text{-loop}}^*$ as n_f increases and becomes

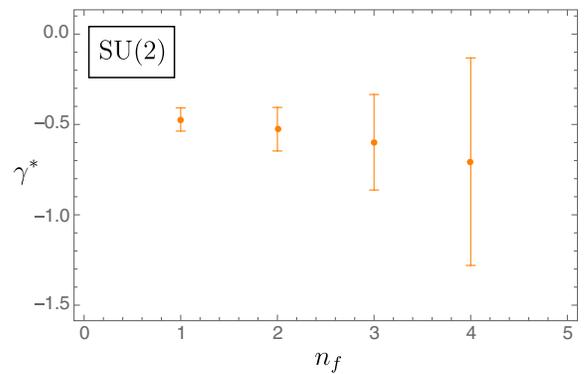


FIG. 4. Value of the anomalous dimension γ^* for SU(2) as a function of n_f at $\epsilon = 1/2$ ($d = 5$). The central values and the error bands are obtained using the best Padé-Borel approximant [3/1].

TABLE I. Values of the anomalous dimensions γ_g^* and γ^* for SU(2) gauge theory with n_f Dirac fermion fields in the fundamental representation at $\epsilon = 1/2$ ($d = 5$). The central values are obtained averaging over the Padé-Borel approximants without poles in the real positive axis and well behaved in the large- n_f limit. The error band is obtained combining in quadrature the errors related to each approximant.

n_f	0	1	2	3	4
γ_g^*	-1.76(72)	-1.78(58)	-1.74(50)	-1.66(51)	-1.45(63)
γ^*	...	-0.47(12)	-0.52(21)	-0.57(41)	-0.65(88)

even larger at some point. This explains why the error bands increase with n_f . With our choice of the error the largest value of n_f for which we can confirm the existence of a UV fixed point is $n_f = 4$. This result depends on the choice of the nonperturbative error; as we believe to have been quite conservative, we conclude that the maximum value n_f^* for which the theory admits a UV fixed point is expected to be in the range

$$4 \leq n_f^* \leq 10, \quad (3.4)$$

where the upper bound comes from the requirement of asymptotic freedom in $d = 4$.

In the right panel of Fig. 3 we show γ_g^* as a function of n_f . In Fig. 4 we report the anomalous dimension γ^* for the fermion mass operator defined as

$$\Delta(\bar{\psi}\psi) = d - 1 + \gamma^*, \quad (3.5)$$

as a function of n_f , obtained using the optimal Padé-Borel approximant. By increasing the number of fermions, a larger value of $|\gamma^*|$ is found, as expected since the fixed point is more and more strongly coupled. The unitarity bound requires, in this case,

$$\gamma^* > -2 - \epsilon, \quad (3.6)$$

and is always well satisfied, taking also into account the error. Perturbation theory is more stable in this case, not deviating much from the Padé-Borel approximations, at least in the range of interest. Again, the values are compatible with the unitarity bound.

In Table I we report the results for γ^* and γ_g^* at $n_f = 1, 2, 3, 4$ obtained by averaging all well-behaved Padé-Borel approximants weighted with the individual errors σ_i :

$$\gamma^* = \sqrt{\frac{\sum_i (\gamma_i^* \sigma_i^{-2})}{\sum_i \sigma_i^{-2}}}, \quad \sigma = \sqrt{\sum_i \sigma_i^2}, \quad (3.7)$$

and the same for γ_g^* .

Let us now consider pure Yang-Mills theories with $n_c > 2$. We report in the left panel of Fig. 5 the value of the fixed point a^* as a function of n_c . The value of the fixed point decreases as the number of colors increases, while the error band, which is mostly composed by the nonperturbative contribution, keeps almost the same size. This is again simple to understand using (3.3). Given that β_0 increases linearly with the rank of the gauge group, we expect a^* to decrease, at least for sufficiently small ϵ .

The dominant source of error comes again from the nonperturbative contribution. In contrast to the case where we vary n_f at fixed n_c , this error is approximately constant as n_c varies, since so is $|a^* - a_{1\text{-loop}}^*|$. For $n_c > 4$ the errors in our estimate are too large to draw any conclusion. As in the SU(2) case, adding fermion matter makes the fixed point more strongly coupled; the maximal number of fermions for which we can confirm the existence of the fixed point for SU(3) is $n_f = 5$. In the right panel of Fig. 5 we report γ_g^* as a function of n_c using the optimal Padé-Borel approximant and in Table II using the weighted average (3.7) among all the well-behaved Padé-Borel

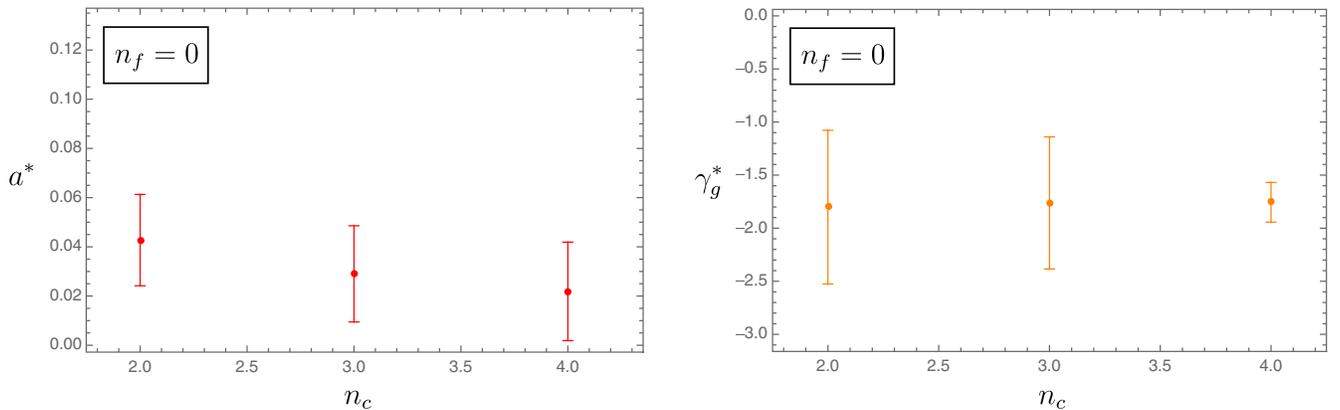


FIG. 5. The value of the coupling at the fixed point a^* (left) and of the anomalous dimension γ_g^* (right) as a function of n_c for pure SU(n_c) gauge theories at $\epsilon = 1/2$ ($d = 5$). The central values and the error bands are obtained using the best Padé-Borel approximants, respectively [2/2] and [3/1].

TABLE II. Values of the anomalous dimensions γ_g^* for Yang-Mills theory with different numbers of colors, n_c , at $\epsilon = 1/2$ ($d = 5$). The central values are obtained averaging over the Padé-Borel approximants without poles in the real positive axis and well behaved in the large- n_f limit. The error band is obtained combining in quadrature the errors related to each approximant.

n_c	2	3	4
γ_g^*	-1.76(72)	-1.76(62)	-1.76(18)

approximants. The stability of γ_g^* as n_c varies is to some extent expected, since the first three terms of the perturbative series are independent of n_c . It is nevertheless curious that the central values are identical for $n_c = 2, 3, 4$. This is most likely a numerical coincidence, but more speculatively one could conjecture that these CFTs are all indistinguishable at the level of local operators.³

The existence of a UV fixed point can also be analyzed in the Veneziano limit, $n_f, n_c \rightarrow \infty$, $a \rightarrow 0$, with $x = n_f/n_c$ and $\lambda_V = an_c$ held fixed. We omit the details of this analysis and report only the main conclusion, which is the evidence of a fixed point in the range $0 \leq x \leq 2$. Large values for γ^* and γ_g^* are also found in this case, suggesting the presence of strongly coupled CFTs. The unitarity bound is well satisfied in the whole interval.

IV. OTHER APPROACHES: A BRIEF OVERVIEW

We briefly review in this section the main studies developed up to now on the existence of a UV-stable fixed point in 5D non-Abelian gauge theories.

As mentioned, our study is an extension of the previous work [4]. This reference applied optimal truncation to the series in ϵ obtained from the then-available four-loop β -function and suggested evidence for the existence of a 5D fixed point for pure gauge theories with $n_c \geq 2$. Optimal truncation is however reliable only when the smallest term in the series is not the last available one and it is not conclusive if the terms are too few for this to happen. This is why numerical results were reported in [4] only for $n_c = 2$, in the case of a^* , and $n_c = 2, 3$, in the case of ν^* , which is an index related to the gauge anomalous dimension by the expression $\nu^* = -2/\gamma_g^*$. Such results are in agreement with ours, when it is taken into account that we were more conservative in the estimate of the error.⁴

³These theories are anyhow expected to be different at the level of line operators, since they have different discrete one-form global symmetries, independently of the global structure of the gauge group.

⁴Note that in Ref. [4] a different notation was chosen; in order to properly compare the results one should rescale our value of a^* by a factor 4.

Let us now present other methods used to address the problem. Reference [15] applied the exact RG equations to a certain truncated set of higher-dimensional operators in $SU(n_c)$ Yang-Mills (YM), and found that the critical dimension above which the fixed point disappears is $d_{\text{cr}} > 5$ for $n_c = 2, 3, 5$. The result seems to indicate the existence of a continuum limit in five dimensions, however the conclusion is based on the truncation of the flow equations whose reliability is hard to assess.

This problem was also approached using the lattice. Given a lattice Lagrangian that reduces to the continuum 5D Lagrangian at large distances, one looks for a second-order phase transition by varying the lattice couplings. If such a transition is not found, then this could be a consequence of the fact that the starting Lagrangian was not general enough, and no definite conclusion can be reached about the existence of the UV fixed point in the continuum. The simplest choice for the initial action is the Wilson plaquette in the fundamental representation. With this choice and $n_c = 2$, $n_f = 0$, both [16,17] found a confinement-deconfinement phase transition of first rather than second order. Reference [17] went further and analyzed also a modified action with the inclusion of the Wilson plaquette in the adjoint representation. Again, in the region of the coupling space that they managed to explore they only found a first order transition, though a weaker one was found as the fundamental coupling was increased. The problem was revisited almost 30 years later in a recent paper [18]. This reference extended the analysis of [17] to a larger region of the coupling space and larger lattice size, and still found only first order transitions for the fundamental + adjoint action. They went on to consider a different lattice action with the fundamental Wilson plaquette of doubled size and observed the disappearance of a first order transition. Their preliminary extrapolations indicate that the disappearance is robust in the infinite volume limit. This suggests the existence of a second-order transition.

A different nonperturbative approach is the numerical conformal bootstrap [19]. Finding interacting nonsupersymmetric CFTs in $d \geq 4$ with the conformal bootstrap is generally hard (see e.g., [20] for a recent attempt in $d = 4$). Moreover one cannot easily input that the CFT to be looked for should be related to a non-Abelian gauge theory. A method to try to target conformal gauge theories in 4D and 5D has been put forward in Ref. [21]: the idea is to consider the bootstrap bounds for the four-point function of $SO(N)$ vectors and look for families of kinks that are conjecturally associated to the four-point function of flavor-adjoint fermionic bilinears in the conformal gauge theory with fermionic matter. In the specific application to 5D, [19] found such a family of kinks only for relatively large values of N . At the moment there is neither enough evidence that such kinks are associated to CFTs nor a definite prediction from the conformal bootstrap for the would-be scaling dimension of the leading irrelevant operator at this kink.

The approach proposed in Ref. [19] is based on the flavor symmetry and therefore it is not suited to the pure YM case. For the latter, a naive possibility is to consider simply the four-point function of identical scalar operators \mathcal{O} , bounding the dimension of the operators appearing in their operator product expansion (OPE) coefficients, with the hope of finding features corresponding to the UV completion of YM, in which \mathcal{O} can be identified with $\text{tr}(F_{\mu\nu}F^{\mu\nu})$. In absence of a selection rule (such as a \mathbb{Z}_2 symmetry) forbidding the \mathcal{O} itself to appear in the OPE, this vanilla bootstrap bound cannot succeed without further assumptions on the spectrum, because the interesting CFT, if it exists, would sit well within the allowed region, below the generalized free theory line. Further predictions from ϵ -expansion or other methods might help in narrowing down the appropriate gap assumptions to be made.

References [22,23] provide concrete attempts to realize the UV completion of 5d $SU(2)$ YM as a second-order transition in continuum quantum field theory. The starting point is the UV completion of the $SU(2)$ supersymmetric YM theory (SYM), the interacting super-conformal field theory known as E_1 theory [24]. These references consider deforming E_1 by both the supersymmetric deformation that leads to SYM, and by a particular supersymmetry-breaking relevant deformation. For small values of the supersymmetry breaking deformation the theory is calculable and it can be shown to flow to YM in the deep IR. It was shown in [22] that certain contact terms in the correlation functions of global symmetry currents depend on the sign of the supersymmetric deformation. This signals that a transition of some kind must happen in the un-calculable region in which the supersymmetric deformation is smaller than the non-supersymmetric one. However at the moment there is no conclusive argument that this phase transition is of second order. Ref. [23] further showed an instability at infinite (bare) gauge coupling when the supersymmetry-breaking deformation is turned on, implying the existence of an intermediate phase between the two YM phases with different contact terms.

Reference [25] proposes a realization of the UV fixed point of non-Abelian gauge theories in $4 < d < 6$ as the IR fixed point of an RG starting from a nonunitary free theory with a higher-derivative kinetic term. This RG becomes weakly coupled in $6 - \epsilon$ expansion. However this alternative description is only known to be valid in the limit of a large number of flavors, in which the fixed point in $4 < d < 6$ only exists for negative g^2 .

V. CONCLUSIONS

We studied the extrapolation of UV fixed points of non-Abelian gauge theories from $d = 4 + 2\epsilon$ to $d = 5$ using Borel-Padé resummation techniques. Our main result, illustrated in Figs. 1, 3, and 5, is the reliability within our error estimates of the 5D fixed point for the $SU(2)$ gauge theory with $n_f \leq 4$, and for the pure $SU(n_c)$ theory for $n_c \leq 4$. We

also used the method to provide a prediction for the dimension of the leading relevant operator at those fixed points, though the estimated relative error is typically rather large, see Figs. 3 and 5. These numbers can still provide a useful benchmark for future studies with nonperturbative techniques such as the lattice or the conformal bootstrap.

In the future it would be interesting to study additional observables of the fixed point in $4 + 2\epsilon$ dimensions. These can be used to estimate observables of the conjectural 5D fixed point through the extrapolation to $\epsilon \rightarrow 1/2$. Obvious observables to consider are the scaling dimensions of heavier operators. One-loop anomalous dimensions of some higher-dimensional operators in 4D Yang-Mills have been computed in Ref. [26]. In addition to local operators, one can also consider observables associated with the line operators of Yang-Mills theory, such as the coefficient h in the one-point function of the stress tensor in the presence of the line [27], or the Bremsstrahlung function [28].

Another direction for the future is to try to test the proposal of Ref. [22] using the F -theorem and the ϵ -expansion. A definite prediction of this proposal is the existence of an RG flow connecting the E_1 supersymmetric CFT to the non-supersymmetric CFT that provides the UV completion of $SU(2)$ YM. Therefore according to the F -theorem [29] (which is still a conjecture in 5D) the five-sphere partition function coefficient F_{E_1} of the supersymmetric CFT and that of the nonsupersymmetric CFT F_{CFT} should satisfy $F_{E_1} > F_{\text{CFT}}$. The quantity F_{E_1} has been computed using localization in [30]. Assuming the CFT is the continuation of the $4 + 2\epsilon$ fixed point, F_{CFT} can be computed in perturbation theory using the technique of [31,32]. If the extrapolation to $\epsilon \rightarrow 1/2$ gives a result of the same order and smaller than F_{E_1} , this would be an indication in favor of the proposal.

ACKNOWLEDGMENTS

We thank M. Bertolini for useful discussions. L. D. P. and M. S. are partially supported by INFN Iniziativa Specifica ST&FI. L. D. P. also acknowledges support by the program ‘‘Rita Levi Montalcini’’ for young researchers.

APPENDIX: LARGE n_f , SELECTION OF PADÉ-BOREL APPROXIMANTS AND ESTIMATE OF THE ERROR

The choice of the Padé-Borel (and ordinary Padé) approximant for a given truncated sum is not univocal. We show in this appendix, following [11], how one can use exact results in the large- n_f limit to test the accuracy of different approximants and possibly select optimal ones. To this aim we can compare the results obtained with the known exact functions $\beta^{(1)}$ and $\gamma^{(1)}$ at large n_f with the approximations found with Padé-Borel resummation.

The large- n_f limit is defined as $n_f \rightarrow \infty$, $a \rightarrow 0$, with n_c and the ’t Hooft-like coupling $\lambda = n_f a$ held fixed. In this limit, (2.2) becomes

$$\beta(\lambda) = \epsilon\lambda + \frac{2}{3}\lambda^2 + \frac{1}{n_f}\beta^{(1)}(\lambda) + \mathcal{O}\left(\frac{1}{n_f^2}\right), \quad (\text{A1})$$

with $\beta^{(1)}$ a known function [33], analytic at $\lambda = 0$ (see e.g., [34] for a particularly nice explicit form). Correspondingly, (2.4) turns into

$$\begin{aligned} \lambda^*(\epsilon) &= -\frac{3}{2}\epsilon + \frac{1}{n_f}\lambda^{(1)}(\epsilon) + \mathcal{O}\left(\frac{1}{n_f^2}\right), \\ \lambda^{(1)}(\epsilon) &= \frac{1}{\epsilon}\beta^{(1)}\left(-\frac{3}{2}\epsilon\right). \end{aligned} \quad (\text{A2})$$

As expected, the fixed point turns negative for large n_f and is unphysical. In this regime we choose the Padé-Borel approximant that better reproduces the exact result and assume that this remains valid at finite n_f , when the fixed point is possibly physical. It is clear from Fig. 6 that the [2/2] approximant is the one that better matches the exact function, at least in the interval of interest. For the computation of the numerical values we have also considered the approximant [3/1], which is both well-behaved and free of poles at small n_f . We have reported the result for SU(2), but the same applies for $n_c = 3, 4$.

We can use a similar procedure for γ^* and γ_g^* . In the large- n_f limit the expression for γ can be expanded as

$$\gamma^*(\epsilon) = \frac{1}{n_f}\gamma^{(1)}\left(-\frac{3}{2}\epsilon\right) + \mathcal{O}\left(\frac{1}{n_f^2}\right), \quad (\text{A3})$$

with $\gamma^{(1)}$, like $\beta^{(1)}$, a known function analytic at $\lambda = 0$ [35,36]. For γ_g we have

$$\begin{aligned} \gamma_g^*(\epsilon) &= 2\frac{\partial\beta(\lambda)}{\partial\lambda}\Big|_{\lambda=\lambda^*(\epsilon)} \\ &= -2\epsilon + \frac{1}{n_f}\gamma_g^{(1)}\left(-\frac{3}{2}\epsilon\right) + \mathcal{O}\left(\frac{1}{n_f^2}\right), \end{aligned} \quad (\text{A4})$$

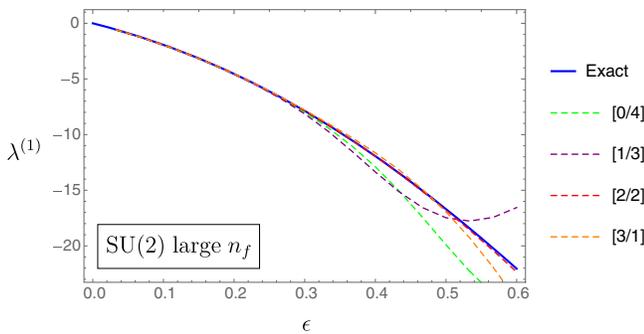


FIG. 6. Comparison of $\lambda^{(1)}$ as a function of ϵ for SU(2) gauge theory. The continuous blue line denotes the exact result obtained using large- n_f methods, the dashed lines denote the Borel resummation using Padé-Borel approximants as indicated in the legend.

with $\gamma_g^{(1)}$ obtained deriving Eq. (A1) and replacing the fixed point of Eq. (A2). In the case of $\gamma^{(1)}$ the comparison to the exact function does not lead to a clear choice of an approximant with respect to the others: a good matching is obtained with [3/1], [2/2] and [1/3] Padé-Borel approximants. None of them have poles in the real positive axis.

The comparison for $\gamma_g^{(1)}$ leads to the same considerations found for $\lambda^{(1)}$, but in this case only the [3/1] can be selected, the [2/2] having poles at finite n_f .

We now review the procedure used for the estimate of the error. Let us consider the function f with a divergent and non-Borel resumable asymptotic expansion:

$$f(t) \sim t^{\zeta_f} \sum_{n=0}^{\infty} f_n t^n, \quad (\text{A5})$$

where ζ_f is chosen by requiring that the coefficient f_0 is non-null. The Padé-Borel approximation is defined as

$$f_B^{[m/n]}(t) = t^{\zeta_f} \int_0^{\infty} dz z^b e^{-z} \mathcal{B}_b^{[m/n]}(zt), \quad (\text{A6})$$

where $\mathcal{B}_b^{[m/n]}$ is the $[m/n]$ Padé approximation to the Borel-LeRoy transform of the series with parameter $b > -1$. We define the total error $\Delta^{[m/n]}$ as the sum of three contributions:

$$\Delta^{[m/n]} = \Delta_{\text{conv}}^{[m/n]} + \Delta_b^{[m/n]} + \Delta_{\text{np}}. \quad (\text{A7})$$

As only Padé-Borel approximants without poles in the real positive axis are selected, no contributions from the residues, denoted by $\Delta_r^{[m/n]}$ in Ref. [11], enter in the error. In order to be reasonably conservative we have included a new term in the error, not present in Ref. [11], which estimates the convergence of the approximants and is relevant in the present analysis. Such uncertainty, denoted by $\Delta_{\text{conv}}^{[m/n]}$, can be estimated by computing the distance among the Padé-Borel approximant and the subsequent one belonging to the same family⁵:

$$\Delta_{\text{conv}}^{[m/n]} = |f_B^{[m/n]} - f_B^{[m-1/n-1]}|. \quad (\text{A8})$$

If the $[m-1/n-1]$ approximant is not available, the $[m-1/n]$ is selected instead. The term $\Delta_b^{[m/n]}$ measures the error caused by the arbitrariness of the choice of the LeRoy parameter b . It is indeed convenient to select a whole grid of values $\mathcal{B} = [b_0 - \Delta b, b_0 + \Delta b]$ and repeat the approximation for each of them; in our computation we choose $b_0 = 10$, $\Delta b = 10$ and the spacing of the grid is

⁵In order to avoid misleading results, we have checked that the approximants used for such comparisons have small residues in the real positive axis or, even better, no poles at all.

equal to 2 for a^* , γ_g^* , and γ^* . The error can be then defined as

$$\Delta_b^{[m/n]} = \frac{1}{2} \left| \max_{b \in \mathcal{B}} f_B^{[m/n]}(b) - \min_{b \in \mathcal{B}^*} f_B^{[m/n]}(b) \right|. \quad (\text{A9})$$

The last contribution to the error is not due to the resummation technique but corresponds instead to non-perturbative corrections, which we write as

$$\Delta_{\text{np}} = c_{\text{np}} e^{-\frac{2}{\beta_0 a^*}}, \quad (\text{A10})$$

where c_{np} is an arbitrary coefficient that we take to be conservatively equal to 10, where a^* is the value of the fixed point and $2/\beta_0$ is the leading term in Eq. (2.3). In all the cases analyzed here, the first instanton anti-instanton singularities indeed provide subleading nonperturbative corrections.

-
- [1] W. E. Caswell, Asymptotic Behavior of Non-Abelian Gauge Theories to Two Loop Order, *Phys. Rev. Lett.* **33**, 244 (1974).
- [2] T. Banks and A. Zaks, On the phase structure of vector-like gauge theories with massless fermions, *Nucl. Phys.* **B196**, 189 (1982).
- [3] M. Peskin, Critical point behavior of the Wilson loop, *Phys. Lett. B* **94B**, 161 (1980).
- [4] T. Morris, Renormalizable extra-dimensional models, *J. High Energy Phys.* **01** (2005) 002.
- [5] L. Fei, S. Giombi, and I. R. Klebanov, Critical $O(N)$ models in $6 - \epsilon$ dimensions, *Phys. Rev. D* **90**, 025018 (2014).
- [6] S. Giombi, R. Huang, I. R. Klebanov, S. S. Pufu, and G. Tarnopolsky, The $O(N)$ model in $4 < d < 6$: Instantons and complex CFTs, *Phys. Rev. D* **101**, 045013 (2020).
- [7] P. A. Baikov, K. G. Chetyrkin, and J. H. Kuhn, Five-Loop Running of the QCD Coupling Constant, *Phys. Rev. Lett.* **118**, 082002 (2017).
- [8] F. Herzog, B. Ruijl, T. Ueda, J. A. M. Vermaseren, and A. Vogt, The five-loop beta function of Yang-Mills theory with fermions, *J. High Energy Phys.* **02** (2017) 090.
- [9] T. Luthe, A. Maier, P. Marquard, and Y. Schroder, The five-loop Beta function for a general gauge group and anomalous dimensions beyond Feynman gauge, *J. High Energy Phys.* **10** (2017) 166.
- [10] K. G. Chetyrkin, G. Falcioni, F. Herzog, and J. A. M. Vermaseren, Five-loop renormalisation of QCD in covariant gauges, *J. High Energy Phys.* **10** (2017) 179; **12** (2017) 006(A).
- [11] L. Di Pietro and M. Serone, Looking through the QCD conformal window with perturbation theory, *J. High Energy Phys.* **07** (2020) 049.
- [12] P. A. Baikov, K. G. Chetyrkin, and J. H. Kuhn, Quark mass and field anomalous dimensions to $\mathcal{O}(\alpha_s^5)$, *J. High Energy Phys.* **10** (2014) 076.
- [13] T. Luthe, A. Maier, P. Marquard, and Y. Schroder, Five-loop quark mass and field anomalous dimensions for a general gauge group, *J. High Energy Phys.* **01** (2017) 081.
- [14] P. A. Baikov, K. G. Chetyrkin, and J. H. Kuhn, Five-loop fermion anomalous dimension for a general gauge group from four-loop massless propagators, *J. High Energy Phys.* **04** (2017) 119.
- [15] H. Gies, Renormalizability of gauge theories in extra dimensions, *Phys. Rev. D* **68**, 085015 (2003).
- [16] M. Creutz, Confinement and the Critical Dimensionality of Space-Time, *Phys. Rev. Lett.* **43**, 890 (1979).
- [17] H. Kawai, M. Nio, and Y. Okamoto, On existence of non-renormalizable field theory: Pure SU(2) lattice gauge theory in five dimensions, *Prog. Theor. Phys.* **88**, 341 (1992).
- [18] A. Florio, J. a. M. V. P. L. J. Matos, and J. a. Penedones, Searching for continuous phase transitions in 5D SU(2) lattice gauge theory, [arXiv:2103.15242](https://arxiv.org/abs/2103.15242).
- [19] D. Poland, S. Rychkov, and A. Vichi, The conformal bootstrap: Theory, numerical techniques, and applications, *Rev. Mod. Phys.* **91**, 015002 (2019).
- [20] D. Karateev, P. Kravchuk, M. Serone, and A. Vichi, Fermion conformal bootstrap in 4d, *J. High Energy Phys.* **06** (2019) 088.
- [21] Z. Li and D. Poland, Searching for gauge theories with the conformal bootstrap, *J. High Energy Phys.* **03** (2021) 172.
- [22] P. Benetti Genolini, M. Honda, H.-C. Kim, D. Tong, and C. Vafa, Evidence for a non-supersymmetric 5d CFT from deformations of 5d SU(2) SYM, *J. High Energy Phys.* **05** (2020) 058.
- [23] M. Bertolini and F. Mignosa, Supersymmetry breaking deformations and phase transitions in five dimensions, [arXiv:2109.02662](https://arxiv.org/abs/2109.02662).
- [24] N. Seiberg, Five-dimensional SUSY field theories, non-trivial fixed points and string dynamics, *Phys. Lett. B* **388**, 753 (1996).
- [25] J. A. Gracey, Six dimensional QCD at two loops, *Phys. Rev. D* **93**, 025025 (2016).
- [26] J. A. Gracey, Classification and one loop renormalization of dimension-six and dimension-eight operators in quantum gluodynamics, *Nucl. Phys.* **B634**, 192 (2002); **B696**, 295(E) (2004).
- [27] A. Kapustin, Wilson-'t Hooft operators in four-dimensional gauge theories and S-duality, *Phys. Rev. D* **74**, 025005 (2006).
- [28] D. Correa, J. Henn, J. Maldacena, and A. Sever, An exact formula for the radiation of a moving quark in $N = 4$ super Yang Mills, *J. High Energy Phys.* **06** (2012) 048.
- [29] I. R. Klebanov, S. S. Pufu, and B. R. Safdi, F-theorem without supersymmetry, *J. High Energy Phys.* **10** (2011) 038.
- [30] C.-M. Chang, M. Fluder, Y.-H. Lin, and Y. Wang, Spheres, charges, instantons, and bootstrap: A five-dimensional odyssey, *J. High Energy Phys.* **03** (2018) 123.

- [31] L. Fei, S. Giombi, I. R. Klebanov, and G. Tarnopolsky, Generalized F -theorem and the ϵ expansion, *J. High Energy Phys.* **12** (2015) 155.
- [32] S. Giombi, I. R. Klebanov, and G. Tarnopolsky, Conformal QED_d, F -theorem and the ϵ expansion, *J. Phys. A* **49**, 135403 (2016).
- [33] J. A. Gracey, The QCD Beta function at $O(1/N_f)$, *Phys. Lett. B* **373**, 178 (1996).
- [34] B. Holdom, Large N flavor beta-functions: A recap, *Phys. Lett. B* **694**, 74 (2010).
- [35] D. Espriu, A. Palanques-Mestre, P. Pascual, and R. Tarrach, The γ function in the $1/N_f$ expansion, *Z. Phys. C* **13**, 153 (1982).
- [36] A. Palanques-Mestre and P. Pascual, The $1/N_f$ expansion of the γ and Beta functions in QED, *Commun. Math. Phys.* **95**, 277 (1984).