

# Finite-strain viscoelasticity with temperature coupling\*

Alexander Mielke

Weierstraß-Institut für Angewandte Analysis und Stochastik, Berlin

Institut für Mathematik, Humboldt-Universität zu Berlin

[www.wias-berlin.de/people/mielke/](http://www.wias-berlin.de/people/mielke/)



## Calculus of Variations and Applications

A conference to celebrate

**Gianni Dal Maso**'s 65th birthday

January 27 – February 1, 2020

\* joint work with [Thomás Roubíček](#) (WIAS #2584, March 2019)

# Overview

1. Prologue
2. Finite-strain elasticity and temperature
3. Three tools
4. The existence result
5. Sketch of proof

Happy birthday to Gianni ...



**Happy birthday to Gianni ...**

**... many happy and fruitful years to come**





**Happy birthday to Gianni ...**

... many happy and fruitful years to come

... and many thanks for your direct and  
**indirect** contributions to CoV and  
to the analysis of material models



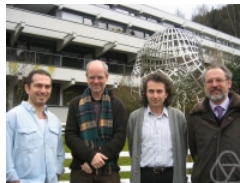
**Happy birthday to Gianni ...**

... many happy and fruitful years to come

... and many thanks for your direct and  
**indirect** contributions to CoV and  
to the analysis of material models

First meeting: MFO July 7–13, 1996 CoV (Ambrosio, Hélein, Müller)

**True encounter:** Submission January 12, 2004 to ARMA (by Gilles)  
Dal Maso, Francfort, Toader: Quasistatic crack growth in finite elasticity



Oberwolfach meeting (March 2007)

## Analysis and Numerics of Rate-Independent Processes

Interaction with Gianni and his school produced cross-fertilization

Gianni

BV and CoV

crack evolutions

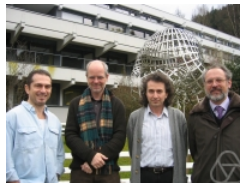
quasistatic evolution  $\approx$  rate-independent processes

vanishing-viscosity approach  $\approx$  Balanced-Viscosity solutions

1996

nonlinear elasticity

my research  
parabolic systems



Oberwolfach meeting (March 2007)

## Analysis and Numerics of Rate-Independent Processes

Interaction with Gianni and his school produced cross-fertilization

Gianni

BV and CoV

crack evolutions

quasistatic evolution  $\approx$  rate-independent processes

vanishing-viscosity approach  $\approx$  Balanced-Viscosity solutions

my research

parabolic systems

nonlinear elasticity

Fundamental contributions to **finite-strain elasticity**:

Dal Maso, Negri, Percivale: Linearized elasticity as  $\Gamma$ -limit of finite elasticity 2002.

–”, Francfort, Toader: Quasistatic crack growth in nonlinear elasticity, 2005.

–”, Lazzaroni: Quasistatic crack growth in finite elasticity with non-interpenetration, 2010



# Overview

1. Prologue
2. Finite-strain elasticity and temperature
3. Three tools
4. The existence result
5. Sketch of proof

Describe the interaction between

- viscoelastic deformation  $y(t, \cdot) : \Omega \rightarrow \mathbb{R}^d$  and
- heat transport for  $\theta(t, \cdot) : \Omega \rightarrow ]0, \infty[$

Describe the interaction between

- viscoelastic deformation  $y(t, \cdot) : \Omega \rightarrow \mathbb{R}^d$  and
- heat transport for  $\theta(t, \cdot) : \Omega \rightarrow ]0, \infty[$ 
  - ▶ consider relatively slow processes  $\implies$  ignore inertial terms (quasistatic)
  - ▶ fully nonlinear obeying frame indifference (static and dynamic)
  - ▶ avoid non-selfinterpenetration (only locally via  $\det \nabla y(t, x) > 0$ )
  - ▶ use a second grade material involving  $\int_{\Omega} \mathcal{H}(\nabla^2 y) dx$
  - ▶ coupling of temperature and deformation via
    - latent heat and
    - viscous heating

Describe the interaction between

- viscoelastic deformation  $y(t, \cdot) : \Omega \rightarrow \mathbb{R}^d$  and
- heat transport for  $\theta(t, \cdot) : \Omega \rightarrow ]0, \infty[$ 
  - ▶ consider relatively slow processes  $\implies$  ignore inertial terms (quasistatic)
  - ▶ fully nonlinear obeying frame indifference (static and dynamic)
  - ▶ avoid non-selfinterpenetration (only locally via  $\det \nabla y(t, x) > 0$ )
  - ▶ use a second grade material involving  $\int_{\Omega} \mathcal{H}(\nabla^2 y) dx$
  - ▶ coupling of temperature and deformation via
    - latent heat and
    - viscous heating

Free energy functional  $\mathcal{F}(y, \theta) = \int_{\Omega} \{ \psi(\nabla y, \theta) + \mathcal{H}(\nabla^2 y) \} dx$

Viscous dissipation potential  $\mathcal{R}(y, \theta, \dot{y}) = \int_{\Omega} \zeta(\nabla y, \theta, \nabla \dot{y}) dx$

Balance of linear momentum  $0 = D_{\dot{y}} \mathcal{R}(y, \theta, \dot{y}) + D_y \mathcal{F}(y, \theta)$

## 2. Finite-strain elasticity and temperature

Balance of linear momentum  $0 = D_{\dot{y}}\mathcal{R}(y, \theta, \dot{y}) + D_y\mathcal{F}(y, \theta)$

Heat equation with entropy  $s(t, x) = -\partial_\theta\psi(\nabla y(t, x), \theta(t, x))$

$\theta\dot{s} + \operatorname{div} \mathbf{q} = \xi$  with heat flux  $\mathbf{q} = -\mathbb{K}(\nabla y, \theta)\nabla\theta$

and viscous heating  $\xi = \partial_{\nabla\dot{y}}\zeta(\nabla y, \theta, \nabla\dot{y}) : \nabla\dot{y} \geq 0$

Balance of linear momentum  $0 = D_{\dot{y}}\mathcal{R}(y, \theta, \dot{y}) + D_y\mathcal{F}(y, \theta)$

Heat equation with entropy  $s(t, x) = -\partial_\theta\psi(\nabla y(t, x), \theta(t, x))$

$\theta\dot{s} + \operatorname{div} \mathbf{q} = \xi$  with heat flux  $\mathbf{q} = -\mathbb{K}(\nabla y, \theta)\nabla\theta$

and viscous heating  $\xi = \partial_{\nabla\dot{y}}\zeta(\nabla y, \theta, \nabla\dot{y}) : \nabla\dot{y} \geq 0$

Today we simplify notation by assuming

- no external forces or heat sources
- simple boundary conditions  $y|_{\Gamma_{\text{Dir}}} = y_{\text{Dir}}$  and  $\mathbf{q} \cdot \nu = 0$  (otherwise natural ones)

Total energy conservation holds with  $e(F, \theta) = \psi(F, \theta) - \theta\partial_\theta\psi(F, \theta)$

$\mathcal{E}(y, \theta) = \int_\Omega \{e(\nabla y, \theta) + \mathcal{H}(\nabla^2 y)\} dx$

For smooth solutions we have  $\mathcal{E}(y(t), \theta(t)) = \mathcal{E}(y(0), \theta(0))$  (energy conserv.).

**Main assumption:** splitting of free-energy density

$$\psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta)$$

- $\varphi_{\text{el}}$  contains main mech. behavior  $\varphi_{\text{el}}(F) \geq c/(\det F)^\delta + c|F|^p - C$
- $\phi_{\text{cpl}}$  is “relatively nice” with respect to  $F$

Mechanical energy  $\mathcal{M}(y) = \int_{\Omega} \{ \varphi_{\text{el}}(\nabla y) + \mathcal{H}(\nabla^2 y) \} dx$

For smooth solutions one obtains the mechanical energy-dissipation balance

$$\mathcal{M}(y(t)) + \int_0^t \left( D_{\dot{y}} \mathcal{R}(y, \theta, \dot{y})[\dot{y}] + \int_{\Omega} \partial_F \phi_{\text{cpl}}(\nabla y, \theta) : \nabla \dot{y} dx \right) ds = \mathcal{M}(y(0))$$

## 2. Finite-strain elasticity and temperature

**Main assumption:** splitting of free-energy density

$$\psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta)$$

- $\varphi_{\text{el}}$  contains main mech. behavior  $\varphi_{\text{el}}(F) \geq c/(\det F)^\delta + c|F|^p - C$
- $\phi_{\text{cpl}}$  is “relatively nice” with respect to  $F$

Mechanical energy  $\mathcal{M}(y) = \int_{\Omega} \{ \varphi_{\text{el}}(\nabla y) + \mathcal{H}(\nabla^2 y) \} dx$

For smooth solutions one obtains the mechanical energy-dissipation balance

$$\mathcal{M}(y(t)) + \int_0^t \left( D_{\dot{y}} \mathcal{R}(y, \theta, \dot{y})[\dot{y}] + \int_{\Omega} \partial_F \phi_{\text{cpl}}(\nabla y, \theta) : \nabla \dot{y} dx \right) ds = \mathcal{M}(y(0))$$

Strategy: Gain good control on  $y$  without using any properties of  $\theta$ :

$$\mathcal{M}(y(t)) + \int_0^t \left( c_{\text{Korn}} \|\dot{y}\|_{\text{H}^1}^2 - \|\partial_F \phi_{\text{cpl}}\|_{\text{L}^2} \|\nabla \dot{y}\|_{\text{L}^2} \right) ds \leq \mathcal{M}(y(0))$$

Using  $|\partial_F \phi_{\text{cpl}}(F, \theta)|^2 \leq K \varphi_{\text{el}}(F)$  gives

$$\mathcal{M}(y(t)) \leq \mathcal{M}(y(0)) + \int_0^t \frac{K}{4c_{\text{Korn}}} \mathcal{M}(y(s)) ds.$$



## 2. Finite-strain elasticity and temperature

**Message:** We need some fundamental tools

- We need a **generalized Korn inequality** (Neff 2002, Pompe 2003)

$$D_{\dot{y}}\mathcal{R}(y, \theta, \dot{y})[\dot{y}] = \int_{\Omega} \partial_{\dot{\nabla} y} \zeta(\nabla y, \theta, \nabla \dot{y}) : \nabla \dot{y} \, dy \geq c_{\text{Korn}} \int_{\Omega} |\nabla \dot{y}|^2 \, dx$$

for all relevant  $(y, \theta)$  and  $\dot{y} \in H_{\Gamma_{\text{Dir}}}^1(\Omega)$ ,

where “relevant” means  $\mathcal{M}(y) \leq C_M$  and  $\theta$  arbitrary.

## 2. Finite-strain elasticity and temperature

**Message:** We need some fundamental tools

- We need a **generalized Korn inequality** (Neff 2002, Pompe 2003)

$$D_{\dot{y}}\mathcal{R}(y, \theta, \dot{y})[\dot{y}] = \int_{\Omega} \partial_{\dot{\nabla} y} \zeta(\nabla y, \theta, \nabla \dot{y}) : \nabla \dot{y} \, dy \geq c_{\text{Korn}} \int_{\Omega} |\nabla \dot{y}|^2 \, dx$$

for all relevant  $(y, \theta)$  and  $\dot{y} \in H_{\Gamma_{\text{Dir}}}^1(\Omega)$ ,

where “relevant” means  $\mathcal{M}(y) \leq C_M$  and  $\theta$  arbitrary.

- From  $\mathcal{M}(y) \leq C_M$  we derive **invertibility** (using Healey-Krömer 2009)

$$\|\nabla y\|_{C^\alpha} \leq C \quad \text{and} \quad \det \nabla y(x) \geq c_{\text{HeKr}} > 0.$$

**Message:** We need some fundamental tools

- We need a **generalized Korn inequality** (Neff 2002, Pompe 2003)

$$D_{\dot{y}}\mathcal{R}(y, \theta, \dot{y})[\dot{y}] = \int_{\Omega} \partial_{\dot{y}} \zeta(\nabla y, \theta, \nabla \dot{y}) : \nabla \dot{y} \, dy \geq c_{\text{Korn}} \int_{\Omega} |\nabla \dot{y}|^2 \, dx$$

for all relevant  $(y, \theta)$  and  $\dot{y} \in H_{\Gamma_{\text{Dir}}}^1(\Omega)$ ,

where “relevant” means  $\mathcal{M}(y) \leq C_M$  and  $\theta$  arbitrary.

- From  $\mathcal{M}(y) \leq C_M$  we derive **invertibility** (using Healey-Krömer 2009)

$$\|\nabla y\|_{C^\alpha} \leq C \quad \text{and} \quad \det \nabla y(x) \geq c_{\text{HeKr}} > 0.$$

- To control the viscous heating we need to turn weak into strong convergence. This will be done by a **chain-rule argument** via  $\Lambda$ -convexity of  $\mathcal{M}$  on sublevels: If  $\mathcal{M}(y), \mathcal{M}(\hat{y}) \leq C_M$  and  $\|\nabla \hat{y} - \nabla y\|_{L^\infty} \leq \delta(C_M)$ , then

$$\mathcal{M}(\hat{y}) \geq \mathcal{M}(y) + D\mathcal{M}(y)[\hat{y} - y] - \Lambda(C_M) \|\nabla \hat{y} - \nabla y\|_{L^2}^2.$$

# Overview

1. Prologue
2. Finite-strain elasticity and temperature
3. Three tools
4. The existence result
5. Sketch of proof

### 3. Three tools: I. Invertibility via second gradient

$\Omega \subset \mathbb{R}^d$  bounded, Lipschitz domain and  $\Gamma_{\text{Dir}} \subset \partial\Omega$  with  $\mathcal{H}^{d-1}(\Gamma_{\text{Dir}}) > 0$   
 $\mathbf{Y} := \{y \in H^1(\Omega; \mathbb{R}^d) \mid y|_{\Gamma_{\text{Dir}}} = y_{\text{Dir}}\}$  set of admissible deformations

**Theorem (Healey-Krömer 2009)\*** Assume  $\varphi_{\text{el}}(F) = \infty$  for  $\det F \leq 0$ ,

$$\varphi_{\text{el}}(F) \geq c/(\det F)^\delta - C, \quad \mathcal{H}(A) \geq c|A|^r - C, \quad \text{and} \quad \frac{1}{r} + \frac{1}{\delta} < \frac{1}{d}.$$

Then, for all  $C_M > 0$  there exists  $C^*, c_{\text{HeKr}} > 0$  such that for all  $y \in \mathbf{Y}$  with  $\mathcal{M}(y) \leq C_M$  we have

$$\|y\|_{W^{2,r}(\Omega)} \leq C^* \text{ and } \det \nabla y(x) \geq c_{\text{HeKr}} \text{ on } \Omega.$$

This gives **uniform invertibility** on sublevels, in particular

$$\|\nabla y\|_{C^\alpha} + \|(\nabla y)^{-1}\|_{C^\alpha} \leq K \quad \text{with } \alpha = 1 - r/d \in ]0, 1[.$$

\* Healey, Krömer: *Injective weak solutions in second-gradient nonlinear elasticity*. ESAIM COCV 15, 863–871, 2009

### 3. Three tools: II. Generalized Korn inequality

**Time-dependent frame-indifference** asks for  $\zeta(F, \theta, \dot{F}) = \widehat{\zeta}(C, \theta, \dot{C})$  with  $C = F^\top F$  and  $\dot{C} = F^\top \dot{F} + \dot{F}^\top F$  (Antman 1998)

We assume **linear** viscoelasticity, i.e.  $\widehat{\zeta}(C, \theta, \dot{C}) = \frac{1}{2} \dot{C} : \mathbb{D}(C, \theta) \dot{C}$  and assume upper and lower bounds  $\frac{1}{K} |\dot{C}|^2 \leq \dot{C} : \mathbb{D}(C, \theta) \dot{C} \leq K |\dot{C}|^2$  for all  $C, \theta, \dot{C}$

### 3. Three tools: II. Generalized Korn inequality

**Time-dependent frame-indifference** asks for  $\zeta(F, \theta, \dot{F}) = \widehat{\zeta}(C, \theta, \dot{C})$  with  $C = F^\top F$  and  $\dot{C} = F^\top \dot{F} + \dot{F}^\top F$  (Antman 1998)

We assume **linear** viscoelasticity, i.e.  $\widehat{\zeta}(C, \theta, \dot{C}) = \frac{1}{2} \dot{C} : \mathbb{D}(C, \theta) \dot{C}$  and assume upper and lower bounds  $\frac{1}{K} |\dot{C}|^2 \leq \dot{C} : \mathbb{D}(C, \theta) \dot{C} \leq K |\dot{C}|^2$  for all  $C, \theta, \dot{C}$

Thus, viscoelastic dissipation only controls  $\dot{C} = F^\top \dot{F} + \dot{F}^\top F = \nabla y^\top \nabla \dot{y} + \nabla \dot{y}^\top \nabla y$

**Theorem (Neff 2002, Pompe 2003\*)** Let  $\Omega \subset \mathbb{R}^d$  be bdd, Lipschitz,  $\mathcal{H}^{d-1}(\Gamma_{\text{Dir}}) > 0$ , and  $F \in C^0(\overline{\Omega}; \mathbb{R}^{d \times d})$  with  $\min\{\det F(x) \mid x \in \overline{\Omega}\} \gneq 0$ . Then, there exists  $c_{\text{Korn}}(F) > 0$  such that

$$\forall V \in H_{\Gamma_{\text{Dir}}}^1(\Omega; \mathbb{R}^d) : \int_{\Omega} |F^\top \nabla V + \nabla V^\top F|^2 dx \geq c_{\text{Korn}}(F) \|V\|_{H^1}^2.$$

Neff: *On Korn's first inequality with non-constant coefficients*, Proc. Roy. Soc. Edinburgh Sect. A 132, 221–243, 2002.

Pompe: *Korn's first inequality with variable coefficients and its generalization*, Comment. Math. Univ. Carolinae 44(1) 57–70, 2003.

### 3. Three tools: II. Generalized Korn inequality

- The Neff-Pompe result is wrong for general  $F \in L^\infty(\Omega)$ , even for  $F = \nabla y$  with  $y \in W^{1,\infty}(\Omega)$
- The mapping  $F \mapsto c_{\text{Korn}}(F)$  is norm continuous on  $C^0(\bar{\Omega}; \mathbb{R}^{d \times d})$ .



### 3. Three tools: II. Generalized Korn inequality

- The Neff-Pompe result is wrong for general  $F \in L^\infty(\Omega)$ , even for  $F = \nabla y$  with  $y \in W^{1,\infty}(\Omega)$
- The mapping  $F \mapsto c_{\text{Korn}}(F)$  is norm continuous on  $C^0(\bar{\Omega}; \mathbb{R}^{d \times d})$ .

Combining this with the invertibility provides a uniform generalized Korn inequality on sublevels of  $\mathcal{M}$

#### Proposition (Uniform generalized Korn inequality on sublevels of $\mathcal{M}$ )

For each  $C_M > 0$  there exists  $\tilde{c}_{\text{Korn}}(C_M) > 0$  such that

$$\forall y \in \mathbf{Y} \text{ with } \mathcal{M}(y) \leq C_M \quad \forall \theta \in L^1(\Omega) \quad \forall V \in \mathbf{H}_{\Gamma_{\text{Dir}}}^1(\Omega) : \\ K D_v \mathcal{R}(y, \theta, V)[V] \geq \|\nabla y^\top \nabla V + \nabla V^\top \nabla y\|_{L^2}^2 \geq \tilde{c}_{\text{Korn}}(C_M) \|V\|_{\mathbf{H}^1}^2.$$

Proof: Combine Neff-Pompe with compact embedding  $W^{2,r}(\Omega) \subset C^{1,\alpha}(\bar{\Omega}) \Subset C^1(\bar{\Omega})$ , the uniform Healey-Krömer invertibility, and Weierstraß' extremum principle.

### 3. Three tools: II. Generalized Korn inequality

- The Neff-Pompe result is wrong for general  $F \in L^\infty(\Omega)$ , even for  $F = \nabla y$  with  $y \in W^{1,\infty}(\Omega)$
- The mapping  $F \mapsto c_{\text{Korn}}(F)$  is norm continuous on  $C^0(\bar{\Omega}; \mathbb{R}^{d \times d})$ .

Combining this with the invertibility provides a uniform generalized Korn inequality on sublevels of  $\mathcal{M}$

#### Proposition (Uniform generalized Korn inequality on sublevels of $\mathcal{M}$ )

For each  $C_M > 0$  there exists  $\tilde{c}_{\text{Korn}}(C_M) > 0$  such that

$\forall y \in \mathbf{Y}$  with  $\mathcal{M}(y) \leq C_M \quad \forall \theta \in L^1(\Omega) \quad \forall V \in \mathbf{H}_{\text{Dir}}^1(\Omega) :$

$$K D_v \mathcal{R}(y, \theta, V)[V] \geq \|\nabla y^\top \nabla V + \nabla V^\top \nabla y\|_{L^2}^2 \geq \tilde{c}_{\text{Korn}}(C_M) \|V\|_{H^1}^2.$$

Can this result be derived from **rigidity estimates** as a kind of **“infinitesimal rigidity”** ?

### 3. Three tools: III. Abstract chain rule

$X$  reflexive Banach space

$\mathcal{M} : X \rightarrow \mathbb{R} \cup \{+\infty\}$  is weakly lower semicontinuous and  $\Lambda$ -convex for some  $\Lambda \in \mathbb{R}$ , i.e. for all  $y_0, y_1 \in X$  and all  $\theta \in ]0, 1[$  we have

$$\mathcal{M}((1-\theta)y_0 + \theta y_1) \leq (1-\theta)\mathcal{M}(y_0) + \theta\mathcal{M}(y_1) - \frac{\Lambda}{2}(1-\theta)\theta\|y_1 - y_0\|_X^2.$$

**Theorem (RS'06).** Assume  $u \in W^{1,p}([0, T]; X)$  and  $\eta \in L^{p^*}([0, T]; X^*)$  such that  $\sup_{[0, T]} \mathcal{M}(u(t)) < \infty$  and  $\eta(t) \in \partial\mathcal{M}(u(t))$  a.e. in  $[0, T]$ , then

$$\mathcal{M}(u(t)) = \mathcal{M}(u(0)) + \int_0^t \langle \eta(s), \dot{u}(s) \rangle ds.$$

Brézis: *Opérateurs maximaux monotones et semi-groupes dans espaces Hilbert*. 1973 (convex!)

Rossi, Savaré: *Gradient flows of non convex functionals in Hilbert spaces and applications*, ESAIM COCV 12, 564–614, 2006. ( $\Lambda$ -convex)

M., Rossi, Savaré: *Nonsmooth analysis of doubly nonlinear evolution equations*, Calc. Var. PDE 46, 253-310, 2013. (even more general)

# Overview

1. Prologue
2. Finite-strain elasticity and temperature
3. Three tools
4. The existence result
5. Sketch of proof

## 4. The existence result

We first collect the assumptions for

free-energy density  $\psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta) \qquad F = \nabla y$

Hyperstress density  $\mathcal{H}(A) = \mathcal{H}(\nabla^2 y)$

dissipation potential  $\zeta(F, \theta, \dot{F}) = \widehat{\zeta}(C, \theta, \dot{C})$

- $\widehat{\zeta}$  quadratic in  $\dot{C}$  and bounded above on with  $\mathbb{D}$  continuous
- $\mathcal{H}$  convex,  $C^1$ , and  $\frac{1}{K}|A|^r - K \leq \mathcal{H}(A) \leq K(1+|A|)^r$
- $(F, \theta) \mapsto \mathbb{K}(F, \theta)$  continuous, bounded and uniformly positive definite
- initial conditions  $y^0 \in \mathbf{Y}$  and  $\theta^0 \in L^1_{\geq 0}(\Omega)$  with  $\mathcal{E}(y^0, \theta^0) < \infty$
- $\psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta)$  with  $\phi_{\text{cpl}}(F, 0) = 0$

We first collect the assumptions for

$$\text{free-energy density} \quad \psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta) \quad F = \nabla y$$

$$\text{Hyperstress density} \quad \mathcal{H}(A) = \mathcal{H}(\nabla^2 y)$$

$$\text{dissipation potential} \quad \zeta(F, \theta, \dot{F}) = \widehat{\zeta}(C, \theta, \dot{C})$$

- $\widehat{\zeta}$  quadratic in  $\dot{C}$  and bounded above on with  $\mathbb{D}$  continuous
- $\mathcal{H}$  convex,  $C^1$ , and  $\frac{1}{K}|A|^r - K \leq \mathcal{H}(A) \leq K(1+|A|)^r$
- $(F, \theta) \mapsto \mathbb{K}(F, \theta)$  continuous, bounded and uniformly positive definite
- initial conditions  $y^0 \in \mathbf{Y}$  and  $\theta^0 \in L^1_{\geq 0}(\Omega)$  with  $\mathcal{E}(y^0, \theta^0) < \infty$
- $\psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta)$  with  $\phi_{\text{cpl}}(F, 0) = 0$  and
  - $\varphi_{\text{el}} \in C^2(\text{GL}^+(\mathbb{R}^d))$  and  $\varphi_{\text{el}}(F) = \infty$  for  $\det F \leq 0$
  - $\varphi_{\text{el}}(F) \geq \frac{1}{K}(\det F)^{-\delta}$  with  $1/\delta + 1/r < 1/d$
  - $|\partial_F \phi_{\text{cpl}}(F, \theta)|^2 \leq K \varphi_{\text{el}}(F)$
  - $\frac{1}{K} \leq -\theta \partial_{\theta}^2 \phi_{\text{cpl}}(F, \theta) \leq K$  and  $\partial_F^2 \phi_{\text{cpl}}(F, \theta) \leq K$

We first collect the assumptions for

$$\text{free-energy density} \quad \psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta) \quad F = \nabla y$$

$$\text{Hyperstress density} \quad \mathcal{H}(A) = \mathcal{H}(\nabla^2 y)$$

$$\text{dissipation potential} \quad \zeta(F, \theta, \dot{F}) = \widehat{\zeta}(C, \theta, \dot{C})$$

- $\widehat{\zeta}$  quadratic in  $\dot{C}$  and bounded above on with  $\mathbb{D}$  continuous
- $\mathcal{H}$  convex,  $C^1$ , and  $\frac{1}{K}|A|^r - K \leq \mathcal{H}(A) \leq K(1+|A|)^r$
- $(F, \theta) \mapsto \mathbb{K}(F, \theta)$  continuous, bounded and uniformly positive definite
- initial conditions  $y^0 \in \mathbf{Y}$  and  $\theta^0 \in L^1_{\geq 0}(\Omega)$  with  $\mathcal{E}(y^0, \theta^0) < \infty$
- $\psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta)$  with  $\phi_{\text{cpl}}(F, 0) = 0$  and
  - $\varphi_{\text{el}} \in C^2(\text{GL}^+(\mathbb{R}^d))$  and  $\varphi_{\text{el}}(F) = \infty$  for  $\det F \leq 0$
  - $\varphi_{\text{el}}(F) \geq \frac{1}{K}(\det F)^{-\delta}$  with  $1/\delta + 1/r < 1/d$
  - $|\partial_F \phi_{\text{cpl}}(F, \theta)|^2 \leq K \varphi_{\text{el}}(F)$
  - $\frac{1}{K} \leq -\theta \partial_{\theta}^2 \phi_{\text{cpl}}(F, \theta) \leq K$  and  $\partial_F^2 \phi_{\text{cpl}}(F, \theta) \leq K$

## 4. The existence result

Decomposition of free energy  $\psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta)$

leads to a corresponding decomposition of internal energy

$$e(F, \theta) = \psi(F, \theta) - \theta \partial_{\theta} \psi(F, \theta)$$

$$e(F, \theta) = \varphi_{\text{el}}(F) + \mathfrak{w}(F, \theta) \text{ with } \mathfrak{w}(F, \theta) = \phi_{\text{cpl}}(F, \theta) - \theta \partial_{\theta} \phi_{\text{cpl}}(F, \theta)$$

Assumptions give  $0 = \mathfrak{w}(F, 0) \leq \mathfrak{w}(F, \theta)$  and  $\partial_{\theta} \mathfrak{w}(F, \theta) \in [\frac{1}{K}, K]$



## 4. The existence result

Decomposition of free energy  $\psi(F, \theta) = \varphi_{\text{el}}(F) + \phi_{\text{cpl}}(F, \theta)$

leads to a corresponding decomposition of internal energy

$$e(F, \theta) = \psi(F, \theta) - \theta \partial_{\theta} \psi(F, \theta)$$

$$e(F, \theta) = \varphi_{\text{el}}(F) + \mathfrak{w}(F, \theta) \text{ with } \mathfrak{w}(F, \theta) = \phi_{\text{cpl}}(F, \theta) - \theta \partial_{\theta} \phi_{\text{cpl}}(F, \theta)$$

Assumptions give  $0 = \mathfrak{w}(F, 0) \leq \mathfrak{w}(F, \theta)$  and  $\partial_{\theta} \mathfrak{w}(F, \theta) \in [\frac{1}{K}, K]$

Three possible formulations of the “heat equation”

(equivalent for smooth solutions)

$$\theta \dot{s} + \operatorname{div} \mathbf{q} = \xi = 2\zeta$$

$$e = \psi + \theta s$$

$$\dot{e} + \operatorname{div} \mathbf{q} = \xi + \underbrace{\partial_F \psi(\nabla y, \theta)}_{\text{sing. at } \det \nabla y = 0} : \nabla \dot{y}$$

full mechanical power

reduced heat equation for the “thermal energy”  $\mathfrak{w} = e - \varphi_{\text{el}}$  only

$$\dot{\mathfrak{w}} + \operatorname{div} \mathbf{q} = \xi + \underbrace{\partial_F \phi_{\text{cpl}}(\nabla y, \theta)}_{\text{well-behaved}} : \nabla \dot{y}$$

only power of “coupling energy”

## 4. The existence result

We use the simpler reduced heat equation for the “thermal energy”  $\varpi$

$$\dot{\varpi} + \operatorname{div} \mathbf{q} = \xi + \underbrace{\partial_F \phi_{\text{cpl}}(\nabla y, \theta)}_{\text{well-behaved}} : \nabla \dot{y}$$

only power of “coupling energy”

We use the simpler reduced heat equation for the “thermal energy”  $\mathfrak{w}$

$$\dot{\mathfrak{w}} + \operatorname{div} \mathbf{q} = \xi + \underbrace{\partial_F \phi_{\text{cpl}}(\nabla y, \theta) : \nabla \dot{y}}_{\text{well-behaved}} \quad \text{only power of “coupling energy”}$$

Together with the mechanical power balance

$$\mathcal{M}(y(t)) + \int_0^t \int_{\Omega} \left( \xi(\dots) + \partial_F \phi_{\text{cpl}}(\nabla y, \theta) : \nabla \dot{y} \right) dx \, ds = \mathcal{M}(y(0))$$

we obtain the conservation of the total energy

$$\mathcal{E}(y(t), \theta(t)) = \mathcal{M}(y(t)) + \int_{\Omega} \mathfrak{w}(\nabla y(t), \theta(t)) \, dx = \mathcal{E}(y^0, \theta^0).$$

**Theorem (Global existence)** Under the above assumptions there exists for all  $T > 0$  a weak solution  $(y, \theta) : [0, T] \rightarrow \mathbf{Y} \times L^1(\Omega)$  with  $(y(0), \theta(0)) = (y^0, \theta^0)$  and

$$y \in C_w^0([0, T]; W^{2,r}(\Omega)) \cap H^1([0, T]; H^1(\Omega)) \quad \text{and}$$

$$\theta \in L^1([0, T]; W^{1,1}(\Omega)) \cap L^q([0, T]; W^{1,q}(\Omega)) \quad \text{for all } q \in [1, \frac{d+2}{d+1}]$$

Moreover, this solution satisfies energy balance  $\mathcal{E}(y(t), \theta(t)) = \mathcal{E}(y^0, \theta^0)$  and the mechanical energy-dissipation balance.

A pair  $(y, \theta)$  is called weak solution if

$$\int_0^T \int_{\Omega} \left( (\partial_{\dot{F}} \zeta(\nabla y, \theta, \nabla \dot{y}) + \partial_F \psi(\nabla y, \theta)) : \nabla z + \partial_A \mathcal{H}(\nabla^2 y) : \nabla^2 z \right) dx dt = 0$$

for all  $z \in C^0([0, T]; (W^{2,\infty} \cap H_{\Gamma_{\text{Dir}}}^1)(\Omega))$

$$\int_0^T \int_{\Omega} \left( \mathfrak{w}(\nabla y, \theta) \dot{v} - \nabla v \cdot \mathbb{K}(\nabla y, \theta) \nabla \theta + (2\zeta(\dots) + \partial_F \phi_{\text{cpl}}(\nabla y, \theta) : \nabla \dot{y}) v \right) dx dt$$

$$= \int_{\Omega} \mathfrak{w}(\nabla y^0, \theta^0) dx \quad \text{for all } v \in C^1([0, T]; W^{1,\infty}(\Omega)) \text{ with } v(T) = 0.$$

# Overview

1. Prologue
2. Finite-strain elasticity and temperature
3. Three tools
4. The existence result
5. Sketch of proof

We first solve a regularized problem with  $\varepsilon > 0$

(this destroys frame indifference and energy conservation, but estimates work even better)

$$\operatorname{div} (\partial_F \zeta(\dots) + \varepsilon \nabla \dot{y}_\varepsilon + \partial_F \psi(\nabla y_\varepsilon, \theta_\varepsilon) - \operatorname{div} \partial_A \mathcal{H}(\nabla^2 y_\varepsilon)) = 0$$

$$\dot{\mathfrak{w}}_\varepsilon = \operatorname{div} (\mathbb{K}(\nabla y_\varepsilon, \theta_\varepsilon) \nabla \theta_\varepsilon) + \frac{2\zeta(\dots)}{1 + 2\varepsilon\zeta(\dots)} + \partial_F \phi_{\text{cpl}}(\nabla y_\varepsilon, \theta_\varepsilon) : \nabla \dot{y}_\varepsilon$$

- the additional dissipation provides a simple but  $\varepsilon$ -dependent a priori bound that avoids Korn's inequality
- the source term in the reduced heat equation lies in  $L^\infty$  and is bounded from above by the dissipation

We first solve a regularized problem with  $\varepsilon > 0$

(this destroys frame indifference and energy conservation, but estimates work even better)

$$\begin{aligned} \operatorname{div} (\partial_F \zeta(\cdots)) + \varepsilon \nabla \dot{y}_\varepsilon + \partial_F \psi(\nabla y_\varepsilon, \theta_\varepsilon) - \operatorname{div} \partial_A \mathcal{H}(\nabla^2 y_\varepsilon) &= 0 \\ \dot{w}_\varepsilon = \operatorname{div} (\mathbb{K}(\nabla y_\varepsilon, \theta_\varepsilon) \nabla \theta_\varepsilon) + \frac{2\zeta(\cdots)}{1 + 2\varepsilon\zeta(\cdots)} + \partial_F \phi_{\text{cpl}}(\nabla y_\varepsilon, \theta_\varepsilon) : \nabla \dot{y}_\varepsilon \end{aligned}$$

- the additional dissipation provides a simple but  $\varepsilon$ -dependent a priori bound that avoids Korn's inequality
- the source term in the reduced heat equation lies in  $L^\infty$  and is bounded from above by the dissipation

Existence & a priori estimates by a staggered scheme with time step  $\tau > 0$

$$\mathcal{M}(y_{\varepsilon\tau}(t)) + \int_0^t (\varepsilon \|\nabla \dot{y}_{\varepsilon\tau}\|_{L^2}^2 - \|\partial_F \phi_{\text{cpl}}(\cdot)\|_{L^2} \|\nabla \dot{y}_{\varepsilon\tau}\|_{L^2}) dt \leq \mathcal{M}(y^0)$$

$$\stackrel{\text{Gronw}}{\implies} \mathcal{M}(y_{\varepsilon\tau}(t)) \leq e^{Kt/\varepsilon} \mathcal{M}(y^0) \leq e^{KT/\varepsilon} \mathcal{M}(y^0) \implies \int_0^T \|\nabla \dot{y}_{\varepsilon\tau}\|_{L^2}^2 dt \leq C_\varepsilon$$

## 5. Sketch of proof

For fixed  $\varepsilon > 0$  and  $\tau \rightarrow 0$  we obtain  $(y_{\varepsilon\tau}, \theta_{\varepsilon\tau}) \rightarrow (y_\varepsilon, \theta_\varepsilon)$

- a limit pair  $(y_\varepsilon, \theta_\varepsilon) : [0, T] \rightarrow \mathbf{Y} \times L^1_{\geq 0}(\Omega)$  solving the  $\varepsilon$ -problem
- the time-continuous mechanical energy-dissipation **inequality**

$$\mathcal{M}(y_\varepsilon(t)) + \int_0^t \int_\Omega \left( \frac{1}{2} \xi(\dots) + \frac{\varepsilon}{2} |\nabla \dot{y}_\varepsilon|^2 + \partial_F \phi_{\text{cpl}}(\nabla y_\varepsilon, \theta_\varepsilon) : \nabla \dot{y}_\varepsilon \right) dx \, ds \leq \mathcal{M}(y(0))$$



For fixed  $\varepsilon > 0$  and  $\tau \rightarrow 0$  we obtain  $(y_{\varepsilon\tau}, \theta_{\varepsilon\tau}) \rightarrow (y_\varepsilon, \theta_\varepsilon)$

- a limit pair  $(y_\varepsilon, \theta_\varepsilon) : [0, T] \rightarrow \mathbf{Y} \times L^1_{\geq 0}(\Omega)$  solving the  $\varepsilon$ -problem
- the time-continuous mechanical energy-dissipation **inequality**

$$\mathcal{M}(y_\varepsilon(t)) + \int_0^t \int_\Omega \left( \frac{1}{2} \xi(\dots) + \frac{\varepsilon}{2} |\nabla \dot{y}_\varepsilon|^2 + \partial_F \phi_{\text{cpl}}(\nabla y_\varepsilon, \theta_\varepsilon) : \nabla \dot{y}_\varepsilon \right) dx ds \leq \mathcal{M}(y(0))$$

- the time-continuous energy control  $\mathcal{E}(y_\varepsilon(t), \theta_\varepsilon(t)) \leq \mathcal{E}(y^0, \theta^0)$

For the last statement we note that in the time-discrete staggered scheme there is no cancellation of the two different coupling terms

$$\partial_F \phi_{\text{cpl}}(\nabla y_k, \theta_{k-1}) : \frac{1}{\tau} \nabla (y_k - y_{k-1}) \quad \text{and} \quad \partial_F \phi_{\text{cpl}}(\nabla y_k, \theta_k) : \frac{1}{\tau} \nabla (y_k - y_{k-1})$$

In the time-continuous setting the cancellation works and gives “ $\leq$ ”. This provides new a priori estimates that are independent of  $\varepsilon$ :

$$\mathcal{M}(y_\varepsilon(t)) \leq \mathcal{M}(y_\varepsilon(t)) + \int_\Omega \mathbf{w}_\varepsilon dx = \mathcal{E}(y_\varepsilon(t), \theta_\varepsilon(t)) \leq \mathcal{E}(y^0, \theta^0)$$

## 5. Sketch of proof

The a priori bound  $\mathcal{M}(y_\varepsilon(t)) \leq \mathcal{E}(y^0, \theta^0)$  allows for the limit passage  $\varepsilon \rightarrow 0$

- uniform invertibility (Healey-Krümer) and  $C^\alpha$  bounds

$$\|\nabla y_\varepsilon(t)\|_{C^\alpha} \leq C \text{ and } \det \nabla y_\varepsilon(t, x) \geq 1/C_* \text{ on } [0, T] \times \bar{\Omega}$$

- With this Neff/Pompe provide a uniform Korn's constant  $c_{\text{Korn}} > 0$ .

Hence,  $y_\varepsilon$  is uniformly bounded in  $H^1([0, T]; H^1(\Omega))$

## 5. Sketch of proof

The a priori bound  $\mathcal{M}(y_\varepsilon(t)) \leq \mathcal{E}(y^0, \theta^0)$  allows for the limit passage  $\varepsilon \rightarrow 0$

- uniform invertibility (Healey-Krömer) and  $C^\alpha$  bounds

$$\|\nabla y_\varepsilon(t)\|_{C^\alpha} \leq C \text{ and } \det \nabla y_\varepsilon(t, x) \geq 1/C_* \text{ on } [0, T] \times \bar{\Omega}$$

- With this Neff/Pompe provide a uniform Korn's constant  $c_{\text{Korn}} > 0$ .

Hence,  $y_\varepsilon$  is uniformly bounded in  $H^1([0, T]; H^1(\Omega))$

- we obtain subsequences with  $(\nabla y_\varepsilon, \theta_\varepsilon) \rightarrow (\nabla y, \theta)$  in  $L^{1+\delta}([0, T] \times \Omega)$   
and  $\nabla \dot{y}_\varepsilon \rightharpoonup \nabla \dot{y}$  in  $L^2([0, T] \times \Omega)$

- we obtain the momentum balance and an mech. energy-dissip. inequality

## 5. Sketch of proof

The a priori bound  $\mathcal{M}(y_\varepsilon(t)) \leq \mathcal{E}(y^0, \theta^0)$  allows for the limit passage  $\varepsilon \rightarrow 0$

- uniform invertibility (Healey-Krömer) and  $C^\alpha$  bounds

$$\|\nabla y_\varepsilon(t)\|_{C^\alpha} \leq C \text{ and } \det \nabla y_\varepsilon(t, x) \geq 1/C_* \text{ on } [0, T] \times \bar{\Omega}$$

- With this Neff/Pompe provide a uniform Korn's constant  $c_{\text{Korn}} > 0$ .

Hence,  $y_\varepsilon$  is uniformly bounded in  $H^1([0, T]; H^1(\Omega))$

- we obtain subsequences with  $(\nabla y_\varepsilon, \theta_\varepsilon) \rightarrow (\nabla y, \theta)$  in  $L^{1+\delta}([0, T] \times \Omega)$   
and  $\nabla \dot{y}_\varepsilon \rightharpoonup \nabla \dot{y}$  in  $L^2([0, T] \times \Omega)$

- we obtain the momentum balance and an mech. energy-dissip. **inequality**

- using the **abstract chain rule**<sup>†</sup> for the  $\Lambda(C_{\mathcal{E}(y^0, \theta^0)})$ -convex functional  $\mathcal{M}$  we obtain the **energy-dissipation balance**

$$\mathcal{M}(y(t)) + \int_0^t \int_\Omega \left( 2\zeta(\nabla y, \theta, \nabla \dot{y}) + \partial_F \phi_{\text{cpl}}(\nabla y, \theta) : \nabla \dot{y} \right) dx ds = \mathcal{M}(y(0))$$

---

<sup>†</sup> Rossi, Savaré: *Gradient flows of non convex functionals in Hilbert spaces and applications*, ESAIM COCV 12, 564–614, 2006

- We have the mechanical energy-dissipation balance for  $\varepsilon > 0$  and for  $\varepsilon = 0$ .

$$\mathcal{M}(y_\varepsilon(T)) + \int_0^T \int_\Omega \left( 2\zeta(\cdots_\varepsilon) + \varepsilon |\nabla \dot{y}_\varepsilon|^2 + \partial_F \phi_{\text{cpl}}(\nabla y_\varepsilon, \theta_\varepsilon) : \nabla \dot{y}_\varepsilon \, dx \right) ds = \mathcal{M}(y^0)$$

$$\mathcal{M}(y(T)) + \int_0^T \int_\Omega \left( 2\zeta(\nabla y, \theta, \nabla \dot{y}) + \mathbf{0} + \partial_F \phi_{\text{cpl}}(\nabla y, \theta) : \nabla \dot{y} \, dx \right) ds = \mathcal{M}(y^0)$$

This implies convergence of the total dissipation

$$\int_0^T \int_\Omega \left( 2\zeta(\nabla y_\varepsilon, \theta_\varepsilon, \nabla \dot{y}_\varepsilon) + \varepsilon |\nabla \dot{y}_\varepsilon|^2 \right) dx dt \rightarrow \int_0^T \int_\Omega 2\zeta(\nabla y, \theta, \nabla \dot{y}) dx dt$$

which implies strong convergence  $\nabla \dot{y}_\varepsilon \rightarrow \nabla \dot{y}$  in  $L^2([0, T]; L^2(\Omega))$

(weak convergence plus convergence of norm  $\implies$  strong convergence)

- We have the mechanical energy-dissipation balance for  $\varepsilon > 0$  and for  $\varepsilon = 0$ .

$$\mathcal{M}(y_\varepsilon(T)) + \int_0^T \int_\Omega \left( 2\zeta(\dots_\varepsilon) + \varepsilon |\nabla \dot{y}_\varepsilon|^2 + \partial_F \phi_{\text{cpl}}(\nabla y_\varepsilon, \theta_\varepsilon) : \nabla \dot{y}_\varepsilon \, dx \right) ds = \mathcal{M}(y^0)$$

$$\mathcal{M}(y(T)) + \int_0^T \int_\Omega \left( 2\zeta(\nabla y, \theta, \nabla \dot{y}) + \mathbf{0} + \partial_F \phi_{\text{cpl}}(\nabla y, \theta) : \nabla \dot{y} \, dx \right) ds = \mathcal{M}(y^0)$$

This implies convergence of the total dissipation

$$\int_0^T \int_\Omega \left( 2\zeta(\nabla y_\varepsilon, \theta_\varepsilon, \nabla \dot{y}_\varepsilon) + \varepsilon |\nabla \dot{y}_\varepsilon|^2 \right) dx dt \rightarrow \int_0^T \int_\Omega 2\zeta(\nabla y, \theta, \nabla \dot{y}) dx dt$$

which implies strong convergence  $\nabla \dot{y}_\varepsilon \rightarrow \nabla \dot{y}$  in  $L^2([0, T]; L^2(\Omega))$

(weak convergence plus convergence of norm  $\implies$  strong convergence)

- limit the limit passage in the  $\varepsilon$ -regularized heat equation is possible because

$$\frac{2\zeta(\nabla y_\varepsilon, \theta_\varepsilon, \nabla \dot{y}_\varepsilon)}{1 + 2\varepsilon \zeta(\nabla y_\varepsilon, \theta_\varepsilon, \nabla \dot{y}_\varepsilon)} \rightarrow 2\zeta(\nabla y, \theta, \nabla \dot{y}) \text{ in } L^1([0, T]; L^1(\Omega))$$

- Second gradient materials allow us to cope with determinant constraints
- Coupling to a heat equation is possible after suitably splitting the free or internal energy
- Generalized Korn inequalities (infinitesimal rigidity) are needed to treat frame-indifferent dissipation
- Chain rules and  $\Lambda$ -convexity allow to establish energy-dissipation balances

Thank you for your attention  
and  
**Happy Birthday to Gianni**

A.M., Roubíček: *Thermoviscoelasticity in Kelvin-Voigt rheology at large strains*. WIAS preprint 2584, 2019. Archive Rat. Mech. Analysis, acc. [Jan. 26, 2020](#).

A.M., Rossi, Savaré. *Global existence results for viscoplasticity at finite strain*. Arch. Rational Mech. Anal. 227(1):423–475, 2018.