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GROMOV-WITTEN THEORY OF TAME  
DELIGNE-MUMFORD STACKS  
IN MIXED CHARACTERISTIC

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## Introduction

Gromov-Witten theory of orbifolds was introduced in the symplectic setting in [8], followed by an adaptation to the algebraic setting in [1] and [2], where Abramovich, Graber and Vistoli developed the Gromov-Witten theory of Deligne-Mumford stacks in characteristic zero, using the moduli stack of twisted stable maps into  $\mathcal{X}$ , denoted by  $\mathcal{K}_{g,n}(\mathcal{X}, \beta)$ . This stack was constructed in [4] and it is the necessary analogue of Kontsevich's moduli stack of stable maps for smooth projective varieties when replacing the variety with a Deligne-Mumford stack. The stack  $\mathcal{K}_{g,n}(\mathcal{X}, \beta)$  is defined for any tame proper Deligne-Mumford stack  $\mathcal{X}$  of finite presentation over a noetherian base scheme  $S$  and is a proper algebraic stack over  $S$  ([4]). When the base is a field  $k$  of characteristic zero,  $\mathcal{K}_{g,n}(\mathcal{X}, \beta)$  is Deligne-Mumford and admits a perfect obstruction theory ([2]). This leads to a virtual fundamental class  $[\mathcal{K}_{g,n}(\mathcal{X}, \beta)]^{\text{virt}} \in A_*(\mathcal{K}_{g,n}(\mathcal{X}, \beta))$  and the Gromov-Witten invariants of  $\mathcal{X}$  are obtained by integrating cohomology classes on  $\mathcal{X}$  against  $[\mathcal{K}_{g,n}(\mathcal{X}, \beta)]^{\text{virt}}$ .

In this thesis we define Gromov-Witten classes and invariants associated to smooth proper tame Deligne-Mumford stacks of finite presentation over a Dedekind domain. The main motivation for us is to compare the invariants in different characteristics for stacks defined in mixed characteristic. We hope that this approach could give a useful insight into the Gromov-Witten theory in characteristic zero, providing a new technique for computing Gromov-Witten invariants.

The fundamental ingredient for the construction of Gromov-Witten invariants is the virtual fundamental class  $[\mathcal{K}_{g,n}(\mathcal{X}, \beta)]^{\text{virt}} \in A_*(\mathcal{K}_{g,n}(\mathcal{X}, \beta))$ . In the language of [7], a virtual fundamental class  $[\mathcal{M}]^{\text{virt}} \in A_*(\mathcal{M})$  is defined in the Chow group with rational coefficients, for a Deligne-Mumford stack  $\mathcal{M}$  endowed with a perfect obstruction theory. The main problem in developing Gromov-Witten theory in positive or mixed characteristic is that in general the stack  $\mathcal{K}_{g,n}(\mathcal{X}, \beta)$  is not Deligne-Mumford. For instance this happens for  $\mathcal{K}_{0,0}(\mathbb{P}_k^1, p)$ , when  $k$  is a field of characteristic  $p > 0$ , because the map  $f: \mathbb{P}_k^1 \rightarrow \mathbb{P}_k^1$  such that  $f(x_0, x_1) = (x_0^p, x_1^p)$  is stable but has stabilizer

$$\mu_p = \text{Spec } k[x]/(x^p - 1) = \text{Spec } k[x]/(x - 1)^p,$$

which is not reduced. When the base is a field of characteristic  $p > 0$ , then  $\mathcal{K}_{g,n}(\mathcal{X}, \beta)$  is still Deligne-Mumford for certain values of the fixed discrete parameters  $g, n, \beta$  which are big with respect to  $p$  ([1]). However, this is not satisfactory from the point of view of Gromov-Witten theory, because most of the properties of Gromov-Witten invariants (e.g. WDVV equation, Getzler relations) involve all the invariants at the same time.

The definition of virtual fundamental class for Artin stacks was not feasible at the beginning because of the lack for Artin stacks of two useful technical devices: Chow groups and the cotangent complex. We now have these devices at our disposal. Chow groups and intersection theory for Artin stacks over a field are defined in [20] and we verified that Kresch's theory naturally extends to stacks over a Dedekind domain (see Appendix B). A working theory for the cotangent complex of a morphism of Artin stacks is provided by [21], [29], [22]. Nonetheless the presence of these tools is not enough to overcome all the difficulties in the absolute case: the existing construction relies on the correspondence between Picard stacks and 2-term complexes of abelian sheaves, whereas the cotangent complex of an Artin stack has three terms, so that one cannot exploit directly the above correspondence. A first step in this direction was done by Francesco Nosedà in his PhD thesis ([26]), even though his construction was not completely proven to be *intrinsic* and therefore may depend on the chosen resolution of the perfect obstruction theory (this point is crucial to prove the functoriality of the virtual fundamental class). To our knowledge, no intrinsic construction of the virtual fundamental class of an Artin stack has been done so far.

However, for the purpose of this work, it is enough to define a relative version of the virtual fundamental class of an Artin stack, generalizing the construction in [7] 7. In particular, we describe a way of constructing virtual fundamental classes of Artin stacks which admits a Deligne-Mumford type morphism into a smooth Artin stack over a scheme  $S$  and a relative perfect obstruction theory. We apply this to the natural forgetful functor  $\theta: \mathcal{K}_{g,n}(\mathcal{X}, \beta) \rightarrow \mathfrak{M}_{g,n}^{\text{tw}}$ , which is of Deligne-Mumford type, into the stack of twisted curves  $\mathfrak{M}_{g,n}^{\text{tw}}$  constructed in [4], after we exhibited a perfect relative obstruction theory for  $\theta$ , and we construct a virtual fundamental class  $[\mathcal{K}_{g,n}(\mathcal{X}, \beta)]^{\text{virt}} \in A_*(\mathcal{K}_{g,n}(\mathcal{X}, \beta))$ .

A Dedekind domain  $D$  can be thought of as a space whose points corresponds to fields of different characteristics; a Deligne-Mumford stack  $\mathcal{Y}$  over  $D$  is a family of Deligne-Mumford stacks - the fibers - each of which is defined over a point of  $D$ . We prove the following result, providing a comparison between invariants in different characteristics.

**1. Theorem.** *Let  $\mathcal{Y}$  be a smooth proper tame Deligne-Mumford stack of finite presentation over a Dedekind domain  $D$ . Then the Gromov-Witten theories of the geometric fibers of  $\mathcal{Y}$  are equivalent (i.e., the Gromov-Witten invariants of the fibers are the same).*

As an application, if one starts with a smooth proper Deligne-Mumford stack  $\mathcal{X}$  over  $\mathbb{C}$  and can put it in a family over a Dedekind domain, which has a fiber with known Gromov-Witten invariants, then one gets the invariants of  $\mathcal{X}$ .

When the base is an algebraically closed field  $k$ , for a prime  $l$  different from the characteristic of  $k$ , we consider the  $l$ -adic étale cohomology

$$H^r(\bar{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Z}_l) = \varprojlim_m H_{\text{ét}}^r(\bar{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Z}/l^m\mathbb{Z})$$

of the rigidified cyclotomic inertia stack  $\bar{\mathcal{I}}_\mu(\mathcal{X})$  (defined in [2]) and we set

$$H_{\text{st}}^*(\mathcal{X}) = \sum_r H^r(\bar{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Q}_l(\bar{r})),$$

where  $\bar{r}$  is the integral part of  $r/2$ . Then we prove that Gromov-Witten invariants define an associative and supercommutative product on the quantum cohomology ring  $H_{\text{st}}^*(\mathcal{X})$  (5.2.5).

**Outline of the thesis.** In section 1 we recall Abramovich, Graber and Vistoli' definition of the stack  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta)$  of twisted stable maps for tame proper Deligne-Mumford stacks  $\mathcal{X}$  of finite presentation over a Dedekind domain. We define the stack  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  which parametrizes twisted stable maps to  $\mathcal{X}$ , but we take  $\beta_\eta$  to be a cycle class over the generic fiber  $\mathcal{X}_\eta$  of  $\mathcal{X}$  rather than over  $\mathcal{X}$  itself. This stack turns out to be more convenient when we want to compare the Gromov-Witten invariants in mixed characteristic. We prove that  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  is a proper Artin stack with finite stabilizers (1.2.5). Section 2 is devoted to illustrating the definition of the relative intrinsic normal cone and extending to Deligne-Mumford type morphisms of Artin stacks the techniques used in [7] for constructing virtual fundamental classes of Deligne-Mumford stacks. In this way, we are able to construct virtual fundamental classes of Artin stacks which admits a Deligne-Mumford type morphism into a smooth Artin stack and a relative perfect obstruction theory. Moreover, using the deformation theory of stacks, we prove a criterion for verifying whether a complex is an obstruction theory (2.4.3). In section 3 we construct explicitly a perfect obstruction theory relative to the natural forgetful functor  $\theta: \mathcal{K}_{g,n}(\mathcal{X}, \beta) \rightarrow \mathfrak{M}_{g,n}^{\text{tw}}$ . This leads to a virtual fundamental class  $[\mathcal{K}_{g,n}(\mathcal{X}, \beta)]^{\text{virt}} \in A_*(\mathcal{K}_{g,n}(\mathcal{X}, \beta))$ . In section 4 we define Gromov-Witten classes and invariants associated to smooth proper tame Deligne-Mumford stacks of finite presentation over a Dedekind domain. We prove that they are

deformation invariants and verify the fundamental axioms. For a smooth proper tame Deligne-Mumford stack over a Dedekind domain, we prove that the invariants of fibers in different characteristics are the same (4.2.1). Section 5 contains some results for genus zero Gromov-Witten invariants. We show that the Gromov-Witten potential satisfies the WDVV equation (5.2.4) and we deduce from this a reconstruction theorem for genus zero Gromov-Witten invariants in arbitrary characteristic (5.3.1).

In appendix A we recall the formal criterion for smoothness of schemes and prove it for stacks; moreover, we study the deformation theory of Artin stacks and of Deligne-Mumford type morphisms of Artin stacks (over a base scheme), which is a key point in the construction of a perfect relative obstruction theory. These results are well-known to the experts and we include a proof for completeness. Appendix B is devoted to intersection theory on Artin stacks over a Dedekind domain. In particular we observe that Kresch's intersection theory for stacks over a field ([20]) extends naturally to stacks over a Dedekind domain. As a consequence we are able to generalize Manolache's construction of the virtual pullback ([23]) for Deligne-Mumford type morphisms of Artin stacks over a Dedekind domain. An essential ingredient for defining Gromov-Witten invariants in positive and mixed characteristic is the non-representable proper pushforward for morphisms of Artin stacks. We describe the construction of this pushforward as suggested in [11]. In addition, we prove Costello's pushforward formula for proper morphisms of Artin stacks with quasi-finite diagonal.

**Future work.** It would be interesting to investigate properties of higher genus Gromov-Witten invariants in positive characteristic, such as Getzler relation in genus 1 (which has been proven in characteristic zero by Getzler, [15]) or Virasoro conjecture, a conjectured sequence of relations among Gromov-Witten invariants of a smooth projective variety in all genera, which is known to hold in characteristic zero only for a point and for Calabi-Yau manifolds of dimension at least three ([12], [16]).

A natural generalization would be to develop a Gromov-Witten theory for tame Artin stacks, using the moduli stack of twisted stable maps constructed in [3]. The main problem is that the natural forgetful functor  $\theta: \mathcal{K}_{g,n}(\mathcal{X}, \beta) \rightarrow \mathfrak{M}_{g,n}^{\text{tw}}$  is not of Deligne-Mumford type in general, and therefore the relative cotangent complex of  $\theta$  has three terms, so that one cannot use the construction described in 3.

In another direction, it would be interesting to prove a degeneration formula in the mixed characteristic setting. This would give a useful tool to compute Gromov-Witten invariants of Deligne-Mumford stacks in characteristic zero out of *simpler* invariants of tame Deligne-Mumford stacks in positive characteristic. For instance, this would apply

to the fake projective plane (i.e., a complex surface non isomorphic to  $\mathbb{P}^2$  but with the same Betti numbers of  $\mathbb{P}^2$ ) constructed by Mumford in [25] using  $p$ -adic uniformization. We imagine this is far from easy, but we hope to return to these points in a future paper.

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**Notations.** We write  $(\text{Sch}/S)$  for the category of schemes over a base scheme  $S$ . For a scheme  $X \in (\text{Sch}/S)$ , we denote by  $A_*(X/S)$  the group of numerical equivalence classes of cycles. All stacks are Artin stacks in the sense of [5], [21] and are of finite type over a base scheme. Unless otherwise specified, the words "stack of twisted stable maps" refer to  $\mathcal{K}_{g,n}(X/S, \beta_\eta)$  in Definition 1.2.4. We recall that a Deligne-Mumford stack  $\mathcal{X}$  is tame if for every algebraically closed field  $k$  and morphism  $\bar{x}: \text{Spec } k \rightarrow \mathcal{X}$  the stabilizer group of  $x$  in  $\mathcal{X}$  has order invertible in  $k$ .





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## CHAPTER 1

### The stack of twisted stable maps

#### 1.1. Twisted curves and twisted stable maps

Let  $D$  be a Dedekind domain and set  $S = \text{Spec } D$ . Let  $\mathcal{X}$  be a proper tame Deligne-Mumford stack of finite presentation over  $S$ , admitting a projective coarse moduli scheme  $X$ . We fix an ample invertible sheaf  $\mathcal{O}(1)$  on  $X$ . We fix  $\beta \in A_1(X/S)$  and integers  $g \geq 0$ ,  $n \geq 0$ ,  $d \geq 1$ .

**1.1.1. Definition** ([4] 4.1.2). Let  $T$  be a scheme over  $S$ . A *(balanced) twisted nodal  $n$ -pointed curve of genus  $g$*  over  $T$  is the data  $(\mathcal{C} \rightarrow T, \{\Sigma_i^{\mathcal{C}}\}_{i=1}^n)$  where

- (1)  $\mathcal{C} \rightarrow T$  is a proper flat tame Deligne-Mumford stack of finite presentation over  $T$  and the fibers are purely 1-dimensional and geometrically connected with at most nodal singularities;
- (2) the genus of every geometric fiber of  $\mathcal{C} \rightarrow T$  is  $g$ ;
- (3)  $\{\Sigma_i^{\mathcal{C}}\}_{i=1}^n$  is a collection of disjoint closed substacks of  $\mathcal{C}$  contained in the smooth locus of  $\mathcal{C} \rightarrow T$ ;
- (4) the stacks  $\Sigma_i^{\mathcal{C}}$  are étale gerbes over  $T$ ;
- (5) if  $\mathcal{C}_{\text{gen}}$  denotes the complement of the  $\Sigma_i^{\mathcal{C}}$  in the smooth locus of  $\mathcal{C} \rightarrow T$  then  $\mathcal{C}_{\text{gen}}$  is a scheme;
- (6) let  $\mathcal{C} \rightarrow C$  be the coarse moduli space of  $\mathcal{C}$ , then  $\mathcal{C} \rightarrow C$  is an isomorphism over  $\mathcal{C}_{\text{gen}}$ ;
- (7) over an étale neighborhood  $\text{Spec } A$  a node of  $C$ , the stack  $\mathcal{C}$  is isomorphic to

$$[\text{Spec}(A[z, w]/zw = t, z^n = a, w^n = b)/\mu_r]$$

where the action of  $\mu_r$  is given by  $\xi(z, w) = (\xi z, \xi^{-1}w)$ .

**1.1.2. Definition.** We denote by  $\mathfrak{M}_{g, n/S}^{\text{tw}}$  the category fibered in groupoids over  $(\text{Sch}/S)$  of twisted  $n$ -pointed curves of genus  $g$ .

**1.1.3. Theorem** ([28] 1.9–1.12). *The category  $\mathfrak{M}_{g, n/S}^{\text{tw}}$  is a smooth Artin stack, locally of finite type over  $S$ . Moreover, the stack  $\mathfrak{M}_{g, n/S}^{\text{tw}, \leq N, \Gamma}$ , classifying twisted curves  $(\mathcal{C}, \{\Sigma_i^{\mathcal{C}}\})$*

such that the order of the stabilizer group at every point is at most  $N$  and the coarse space  $C$  of  $\mathcal{C}$  has dual graph  $\Gamma$ , is a smooth Artin stack of finite type over  $S$ .

**1.1.4. Definition** ([4] 4.3.1). Let  $T$  be a scheme over  $S$ . A *twisted stable  $n$ -pointed map of genus  $g$  and degree  $d$  over  $S$*  is the data  $(\mathcal{C} \rightarrow T, \{\Sigma_i^{\mathcal{C}}\}_{i=1}^n, f: \mathcal{C} \rightarrow \mathcal{X})$  where

- (1) the following natural diagram is commutative

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{f} & \mathcal{X} \\ \downarrow & & \downarrow \\ C & \xrightarrow{f} & X \end{array}$$

- (2)  $(\mathcal{C} \rightarrow T, \{\Sigma_i^{\mathcal{C}}\}_{i=1}^n)$  is a twisted nodal  $n$ -pointed curve of genus  $g$  over  $T$ ;  
(3) the morphism  $\mathcal{C} \rightarrow \mathcal{X}$  is representable;  
(4) let  $\Sigma_i^C$  be the image of  $\Sigma_i^{\mathcal{C}}$  in  $C$ , then  $(C \rightarrow T, \{\Sigma_i^C\}_{i=1}^n, f: C \rightarrow X)$  is a stable  $n$ -pointed map of degree  $d$ .

The twisted stable map  $(\mathcal{C} \rightarrow T, \{\Sigma_i^{\mathcal{C}}\}_{i=1}^n, f: \mathcal{C} \rightarrow \mathcal{X})$  is said of *class  $\beta$*  if the stable map  $(C \rightarrow T, \{\Sigma_i^C\}, f: C \rightarrow X)$  is of class  $\beta$ .

**1.1.5. Definition** ([4] 4.3.2). Let  $T$  and  $T'$  be schemes over  $S$ . Given two twisted stable maps  $\xi = (\mathcal{C} \rightarrow T, \{\Sigma_i^{\mathcal{C}}\}_{i=1}^n, f: \mathcal{C} \rightarrow \mathcal{X})$  and  $\xi' = (\mathcal{C}' \rightarrow T', \{\Sigma_i^{\mathcal{C}'}\}_{i=1}^n, f': \mathcal{C}' \rightarrow \mathcal{X})$ , a *morphism of twisted stable maps* consists of data  $(F, \alpha)$ , where  $F: \mathcal{C} \rightarrow \mathcal{C}'$  is a morphism of twisted pointed curves, and  $\alpha: f \rightarrow f' \circ F$  is an isomorphism.

**1.1.6. Definition.** We denote by  $\mathcal{K}_{g,n}(\mathcal{X}/S, d)$  the category fibered in groupoids over  $(\text{Sch}/S)$  of twisted stable  $n$ -pointed maps of genus  $g$  and degree  $d$  into  $X$ . We denote by  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta)$  the category of twisted stable maps of class  $\beta$ .

**1.1.7. Theorem** ([4] 1.4.1). (1) *The category  $\mathcal{K}_{g,n}(\mathcal{X}/S, d)$  is a proper Artin stack.*  
(2) *The coarse moduli space  $K_{g,n}(\mathcal{X}/S, d)$  of  $\mathcal{K}_{g,n}(\mathcal{X}/S, d)$  is projective.*  
(3) *There is a commutative diagram*

$$\begin{array}{ccc} \mathcal{K}_{g,n}(\mathcal{X}/S, d) & \longrightarrow & \mathcal{K}_{g,n}(X/S, d) \\ \downarrow & & \downarrow \\ K_{g,n}(\mathcal{X}/S, d) & \longrightarrow & K_{g,n}(X/S, d) \end{array}$$

where the top arrow is proper, quasifinite, relatively of Deligne-Mumford type and tame, and the bottom arrow is finite. In particular, if  $\mathcal{K}_{g,n}(X/S, d)$  is a

*Deligne-Mumford stack, then so is  $\mathcal{K}_{g,n}(X/S, d)$ . The category  $\mathcal{K}_{g,n}(X/S, \beta)$  is an open and closed substack of  $\mathcal{K}_{g,n}(X/S, d)$ .*

### 1.2. An other stack of twisted stable maps

Let  $\eta$  be the generic point of  $S$  and set  $X_\eta = X \times_S \eta$ . Fix  $\beta_\eta \in A_1(X_\eta/\eta)$ . For any closed point  $s \in S$ , we denote by  $X_s$  the fiber over  $s$ . Let  $\mathfrak{m}_s \subset D$  be the maximal ideal corresponding to  $s$  and consider the localization  $R = D_{\mathfrak{m}_s}$  of  $D$  at  $\mathfrak{m}_s$ . Let us set  $\tilde{X}_s = X \times_S \text{Spec } R$  and let  $X_s \xrightarrow{i} \tilde{X}_s$  and  $X_\eta \xrightarrow{j} \tilde{X}_s$  be the natural inclusions. Notice that  $R$  is a discrete valuation ring and, by [14] 20.3, there exists a specialization homomorphism

$$\sigma_s: A_*(X_\eta/\eta) \rightarrow A_*(X_s/s),$$

sending a cycle  $\alpha$  to  $i^! \tilde{\alpha}$ , for some  $\tilde{\alpha} \in A_*(\tilde{X}_s/R)$  such that  $j^* \tilde{\alpha} = \alpha$ . By [14] 20.3.5, there exists an induced specialization homomorphism

$$\bar{\sigma}_s: A_*(X_{\bar{\eta}}/\bar{\eta}) \rightarrow A_*(X_{\bar{s}}/\bar{s}),$$

where  $\bar{\eta}$  and  $\bar{s}$  are geometric points over  $\eta$  and  $s$ . We denote by  $\bar{\beta}_\eta \in A_1(X_{\bar{\eta}}/\bar{\eta})$  the cycle class induced by  $\beta_\eta$  and we notice that  $\bar{\sigma}_s(\bar{\beta}_\eta) = \overline{\sigma_s(\beta_\eta)}$ .

**1.2.1. Definition.** A *stable  $n$ -pointed map of genus  $g$  and class  $\beta_\eta$  into  $X$*  is the data  $(C \xrightarrow{\pi} T, t_i, f)$ , where

- (1) the morphism  $\pi$  is a projective flat family of curves;
- (2) the geometric fibers of  $\pi$  are reduced with at most nodes as singularities;
- (3) the sheaf  $\pi_* \omega_{C/T}$  is locally free of rank  $g$  (where  $\omega_{C/T}$  is the relative dualizing sheaf);
- (4) the morphisms  $t_1, \dots, t_n$  are sections of  $\pi$  which are disjoint and land in the smooth locus of  $\pi$ ;
- (5)  $f: C \rightarrow X$  is a morphism of  $S$ -schemes;
- (6) the group scheme  $\text{Aut}(C, f, \pi, t_i)$  of automorphisms of  $C$ , which commute with  $f, \pi$  and  $t_i$ , is finite over  $T$ ;
- (7) for every geometric point  $\bar{t} \rightarrow T$ , we consider the following induced morphisms

$$C_{\bar{t}} = C \times_T \bar{t} \xrightarrow{f_{\bar{t}}} X_{\bar{t}} = X \times_S \bar{t} \xrightarrow{\tau} X_{\bar{s}} = X \times_S \bar{s} \rightarrow X_s = X \times_S s \xrightarrow{i} X,$$

where  $s = \text{Spec } k \in S$  is the image of  $\bar{t}$  and  $\bar{s} = \text{Spec } \bar{k}$ , with  $\bar{k}$  a separable closure of  $k$ , then we have  $f_{\bar{t}*}[C_{\bar{t}}] = \tau^* \bar{\sigma}_s(\bar{\beta}_\eta)$ .

**1.2.2. Remark.** Notice that a stable map of class  $\beta_\eta$  is a stable map of class  $\beta$  for some  $\beta \in A_1(X/S)$  such that  $j^* \beta = \beta_\eta$ .

**1.2.3. Definition.** A twisted stable  $n$ -pointed map of genus  $g$  and class  $\beta_\eta$  into  $\mathcal{X}$  is the data  $(\mathcal{C} \rightarrow T, \{\Sigma_i^{\mathcal{C}}\}_{i=1}^n, f: \mathcal{C} \rightarrow \mathcal{X})$  as in Definition 1.1.4, which satisfies conditions (1)–(3) and

(4') let  $\Sigma_i^{\mathcal{C}}$  be the image of  $\Sigma_i^{\mathcal{C}}$  in  $\mathcal{C}$ , then  $(\mathcal{C} \rightarrow T, \{\Sigma_i^{\mathcal{C}}\}_{i=1}^n, f: \mathcal{C} \rightarrow \mathcal{X})$  is a stable  $n$ -pointed map of class  $\beta_\eta$ .

**1.2.4. Definition.** We denote by  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  the category fibered in groupoids over  $(\text{Sch}/S)$  of twisted stable  $n$ -pointed maps of genus  $g$  and class  $\beta_\eta$  into  $\mathcal{X}$ .

**1.2.5. Corollary.** The category  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  is a proper Artin stack over  $S$ , admitting a projective coarse moduli scheme  $K_{g,n}(\mathcal{X}/S, \beta_\eta) \rightarrow S$ .

PROOF. Let  $d = \deg \beta_\eta$ . It is enough to show that  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  is an open and closed substack of  $\mathcal{K}_{g,n}(\mathcal{X}/S, d)$ . Notice that  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) = \bigsqcup \mathcal{K}_{g,n}(\mathcal{X}/S, \beta)$ , where the union is over  $\beta \in A_1(\mathcal{X}/S)$  such that  $j^*\beta = \beta_\eta$ . By Theorem 1.1.7,  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  is an open substack of  $\mathcal{K}_{g,n}(\mathcal{X}/S, d)$ , because it is a union of open substacks. On the other hand  $\mathcal{K}_{g,n}(\mathcal{X}/S, d) \setminus \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) = \bigsqcup \mathcal{K}_{g,n}(\mathcal{X}/S, \beta)$  is open, where the union is over  $\beta \in A_1(\mathcal{X}/S)$  such that  $\deg \beta = d$ ,  $j^*\beta \neq \beta_\eta$ . It follows that  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  is a closed substack of  $\mathcal{K}_{g,n}(\mathcal{X}/S, d)$ .  $\square$

**1.2.6. Definition.** Let  $\mathcal{C} \rightarrow \mathfrak{M}_{g,n/S}^{\text{tw}}$  be the universal twisted nodal curve. We define the algebraic stack  $\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$  over  $\mathfrak{M}_{g,n/S}^{\text{tw}}$  as follows

- (1) for every  $S$ -scheme  $T$ , an object of  $\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})(T)$  is a twisted pointed curve  $(\mathcal{C}_T \rightarrow T, \{\Sigma_i\}_{i=1}^n)$  over  $T$  together with a representable morphism of  $S$ -stacks  $f: \mathcal{C}_T \rightarrow \mathcal{X}$ ;
- (2) a morphism from  $(\mathcal{C}_T \rightarrow T, \{\Sigma_i^{\mathcal{C}}\}, f)$  to  $(\mathcal{C}_{T'} \rightarrow T', \{\Sigma_i'\}, f')$  consists of data  $(F, \alpha)$ , where  $F: \mathcal{C}_T \rightarrow \mathcal{C}_{T'}$  is a morphism of twisted curves and  $\alpha: f \rightarrow f' \circ F$  is an isomorphism.

**1.2.7. Remark.** There is a canonical functor  $\bar{\theta}: \underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X}) \rightarrow \mathfrak{M}_{g,n/S}^{\text{tw}}$  which forgets the map into  $\mathcal{X}$ . Moreover, since stability is an open condition, the stack  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  is an open substack of  $\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$ .

**1.2.8. Proposition.** The natural forgetful functor

$$\theta: \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) \rightarrow \mathfrak{M}_{g,n/S}^{\text{tw}}$$

which forgets the morphism into  $\mathcal{X}$  is of Deligne-Mumford type.

PROOF. Let  $U \rightarrow \mathfrak{M}_{g,n/S}^{\text{tw}}$  be a morphism from a scheme  $U$  over  $S$  and let us denote  $\mathcal{C}_U = \mathcal{C} \times_{\mathfrak{M}_{g,n}^{\text{tw}}} U$  the corresponding twisted pointed curve over  $U$ . Form the fiber diagram

$$\begin{array}{ccc} V & \longrightarrow & \underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X}) \\ \downarrow & & \downarrow \bar{\theta} \\ U & \longrightarrow & \mathfrak{M}_{g,n/S}^{\text{tw}} \end{array}$$

and notice that  $V = \underline{\text{Hom}}_U(\mathcal{C}_U, \mathcal{X})$ . Since  $\mathcal{C}_U$  and  $\mathcal{X}$  are Deligne-Mumford stacks it follows, by [27] 1.1, that  $V$  is a Deligne-Mumford stack and hence  $\bar{\theta}$  is of Deligne-Mumford type. The statement follows from the fact that  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  is an open substack of  $\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$ .  $\square$

**1.2.9. Remark.** For every  $S$ -scheme  $T$ , a morphism  $T \rightarrow \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  corresponds to a stable map  $(C_T \xrightarrow{\pi_T} T, t_i, f_T)$  over  $T$ , then, by descent theory, the identity of  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  corresponds to a universal stable map  $(\mathcal{C} \xrightarrow{\pi} \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta), \sigma_i, \psi)$ .

### 1.3. Inertia stacks

Let  $\mathcal{X}$  be a Deligne-Mumford stack of finite presentation over a Dedekind domain  $D$ . Let  $S = \text{Spec } D$ .

**1.3.1. Definition** ([2] 3.1–3.2). The *cyclotomic inertia stack* of  $\mathcal{X}$  is  $\mathcal{I}_\mu(\mathcal{X}) = \sqcup_r \mathcal{I}_{\mu_r}(\mathcal{X})$ , where  $\mathcal{I}_{\mu_r}(\mathcal{X})$  can be defined in the following two equivalent ways.

- (1) For every  $S$ -scheme  $T$ , objects of  $\mathcal{I}_{\mu_r}(\mathcal{X})(T)$  consists of pairs  $(\xi, \alpha)$  where  $\xi$  is an object of  $\mathcal{X}(T)$  and  $\alpha: \mu_r \times_S T \rightarrow \text{Aut}_T(\xi)$  is an injective morphism of group-schemes; a morphism from  $(\xi, \alpha)$  to  $(\xi', \alpha')$  is an arrow  $F: \xi \rightarrow \xi'$  making the following diagram commutative

$$\begin{array}{ccc} \mu_{r,T} & \longrightarrow & \mu_{r,T'} \\ \alpha \downarrow & & \downarrow \alpha' \\ \text{Aut}_T(\xi) & \xrightarrow{F} & \text{Aut}_{T'}(\xi') \\ \downarrow & & \downarrow \\ T & \longrightarrow & T' \end{array}$$

- (2) For every  $S$ -scheme  $T$ , objects of  $\mathcal{I}_{\mu_r}(\mathcal{X})(T)$  consists of representable morphisms  $\psi: \text{B}\mu_r \times_S T \rightarrow \mathcal{X}$ ; a morphism from  $\psi$  to  $\psi'$  over  $f: T \rightarrow T'$  is a 2-morphism

$\rho: \psi \rightarrow \psi' \circ f_*$  making the following diagram commutative

$$\begin{array}{ccc} \mathrm{B}\mu_r \times_S T & \xrightarrow{f_*} & \mathrm{B}\mu_r \times_S T' \\ & \searrow \psi & \swarrow \psi' \\ & \mathcal{X} & \end{array}$$

**1.3.2. Proposition** ([2] 3.1.2–3.1.4). *The category  $\mathcal{I}_\mu(\mathcal{X})$  is an Artin stack of finite presentation over  $S$ . If  $\mathcal{X}$  is smooth then  $\mathcal{I}_\mu(\mathcal{X})$  is smooth.*

**1.3.3. Definition** ([2] 3.3). The *stack of cyclotomic gerbes* of  $\mathcal{X}$  is  $\overline{\mathcal{I}}_\mu(\mathcal{X}) = \sqcup_r \overline{\mathcal{I}}_{\mu_r}(\mathcal{X})$ , where  $\overline{\mathcal{I}}_{\mu_r}(\mathcal{X})$  denotes the category fibered in groupoids over  $(\mathrm{Sch}/S)$  associated to the 2-category  $\overline{\mathcal{I}}_{\mu_r}^{(2)}(\mathcal{X})$  such that

- (1) an object over an  $S$ -scheme  $T$  is a pair  $(\mathcal{G}, \psi)$ , where  $\mathcal{G} \rightarrow T$  is a gerbe banded by  $\mu_r$  and  $\psi: \mathcal{G} \rightarrow \mathcal{X}$  is a representable morphism;
- (2) a morphism  $(F, \rho): (\mathcal{G}, \psi) \rightarrow (\mathcal{G}', \psi')$  over  $f: T \rightarrow T'$  consists of a morphism  $F: \mathcal{G} \rightarrow \mathcal{G}'$  compatible with the bands and a 2-morphism  $\rho: \psi \rightarrow \psi' \circ F$  making the following diagram commutative

$$\begin{array}{ccc} \mathcal{G} & \xrightarrow{F} & \mathcal{G}' \\ & \searrow \psi & \swarrow \psi' \\ & \mathcal{X} & \end{array}$$

- (3) a 2-morphism  $(F, \rho) \rightarrow (F', \rho')$  is a 2-morphism  $\sigma: F \rightarrow F'$  making the following diagram commutative

$$\begin{array}{ccc} \psi & \xrightarrow{\rho} & \psi' \circ F \\ & \searrow \rho' & \swarrow \psi'(\sigma) \\ & \psi' \circ F' & \end{array}$$

**1.3.4. Proposition** ([2] 3.4). *The category  $\overline{\mathcal{I}}_{\mu_r}(\mathcal{X})$  is equivalent to the rigidification  $\mathcal{I}_{\mu_r}(\mathcal{X}) \llbracket \mu_r \rrbracket$ . If  $\mathcal{X}$  is smooth then  $\overline{\mathcal{I}}_\mu(\mathcal{X})$  is smooth; if  $\mathcal{X}$  is proper then  $\overline{\mathcal{I}}_\mu(\mathcal{X})$  is proper.*

**1.3.5. Remark** ([2] 3.5). There is an involution  $\iota: \overline{\mathcal{I}}_\mu(\mathcal{X}) \rightarrow \overline{\mathcal{I}}_\mu(\mathcal{X})$  defined over each  $\overline{\mathcal{I}}_{\mu_r}(\mathcal{X})$  as follows. Consider the inversion automorphism  $\tau: \mu_r \rightarrow \mu_r$  sending  $\xi$  to  $\xi^{-1}$ . For every object  $(\mathcal{G}, \psi)$  of  $\overline{\mathcal{I}}_{\mu_r}(\mathcal{X})$ , we can change the banding of the gerbe  $\mathcal{G} \rightarrow T$  through  $\tau$  and get another object  ${}^\tau\mathcal{G} \rightarrow \mathcal{X}$  of  $\overline{\mathcal{I}}_{\mu_r}(\mathcal{X})$ .

**1.3.6. Notation.** We denote  $\Delta: \overline{\mathcal{I}}_\mu(\mathcal{X}) \rightarrow \overline{\mathcal{I}}_\mu(\mathcal{X})^2$  the morphism, which we will call *diagonal*, induced by the identity and the involution  $\iota$ .



### 1.4. Evaluation maps

**1.4.1. Definition** ([2] 4.4.1). The  $i$ -th evaluation map  $e_i: \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) \rightarrow \overline{\mathcal{I}}_\mu(\mathcal{X})$  is the morphism that associates to every twisted stable map  $(\mathcal{C} \rightarrow T, \{\Sigma_i^{\mathcal{C}}\}_i, f: \mathcal{C} \rightarrow \mathcal{X})$  the diagram

$$\begin{array}{ccc} \Sigma_i^{\mathcal{C}} & \xrightarrow{f} & \mathcal{X} \\ \downarrow & & \\ T & & \end{array}$$

The  $i$ -th twisted evaluation map  $\check{e}_i: \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) \rightarrow \overline{\mathcal{I}}_\mu(\mathcal{X})$  is the composition  $\iota \circ e_i$ , where  $\iota$  is the involution described in Remark 1.3.5.

**1.4.2. Remark.** Let us notice that the evaluation map  $e_i$  is the composition

$$\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) \xrightarrow{\Gamma_{e_i}} \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) \times_S \overline{\mathcal{I}}_\mu(\mathcal{X}) \xrightarrow{\pi} \overline{\mathcal{I}}_\mu(\mathcal{X}),$$

where  $\Gamma_{e_i}$  is the graph of  $e_i$  and  $\pi$  is the projection. By the following cartesian diagram

$$\begin{array}{ccc} \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) & \xrightarrow{e_i} & \overline{\mathcal{I}}_\mu(\mathcal{X}) \\ \Gamma_{e_i} \downarrow & & \downarrow \Delta \\ \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) \times_S \overline{\mathcal{I}}_\mu(\mathcal{X}) & \xrightarrow{e_i \times \text{id}} & \overline{\mathcal{I}}_\mu(\mathcal{X}) \times_S \overline{\mathcal{I}}_\mu(\mathcal{X}) \end{array}$$

it follows that  $\Gamma_{e_i}$  is a regular local immersion, hence, by [20] 6.1, there exists a Gysin map  $\Gamma_{e_i}^!$ . Moreover  $\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$  is flat over  $\mathfrak{M}_{g,n}^{\text{tw}}$  therefore, since  $\mathfrak{M}_{g,n}^{\text{tw}}$  is smooth over  $S$  and  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  is an open substack of  $\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$ , we get that  $\pi$  is flat. Then we can define the pull-back  $e_i^* = \Gamma_{e_i}^! \circ \pi^*$ .

**1.4.3. Notation.** We write  $e^*(\underline{\gamma}) = \prod_{i=1}^n e_i^*(\gamma_i)$  for every  $\underline{\gamma} = \gamma_1 \otimes \cdots \otimes \gamma_n$ .



## CHAPTER 2

### Relative intrinsic normal cone

In [7], the authors construct a cone stack, associated to a given Deligne-Mumford stack, called the intrinsic normal cone and give a relative version of it for morphisms of Deligne-Mumford type of Artin stacks over a field. They also introduce the notion of perfect obstruction theory and use this to construct virtual fundamental classes of Deligne-Mumford stacks. In this section we extend the construction in [7] to the case of a morphism of Deligne-Mumford type of Artin stacks over a scheme  $S$ . In particular, we describe a way of constructing virtual fundamental classes for Artin stacks which admits a Deligne-Mumford type morphism into a smooth Artin stack, with the additional condition that  $\mathcal{M}$  admits a stratification by global quotients in the sense of [20] 3.5.3. Moreover, we give a criterion to verify whether a complex is an obstruction theory.

#### 2.1. Cones and cone stacks

Let  $S$  be a scheme and let  $\mathcal{M}$  be an Artin  $S$ -stack. We consider the lisse-étale topos  $\mathcal{M}_{\text{lisse-ét}}$  of  $\mathcal{M}$ . Let  $\mathcal{S}^\bullet$  be a quasi-coherent sheaf of graded  $\mathcal{O}_{\mathcal{M}}$ -algebras in the topos  $\mathcal{M}_{\text{lisse-ét}}$  such that

- (1) the canonical morphism  $\mathcal{O}_{\mathcal{M}} \rightarrow \mathcal{S}^0$  is an isomorphism,
- (2)  $\mathcal{S}^1$  is coherent,
- (3)  $\mathcal{S}^\bullet$  is locally generated by  $\mathcal{S}^1$ .

**2.1.1. Definition** ([32] 1.18). The *cone* associated to  $\mathcal{S}^\bullet$  is the  $S$ -stack  $C(\mathcal{S}^\bullet)$  associated to the groupoid  $\text{Spec } \mathcal{S}_R^\bullet \rightrightarrows \text{Spec } \mathcal{S}_U^\bullet$ , where  $R \rightrightarrows U$  is a presentation of  $\mathcal{M}$  and  $\mathcal{S}_U^\bullet$  (respectively  $\mathcal{S}_R^\bullet$ ) is the restriction of  $\mathcal{S}^\bullet$  to  $U$  (respectively  $R$ ). A morphism of cones over  $\mathcal{M}$  is induced by a graded morphism of sheaves of graded  $\mathcal{O}_{\mathcal{M}}$ -algebras.

**2.1.2. Remark.** The natural morphism  $\mathcal{S}^\bullet \rightarrow \mathcal{S}^0$  induces a morphism of  $S$ -stacks  $0: \mathcal{M} \rightarrow C(\mathcal{S}^\bullet)$  called the *vertex* of  $C(\mathcal{S}^\bullet)$ . Moreover the morphism  $\mathcal{S}^\bullet \rightarrow \mathcal{S}^\bullet[x]$  induces an action  $\gamma: \mathbb{A}_S^1 \times_S C(\mathcal{S}^\bullet) \rightarrow C(\mathcal{S}^\bullet)$ .

**2.1.3. Definition.** If  $\mathcal{F}$  is a coherent sheaf of  $\mathcal{O}_{\mathcal{M}}$ -modules over  $\mathcal{M}$ , the cone  $C(\mathcal{F})$  associated to  $\mathrm{Sym}(\mathcal{F})$  is called an *abelian cone*. An abelian cone  $C(\mathcal{F})$  is a *vector bundle* over  $\mathcal{M}$  if  $\mathcal{F}$  is a locally free coherent sheaf over  $\mathcal{M}$ .

**2.1.4. Remark.** The natural morphism  $\mathrm{Sym}(\mathcal{S}^1) \rightarrow \mathcal{S}^\bullet$  is surjective, because  $\mathcal{S}^\bullet$  is locally generated by  $\mathcal{S}^1$ , hence the induced morphism of cones  $C(\mathcal{S}^\bullet) \rightarrow C(\mathcal{S}^1)$  is a closed immersion. The abelian cone  $C(\mathcal{S}^1)$  is called the *abelianization* of  $C = C(\mathcal{S}^\bullet)$  and it is denoted by  $A(C)$ . Moreover a morphism of cones  $C \rightarrow C'$  induces a morphism  $A(C) \rightarrow A(C')$ . In particular the abelianization defines a functor  $A$  from the category of cones over  $\mathcal{M}$  to the category of abelian cones over  $\mathcal{M}$ .

**2.1.5. Definition** ([7] 1.2). A sequence of morphisms of cones

$$0 \rightarrow E \xrightarrow{i} C \rightarrow C' \rightarrow 0$$

is *exact* if  $E$  is a vector bundle and locally over  $\mathcal{M}$  there is a morphism of cones  $C \rightarrow E$  splitting  $i$  and inducing an isomorphism  $C \cong E \times C'$ .

**2.1.6. Remark.** A sequence of coherent sheaves on  $\mathcal{M}$

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{E} \rightarrow 0,$$

with  $\mathcal{E}$  locally free, is exact if and only if

$$0 \rightarrow C(\mathcal{E}) \rightarrow C(\mathcal{F}) \rightarrow C(\mathcal{F}') \rightarrow 0$$

is exact ([14] Example 4.1.7).

**2.1.7. Definition** ([7] 1.8–1.9). A *cone stack* over  $\mathcal{M}$  is an algebraic  $\mathcal{M}$ -stack  $\mathfrak{C}$  together with a section and an  $\mathbb{A}_S^1$ -action such that, smooth locally on  $\mathcal{M}$ , there exist a cone  $C$ , a vector bundle  $E$  over  $\mathcal{M}$  and a morphism of abelian cones  $E \rightarrow A(C)$  such that  $C$  is invariant under the induced action of  $E$  on  $A(C)$ , and there exists an  $\mathbb{A}_S^1$ -equivariant morphism  $[C/E] \rightarrow \mathfrak{C}$  which is an isomorphism (for the definitions of  $\mathbb{A}_S^1$ -action and  $\mathbb{A}_S^1$ -equivariant morphism and 2-isomorphism we refer to [7] 1.5). A morphism of cone stacks is an  $\mathbb{A}_S^1$ -equivariant morphism of  $\mathcal{M}$ -stacks. A 2-isomorphism of cone stacks is an  $\mathbb{A}_S^1$ -equivariant 2-isomorphism. An *abelian cone stack* over  $\mathcal{M}$  is a cone stack  $\mathfrak{C}$  such that smooth locally  $\mathfrak{C} \cong [C/E]$ , where  $C$  is an abelian cone. A *vector bundle stack* over  $\mathcal{M}$  is a cone stack  $\mathfrak{C}$  such that smooth locally  $\mathfrak{C} \cong [C/E]$ , where  $C$  is a vector bundle.

**2.1.8. Remark.** Abelian cone stacks over  $\mathcal{M}$  form a 2-category denoted by  $(ACS/\mathcal{M})$ . We consider the associated homotopy category  $\mathrm{Ho}(ACS/\mathcal{M})$ .

## 2.2. Abelian cone stacks and complexes of sheaves

Let  $C^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$  be the category of complexes  $(E^\bullet, d_E)$  of coherent sheaves in the topos  $\mathcal{M}_{\text{lis-ét}}$  such that  $h^i(E^\bullet, d_E) = 0$ , for  $i \neq 0, -1$ ; consider the subcategory  $\hat{C}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$  of complexes  $(E^\bullet, d_E)$  with  $\ker d_E^0$  locally free.

**2.2.1. Definition** ([7] 2). Let  $\psi, \varphi: (E^\bullet, d_E) \rightarrow (F^\bullet, d_F)$  be morphisms in the category  $\hat{C}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$ . A *homotopy*  $\varkappa: \psi \rightarrow \varphi$  is a morphism  $\varkappa: E^\bullet \rightarrow F^\bullet[1]$  in  $\hat{C}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$  such that

$$\begin{cases} \varkappa^{i+1} d_E^i = \varphi^i - \psi^i \\ d_F^i \varkappa^{i+1} = \varphi^{i+1} - \psi^{i+1}. \end{cases}$$

**2.2.2.** We can view  $\hat{C}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$  as a 2-category, where the 2-morphisms are homotopies. We define a morphism of 2-categories

$$\hat{h}: \hat{C}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))^{\text{opp}} \rightarrow (\text{ACS}/\mathcal{M})$$

such that  $\hat{h}(E^\bullet) = [C(E^{-1})/C(E^0)]$  if  $E^\bullet = [E^{-1} \xrightarrow{d_E} E^0]$ , and  $\hat{h}(E^\bullet) = \hat{h}(\tau_{[-1,0]} E^\bullet)$  in general. In the following we can assume, for every complex  $E^\bullet$ , that  $E^i = 0$  for  $i \neq -1, 0$ . If  $\psi: E^\bullet \rightarrow F^\bullet$  is a morphism of complexes, then it induces a commutative diagram of abelian cones

$$\begin{array}{ccc} C(F^0) & \longrightarrow & C(F^{-1}) \\ \downarrow & & \downarrow \\ C(E^0) & \longrightarrow & C(E^{-1}) \end{array}$$

which gives a morphism of cones  $\hat{h}(\psi): \hat{h}(F^\bullet) \rightarrow \hat{h}(E^\bullet)$ . Finally,  $\varkappa: E^0 \rightarrow F^{-1}$  is a homotopy of morphisms  $\psi, \varphi$  of complexes from  $E^\bullet$  to  $F^\bullet$ , then  $\varkappa \circ d_E = \varphi^{-1} - \psi^{-1}$  and  $d_F \circ \varkappa = \varphi^0 - \psi^0$ . The 2-morphism  $\hat{h}(\varkappa): \hat{h}(\psi) \rightarrow \hat{h}(\varphi)$  is defined in the following way. For every  $\mathcal{M}$ -scheme  $U$  and every  $(P, f) \in \hat{h}(F^\bullet)(U)$ , let  $\{U_i\}$  be an open cover of  $U$  such that  $U_i \times_U P \cong U_i \times_{\mathcal{M}} C(F^0)$ , then

$$\hat{h}(\varkappa)(U)(P, f): \hat{h}(\psi)(U)(P, f) \rightarrow \hat{h}(\varphi)(U)(P, f)$$

is obtained by gluing the isomorphisms

$$U_i \times_{\mathcal{M}} C(E^0) \xrightarrow{(\text{id}_{U_i}, C(\varkappa) \circ f_i|_{U_i \times_{\mathcal{M}} \{0_F\} \circ p_1 + p_2})} U_i \times_{\mathcal{M}} C(E^0),$$

where  $C(\varkappa)$  is the morphism of cones induced by  $\varkappa$ . In particular  $\hat{h}(\varkappa)$  is a 2-isomorphism.

**2.2.3. Lemma.** *Let  $\psi: E^\bullet \rightarrow F^\bullet$  be a morphism in  $\hat{C}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$  such that the diagram of cones*

$$\begin{array}{ccc} C(F^0) & \xrightarrow{C(d_F)} & C(F^{-1}) \\ C(\psi^0) \downarrow & & \downarrow C(\psi^{-1}) \\ C(E^0) & \xrightarrow{C(d_E)} & C(E^{-1}) \end{array}$$

*is cartesian and the morphism*

$$C(d_E) + C(\psi^{-1}): C(E^{-1}) \times_{\mathcal{M}} C(F^{-1}) \rightarrow C(E^{-1})$$

*is surjective, then  $\hat{h}(\psi)$  is an isomorphism of cone stacks.*

PROOF. Let us notice that  $\hat{h}(F^\bullet) \cong \hat{h}(F^\bullet \oplus E^{-1})$ , because the following diagram

$$\begin{array}{ccc} C(F^{-1}) \times_{\mathcal{M}} C(E^{-1}) \times_{\mathcal{M}} C(F^0) \times_{\mathcal{M}} C(E^{-1}) & \longrightarrow & C(F^{-1}) \times_{\mathcal{M}} C(E^{-1}) \\ \downarrow & & \downarrow \\ C(F^{-1}) \times_{\mathcal{M}} C(E^{-1}) \times_{\mathcal{M}} C(F^0) \rightarrow C(F^{-1}) \times_{\mathcal{M}} C(F^0) & \longrightarrow & C(F^{-1}) \\ \downarrow & & \downarrow \\ C(F^{-1}) \times_{\mathcal{M}} C(E^{-1}) & \longrightarrow & C(F^{-1}) \longrightarrow \hat{h}(F^\bullet) \end{array}$$

is cartesian (the projection  $C(F^{-1}) \times_{\mathcal{M}} C(E^{-1}) \rightarrow C(F^{-1})$  is surjective). Therefore we can assume that  $C(\psi^{-1})$  is surjective. Moreover the following diagram is cartesian

$$\begin{array}{ccc} C(F^{-1}) \times_{\mathcal{M}} C(F^0) & \longrightarrow & C(F^{-1}) \\ \downarrow & & \downarrow \\ C(F^{-1}) \times_{\mathcal{M}} C(E^0) \longrightarrow C(E^{-1}) \times_{\mathcal{M}} C(E^0) & \longrightarrow & C(E^{-1}) \\ \downarrow & & \downarrow \\ C(F^{-1}) & \longrightarrow & C(E^{-1}) \longrightarrow \hat{h}(E^\bullet) \end{array}$$

(the upper square is cartesian because  $C(F^0) = C(F^{-1}) \times_{C(E^{-1})} C(E^0)$ ). It follows that  $\hat{h}(\psi)$  is an isomorphism.  $\square$

**2.2.4. Proposition.** *Let  $\hat{D}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$  be the derived category of complexes  $(E^\bullet, d_E)$  of coherent sheaves in the topos  $\mathcal{M}_{\text{lis-ét}}$  such that  $\ker d_E^0$  is locally free and*

$h^i(E^\bullet, d_E) = 0$  for  $i \neq -1, 0$ . Let  $Ho(ACS/\mathcal{M})$  be the homotopy category associated to  $(ACS/\mathcal{M})$ . The functor  $\hat{h}$  induces a functor of categories

$$\hat{D}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))^{opp} \rightarrow Ho(ACS/\mathcal{M}).$$

PROOF. We need to prove the following two facts: (1) if  $\psi: E^\bullet \rightarrow F^\bullet$  is a quasi-isomorphism then  $\hat{h}(\psi)$  is an isomorphism; (2) if  $\psi, \varphi: E^\bullet \rightarrow F^\bullet$  are morphisms of complexes and  $\xi: \hat{h}(\psi) \rightarrow \hat{h}(\varphi)$  is an  $\mathbb{A}_S^1$ -equivariant 2-isomorphism then there exists a unique homotopy  $\varkappa: \psi \rightarrow \varphi$  such that  $\xi = \hat{h}(\varkappa)$ . We can assume  $E^\bullet = [E^{-1} \xrightarrow{d_E} E^0]$  and  $F^\bullet = [F^{-1} \xrightarrow{d_F} F^0]$  with  $E^0$  and  $F^0$  locally free.

For the second statement, we define a morphism  $C(\varkappa): C(F^{-1}) \rightarrow C(E^0)$  as follows. Let  $T$  be an  $\mathcal{M}$ -scheme and let  $f: T \rightarrow C(F^{-1})$  be a morphism. Then  $f$  defines an element  $(P = T \times_{\mathcal{M}} C(F^0), f_P)$  in  $\hat{h}(F^\bullet)(T)$ . The images  $\hat{h}(\psi)(T)(P, f_P)$  and  $\hat{h}(\varphi)(T)(P, f_P)$  are trivial, hence  $\xi(T)(P, f_P)$  corresponds to a morphism  $g: T \rightarrow C(E^0)$ . We define  $C(\varkappa)(T)(f) = g$ . Then  $C(\varkappa)$  induces a homomorphism  $\varkappa: E^0 \rightarrow F^{-1}$ . Moreover  $\xi = \hat{h}(\varkappa)$  and  $\varkappa$  is unique by construction.

Let us now prove the first statement. We have the following commutative diagram with exact rows

$$\begin{array}{ccccccccc} 0 & \longrightarrow & h^{-1}(E^\bullet) & \longrightarrow & E^{-1} & \xrightarrow{d_E} & E^0 & \longrightarrow & h^0(E^\bullet) & \longrightarrow & 0 \\ & & \downarrow & & \downarrow \psi^{-1} & & \downarrow \psi^0 & & \downarrow & & \\ 0 & \longrightarrow & h^{-1}(F^\bullet) & \longrightarrow & F^{-1} & \xrightarrow{d_F} & F^0 & \longrightarrow & h^0(F^\bullet) & \longrightarrow & 0 \end{array}$$

It follows that  $E^{-1} = E^0 \times_{F^0} F^{-1}$ . Moreover we have an exact sequence

$$0 \rightarrow E^{-1} \xrightarrow{(d_E, \psi^{-1})} E^0 \oplus F^{-1} \xrightarrow{\psi^0 - d_F} F^0 \rightarrow 0,$$

which induces an exact sequence of cones

$$0 \rightarrow C(F^0) \xrightarrow{(C(\psi^0), -C(d_F))} C(E^0) \times_{\mathcal{M}} C(F^{-1}) \xrightarrow{C(d_E) + C(\psi^{-1})} C(E^{-1}) \rightarrow 0.$$

Hence the induced diagram of cones

$$\begin{array}{ccc} C(F^0) & \xrightarrow{C(d_F)} & C(F^{-1}) \\ \downarrow C(\psi^0) & & \downarrow C(\psi^{-1}) \\ C(E^0) & \xrightarrow{C(d_E)} & C(E^{-1}) \end{array}$$

is cartesian and the statement follows from Lemma 2.2.3.  $\square$

**2.2.5. Lemma.** *Let  $D^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$  be the derived category of complexes of coherent sheaves in the topos  $\mathcal{M}_{\text{lis-ét}}$  with cohomology sheaves concentrated in degree  $-1$  and  $0$ . If  $\mathcal{M}$  admits a stratification by global quotients then the natural functor*

$$\hat{D}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}})) \rightarrow D^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$$

*is an equivalence of categories.*

PROOF. Since  $\mathcal{M}$  can be stratified by global quotient stacks (in the sense of [20] 3.5.4), each of which has the resolution property by [31] 1.1, it is enough to prove the statement when  $\mathcal{M}$  has the resolution property. Notice that the functor is fully faithful. We want to show that every complex  $E^\bullet$  in  $D^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$  is in the essential image. We can assume  $E^\bullet = [E^{-1} \xrightarrow{d_E} E^0]$  because  $\tau_{\leq 0} E^\bullet$  is quasi-isomorphic to  $E^\bullet$  and  $\tau_{[-1,0]} E^\bullet$ . Since  $\mathcal{M}$  has the resolution property, there exists a locally free sheaf  $F^0$  and a surjective morphism  $\varphi^0: F^0 \rightarrow E^0$ . We form the cartesian diagram

$$\begin{array}{ccc} F^{-1} & \xrightarrow{d_F} & F^0 \\ \varphi^{-1} \downarrow & & \downarrow \varphi^0 \\ E^{-1} & \xrightarrow{d_E} & E^0 \end{array}$$

then  $F^\bullet = [F^{-1} \xrightarrow{d_F} F^0] \in \hat{D}^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}}))$ . We claim that  $\varphi: F^\bullet \rightarrow E^\bullet$  is a quasi-isomorphism. Since  $\varphi^0$  is surjective, we have immediately that  $h^0(\varphi)$  is surjective and the following sequence

$$0 \rightarrow F^{-1} \xrightarrow{(d_F, \varphi^{-1})} F^0 \oplus E^{-1} \xrightarrow{\varphi^0 - d_E} E^0 \rightarrow 0$$

is exact. Using this we get that  $F^\bullet$  is quasi-isomorphic to  $F^0 \oplus E^\bullet$ , which is quasi-isomorphic to  $E^\bullet$ .  $\square$

**2.2.6. Lemma.** *Let  $D_{\text{coh}}^{[-1,0]}(\mathcal{M}_{\text{lis-ét}})$  be the derived category of complexes of sheaves of  $\mathcal{O}_{\mathcal{M}}$ -modules in the topos  $\mathcal{M}_{\text{lis-ét}}$  with coherent cohomology sheaves concentrated in degree  $-1$  and  $0$ . If  $\mathcal{M}$  admits a stratification by global quotients then the natural functor*

$$D^{[-1,0]}(\text{Coh}(\mathcal{M}_{\text{lis-ét}})) \rightarrow D_{\text{coh}}^{[-1,0]}(\mathcal{M}_{\text{lis-ét}})$$

*is an equivalence of categories.*

PROOF. Since  $\mathcal{M}$  can be stratified by global quotient stacks (in the sense of [20] 3.5.4), each of which has the resolution property by [31] 1.1, it is enough to prove the



statement when  $\mathcal{M}$  has the resolution property. First we show that the functor is fully faithful. Let  $E^\bullet, F^\bullet \in D^{[-1,0]}(\mathrm{Coh}(\mathcal{M}_{\mathrm{lis-ét}}))$ , we want to show that the canonical map

$$\mathrm{Hom}_{D^{[-1,0]}(\mathrm{Coh}(\mathcal{M}_{\mathrm{lis-ét}}))}(E^\bullet, F^\bullet) \rightarrow \mathrm{Hom}_{D_{\mathrm{coh}}^{[-1,0]}(\mathcal{M}_{\mathrm{lis-ét}})}(E^\bullet, F^\bullet)$$

is a bijection. We can assume  $E^\bullet = [E^{-1} \xrightarrow{d_E} E^0]$  and  $F^\bullet = [F^{-1} \xrightarrow{d_F} F^0]$ . Recall that  $\mathrm{Hom}(\bullet, F^\bullet)$  is a cohomological functor. Using the following distinguished triangle

$$E^{-1} \xrightarrow{d_E} E^0 \rightarrow E^\bullet \xrightarrow{+1} E^{-1}[1],$$

we can reduce to the case where  $E^\bullet$  is a coherent sheaf  $E$ , similarly  $F^\bullet = F$ . By resolution property, there exists a locally free sheaf  $P^0$  and a surjective morphism  $\psi: P^0 \rightarrow E$ . Set  $P^{-1} = \ker \psi$ , then  $P^\bullet = [P^{-1} \rightarrow P^0]$  is a complex of locally free sheaves quasi-isomorphic to  $E$ , hence  $E = P^\bullet$  in  $D^{[-1,0]}(\mathrm{Coh}(\mathcal{M}_{\mathrm{lis-ét}}))$ . Using the distinguished triangle

$$P^{-1} \rightarrow P^0 \rightarrow P^\bullet \xrightarrow{+1} P^{-1}[1],$$

we can reduce to the case where  $E^\bullet$  is a locally free sheaf  $E$ . Let  $E' = E/\mathcal{O}_{\mathcal{M}}$ , then  $\mathrm{rk} E' < \mathrm{rk} E$ , hence we can reduce to  $E = \mathcal{O}_{\mathcal{M}}$ . That is, we have reduce to showing that

$$\mathrm{Hom}_{D^{[-1,0]}(\mathrm{Coh}(\mathcal{M}_{\mathrm{lis-ét}}))}(\mathcal{O}_{\mathcal{M}}, F[n]) \rightarrow \mathrm{Hom}_{D_{\mathrm{coh}}^{[-1,0]}(\mathcal{M}_{\mathrm{lis-ét}})}(\mathcal{O}_{\mathcal{M}}, F[n])$$

is a bijection for every coherent sheaf  $F$  and  $n = -1, 0$ . If  $n = -1$ , both groups are zero. If  $n = 0$  then both sides are  $\Gamma(\mathcal{M}, F)$ .

It remains to show that every complex  $E^\bullet \in D_{\mathrm{coh}}^{[-1,0]}(\mathcal{M}_{\mathrm{lis-ét}})$  is in the essential image. We can assume  $E^\bullet = [E^{-1} \xrightarrow{d_E} E^0]$ . We have the following exact sequence of complexes of sheaves

$$0 \rightarrow h^{-1}(E^\bullet)[1] \rightarrow E^\bullet \rightarrow [\mathrm{im} d_E \rightarrow E^0] \rightarrow 0,$$

which induces a distinguished triangle

$$h^{-1}(E^\bullet)[1] \rightarrow E^\bullet \rightarrow [\mathrm{im} d_E \rightarrow E^0] \xrightarrow{+1} h^{-1}(E^\bullet)[2].$$

Notice that  $[\mathrm{im} d_E \rightarrow E^0] = h^0(E^\bullet)$  in  $D_{\mathrm{coh}}^{[-1,0]}(\mathcal{M}_{\mathrm{lis-ét}})$ . Then we have a distinguished triangle

$$h^{-1}(E^\bullet)[1] \rightarrow E^\bullet \rightarrow h^0(E^\bullet) \xrightarrow{+1} h^{-1}(E^\bullet)[2].$$

Since  $h^0(E^\bullet)$  and  $h^{-1}(E^\bullet)$  are coherent, the morphism  $h^0(E^\bullet)[-1] \xrightarrow{+1} h^{-1}(E^\bullet)[1]$  corresponds to a morphism  $\psi: h^0(E^\bullet)[-1] \rightarrow h^{-1}(E^\bullet)[1]$  in  $D^{\leq 0}(\mathrm{Coh}(\mathcal{M}_{\mathrm{lis-ét}}))$ . Completing  $\psi$  to a distinguished triangle in  $D^{\leq 0}(\mathrm{Coh}(\mathcal{M}_{\mathrm{lis-ét}}))$  and mapping it to  $D_{\mathrm{coh}}^{\leq 0}(\mathcal{M}_{\mathrm{lis-ét}})$ , we deduce that  $E^\bullet$  is quasi-isomorphic to the mapping cone of  $\psi$ , hence it is in the essential image.  $\square$

**2.2.7.** If  $\mathcal{M}$  admits a stratification by global quotients then the functor  $\hat{h}$  induces a functor

$$h^1/h^0: D_{\text{coh}}^{[-1,0]}(\mathcal{M}_{\text{lis-ét}})^{\text{opp}} \rightarrow \text{Ho}(ACS/\mathcal{M}).$$

**2.2.8. Proposition.** *Let  $\psi: E^\bullet \rightarrow F^\bullet$  be a morphism in  $D_{\text{coh}}^{[-1,0]}(\mathcal{M}_{\text{lis-ét}})$ . If  $\mathcal{M}$  admits a stratification by global quotients then  $h^1/h^0(\psi)$  is an isomorphism if and only if  $h^0(\psi)$  and  $h^{-1}(\psi)$  are isomorphisms.*

PROOF. By Lemma 2.2.5 and Lemma 2.2.6, we can assume

$$E^\bullet = [E^{-1} \rightarrow E^0], F^\bullet = [F^{-1} \rightarrow F^0]$$

with  $E^0, F^0$  locally free and  $E^{-1}, F^{-1}$  coherent. Let  $G = E^0 \times_{F^0} F^{-1}$ , then

$$0 \rightarrow G \rightarrow E^0 \oplus F^{-1} \rightarrow F^0$$

is exact. Notice that  $E^0 \oplus F^{-1} \rightarrow F^0$  is surjective if and only if  $h^0(\psi)$  is surjective. Let us assume that  $h^0(\psi)$  is surjective, then we get an exact sequence of cones

$$0 \rightarrow C(F^0) \rightarrow C(E^0) \times C(F^{-1}) \rightarrow C(G) \rightarrow 0.$$

Applying Lemma 2.2.3 we obtain  $[C(F^{-1})/C(F^0)] \cong [C(G)/C(E^0)]$ , hence the following diagram

$$\begin{array}{ccc} C(G) & \longrightarrow & C(E^{-1}) \\ \downarrow & & \downarrow \\ h^1/h^0(F^\bullet) & \xrightarrow{h^1/h^0(\psi)} & h^1/h^0(E^\bullet) \end{array}$$

is cartesian and in particular  $h^1/h^0(\psi)$  is representable. If moreover  $h^0(\psi)$  is an isomorphism and  $h^{-1}(\psi)$  is surjective, then the morphism  $E^{-1} \rightarrow G$  is surjective, hence  $C(G) \rightarrow C(E^{-1})$  is a closed immersion, which implies that  $h^1/h^0(\psi)$  is a closed immersion. If  $h^{-1}(\psi)$  is also an isomorphism then  $E^{-1} \rightarrow G$  is an isomorphism and so  $C(G) \cong C(E^{-1})$ ; it follows that  $h^1/h^0(\psi)$  is an isomorphism.

Viceversa, if  $h^1/h^0(\psi)$  is representable then the induced morphism on automorphisms of objects is injective. Hence we have that the morphism

$$C(h^0(\psi)): C(h^0(F^\bullet)) \rightarrow C(h^0(E^\bullet))$$

is a closed immersion, which implies that  $h^0(\psi)$  is surjective. If moreover  $h^1/h^0(\psi)$  is a closed immersion then  $C(G) \rightarrow C(E^{-1})$  is a closed immersion, hence  $E^{-1} \rightarrow G$  is surjective. It follows that  $h^0(\psi)$  is injective and  $h^{-1}(\psi)$  is surjective. Finally, if  $h^1/h^0(\psi)$

is an isomorphism then  $C(G) \cong C(E^{-1})$ , hence  $E^{-1} \cong G$ , from which we get that  $h^{-1}(\psi)$  is injective.  $\square$

**2.2.9. Theorem.** *If  $\mathcal{M}$  admits a stratification by global quotients then the functor*

$$h^1/h^0: D_{\text{coh}}^{[-1,0]}(\mathcal{M}_{\text{lis-ét}})^{\text{opp}} \rightarrow \text{Ho}(ACS/\mathcal{M})$$

*is an equivalence of categories.*

PROOF. By Proposition 2.2.8, it follows that  $h^1/h^0$  is fully faithful. It remains to show that every abelian cone stack  $\mathfrak{C}$  over  $\mathcal{M}$  is in the essential image of  $h^1/h^0$ . By definition, for every smooth  $\mathcal{M}$ -scheme  $U$ , there exist a coherent sheaf  $E_U^{-1}$  and a locally free sheaf  $E_U^0$  over  $U$  such that  $\mathfrak{C} \times_{\mathcal{M}} U \cong [C(E_U^{-1})/C(E_U^0)]$ . The collection  $\{E_U^{-1} \rightarrow E_U^0\}_U$  defines a complex  $[E^{-1} \rightarrow E^0] \in D_{\text{coh}}^{[-1,0]}(\mathcal{M}_{\text{lis-ét}})$ .  $\square$

### 2.3. Relative intrinsic normal cone

**2.3.1. Theorem** ([21] 17.3, [29], [22] 2.2.5). *Let  $S$  be a scheme and let  $\mathcal{M}, \mathfrak{M}$  be Artin  $S$ -stacks. Let  $f: \mathcal{M} \rightarrow \mathfrak{M}$  be a quasi-compact and quasi-separated morphism of algebraic stacks. Then there exists  $L_f^\bullet \in D_{\text{qcoh}}^{\leq 1}(\mathcal{M}_{\text{lis-ét}})$  such that*

- (1)  *$f$  is of Deligne-Mumford type if and only if  $L_f^\bullet \in D_{\text{qcoh}}^{\leq 0}(\mathcal{M}_{\text{lis-ét}})$ ;*
- (2) *for every cartesian diagram*

$$\begin{array}{ccc} \mathcal{M}' & \xrightarrow{f'} & \mathfrak{M}' \\ g \downarrow & & \downarrow h \\ \mathcal{M} & \xrightarrow{f} & \mathfrak{M} \end{array}$$

*there exists a morphism  $Lg^*L_f^\bullet \rightarrow L_{f'}^\bullet$ ; if  $h$  is flat, this is an isomorphism;*

- (3) *given two morphisms of  $S$ -stacks  $\mathcal{M} \xrightarrow{f} \mathfrak{M} \xrightarrow{g} Z$  with  $h = g \circ f$ , there exists a natural distinguished triangle*

$$Lf^*L_g^\bullet \rightarrow L_h^\bullet \rightarrow L_f^\bullet \rightarrow Lf^*L_g^\bullet[1].$$

*If moreover  $f$  is of Deligne-Mumford type, then*

- (1)  *$f$  is smooth if and only if  $L_f^\bullet$  is locally free in degree 0;*
- (2)  *$f$  is étale if and only if  $L_f^\bullet = 0$ ;*
- (3) *if  $f$  factors as  $\mathcal{M} \xrightarrow{i} M \xrightarrow{p} \mathfrak{M}$  with  $i$  representable and a closed embedding with ideal sheaf  $\mathcal{I}$  and  $p$  of Deligne-Mumford type and smooth, then*

$$\tau_{\geq -1}L_f^\bullet \cong [\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_p|_{\mathcal{M}}].$$

**2.3.2. Remark.** If  $f$  is of Deligne-Mumford type then  $\tau_{\geq -1}L_f^\bullet \in D_{\text{coh}}^{[-1,0]}(\mathcal{M}_{\text{lis-ét}})$ .

**2.3.3. Definition.** Let  $f: \mathcal{M} \rightarrow \mathfrak{M}$  be a morphism of Artin  $S$ -stacks. If  $f$  is of Deligne-Mumford type, we define the *relative intrinsic normal sheaf* of  $f$  as the abelian cone stack  $\mathfrak{N}_f = h^1/h^0(\tau_{\geq -1}L_f^\bullet)$ .

**2.3.4. Remark.** Notice that, smooth locally on  $\mathcal{M}$  and  $\mathfrak{M}$ , the morphism  $f$  factors as  $\mathcal{M} \xrightarrow{i} M \xrightarrow{p} \mathfrak{M}$ , with  $i$  a closed embedding and  $p$  representable and smooth. More explicitly, let  $V$  be a smooth atlas for  $\mathfrak{M}$  and let  $U$  be an affine scheme which is an étale atlas for  $\mathcal{M} \times_{\mathfrak{M}} V$ . In particular there exists a closed embedding  $j: U \hookrightarrow \mathbb{A}_S^n$ . Let us set  $M = \mathbb{A}_S^n \times_S V$  and let  $f_U: U \rightarrow V$  be the morphism induced by  $f$ , then  $f_U$  factors as  $U \xrightarrow{i} M \xrightarrow{p} V$ , where  $i$  is a closed embedding and  $p$  is smooth. Moreover, by Theorem 2.3.1, we have  $\mathfrak{N}_{f_U} \cong [A(C_i)/T_p|_U]$ , where  $C_i = C(\mathcal{I}/\mathcal{I}^2)$  and  $\mathcal{I}$  is the ideal sheaf corresponding to  $i$ .

**2.3.5. Proposition.** *There exists a unique closed subcone stack  $\mathfrak{C}_f \subseteq \mathfrak{N}_f$  such that*

- (1) *if  $f$  factors as  $p \circ i$ , with  $i$  representable closed embedding and  $p$  representable smooth, then  $\mathfrak{C}_f = [C_i/T_p|_{\mathcal{M}}]$ ;*
- (2) *for every smooth morphism  $V \rightarrow \mathfrak{M}$ , let  $g: U = V \times_{\mathfrak{M}} \mathcal{M} \rightarrow V$  be the induced morphism, then  $\mathfrak{C}_g \cong \mathfrak{C}_f \times_{\mathcal{M}} U$ .*

*If moreover  $\mathfrak{M}$  is purely dimensional of pure dimension  $n$ , then  $\mathfrak{C}_f$  is purely dimensional of pure dimension  $n$ .*

PROOF. By Remark 2.3.4, smooth locally on  $\mathcal{M}$ , there exists a commutative diagram

$$\begin{array}{ccc} U & \xrightarrow{i} & M \\ \downarrow & & \downarrow p \\ \mathcal{M} & \xrightarrow{f} & \mathfrak{M} \end{array}$$

where  $U$  is a scheme,  $i$  is a closed embedding and the vertical arrows are smooth. Let us set  $f_U = p \circ i$ , then  $\mathfrak{N}_{f_U} \cong [A(C_i)/T_p|_U]$ . By [7] 3.2, the action of  $T_p|_U$  on  $A(C_i)$  leaves  $C_i$  invariant, hence we can define the quotient stack  $[C_i/T_p|_U]$  which is a subcone stack of  $\mathfrak{N}_{f_U}$ . First of all, we show that  $[C_i/T_p|_U]$  does not depend on the factorization chosen. Let  $U \xrightarrow{i'} M' \xrightarrow{p'} \mathfrak{M}$  be an other factorization of  $f_U$  and consider the following commutative

diagram

$$\begin{array}{ccccc}
 & & M' & & \\
 & i' \nearrow & \uparrow & \searrow p' & \\
 U & \xrightarrow{j} & M \times_{\mathfrak{M}} M' & \xrightarrow{q} & \mathfrak{M} \\
 & \searrow i & \downarrow \pi & \nearrow p & \\
 & & M & & 
 \end{array}$$

In particular  $j$  is a closed embedding and both  $q$  and  $\pi$  are smooth. Hence it is enough to check

$$[C_i/T_p|_U] = [C_j/T_q|_U]$$

as closed substacks of  $\mathfrak{N}_{f_U}$ . By [14], Example 4.2.6, we have the following cartesian diagram

$$\begin{array}{ccc}
 C_j & \longrightarrow & C_i \\
 \downarrow & & \downarrow \\
 A(C_j) & \xrightarrow{\varphi} & A(C_i)
 \end{array}$$

Moreover, by Remark 2.3.4, both  $A(C_i)$  and  $A(C_j)$  are smooth atlases for  $\mathfrak{N}_{f_U}$  and the following diagram

$$\begin{array}{ccc}
 A(C_j) & \xrightarrow{\alpha_j} & \mathfrak{N}_{f_U} \\
 \varphi \downarrow & \nearrow \alpha_i & \\
 A(C_i) & & 
 \end{array}$$

is commutative; therefore it is enough to show that the inverse image of  $[C_i/T_p|_U]$  and  $[C_j/T_q|_U]$  in  $A(C_j)$  are the same. We have

$$\varphi^{-1}(\alpha_i^{-1}([C_i/T_p|_U])) = \varphi^{-1}(C_i) = C_j = \alpha_j^{-1}([C_j/T_q|_U]).$$

It follows that  $[C_i/T_p|_U]$  depends only on  $f_U$ , hence  $\mathfrak{C}_{f_U} = [C_i/T_p|_U]$  is well-defined.

Now we want to prove that, given  $f_U = p \circ i$  and  $f_{U'} = p' \circ i'$  as above, the cone-stacks  $\mathfrak{C}_{f_U}$  and  $\mathfrak{C}_{f_{U'}}$  agree on  $U \times_{\mathcal{M}} U'$ . Consider the following commutative diagram

$$\begin{array}{ccccc}
 V = U \times_{\mathcal{M}} U' & \longrightarrow & U & & \\
 \downarrow & & \downarrow & \searrow i & \\
 U' & \longrightarrow & \mathcal{M} & & M \\
 & \searrow i' & \searrow f & & \downarrow p \\
 & & M' & \xrightarrow{p'} & \mathfrak{M}
 \end{array}$$

where  $i$  and  $i'$  are closed embeddings, the maps  $p$ ,  $p'$  and the vertical morphisms are smooth. Let  $N = M \times_{\mathfrak{M}} M'$ , then we have the following commutative diagram

$$\begin{array}{ccccc}
 V & \xrightarrow{j} & N & \xrightarrow{q} & \mathfrak{M} \\
 v \downarrow & & \downarrow \pi & & \parallel \\
 U & \xrightarrow{i} & M & \xrightarrow{p} & \mathfrak{M}
 \end{array}$$

with  $v$ ,  $q$  and  $\pi$  smooth and  $j$  a closed embedding. Let  $f_V = q \circ j$ . It is enough to show that  $\mathfrak{C}_{f_V} \cong v^* \mathfrak{C}_{f_U}$ . Recall that  $\mathfrak{C}_{f_U} = [C_i/T_p|_U]$ , hence

$$v^* \mathfrak{C}_{f_U} = v^*[C_i/i^*T_p] = [v^*C_i/j^*\pi^*T_p].$$

By Example 4.2.6 in [14], there is a short exact sequence of cones over  $V$

$$0 \rightarrow j^*T_\pi \rightarrow C_j \rightarrow v^*C_i \rightarrow 0.$$

Since  $\pi$ ,  $p$  and  $q$  are smooth, by Theorem 2.3.1, we have a short exact sequence

$$0 \rightarrow T_\pi \rightarrow T_q \rightarrow \pi^*T_p \rightarrow 0,$$

hence, pulling back via  $j$  and noticing that  $j^*\pi^*T_p = v^*i^*T_p$ , we get the following commutative diagram with exact rows

$$\begin{array}{ccccccc}
 0 & \longrightarrow & j^*T_\pi & \longrightarrow & j^*T_q & \longrightarrow & v^*i^*T_p \longrightarrow 0 \\
 & & \parallel & & \downarrow & & \downarrow \\
 0 & \longrightarrow & j^*T_\pi & \longrightarrow & C_j & \longrightarrow & v^*C_i \longrightarrow 0
 \end{array}$$

from which we obtain

$$\mathfrak{C}_{f_V} = [C_j/j^*T_q] \cong [v^*C_i/v^*i^*T_p] = v^*[C_i/i^*T_p] = v^* \mathfrak{C}_{f_U}.$$

Finally, let us assume that  $\mathfrak{M}$  is purely dimensional of pure dimension  $n$ . Let  $U \xrightarrow{u} \mathcal{M}$  be a smooth atlas such that  $f_U = f \circ u$  factors as  $U \xrightarrow{i} M \xrightarrow{p} \mathfrak{M}$ , with  $i$  a closed

embedding and  $p$  smooth (such an atlas exists by Remark 2.3.4). Then  $\mathfrak{C}_{f_U} = [C_i/i^*T_p]$ . We can assume that  $M$  is purely dimensional of pure dimension  $m$  and  $p$  is smooth of relative dimension  $m - n$ . By [14], B.6.6, we have that  $C_i$  is purely dimensional of pure dimension  $m$ . Moreover, we have the following cartesian diagram

$$\begin{array}{ccc} i^*T_p \times C_i & \longrightarrow & C_i \\ \downarrow & & \downarrow \\ C_i & \longrightarrow & [C_i/i^*T_p] \end{array}$$

from which we get that  $C_i \rightarrow [C_i/i^*T_p]$  is surjective and smooth of relative dimension  $m - n$ . It follows that  $[C_i/i^*T_p]$  is purely dimensional of pure dimension  $n$ .  $\square$

**2.3.6. Definition.** The unique closed subcone stack  $\mathfrak{C}_f$  of  $\mathfrak{N}_f$  is called the *relative intrinsic normal cone* of  $f$ .

**2.3.7. Proposition.** Consider the following commutative diagram of algebraic Artin stacks over a scheme  $S$ ,

$$(1) \quad \begin{array}{ccc} \mathcal{M}' & \xrightarrow{f'} & \mathfrak{M}' \\ g \downarrow & & \downarrow h \\ \mathcal{M} & \xrightarrow{f} & \mathfrak{M} \end{array}$$

where the morphisms  $f$  and  $f'$  are of Deligne-Mumford type. Then there exists a natural morphism  $\alpha: \mathfrak{C}_{f'} \rightarrow g^*\mathfrak{C}_f$  such that

- (1) if (1) is cartesian then  $\alpha$  is a closed immersion;
- (2) if moreover the morphism  $h$  is flat then  $\alpha$  is an isomorphism.

PROOF. Let  $U \xrightarrow{u} \mathcal{M}$  and  $U' \xrightarrow{u'} \mathcal{M}'$  be smooth affine atlases with a morphism  $g_U: U \rightarrow U'$  such that  $u' \circ g_U = g \circ u$ . There exist closed embeddings  $U \hookrightarrow \mathbb{A}_S^n \hookleftarrow U'$ , for some  $n$ . Let  $V \rightarrow \mathfrak{M}$  be a smooth atlas and let  $M = V \times_S \mathbb{A}_S^n$ . Then  $f_U = f \circ u$  factors as  $U \xrightarrow{i} M \xrightarrow{p} \mathfrak{M}$ , with  $i$  a closed embedding and  $p$  smooth. Moreover  $f_{U'} = f' \circ u'$  factors as  $U' \xrightarrow{i'} M' \xrightarrow{p'} \mathfrak{M}'$ , where  $M' = M \times_{\mathfrak{M}} \mathfrak{M}'$ , the morphism  $i'$  is a closed embedding and  $p'$  is smooth. We have the following commutative diagram

$$\begin{array}{ccccc} U' & \xrightarrow{i'} & M' & \xrightarrow{p'} & \mathfrak{M}' \\ \downarrow \tilde{g} & & \downarrow \tilde{h} & & \downarrow h \\ U & \xrightarrow{i} & M & \xrightarrow{p} & \mathfrak{M} \end{array}$$

By Theorem 2.3.1, there is a morphism  $T_{p'} \rightarrow \tilde{h}^*T_p$ , which is an isomorphism if  $h$  is flat. Moreover there exists a morphism  $\tilde{\alpha}: C_{i'} \rightarrow \tilde{g}^*C_i$ , induced by the natural map  $\mathcal{I} \otimes_{\mathcal{O}_U} \mathcal{O}_{U'} \rightarrow \mathcal{I}'$ , where  $\mathcal{I}$  is the ideal sheaf of  $U$  in  $M$  and  $\mathcal{I}'$  is the ideal sheaf of  $U'$  in  $M'$  ([14], Appendix B.6). Then we get a commutative diagram

$$\begin{array}{ccc} T_{p'}|_{U'} & \longrightarrow & C_{i'} \\ \downarrow & & \downarrow \tilde{\alpha} \\ \tilde{g}^*(T_p|_U) & \longrightarrow & \tilde{g}^*C_i \end{array}$$

from which we obtain a morphism of stacks

$$\alpha: \mathfrak{C}_{f_{U'}} = [C_{i'}/T_{p'}|_{U'}] \rightarrow [\tilde{g}^*C_i/\tilde{g}^*(T_p|_U)] = \tilde{g}^*\mathfrak{C}_{f_U}.$$

If (1) is cartesian then  $U' = U \times_M M'$  and the morphism  $\hat{\alpha}$  is a closed embedding, since the morphism  $\mathcal{I} \otimes_{\mathcal{O}_U} \mathcal{O}_{U'} \rightarrow \mathcal{I}'$  is surjective ([14], Appendix B.6). Moreover, by Theorem 2.3.1, we have  $T_{p'} \cong \tilde{h}^*T_p$ . It follows that  $\alpha$  is a closed embedding. If moreover  $h$  is flat then also  $\tilde{h}$  is flat and hence  $\tilde{\alpha}$  is an isomorphism, because  $\mathcal{I} \otimes_{\mathcal{O}_U} \mathcal{O}_{U'} \cong \mathcal{I}'$  ([14], Appendix B.6). Hence  $\alpha$  is an isomorphism.  $\square$

## 2.4. Perfect obstruction theories

Let  $f: \mathcal{M} \rightarrow \mathfrak{M}$  be a morphism of Artin stacks over  $S$ . Assume that  $f$  is of Deligne-Mumford type.

**2.4.1. Definition** ([7] 4.4). Let  $E^\bullet \in D_{\text{coh}}^{[-1,0]}(\mathcal{M})$ . A morphism  $\varphi: E^\bullet \rightarrow \tau_{\geq -1}L_f^\bullet$  in  $D_{\text{coh}}^{[-1,0]}(\mathcal{M})$  is called a *relative obstruction theory* for  $f$  if  $h^0(\varphi)$  is an isomorphism and  $h^{-1}(\varphi)$  is surjective.

**2.4.2. Remark.** If  $(E^\bullet, \varphi)$  is a relative obstruction theory for  $f$ , then, by Proposition 2.2.8, the morphism  $h^1/h^0(\varphi): \mathfrak{N}_f \rightarrow h^1/h^0(E^\bullet)$  is a closed embedding.

**2.4.3. Theorem.** *A pair  $(E^\bullet, \varphi)$  is a relative obstruction theory for  $f$  if and only if, for any geometric point  $\bar{s}$  of  $S$ , for any small extension  $A' \rightarrow A = A'/I$  in  $(\text{Art}/\hat{\mathcal{O}}_{S, \bar{s}})$  and any commutative diagram*

$$\begin{array}{ccc} \text{Spec } A & \xrightarrow{g} & \mathcal{M} \\ i \downarrow & & \downarrow f \\ \text{Spec } A' & \xrightarrow{h'} & \mathfrak{M} \end{array}$$

*the obstruction  $h^1(\varphi^\vee)(\text{ob}_f(g, h')) \in h^1((g^*E^\bullet)^\vee) \otimes I$  vanishes if and only if there exists a morphism  $g': \text{Spec } A' \rightarrow \mathcal{M}$  such that  $g' \circ i = g$ ,  $f \circ g' = h'$ , and moreover if*



$h^1(\varphi^\vee)(\text{ob}_f(g, h')) = 0$  then the set of isomorphism classes of such morphisms  $g'$  is a torsor under  $h^0((g^*E^\bullet)^\vee) \otimes I$ .

PROOF. If  $(E^\bullet, \varphi)$  is a relative obstruction theory for  $f$ , the statement follows immediately from Proposition A.2.9. Viceversa, let assume that the second part of the statement holds and let show that  $h^0(\varphi)$  is an isomorphism and  $h^{-1}(\varphi)$  is surjective. Since the statement is local, we can assume that  $\mathcal{M}$  is an affine scheme  $\text{Spec } R$ . Then, by assumptions, for every  $R$ -algebra  $B$  and  $B$ -module  $N$ , there is a bijection  $\text{hom}(h^0(L_f^\bullet) \otimes B, N) \rightarrow \text{hom}(h^0(E^\bullet) \otimes B, N)$ , which implies that  $h^0(\varphi)$  is an isomorphism. We can assume that  $f$  factors as  $\mathcal{M} \xrightarrow{i} M \xrightarrow{p} \mathfrak{M}$  with  $i$  a closed embedding with ideal sheaf  $\mathcal{I}$  into an affine scheme  $M$  and  $p$  smooth. We can further assume that  $E^0$  is locally free,  $E^{-1}$  is a coherent sheaf,  $E^i = 0$  for  $i \neq 0, -1$  and  $\varphi^{-1}$  surjective. Then we easily see that the complex  $G \rightarrow i^*\Omega_p$ , where  $G$  is the cokernel of  $\ker \varphi^0 \times_{E^0} E^{-1} \rightarrow E^{-1}$ , is quasi-isomorphic to  $E^\bullet$ . Therefore we can assume  $E^0 = i^*\Omega_p$  and we have to prove that  $E^{-1} \rightarrow \mathcal{I}/\mathcal{I}^2$  is surjective; let  $F$  be its image. Let  $\mathcal{M} = \text{Spec } A$ ,  $F' \subset \mathcal{I}$  the inverse image of  $F$ , and  $\text{Spec } A' \subset M$  the subscheme defined by  $F'$ ; let  $g: \text{Spec } A \rightarrow \mathcal{M}$  be the identity. We can extend  $g$  to the inclusion  $g': \text{Spec } A' \rightarrow M$ . Let  $\pi: \mathcal{I}/\mathcal{I}^2 \rightarrow \mathcal{I}/F'$  be the natural projection. By assumption  $\pi$  factors via  $E^0$  if and only if  $g$  extends to a map  $\text{Spec } A' \rightarrow \mathcal{M}$ , if and only if  $\pi \circ \varphi^{-1}: E^{-1} \rightarrow \mathcal{I}/F'$  factors via  $E^0$ . As  $\pi \circ \varphi^{-1}$  is the zero map, it certainly factors. Therefore  $\pi$  also factors. Moreover, the fact that  $\pi$  factors via  $E^0$  together with  $\pi \circ \varphi^{-1} = 0$  implies  $\pi = 0$ , hence  $\varphi^{-1}: E^{-1} \rightarrow \mathcal{I}/\mathcal{I}^2$  is surjective.  $\square$

**2.4.4. Definition** ([7] 5.1). Let  $(E^\bullet, \varphi)$  be a relative obstruction theory for  $f$ . We say that  $(E^\bullet, \varphi)$  is *perfect* (of perfect amplitude contained in  $[-1, 0]$ ) if, smooth locally over  $\mathcal{M}$ , it is isomorphic to  $[E^{-1} \rightarrow E^0]$  with  $E^{-1}, E^0$  locally free sheaves over  $\mathcal{M}$ .

**2.4.5. Remark.** A relative obstruction theory  $(E^\bullet, \varphi)$  is perfect if and only if  $h^1/h^0(E^\bullet)$  is a vector bundle stack over  $\mathcal{M}$ .

## 2.5. Virtual fundamental class

Let  $D$  be a Dedekind domain and set  $S = \text{Spec } D$ . Let  $f: \mathcal{M} \rightarrow \mathfrak{M}$  be a morphism of Deligne-Mumford type of Artin stacks over  $S$ . Assume that  $\mathfrak{M}$  is purely dimensional of pure dimension  $m$  and that  $\mathcal{M}$  admits a stratification by global quotients. Let  $(E^\bullet, \varphi)$  be a perfect relative obstruction theory for  $f$ , we denote by

$$\mu: \mathfrak{E}_f = h^1/h^0(E^\bullet) \rightarrow \mathcal{M}$$

the associated vector bundle stack of rank  $r$ . By Remark 2.4.2, the relative intrinsic normal cone  $\mathfrak{C}_f$  is a closed substack of  $\mathfrak{E}_f$ . Moreover, by Theorem B.1.2 and [20] Proposition 3.5.10, the flat pullback

$$\mu^*: A_*(\mathcal{M}/k) \rightarrow A_{*+r}(\mathfrak{E}_f/k)$$

is an isomorphism and we denote the inverse by  $0^!$ .

**2.5.1. Definition.** The *virtual fundamental class* of  $\mathcal{M}$  relative to  $(E^\bullet, \varphi)$  is the cycle class

$$[\mathcal{M}, E^\bullet]^{\text{virt}} = 0^![\mathfrak{C}_f] \in A_*(\mathcal{M}/S).$$

**2.5.2. Remark.** The intrinsic cone  $\mathfrak{C}_f$  is purely dimensional of pure dimension  $m$ , therefore  $[\mathcal{M}, E^\bullet]^{\text{virt}} \in A_{m-r}(\mathcal{M}/S)$  and  $m - r$  is called the *virtual dimension* of  $\mathcal{M}$ .

**2.5.3. Proposition.** Consider the following cartesian diagram of Artin stacks over  $S$ ,

$$\begin{array}{ccc} \mathcal{M}' & \xrightarrow{f'} & \mathfrak{M}' \\ g \downarrow & & \downarrow h \\ \mathcal{M} & \xrightarrow{f} & \mathfrak{M} \end{array}$$

where  $f$  and  $f'$  are of Deligne-Mumford type,  $\mathfrak{M}$  and  $\mathfrak{M}'$  are smooth and purely dimensional of pure dimension  $m$ ,  $\mathcal{M}$  and  $\mathcal{M}'$  admit stratifications by global quotients. Let  $(E^\bullet, \varphi)$  be a perfect relative obstruction theory for  $f$ . If  $h$  is flat or a regular local immersion (of constant dimension) then

$$h^![\mathcal{M}, E^\bullet]^{\text{virt}} = [\mathcal{M}', Lg^*E^\bullet]^{\text{virt}}.$$

PROOF. Let us notice that  $Lg^*E^\bullet$  is a perfect relative obstruction theory for  $f'$ . The statement follows by Theorem B.1.2 in the same way as in [7] 7.2. Let  $\mathfrak{E}_{f'} = h^1/h^0(Lg^*E^\bullet)$  and let  $0^!$  the inverse of  $\mu'^*$ , where  $\mu': \mathfrak{E}_{f'} \rightarrow \mathcal{M}'$ . If  $h$  is flat then, by Proposition 2.3.7, we have  $g^*\mathfrak{C}_f \cong \mathfrak{C}_{f'}$ , hence  $h^![\mathfrak{C}_f] = [\mathfrak{C}_{f'}]$ . Therefore we get

$$h^![\mathcal{M}, E^\bullet]^{\text{virt}} = h^!0^![\mathfrak{C}_f] = 0^!h^![\mathfrak{C}_f] = 0^![\mathfrak{C}_{f'}] = [\mathcal{M}', Lg^*E^\bullet]^{\text{virt}}.$$

If  $h$  is a regular local immersion, let consider  $\rho: \mathfrak{N}_h \rightarrow \mathfrak{M}'$  and let  $\tilde{0}: g^*\mathfrak{C}_f \rightarrow \mathfrak{N}_h \times_{\mathfrak{M}} \mathfrak{C}_f$  be the zero section. Then  $\tilde{0}^![\mathfrak{C}_{g^*\mathfrak{C}_f/\mathfrak{C}_f}] = h^![\mathfrak{C}_f]$ , by definition of  $h^!$ , and

$$\tilde{0}^![\rho^*\mathfrak{C}_{f'}] = \tilde{0}^!\rho^*[\mathfrak{C}_{f'}] = [\mathfrak{C}_{f'}].$$

Moreover, by Theorem B.1.2 and [7] 3.3–3.5, we have that  $[\mathfrak{C}_{g^*\mathfrak{C}_f/\mathfrak{C}_f}] = [\rho^*\mathfrak{C}_{f'}]$ . Hence  $h^![\mathfrak{C}_f] = [\mathfrak{C}_{f'}]$  and one concludes as before.  $\square$

**2.5.4.** Let us consider a cartesian diagram

$$\begin{array}{ccc} \mathcal{M}' & \xrightarrow{g} & \mathcal{M} \\ f' \downarrow & & \downarrow f \\ \mathfrak{M}' & \xrightarrow{h} & \mathfrak{M} \end{array}$$

where vertical arrows are morphisms of Deligne-Mumford type and  $h$  is a local complete intersection morphism of  $S$ -stacks with finite unramified diagonal over  $S$ . Let  $E^\bullet$  and  $E'^\bullet$  be perfect obstruction theories for  $f$  and  $f'$  respectively. Then  $E^\bullet$  and  $E'^\bullet$  are *compatible* over  $h$  if there exists a homomorphism of distinguished triangles

$$\begin{array}{ccccccc} g^* E^\bullet & \longrightarrow & E'^\bullet & \longrightarrow & f'^* L_h^\bullet & \longrightarrow & g^* E^\bullet[1] \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ g^* L_{\mathcal{M}}^\bullet & \longrightarrow & L_{\mathcal{M}'}^\bullet & \longrightarrow & L_g^\bullet & \longrightarrow & g^* L_{\mathcal{M}}^\bullet[1] \end{array}$$

in  $D_{\text{coh}}^{[-1,0]}(\mathcal{M}')$ .

**2.5.5. Proposition.** *Let  $E^\bullet$  and  $E'^\bullet$  be compatible perfect obstruction theories as above. If either  $h$  is smooth or  $\mathfrak{M}$  and  $\mathfrak{M}'$  are smooth over  $S$ , then*

$$h^![\mathcal{M}, E^\bullet]^{\text{virt}} = [\mathcal{M}', E'^\bullet]^{\text{virt}}.$$

PROOF. By [7] 2.7, the distinguished triangle

$$g^* E^\bullet \rightarrow E'^\bullet \rightarrow f'^* L_h^\bullet \rightarrow g^* E^\bullet[1]$$

induces a short exact sequence of vector bundle stacks

$$g^* \mathfrak{N}_h \rightarrow \mathfrak{E}_{f'} \xrightarrow{\psi} g^* \mathfrak{E}_f$$

over  $\mathcal{M}'$ . If  $h$  is smooth, by [7] 3.14, the following diagram is cartesian

$$\begin{array}{ccc} \mathfrak{E}_{f'} & \longrightarrow & g^* \mathfrak{E}_f \\ \downarrow & & \downarrow \\ \mathfrak{E}_{f'} & \longrightarrow & g^* \mathfrak{E}_f \end{array}$$

hence  $0_{g^* \mathfrak{E}_f}^! [g^* \mathfrak{E}_f] = 0_{\mathfrak{E}_{f'}}^! [\mathfrak{E}_{f'}]$  and we have

$$h^![\mathcal{M}, E^\bullet]^{\text{virt}} = h^! 0_{\mathfrak{E}_{f'}}^! [\mathfrak{E}_{f'}] = 0_{g^* \mathfrak{E}_f}^! [g^* \mathfrak{E}_f] = [\mathcal{M}', E'^\bullet]^{\text{virt}}.$$

If  $\mathfrak{M}$  and  $\mathfrak{M}'$  are smooth then  $h$  factors as  $\mathfrak{M}' \xrightarrow{\Gamma_h} \mathfrak{M}' \times_S \mathfrak{M} \xrightarrow{p} \mathfrak{M}$ , where  $\Gamma_h$  is the graph of  $h$ , which is a regular local immersion, and  $p$  is smooth. We have the following

cartesian diagram

$$\begin{array}{ccccc}
 \mathcal{M}' & \longrightarrow & \mathfrak{M}' \times_S \mathcal{M} & \longrightarrow & \mathcal{M} \\
 f' \downarrow & & \downarrow \tilde{f} & & \downarrow f \\
 \mathfrak{M}' & \xrightarrow{\Gamma_h} & \mathfrak{M}' \times_S \mathfrak{M} & \xrightarrow{p} & \mathfrak{M}
 \end{array}$$

and we consider the obstruction theory  $\Omega_{\mathfrak{M}'} \oplus E^\bullet$  for  $\tilde{f}$ . Notice that  $\Omega_{\mathfrak{M}'} \oplus E^\bullet$  is compatible with  $E^\bullet$  over  $p$  and with  $E'^\bullet$  over  $\Gamma_h$ . Then, by the first part, we can assume that  $h$  is a regular local immersion. By [7] 5.9,  $[f'^*\mathfrak{N}_h \times_{\mathcal{M}'} \mathfrak{C}_{f'}] = \psi^*[\mathfrak{C}_{g^*\mathfrak{C}_f/\mathfrak{C}_f}]$  and hence

$$\begin{aligned}
 [\mathcal{M}', E'^\bullet]^{\text{virt}} &= 0_{\mathfrak{C}_{f'}}^! [\mathfrak{C}_{f'}] = 0_{f'^*\mathfrak{N}_h \times_{\mathcal{M}'} \mathfrak{C}_{f'}}^! [f'^*\mathfrak{N}_h \times_{\mathcal{M}'} \mathfrak{C}_{f'}] = 0_{f'^*\mathfrak{N}_h \times_S g^*\mathfrak{C}_f}^! [\mathfrak{C}_{g^*\mathfrak{C}_f/\mathfrak{C}_f}] \\
 &= 0_{g^*\mathfrak{C}_f}^! h^! [\mathfrak{C}_f] = h^! 0_{\mathfrak{C}_f}^! [\mathfrak{C}_f] = h^! [\mathcal{M}, E^\bullet]^{\text{virt}}. \quad \square
 \end{aligned}$$

## CHAPTER 3

### A virtual fundamental class

Let  $D$  be a Dedekind domain and set  $S = \text{Spec } D$ . Let  $\mathcal{X}$  be a smooth proper tame Deligne-Mumford stack of finite presentation over  $S$ , admitting a projective coarse moduli scheme  $X$ . We want to define a virtual fundamental class for  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  relative to the forgetful morphism

$$\theta: \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) \rightarrow \mathfrak{M}_{g,n/S}^{\text{tw}}$$

following the construction of 2.5. For this, we need a perfect relative obstruction theory for  $\theta$ .

#### 3.1. The stack of morphisms

With notations as in Definition 1.2.6, notice that  $\overline{\mathcal{C}} = \mathcal{C} \times_{\mathfrak{M}_{g,n}^{\text{tw}}} \underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$  is a universal family for  $\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$  and we have the following commutative diagram

$$\begin{array}{ccccc}
 & & \psi & & \\
 & & \curvearrowright & & \\
 \mathcal{C} & \xrightarrow{\quad} & \overline{\mathcal{C}} & \xrightarrow{\quad \overline{\psi} \quad} & \mathcal{X} \\
 \downarrow \pi & & \downarrow \overline{\pi} & & \\
 \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) & \rightarrow & \underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X}) & & 
 \end{array}$$

**3.1.1. Lemma.** *We have  $F^\bullet = R\overline{\pi}_*(\overline{\psi}^* \Omega_{\mathcal{X}/S} \otimes \omega_{\overline{\pi}})[-1] \in D_{\text{coh}}^{(-1,0)}(\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X}))$  and  $h^1/h^0(F^\bullet)$  is a vector bundle stack.*

PROOF. Since  $\mathcal{X}$  is smooth over  $S$ , the sheaf  $\Omega_{\mathcal{X}/S}$  is a vector bundle over  $\mathcal{X}$ . The dualizing sheaf  $\omega_{\overline{\pi}}$  is a line bundle over  $\overline{\mathcal{C}}$ , because  $\overline{\mathcal{C}}$  is a family of curves with at most nodal singularities (which are Gorenstein). Hence  $\overline{\psi}^* \Omega_{\mathcal{X}/S} \otimes \omega_{\overline{\pi}}$  is a vector bundle on  $\overline{\mathcal{C}}$ . Recall that the cohomology of the total pushforward is the higher pushforward sheaf. Moreover,  $\overline{\pi}$  is a flat projective morphism of relative dimension 1, so the  $i$ -pushforward vanishes for  $i > 1$  by the cohomology and base-change theorem ([13] Corollary 8.3.4), therefore

$$R\overline{\pi}_*(\overline{\psi}^* \Omega_{\mathcal{X}/S} \otimes \omega_{\overline{\pi}}) \in D_{\text{coh}}^{(0,1)}(\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})).$$

Set  $\mathcal{F} = \bar{\psi}^* \Omega_{\mathcal{X}/S} \otimes \omega_{\bar{\pi}}$ . Let  $\mathcal{L}$  be a  $\bar{\pi}$ -ample line bundle then, for  $n$  big enough,  $\mathcal{F} \otimes \mathcal{L}^n$  is generated by global sections and  $R^0 \bar{\pi}_*(\mathcal{F} \otimes \mathcal{L}^{-n}) = 0$ . Thus we have a surjection

$$\mathcal{G} = \bar{\pi}^* \bar{\pi}_*(\mathcal{F} \otimes \mathcal{L}^n) \otimes \mathcal{L}^{-n} \rightarrow \mathcal{F},$$

and we denote by  $\mathcal{K}$  the kernel. Notice that  $\mathcal{K}$  is a vector bundle because it is the kernel of a surjection of vector bundles. Hence we get the following exact sequence

$$0 \rightarrow R^0 \bar{\pi}_* \mathcal{K} \rightarrow R^0 \bar{\pi}_* \mathcal{G} \rightarrow R^0 \bar{\pi}_* \mathcal{F} \rightarrow R^1 \bar{\pi}_* \mathcal{K} \rightarrow R^1 \bar{\pi}_* \mathcal{G} \rightarrow R^1 \bar{\pi}_* \mathcal{F} \rightarrow 0.$$

Since  $R^0 \bar{\pi}_*(\mathcal{F} \otimes \mathcal{L}^{-n}) = 0$ , we have that  $R^0 \bar{\pi}_* \mathcal{G} = 0$  and thus  $R^0 \bar{\pi}_* \mathcal{K} = 0$ . As a consequence,  $R^1 \bar{\pi}_* \mathcal{K}$  and  $R^1 \bar{\pi}_* \mathcal{G}$  are vector bundles and  $F^\bullet$  is quasi-isomorphic to  $[R^1 \bar{\pi}_* \mathcal{K} \rightarrow R^1 \bar{\pi}_* \mathcal{G}]$ .  $\square$

**3.1.2.** We define a morphism  $\bar{\varphi}: F^\bullet \rightarrow \tau_{\geq -1} L_{\bar{\theta}}^\bullet$  in  $D_{\text{coh}}^{(-1,0)}(\underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X}))$  as follows. By adjunction, this is equivalent to define a morphism

$$(\bar{\psi}^* \Omega_{\mathcal{X}/S} \otimes \omega_{\bar{\pi}})[-1] \rightarrow L\bar{\pi}^1(L_{\bar{\theta}}^\bullet).$$

Recall that if  $\bar{\pi}$  is a flat proper Gorenstein morphism of relative dimension  $N$ , then  $L\bar{\pi}^1 = \bar{\pi}^* \otimes \omega_{\bar{\pi}}[-N]$ . This applies in our case with  $N = 1$  and we get  $L\bar{\pi}^1 = \bar{\pi}^* \otimes \omega_{\bar{\pi}}[-1]$ . Hence to give the morphism  $\bar{\varphi}$  is equivalent to giving a morphism  $\bar{\psi}^* \Omega_{\mathcal{X}/S} \rightarrow \bar{\pi}^* L_{\bar{\theta}}^\bullet$ . Notice that  $\Omega_{\mathcal{X}/S} = L_{\mathcal{X}/S}^\bullet$ , since  $\mathcal{X}$  is smooth over  $S$  (Theorem 2.3.1). We define the morphism  $\bar{\psi}^* L_{\mathcal{X}/S}^\bullet \rightarrow \bar{\pi}^* L_{\bar{\theta}}^\bullet$  as the composition

$$\bar{\psi}^* L_{\mathcal{X}/S}^\bullet \rightarrow L_{\bar{\mathcal{C}}/S}^\bullet \rightarrow L_{\bar{\mathcal{C}}/\mathcal{C}}^\bullet \cong \bar{\pi}^* L_{\bar{\theta}}^\bullet,$$

where  $\mathcal{C}$  is the universal curve of  $\mathfrak{M}_{g,n}^{\text{tw}}$ , the isomorphism  $L_{\bar{\mathcal{C}}/\mathcal{C}}^\bullet \cong \bar{\pi}^* L_{\bar{\theta}}^\bullet$  follows from the fact that  $\bar{\mathcal{C}} = \mathcal{C} \times_{\mathfrak{M}_{g,n}^{\text{tw}}} \underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$  and the morphism  $\mathcal{C} \rightarrow \mathfrak{M}_{g,n}^{\text{tw}}$  is flat (Theorem 2.3.1).

**3.1.3. Proposition.** *The pair  $(F^\bullet, \bar{\varphi})$  defined above is a perfect relative obstruction theory for  $\bar{\theta}$ .*

PROOF. Let  $\text{Spec } \bar{k} \xrightarrow{\bar{x}} \underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$  be a geometric point. Then  $\bar{x}$  corresponds to a twisted pointed curve  $\bar{\mathcal{C}}_{\bar{x}}$  over  $\bar{k}$  together with a representable morphism  $\bar{\psi}_{\bar{x}}: \bar{\mathcal{C}}_{\bar{x}} \rightarrow \mathcal{X}$ , obtained by pulling back  $(\bar{\mathcal{C}}, \bar{\psi})$  along  $\bar{x}$ . Using Serre duality and cohomology and base change theorem ([13] Corollary 8.3.4), we have

$$H^i(\bar{\mathcal{C}}_{\bar{x}}, \bar{\psi}_{\bar{x}}^* T_{\mathcal{X}/S}) = H^{1-i}(\bar{\mathcal{C}}_{\bar{x}}, \bar{x}^*(\bar{\psi}^* \Omega_{\mathcal{X}/S} \otimes \omega_{\bar{\pi}}))^\vee = h^{i-1}((F^\bullet[-1])^\vee) = h^i((L\bar{x}^* F^\bullet)^\vee).$$

Now, let  $A' \rightarrow A = A'/I$  be a small extension in  $(\text{Art}/\hat{\mathcal{O}}_{S,\bar{x}})$  and consider a commutative diagram

$$\begin{array}{ccc} \text{Spec } A & \xrightarrow{g} & \underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X}) \\ \downarrow i & & \downarrow \bar{\theta} \\ \text{Spec } A' & \xrightarrow{h'} & \mathfrak{M}_{g,n/S}^{\text{tw}} \end{array}$$

In particular  $h'$  corresponds to a family of twisted curves  $\mathcal{C}_{A'}$  over  $A'$ , obtained by pulling back  $\mathcal{C} \rightarrow \mathfrak{M}_{g,n/S}^{\text{tw}}$  along  $h'$ , and  $g$  corresponds to a family of twisted curves  $\mathcal{C}_A$  over  $A$  together with a representable morphism  $\bar{\psi}_A: \mathcal{C}_A \rightarrow \mathcal{X}$ , obtained by pulling back  $(\bar{\mathcal{C}}, \bar{\psi})$  along  $g$ . Thus  $g$  extends to  $g': \text{Spec } A' \rightarrow \underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$  if and only if  $\bar{\psi}_A$  extends to  $\bar{\psi}_{A'}: \mathcal{C}_{A'} \rightarrow \mathcal{X}$  if and only if, by Proposition A.2.9 and Proposition A.2.11,  $h^1(\bar{\varphi}^\vee)(\text{ob}_{\bar{\theta}}(g, h'))$  is zero in  $H^1(\mathcal{C}_{\bar{x}}, \bar{\psi}_{\bar{x}}^* T_{\mathcal{X}/S}) \otimes I$ . Moreover the extensions, if they exist, form a torsor under  $H^0(\mathcal{C}_{\bar{x}}, \bar{\psi}_{\bar{x}}^* T_{\mathcal{X}/S}) \otimes I$ . By Theorem 2.4.3,  $(F^\bullet, \bar{\varphi})$  is a relative obstruction theory for  $\bar{\theta}$  and, by Lemma 3.1.1,  $F^\bullet$  is perfect.  $\square$

### 3.2. A perfect obstruction theory for $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$

**3.2.1. Corollary.** *Let  $E^\bullet = R\pi_*(\psi^* \Omega_{\mathcal{X}/S} \otimes \omega_\pi)[-1]$  and let  $\varphi: E^\bullet \rightarrow \tau_{\geq -1} L_\theta^\bullet$  be the morphism induced by  $\bar{\varphi}$ . Then  $(E^\bullet, \varphi)$  is a perfect relative obstruction theory for  $\theta$ .*

PROOF. Since the natural inclusion  $j: \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) \hookrightarrow \underline{\text{Hom}}_{\mathfrak{M}_{g,n}^{\text{tw}}}(\mathcal{C}, \mathcal{X})$  is an open immersion, it follows that  $Lj^* L_\theta^\bullet = L_\theta^\bullet$ ,  $Lj^* F^\bullet = E^\bullet$ , and  $\varphi = j^* \bar{\varphi}$ . Hence, by Lemma 3.1.1, we have  $E^\bullet \in D_{\text{coh}}^{(-1,0)}(\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta))$ . By Proposition 3.1.3, we know that  $(F^\bullet, \bar{\varphi})$  is a perfect obstruction theory for  $\bar{\theta}$ , hence  $h^0(\bar{\varphi})$  is an isomorphism and  $h^{-1}(\bar{\varphi})$  is surjective. Since the pullback  $j^*$  is an exact functor, we have that  $h^0(\varphi)$  is an isomorphism and  $h^{-1}(\varphi)$  is surjective, which implies the statement.  $\square$

**3.2.2. Definition.** We define the *virtual fundamental class* of  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$  to be

$$[\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} = [\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta), E^\bullet]^{\text{virt}} \in A_*(\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)/S).$$

**3.2.3. Remark.** Consider the vector bundle stack  $\mu: \mathfrak{E}_\theta = h^1/h^0(E^\bullet) \rightarrow \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$ . Then, for a geometric point  $\bar{x}$  of a component  $\mathcal{K}$  of  $\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)$ , by Riemann-Roch

theorem ([2] 7.2.1),

$$\begin{aligned}
\mathrm{rk} \bar{x}^* \mathfrak{E}_\theta &= \dim h^{-1}(L\bar{x}^* E^\bullet) - \dim h^0(L\bar{x}^* E^\bullet) \\
&= \dim H^1(\mathcal{C}_{\bar{x}}, \psi_{\bar{x}}^* T_{\mathcal{X}/S}) - \dim H^0(\mathcal{C}_{\bar{x}}, \psi_{\bar{x}}^* T_{\mathcal{X}/S}) \\
&= (g-1) \mathrm{rk}(\psi_{\bar{x}}^* T_{\mathcal{X}/S}) - c_1(\psi_{\bar{x}}^* T_{\mathcal{X}/S}) \cdot [\mathcal{C}_{\bar{x}}] + \sum_{i=1}^n \mathrm{age}(\Sigma_i) \\
&= (g-1) \dim_S \mathcal{X} - c_1(T_{\mathcal{X}/S}) \cdot \psi_{\bar{x}*}[\mathcal{C}_{\bar{x}}] + \sum_{i=1}^n \mathrm{age}(\Sigma_i),
\end{aligned}$$

where  $\mathrm{age}(\Sigma_i) = \mathrm{age}(\psi_{\bar{x}}^* T_{\mathcal{X}/S}|_{\Sigma_i})$  denotes the age of a locally free sheaf as defined in [2] 7.1 (recall that the age is constant on connected components of  $\bar{\mathcal{I}}_\mu(\mathcal{X})$ ). Thus the dimension of  $[\mathcal{K}]^{\mathrm{virt}}$  is

$$\dim_S \mathfrak{M}_{g,n/S}^{\mathrm{tw}} - \mathrm{rk} \bar{x}^* \mathfrak{E}_\theta = (\dim_S \mathcal{X} - 3)(1 - g) + c_1(T_{\mathcal{X}/\eta}) \cdot \psi_{\bar{x}*}[\mathcal{C}_{\bar{x}}] - \sum_{i=1}^n \mathrm{age}(\Sigma_i) + n.$$

### 3.3. Properties

**3.3.1** ([2] 5.1). Let  $\mathfrak{D}^{\mathrm{tw}}(g_1, A|g_2, B)$  be the category fibered in groupoids over  $(\mathrm{Sch}/S)$  which parametrizes nodal twisted curves with a distinguished node separating the curve in two components, one of genus  $g_1$  containing the markings in a subset  $A \subset \{1, \dots, n\}$ , the other of genus  $g_2$  containing the markings in the complementary set  $B$ . The category  $\mathfrak{D}^{\mathrm{tw}}(g_1, A|g_2, B)$  is a smooth algebraic stack, locally of finite presentation over  $S$ . Let  $g = g_1 + g_2$ , there is a natural representable morphism

$$\mathrm{gl}: \mathfrak{D}^{\mathrm{tw}}(g_1, A|g_2, B) \rightarrow \mathfrak{M}_{g,n}^{\mathrm{tw}}$$

induced by gluing the two families of curves into a family of reducible curves with a distinguished node.

**3.3.2. Proposition.** (1) Consider the evaluation maps  $\check{e}_\bullet: \mathcal{K}_{g_1, A \sqcup \bullet}(\mathcal{X}, \beta_1) \rightarrow \bar{\mathcal{I}}_\mu(\mathcal{X})$  and  $e_\bullet: \mathcal{K}_{g_2, B \sqcup \bullet}(\mathcal{X}, \beta_2) \rightarrow \bar{\mathcal{I}}_\mu(\mathcal{X})$ . There exists a natural representable morphism

$$\mathcal{K}_{g_1, A \sqcup \bullet}(\mathcal{X}, \beta_1) \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})} \mathcal{K}_{g_2, B \sqcup \bullet}(\mathcal{X}, \beta_2) \rightarrow \mathcal{K}_{g_1+g_2, A \sqcup B}(\mathcal{X}, \beta_1 + \beta_2).$$

(2) Consider the evaluation morphisms  $\check{e}_\bullet \times e_\bullet: \mathcal{K}_{g-1, A \sqcup \{\bullet, \bullet\}}(\mathcal{X}, \beta_\eta) \rightarrow \bar{\mathcal{I}}_\mu(\mathcal{X})^2$  and the diagonal  $\Delta: \bar{\mathcal{I}}_\mu(\mathcal{X}) \rightarrow \bar{\mathcal{I}}_\mu(\mathcal{X})^2$  (1.3.6). There exists a natural representable morphism

$$\mathcal{K}_{g-1, A \sqcup \{\bullet, \bullet\}}(\mathcal{X}, \beta_\eta) \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})^2} \bar{\mathcal{I}}_\mu(\mathcal{X}) \rightarrow \mathcal{K}_{g,A}(\mathcal{X}, \beta_\eta).$$



(3) We have a cartesian diagram

$$\begin{array}{ccc} \bigsqcup_{\beta_1+\beta_2=\beta_\eta} \mathcal{K}_{g_1, A \sqcup \bullet}(\mathcal{X}, \beta_1) \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})} \mathcal{K}_{g_2, B \sqcup \bullet}(\mathcal{X}, \beta_2) & \rightarrow & \mathcal{K}_{g_1+g_2, A \sqcup B}(\mathcal{X}, \beta_\eta) \\ \downarrow & & \downarrow \\ \mathfrak{D}^{tw}(g_1, A|g_2, B) & \xrightarrow{gl} & \mathfrak{M}_{g_1+g_2, A \sqcup B}^{tw} \end{array}$$

PROOF. Follows in the same way as in [2] 5.2.  $\square$

**3.3.3.** By [2] 6.2.4, the morphism  $gl$  is finite and unramified, therefore, by [20] 4.1, it induces a pull-back homomorphism on Chow groups

$$gl^!: A_*(\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)) \rightarrow \bigoplus_{\beta_1+\beta_2=\beta_\eta} A_*(\mathcal{K}_{g_1, A \sqcup \bullet}(\mathcal{X}, \beta_1) \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})} \mathcal{K}_{g_2, B \sqcup \bullet}(\mathcal{X}, \beta_2)).$$

**3.3.4. Proposition.** Consider the diagonal  $\Delta: \bar{\mathcal{I}}_\mu(\mathcal{X}) \rightarrow \bar{\mathcal{I}}_\mu(\mathcal{X})^2$  (1.3.6). We have

- (1)  $gl^![\mathcal{K}_{g, A \sqcup B}(\mathcal{X}, \beta_\eta)]^{virt} = \sum_{\beta_1+\beta_2=\beta_\eta} \Delta^!([\mathcal{K}_{g_1, A \sqcup \bullet}(\mathcal{X}, \beta_1)]^{virt} \times [\mathcal{K}_{g_2, B \sqcup \bullet}(\mathcal{X}, \beta_2)]^{virt})$ ;
- (2)  $gl^![\mathcal{K}_{g, A}(\mathcal{X}, \beta_\eta)]^{virt} = \Delta^![\mathcal{K}_{g-1, A \sqcup \{\bullet, \bullet\}}(\mathcal{X}, \beta_\eta)]^{virt}$ .

PROOF. For the first part, by Proposition 3.3.2 and Proposition 2.5.3,

$$gl^![\mathcal{K}_{g, A \sqcup B}(\mathcal{X}, \beta_\eta)]^{virt} = \sum_{\beta_1+\beta_2=\beta_\eta} [\mathcal{K}_{g_1, A \sqcup \bullet}(\mathcal{X}, \beta_1) \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})} \mathcal{K}_{g_2, B \sqcup \bullet}(\mathcal{X}, \beta_2)]^{virt}.$$

Let us denote for simplicity  $\mathcal{K}^{(1)} = \mathcal{K}_{g_1, A \sqcup \bullet}(\mathcal{X}, \beta_1)$  and  $\mathcal{K}^{(2)} = \mathcal{K}_{g_2, B \sqcup \bullet}(\mathcal{X}, \beta_2)$ . Let  $E_j^\bullet$  be the perfect obstruction theory of  $\mathcal{K}^{(j)}$  as constructed in section 3.2, then  $E_1^\bullet \oplus E_2^\bullet$  is the perfect obstruction theory of  $\mathcal{K}^{(1)} \times_k \mathcal{K}^{(2)}$ . Let  $E_{1,2}^\bullet$  be the perfect obstruction theory of  $\mathcal{K}^{(1)} \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})} \mathcal{K}^{(2)}$ . By considering the normalization sequence for a family of nodal curves with a distinguished node  $\Sigma$  over  $\mathcal{K}^{(1)} \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})} \mathcal{K}^{(2)}$ , we get the following distinguished triangle, as in [2] 5.3.1,

$$E_{1,2}^\bullet \rightarrow E_1^\bullet \oplus E_2^\bullet \rightarrow E_\Sigma^\bullet,$$

where  $E_\Sigma^\bullet$  can be identified with the cotangent complex of the map  $\Delta$  in the same way as in [2] 3.6.1. Then, by Proposition 2.5.5, we get

$$\Delta^!([\mathcal{K}_{g_1, A \sqcup \bullet}(\mathcal{X}, \beta_1)]^{virt} \times [\mathcal{K}_{g_2, B \sqcup \bullet}(\mathcal{X}, \beta_2)]^{virt}) = [\mathcal{K}_{g_1, A \sqcup \bullet}(\mathcal{X}, \beta_1) \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})} \mathcal{K}_{g_2, B \sqcup \bullet}(\mathcal{X}, \beta_2)]^{virt}.$$

For the second part of the statement, we observe that, since  $\Delta$  is a regular embedding,

$$\Delta^![\mathcal{K}_{g-1, A \sqcup \{\bullet, \bullet\}}(\mathcal{X}, \beta_\eta)]^{virt} = [\mathcal{K}_{g-1, A \sqcup \{\bullet, \bullet\}}(\mathcal{X}, \beta_\eta) \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})} \bar{\mathcal{I}}_\mu(\mathcal{X})]^{virt},$$

and, by Proposition 2.5.3, the right-hand side is equal to  $gl^![\mathcal{K}_{g, A}(\mathcal{X}, \beta_\eta)]^{virt}$ .  $\square$



## CHAPTER 4

### Gromov-Witten classes and invariants

#### 4.1. Gromov-Witten classes

Let  $D$  be a Dedekind domain, set  $S = \text{Spec } D$  and denote by  $\eta$  the generic point of  $S$ . Let  $\mathcal{X}$  be a smooth proper tame Deligne-Mumford stack of finite presentation over  $S$ , admitting a projective coarse moduli scheme  $X$ . Set  $X_\eta = X \times_S \eta$ . Fix  $\beta_\eta \in A_1(X_\eta/\eta)$  and  $g, n \geq 0$  with  $2g + n \geq 3$ .

**4.1.1. Remark.** If  $S = \text{Spec } k$  with  $k$  an algebraically closed field and if  $l$  is a prime different from the characteristic of  $k$ , we can define the  $l$ -adic étale cohomology as

$$H^r(\overline{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Z}_l) = \varprojlim_m H_{\text{ét}}^r(\overline{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Z}/l^m\mathbb{Z}).$$

Moreover  $H^r(\overline{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Q}_l) = H^r(\overline{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Z}_l) \otimes_{\mathbb{Z}_l} \mathbb{Q}_l$  and we have the cycle map

$$\text{cl}: A^r(\overline{\mathcal{I}}_\mu(\mathcal{X})/k)_{\mathbb{Q}} \rightarrow H^{2r}(\overline{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Q}_l(r))$$

as described in [24] VI.9. We set  $H^*(\overline{\mathcal{I}}_\mu(\mathcal{X})) = \sum_r H^r(\overline{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Q}_l(\bar{r}))$ , where  $\bar{r}$  is the integral part of  $r/2$ .

**4.1.2. Definition** (Gromov-Witten classes). We define the linear operator

$$\mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}}: A^*(\overline{\mathcal{I}}_\mu(\mathcal{X})/S)_{\mathbb{Q}}^{\otimes n} \rightarrow A^*(\overline{\mathcal{M}}_{g,n/S}/S)_{\mathbb{Q}}$$

such that, given  $\underline{\gamma} \in A^*(\overline{\mathcal{I}}_\mu(\mathcal{X})/S)_{\mathbb{Q}}^{\otimes n}$ ,

$$\mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}}(\gamma_1 \otimes \cdots \otimes \gamma_n) = q_* \left( e^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} \right),$$

where  $q: \mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta) \rightarrow \overline{\mathcal{M}}_{g,n/S}$  forgets the map to  $\mathcal{X}$ , passes to the coarse curve and stabilizes. If moreover  $S = \text{Spec } k$  with  $k$  an algebraically closed field, we can define

$$\mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}}: H^*(\overline{\mathcal{I}}_\mu(\mathcal{X}))^{\otimes n} \rightarrow H^*(\overline{\mathcal{M}}_{g,n/S})$$

as above, where, abusing the notation, we write  $[\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}}$  instead of the corresponding homology class  $\text{cl} \left( [\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} \right)$ .

**4.1.3. Definition** (Gromov-Witten invariants). We define

$$\langle \mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}} \rangle(\underline{\gamma}) = \int_{\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)} \left( e^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} \right),$$

for  $\underline{\gamma} = \gamma_1 \otimes \cdots \otimes \gamma_n \in A^*(\overline{\mathcal{T}}_\mu(\mathcal{X})/S)_{\mathbb{Q}}^{\otimes n}$ . If  $S = \text{Spec } k$  with  $k$  an algebraically closed field then  $\langle \mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}} \rangle(\underline{\gamma})$  is defined for every  $\underline{\gamma} \in H^*(\overline{\mathcal{T}}_\mu(\mathcal{X}))^{\otimes n}$ .

**4.1.4. Notation.** When  $S = \text{Spec } k$ , we have  $X_\eta = X$  and hence we will simply write  $\beta$  instead of  $\beta_\eta$ .

**4.1.5. Remark.** We have that

$$\begin{aligned} \int_{\overline{\mathcal{M}}_{g,n/S}} \mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}}(\underline{\gamma}) &= \int_{\overline{\mathcal{M}}_{g,n/S}} q_* \left( e^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} \right) \\ &= \int_{\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)} \left( e^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} \right) \\ &= \langle \mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}} \rangle(\underline{\gamma}). \end{aligned}$$

**4.1.6. Definition** ([2] 6.1.1). We define a locally constant function  $\mathfrak{r}: \overline{\mathcal{T}}_\mu(\mathcal{X}) \rightarrow \mathbb{Z}$  by evaluating on geometric points,  $\mathfrak{r}(\overline{x}, \mathcal{G}) = r$ , where  $\mathcal{G}$  is a gerbe banded by  $\mu_r$ . We can view  $\mathfrak{r}$  as an element of  $A^0(\overline{\mathcal{T}}_\mu(\mathcal{X}))$ .

**4.1.7** (Alternative definition). Following the formalism of [2], we could define  $\mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}}$  as a linear operator  $A^*(\overline{\mathcal{T}}_\mu(\mathcal{X})/S)_{\mathbb{Q}}^{\otimes n} \rightarrow A^*(\overline{\mathcal{T}}_\mu(\mathcal{X})/S)_{\mathbb{Q}}$  such that

$$\mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}}(\gamma_1 \otimes \cdots \otimes \gamma_n) = \mathfrak{r} \cdot \check{e}_{n+1*} \left( \left( \prod_{i=1}^n e_i^*(\gamma_i) \right) \cap [\mathcal{K}_{g,n+1}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} \right).$$

With this definition,

$$\begin{aligned} &\int_{\overline{\mathcal{T}}_\mu(\mathcal{X})} \frac{1}{\mathfrak{r}} \mathbf{I}_{g,n-1,\beta_\eta}^{\mathcal{X}}(\gamma_1 \otimes \cdots \otimes \gamma_{n-1}) \cap \iota^*(\gamma_n) \\ &= \int_{\overline{\mathcal{T}}_\mu(\mathcal{X})} \check{e}_{n*} \left( \left( \prod_{i=1}^{n-1} e_i^*(\gamma_i) \right) \cap [\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} \right) \cap \iota^*(\gamma_n) \\ &= \int_{\overline{\mathcal{T}}_\mu(\mathcal{X})} \check{e}_{n*} \left( \left( \prod_{i=1}^{n-1} e_i^*(\gamma_i) \right) \cap [\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} \cap \check{e}_{n+1}^* \iota^*(\gamma_n) \right) \\ &= \int_{\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)} \left( \left( \prod_{i=1}^{n-1} e_i^*(\gamma_i) \right) \cap [\mathcal{K}_{g,n}(\mathcal{X}/S, \beta_\eta)]^{\text{virt}} \cap e_n^*(\gamma_n) \right) \\ &= \langle \mathbf{I}_{g,n,\beta_\eta}^{\mathcal{X}} \rangle(\underline{\gamma}). \end{aligned}$$

**4.1.8. Remark.** Let  $\mathcal{M}$  be a proper Artin stack over a field  $k$ . Let  $L$  be a finite algebraic extension of  $k$ , then  $\mathcal{M}_L = \mathcal{M} \times_k L \xrightarrow{\rho_L} \mathcal{M}$  is smooth and finite of degree  $[L : k]$ . By [14] 1.7.4,  $\rho_{L*}\rho_L^* = [L : k]$ , therefore  $\rho_L^*$  gives an isomorphism  $A_*(\mathcal{M}/k)_{\mathbb{Q}} \cong A_*(\mathcal{M}_L/L)_{\mathbb{Q}}$ . Let  $\bar{k}$  be an algebraic closure of  $k$  and set  $\bar{\mathcal{M}} = \mathcal{M} \times_k \bar{k}$ , then  $A_*(\bar{\mathcal{M}}/\bar{k}) = \varinjlim_L A_*(\mathcal{M}_L/L)$ , where the limit is over all finite algebraic extensions  $L$  of  $k$  such that  $L \subset \bar{k}$ . There is an induced homomorphism  $\rho: A_*(\mathcal{M}/k) \rightarrow A_*(\bar{\mathcal{M}}/\bar{k})$  which gives an isomorphism  $A_*(\mathcal{M}/k)_{\mathbb{Q}} \cong A_*(\bar{\mathcal{M}}/\bar{k})_{\mathbb{Q}}$ ; for all  $\beta \in A_*(\mathcal{M}/k)$  we set  $\bar{\beta} = \rho(\beta)$ . The same holds for bivariant Chow groups  $A^*(\bullet)_{\mathbb{Q}}$ .

**4.1.9. Proposition.** *Let  $\mathcal{X}$  be a smooth proper tame Deligne-Mumford stack of finite presentation over a field  $k$ , admitting a projective coarse moduli scheme  $X$ , and set  $\bar{\mathcal{X}} = \mathcal{X} \times_k \bar{k}$ . Then, for all  $\underline{\gamma} \in A^*(\bar{\mathcal{I}}_{\mu}(\mathcal{X})/k)_{\mathbb{Q}}^{\otimes n}$ ,*

$$I_{g,n,\beta}^{\mathcal{X}}(\underline{\gamma}) = \bar{I}_{g,n,\bar{\beta}}^{\bar{\mathcal{X}}}(\underline{\gamma}).$$

PROOF. Let  $L$  be a finite algebraic extension of  $k$  and set  $\mathcal{X}_L = \mathcal{X} \times_k L$ . Let  $\beta_L = \rho_L^*\beta$ . Notice that  $\mathcal{K}_{g,n}(\mathcal{X}_L/L, \beta_L) \cong \mathcal{K}_{g,n}(\mathcal{X}/k, \beta) \times_k L$  and thus, by Proposition 2.5.3,

$$[\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} = [\mathcal{K}_{g,n}(\mathcal{X}_L/L, \beta_L)]^{\text{virt}} \in A_*(\mathcal{K}_{g,n}(\mathcal{X}/k)_{\mathbb{Q}}) \cong A_*(\mathcal{K}_{g,n}(\mathcal{X}_L/L, \beta_L)_{\mathbb{Q}}).$$

Then for all  $\underline{\gamma} \in A^*(\bar{\mathcal{I}}_{\mu}(\mathcal{X})/k)_{\mathbb{Q}}^{\otimes n}$ , we have  $I_{g,n,\beta_L}^{\mathcal{X}_L}(\underline{\gamma}) = I_{g,n,\beta}^{\mathcal{X}}(\underline{\gamma})$  and therefore, passing to the limit, we get  $\bar{I}_{g,n,\bar{\beta}}^{\bar{\mathcal{X}}}(\underline{\gamma}) = I_{g,n,\beta}^{\mathcal{X}}(\underline{\gamma})$ .  $\square$

## 4.2. Comparison of invariants in mixed characteristic

Let  $D$  be a Dedekind domain, set  $B = \text{Spec } D$ . We denote by  $\eta = \text{Spec } K$  the generic point of  $B$  and let  $b_0, b_1 \in B$  be closed points of  $B$ . Let  $\pi: \mathcal{Y} \rightarrow B$  be a smooth proper tame Deligne-Mumford stack of finite presentation over  $B$ , admitting a projective coarse moduli scheme  $Y$  and set  $\mathcal{Y}_{\eta} = \mathcal{Y} \times_B \eta$ ,  $\mathcal{Y}_h = \mathcal{Y} \times_B b_h$  for  $h = 0, 1$ . By [14] 20.3, there are specialization morphisms  $\sigma_h: A_*(\mathcal{Y}_{\eta}/\eta) \rightarrow A_*(\mathcal{Y}_h/b_h)$  for  $h = 0, 1$ . Let  $b_h = \text{Spec } k_h$  and let  $\bar{k}_h$  be an algebraic closure of  $k_h$  for  $h = 0, 1$ . We set  $\bar{b}_h = \text{Spec } \bar{k}_h$ . Recall that the cospecialization map gives an isomorphism  $H^*(\bar{\mathcal{I}}_{\mu}(\bar{\mathcal{Y}}_0)) \cong H^*(\bar{\mathcal{I}}_{\mu}(\bar{\mathcal{Y}}_1))$ , where  $\bar{\mathcal{Y}}_h = \mathcal{Y}_h \times_{k_h} \bar{k}_h$  for  $h = 0, 1$  ([24] VI.4.1).

**4.2.1. Theorem.** *Let  $\beta \in A_1(\mathcal{Y}_{\eta}/\eta)$  and set  $\beta_h = \sigma_h(\beta)$  for  $h = 0, 1$ . Then*

$$\bar{P}_{g,n,\bar{\beta}_0}^{\bar{\mathcal{Y}}_0}(\underline{\gamma}) = \bar{P}_{g,n,\bar{\beta}_1}^{\bar{\mathcal{Y}}_1}(\underline{\gamma}),$$

for every  $\underline{\gamma} \in H^*(\bar{\mathcal{I}}_{\mu}(\bar{\mathcal{Y}}_0))_{\mathbb{Q}}^{\otimes n} \cong H^*(\bar{\mathcal{I}}_{\mu}(\bar{\mathcal{Y}}_1))_{\mathbb{Q}}^{\otimes n}$ .

PROOF. Let  $R_h$  be the localization of  $D$  at  $b_h$  for  $h = 0, 1$ , then  $R_h$  is a discrete valuation ring with generic point  $\eta$  and closed point  $b_h$ . Let  $\hat{R}_h$  be the completion of  $R_h$ , then  $\hat{R}_h$  is a complete discrete valuation ring with closed point  $b_h$  and generic point  $\eta \times_{R_h} \hat{R}_h$ . Moreover  $R_0 \otimes_D R_1 = K$  and hence  $\eta \times_{R_0} \hat{R}_0 = \eta \times_{R_1} \hat{R}_1$ . We denote by  $\hat{\eta} = \text{Spec } \hat{K}$  the generic point of  $\hat{R}_h$ . Set  $\hat{\mathcal{Y}}_h = \mathcal{Y} \times_D \hat{R}_h$  and  $\hat{\mathcal{Y}}_\eta = \mathcal{Y} \times_D \hat{\eta}$ . Let  $i_h: \mathcal{Y}_h \rightarrow \hat{\mathcal{Y}}_h$  and  $j_h: \hat{\mathcal{Y}}_\eta \rightarrow \hat{\mathcal{Y}}_h$  be the natural inclusions. Let  $\hat{\beta} \in A_1(\hat{\mathcal{Y}}_\eta/\hat{\eta})$  be the pullback of  $\beta$ . We have the following cartesian diagram

$$\begin{array}{ccccc} \mathcal{K}_{g,n}(\hat{\mathcal{Y}}_\eta/\hat{\eta}, \hat{\beta}) & \xrightarrow{\hat{j}} & \mathcal{K}_{g,n}(\hat{\mathcal{Y}}_h/\hat{R}_h, \hat{\beta}) & \xleftarrow{\hat{i}} & \mathcal{K}_{g,n}(\mathcal{Y}_h/b_h, \beta_h) \\ \downarrow & & \downarrow & & \downarrow \\ \mathfrak{M}_{g,n/\hat{\eta}} & \xrightarrow{\tilde{j}} & \mathfrak{M}_{g,n/\hat{R}_h} & \xleftarrow{\tilde{i}} & \mathfrak{M}_{g,n/b_h} \end{array}$$

Let  $\bar{K}$  be an algebraic closure of  $K$ . We set  $\bar{\beta} = \rho(\hat{\beta}) \in A_1(\bar{\mathcal{Y}}_\eta/\bar{\eta})$ , where  $\bar{\eta} = \text{Spec } \bar{K}$  and  $\bar{\mathcal{Y}}_\eta = \mathcal{Y} \times_D \bar{\eta}$ . By [14] 20.3.5 and Theorem B.1.2, there exists a specialization homomorphism

$$\hat{\sigma}_h: A_*(\mathcal{K}_{g,n}(\bar{\mathcal{Y}}_\eta/\bar{\eta}, \bar{\beta})/\bar{\eta})_{\mathbb{Q}} \rightarrow A_*(\mathcal{K}_{g,n}(\bar{\mathcal{Y}}_h/\bar{b}_h, \bar{\beta}_h)/\bar{b}_h)_{\mathbb{Q}},$$

and, by the functoriality of the virtual fundamental class (Proposition 2.5.3),

$$\hat{\sigma}_h([\mathcal{K}_{g,n}(\bar{\mathcal{Y}}_\eta/\bar{\eta}, \bar{\beta})]^{\text{virt}}) = [\mathcal{K}_{g,n}(\bar{\mathcal{Y}}_h/\bar{b}_h, \bar{\beta}_h)]^{\text{virt}}.$$

By [24] VI.4.1, there are isomorphisms  $H^*(\bar{\mathcal{I}}_\mu(\bar{\mathcal{Y}}_\eta)) \cong H^*(\bar{\mathcal{I}}_\mu(\bar{\mathcal{Y}}_h))$  for  $h = 0, 1$ , compatible with evaluation maps. It follows that, for  $h = 0, 1$ ,  $\bar{I}_{g,n,\bar{\beta}_h}^{\bar{\mathcal{Y}}_h}(\underline{\gamma}) = \bar{I}_{g,n,\bar{\beta}}^{\bar{\mathcal{Y}}_\eta}(\underline{\gamma})$  for  $\underline{\gamma} \in H^*(\bar{\mathcal{I}}_\mu(\bar{\mathcal{Y}}_h))^{\otimes n}$ .  $\square$

**4.2.2. Corollary.** *Let  $\mathcal{X}$  be a smooth proper tame Deligne-Mumford stack of finite presentation over a field  $k$ , admitting a projective coarse moduli scheme  $X$ . Then the Gromov-Witten invariants  $\langle I_{g,n,\beta}^{\mathcal{X}} \rangle$  are invariant under deformations of  $\mathcal{X}$ .*

### 4.3. Axioms

Let  $\mathcal{X}$  be a smooth proper tame Deligne-Mumford stack of finite presentation over an algebraically closed field  $k$ , admitting a projective coarse moduli scheme  $X$ .

**Effectivity.** Let  $A_1(X/k)_+$  be the set of  $\beta \in A_1(X/k)$  such that  $\beta \cdot c_1(\mathcal{L}) \geq 0$  for every ample line bundle  $\mathcal{L}$ . Then  $I_{g,n,\beta}^{\mathcal{X}} = 0$ , for all  $\beta \notin A_1(X/k)_+$ .

PROOF. If  $\mathcal{K}_{g,n}(\mathcal{X}/k, \beta) \neq \emptyset$  then  $\beta = f_*[C]$  for some stable map  $(C, x_i, f)$ , hence  $\beta \in A_1(\mathcal{X}/k)_+$ . It follows that  $\mathcal{K}_{g,n}(\mathcal{X}/k, \beta) = \emptyset$  for every  $\beta \notin A_1(\mathcal{X}/k)_+$ , and thus  $[\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} = 0$ .  $\square$

**$S_n$ -covariance.** For all  $\gamma_j \in H^{m_j}(\overline{\mathcal{I}}_\mu(\mathcal{X}))$ , we have

$$\mathbb{I}_{g,n,\beta}^{\mathcal{X}}(\gamma_1 \otimes \cdots \otimes \gamma_i \otimes \gamma_{i+1} \otimes \cdots \otimes \gamma_n) = (-1)^{m_i m_{i+1}} \mathbb{I}_{g,n,\beta}^{\mathcal{X}}(\gamma_1 \otimes \cdots \otimes \gamma_{i+1} \otimes \gamma_i \otimes \cdots \otimes \gamma_n).$$

PROOF. The statement follows from the following ([24] VI.8)

$$\gamma_1 \otimes \cdots \otimes \gamma_i \otimes \gamma_{i+1} \otimes \cdots \otimes \gamma_n = (-1)^{m_i m_{i+1}} \gamma_1 \otimes \cdots \otimes \gamma_{i+1} \otimes \gamma_i \otimes \cdots \otimes \gamma_n \in H^*(\overline{\mathcal{I}}_\mu(\mathcal{X})^n). \quad \square$$

**Grading.** Let us set  $H_{\text{st}}^*(\mathcal{X}) = H^*(\overline{\mathcal{I}}_\mu(\mathcal{X}))$ . We consider  $H_{\text{st}}^*(\mathcal{X})$  as a graded group with the following grading  $H_{\text{st}}^m(\mathcal{X}) = \bigoplus_{\Omega} H^{m-2\text{age}(\Omega)}(\Omega)$ , where the sum is taken over all connected components  $\Omega$  of  $\overline{\mathcal{I}}_\mu(\mathcal{X})$ . We have

$$\mathbb{I}_{g,n,\beta}^{\mathcal{X}}: \bigotimes_{i=1}^n H_{\text{st}}^{m_i}(\mathcal{X}) \rightarrow H^{\sum m_i + 2((g-1)\dim_k \mathcal{X} - c_1(T_{\mathcal{X}/k}) \cdot \beta)}(\overline{\mathcal{M}}_{g,n/k}).$$

PROOF. Let  $\bar{x} = (\mathcal{C} \rightarrow \mathcal{X}, \Sigma_1, \dots, \Sigma_n)$  be a geometric point of a component  $\mathcal{K}$  of  $\mathcal{K}_{g,n}(\mathcal{X}, \beta)$  then, for  $i = 1, \dots, n$ , we have evaluation maps  $e_i: \mathcal{K} \rightarrow \Omega_i$  for connected components  $\Omega_i$  of  $\overline{\mathcal{I}}_\mu(\mathcal{X})$ . Since the age only depends on the connected component, we have  $\text{age}(\Sigma_i) = \text{age}(\Omega_i)$ . The virtual fundamental class  $[\mathcal{K}]^{\text{virt}}$  is a cycle class of dimension

$$(\dim_S \mathcal{X} - 3)(1 - g) + c_1(T_{\mathcal{X}/k}) \cdot \beta - \sum_{i=1}^n \text{age}(\Sigma_i) + n.$$

Notice that  $\gamma_i \in H_{\text{st}}^{m_i}(\mathcal{X}) = H^{m_i - 2\text{age}(\Omega_i)}(\Omega_i)$ . It follows that  $\mathbb{I}_{g,n,\beta,\Omega_{n+1}}^{\mathcal{X}}(\underline{\gamma})$  has degree

$$\begin{aligned} & 2(3g - 3 + n) - 2 \left( (\dim_k \mathcal{X} - 3)(1 - g) + n + c_1(T_{\mathcal{X}/k}) \cdot \beta - \sum_{i=1}^n \text{age}(\Sigma_i) \right) + \\ & + \sum_{i=1}^n (m_i - 2\text{age}(\Omega_i)) = \sum_{i=1}^n m_i + 2((g - 1)\dim_k \mathcal{X} - c_1(T_{\mathcal{X}/k}) \cdot \beta). \quad \square \end{aligned}$$

**Fundamental class.** Let  $\varphi_n: \overline{\mathcal{M}}_{g,n+1/k} \rightarrow \overline{\mathcal{M}}_{g,n/k}$  be the natural functor that forgets the last marked point and stabilizes. We have

$$\begin{aligned} \mathbb{I}_{g,n+1,\beta}^{\mathcal{X}}(\bullet \otimes \text{id}) &= \varphi_n^* \mathbb{I}_{g,n,\beta}^{\mathcal{X}}(\bullet), \\ \mathbb{I}_{0,3,\beta}^{\mathcal{X}}(\gamma_1 \otimes \gamma_2 \otimes \text{id}) &= \begin{cases} \int_{\overline{\mathcal{I}}_\mu(\mathcal{X})} \frac{1}{\tau} \gamma_1 \cup \iota^* \gamma_2 & \text{if } \beta = 0 \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

PROOF. Let us form the cartesian diagram

$$\begin{array}{ccccc}
\mathcal{M} & \xrightarrow{j} & \mathcal{N} & \xrightarrow{\tilde{\varphi}} & \mathcal{K}_{g,n}(\mathcal{X}/k, \beta) \\
\downarrow \hat{\theta} & & \downarrow \tilde{\theta} & & \downarrow \theta_n \\
\mathfrak{M}_{g,n+1/k}^{\text{tw}} & \longrightarrow & \mathfrak{N} & \longrightarrow & \mathfrak{M}_{g,n/k}^{\text{tw}} \\
& & \downarrow & & \downarrow \\
& & \overline{\mathcal{M}}_{g,n+1/k} & \xrightarrow{\varphi_n} & \overline{\mathcal{M}}_{g,n/k}
\end{array}$$

and notice that  $\mathcal{M}$  is the algebraic stack of twisted stable maps of genus  $g$  and class  $\beta$  with  $n+1$  gerbes which remain stable if we forget the last gerbe. In particular there is a regular embedding  $i: \mathcal{M} \rightarrow \mathcal{K}_{g,n+1}(\mathcal{X}/k, \beta)$  which commute with  $\theta_{n+1}$  and  $\hat{\theta}$ . If we define a virtual fundamental class  $[\mathcal{M}]^{\text{virt}}$  relative to  $\hat{\theta}$  as described in section 3.2 then

$$i^![\mathcal{K}_{g,n+1}(\mathcal{X}/k, \beta)]^{\text{virt}} = [\mathcal{M}]^{\text{virt}}.$$

If we define a virtual fundamental class  $[\mathcal{N}]^{\text{virt}}$  relative to  $\tilde{\theta}$  then, by Proposition 2.5.3,

$$j^* \tilde{\varphi}^* [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} = j^* [\mathcal{N}]^{\text{virt}} = [\mathcal{M}]^{\text{virt}}.$$

Let  $\tilde{q}: \mathcal{N} \rightarrow \overline{\mathcal{M}}_{g,n+1/k}$  and let  $\pi: \overline{\mathcal{I}}_{\mu}(\mathcal{X})^{n+1} \rightarrow \overline{\mathcal{I}}_{\mu}(\mathcal{X})^n$  be the projection on the first  $n$  components. Moreover we denote  $\tilde{e} = e_{(n)} \circ \tilde{\varphi}$ ,  $\hat{e} = e_{(n+1)} \circ i$  and observe that  $q_{n+1} \circ i = \tilde{q} \circ j$ . We have that

$$\begin{aligned}
\mathbf{I}_{g,n+1,\beta}^X(\underline{\gamma} \otimes \text{id}) &= q_{n+1*} \left( e_{(n+1)}^*(\underline{\gamma} \otimes \text{id}) \cap [\mathcal{K}_{g,n+1}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= q_{n+1*} i_* \left( \hat{e}^*(\underline{\gamma} \otimes \text{id}) \cap i^! [\mathcal{K}_{g,n+1}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= \tilde{q}_* j_* \left( \hat{e}^*(\underline{\gamma} \otimes \text{id}) \cap j^* \tilde{\varphi}^* [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= \tilde{q}_* \left( j_* \hat{e}^*(\underline{\gamma} \otimes \text{id}) \cap \tilde{\varphi}^* [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= \tilde{q}_* \tilde{\varphi}^* \left( e_{(n)}^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= \varphi_n^* q_{n*} \left( e_{(n)}^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= \varphi_n^* \mathbf{I}_{g,n,\beta}^X(\underline{\gamma}).
\end{aligned}$$

The remaining part of the proof follows from the same arguments of [2] 8.2.1.  $\square$



**Divisor.** We have, for all  $\gamma \in H^2(X)$ ,

$$\varphi_* I_{g,n+1,\beta}^{\mathcal{X}}(\bullet \otimes \gamma) = (\beta \cdot \gamma) I_{g,n,\beta}^{\mathcal{X}}(\bullet).$$

PROOF. Consider the functor

$$\bar{\varphi}: \mathcal{K}_{g,n+1}(X/k, \beta) \rightarrow \mathcal{K}_{g,n}(X/k, \beta)$$

which forgets the last gerbe and stabilizes, and let

$$\tilde{\varphi} = \varphi \times e_{n+1}: \mathcal{K}_{g,n+1}(X/k, \beta) \rightarrow \mathcal{K}_{g,n}(X/k, \beta) \times_k \bar{\mathcal{I}}_{\mu}(\mathcal{X})$$

. By the Künneth formula ([24] VI.8), we can write

$$\tilde{\varphi}_* [\mathcal{K}_{g,n+1}(X/k, \beta)]^{\text{virt}} = [\mathcal{K}_{g,n}(X/k, \beta)]^{\text{virt}} \otimes \beta' + \alpha,$$

where  $\beta' \in H^*(\bar{\mathcal{I}}_{\mu}(\mathcal{X}))$  and  $\alpha \in H^m(\mathcal{K}_{g,n}(X/k, \beta)) \otimes H^l(\bar{\mathcal{I}}_{\mu}(\mathcal{X}))$ , with  $m$  less than the degree of  $[\mathcal{K}_{g,n}(X/k, \beta)]^{\text{virt}}$ . The class  $\beta'$  can be calculated by restricting to what happens over a generic point of  $\mathcal{K}_{g,n}(X/k, \beta)$ . Representing such a point by  $\xi = (\mathcal{C}, \Sigma_1, \dots, \Sigma_n, f)$ , we have the cartesian diagram

$$\begin{array}{ccccc} \mathcal{C} & \xrightarrow{f} & \xi \times_k \bar{\mathcal{I}}_{\mu}(\mathcal{X}) & \longrightarrow & \xi \\ \downarrow & & \downarrow & & \downarrow i \\ \mathcal{K}_{g,n+1}(X/k, \beta) & \xrightarrow{\tilde{\varphi}} & \mathcal{K}_{g,n}(X/k, \beta) \times_k \bar{\mathcal{I}}_{\mu}(\mathcal{X}) & \xrightarrow{\pi} & \mathcal{K}_{g,n}(X/k, \beta) \end{array}$$

where, for  $\xi$  generic, the map  $i$  is a regular embedding, hence

$$i^! \tilde{\varphi}_* [\mathcal{K}_{g,n+1}(X/k, \beta)]^{\text{virt}} = f_* i^! [\mathcal{K}_{g,n+1}(X/k, \beta)]^{\text{virt}} = f_* [\mathcal{C}] = \beta,$$

on the other hand

$$i^! \tilde{\varphi}_* [\mathcal{K}_{g,n+1}(X/k, \beta)]^{\text{virt}} = i^! \left( [\mathcal{K}_{g,n}(X/k, \beta)]^{\text{virt}} \otimes \beta' + \alpha \right) = \beta'.$$

It follows that  $\beta' = \beta$ . Then

$$\begin{aligned}
\varphi_* \mathbb{I}_{g,n+1,\beta}^{\mathcal{X}}(\underline{\gamma} \otimes \gamma) &= \varphi_* q_{n+1*} \left( e_{(n+1)}^*(\underline{\gamma} \otimes \gamma) \cap [\mathcal{K}_{g,n+1}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= q_{n*} \pi_* \tilde{\varphi}_* \left( \tilde{\varphi}^*(e_{(n)} \times \text{id})^*(\underline{\gamma} \otimes \gamma) \cap [\mathcal{K}_{g,n+1}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= q_{n*} \pi_* \left( (e_{(n)} \times \text{id})^*(\underline{\gamma} \otimes \gamma) \cap \tilde{\varphi}_* [\mathcal{K}_{g,n+1}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= q_{n*} \pi_* \left( (e_{(n)} \times \text{id})^*(\underline{\gamma} \otimes \gamma) \cap \left( [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} \times \beta + \alpha \right) \right) \\
&= q_{n*} \left( e_{(n)}^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) (\beta \cdot \gamma) \\
&= (\beta \cdot \gamma) \mathbb{I}_{g,n,\beta}^{\mathcal{X}}(\underline{\gamma}). \quad \square
\end{aligned}$$

**Splitting.** Let  $g_1, g_2, n_1, n_2 \geq 0$  be integers with  $2g_j + n_j + 1 \geq 3$ , and set  $g = g_1 + g_2$ ,  $n = n_1 + n_2$ . Let

$$\text{gl}: \overline{\mathcal{M}}_{g_1, n_1 + 1/k} \times_k \overline{\mathcal{M}}_{g_2, n_2 + 1/k} \rightarrow \overline{\mathcal{M}}_{g, n/k},$$

be the natural functor that identifies the last marked points. Let  $\underline{\gamma} = \gamma_1 \otimes \cdots \otimes \gamma_n$ , then

$$\text{gl}^! \mathbb{I}_{g,n,\beta}^{\mathcal{X}}(\underline{\gamma}) = \sum_{\beta_1 + \beta_2 = \beta} \mathbb{I}_{g_1, n_1 + 1, \beta_1}^{\mathcal{X}} \otimes \mathbb{I}_{g_2, n_2 + 1, \beta_2}^{\mathcal{X}}(\underline{\gamma} \otimes [\Delta]),$$

where  $\Delta$  is the diagonal in  $\overline{\mathcal{I}}_{\mu}(\mathcal{X})^2$  (1.3.6).

PROOF. Let us notice that  $A_1(\mathcal{X}/k)_+$  is a commutative semigroup then, by effectivity, the sum is finite. Denote for simplicity  $\mathcal{K}^{(\beta_j)} = \mathcal{K}_{g_j, n_j + 2}(\mathcal{X}/k, \beta_j)$  for  $j = 1, 2$ . Let us consider the following commutative diagram

$$\begin{array}{ccccc}
\mathcal{K}^{(\beta_1)} \times_k \mathcal{K}^{(\beta_2)} & \xleftarrow{\tilde{\Delta}} & \mathcal{K}^{(\beta_1)} \times_{\overline{\mathcal{I}}_{\mu}(\mathcal{X})} \mathcal{K}^{(\beta_2)} & \longrightarrow & \mathcal{K}_{g,n}(\mathcal{X}/k, \beta) \\
& \searrow q_{1,2} & \downarrow \tilde{q} & & \downarrow q \\
& & \overline{\mathcal{M}}_{g_1, n_1 + 1/k} \times_k \overline{\mathcal{M}}_{g_2, n_2 + 1/k} & \xrightarrow{\text{gl}} & \overline{\mathcal{M}}_{g, n/k}
\end{array}$$

where the square is cartesian by Proposition 3.3.2. Moreover, we have the following cartesian diagram

$$\begin{array}{ccc}
\mathcal{K}^{(\beta_1)} \times_{\overline{\mathcal{I}}_{\mu}(\mathcal{X})} \mathcal{K}^{(\beta_2)} & \xrightarrow{\tilde{\Delta}} & \mathcal{K}^{(\beta_1)} \times_k \mathcal{K}^{(\beta_2)} \\
\tilde{e} \downarrow & & \downarrow e_{1,2} \\
\overline{\mathcal{I}}_{\mu}(\mathcal{X})^{n+1} & \xrightarrow{\text{id} \times \Delta} & \overline{\mathcal{I}}_{\mu}(\mathcal{X})^{n+2}
\end{array}$$

By Proposition 3.3.4,

$$\mathrm{gl}^![\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\mathrm{virt}} = \sum_{\beta_1+\beta_2=\beta} \Delta^!([\mathcal{K}_{g_1,n_1+1}(\mathcal{X}, \beta_1)]^{\mathrm{virt}} \times [\mathcal{K}_{g_2,n_2+1}(\mathcal{X}, \beta_2)]^{\mathrm{virt}}).$$

Then we have

$$\begin{aligned} \mathrm{gl}^!I_{g,n,\beta}^{\mathcal{X}}(\underline{\gamma}) &= \mathrm{gl}^!q_* \left( e^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\mathrm{virt}} \right) \\ &= \tilde{q}_* \mathrm{gl}^! \left( e^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\mathrm{virt}} \right) \\ &= \tilde{q}_* \left( \tilde{e}^* \pi^*(\underline{\gamma}) \cap \mathrm{gl}^![\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\mathrm{virt}} \right) \\ &= \sum_{\beta_1+\beta_2=\beta} q_{1,2*} \tilde{\Delta}_* \left( \tilde{e}^*(\underline{\gamma} \otimes \mathrm{id}) \cap \Delta^!([\mathcal{K}_{g_1,n_1+1}(\mathcal{X}, \beta_1)]^{\mathrm{virt}} \times [\mathcal{K}_{g_2,n_2+1}(\mathcal{X}, \beta_2)]^{\mathrm{virt}}) \right) \\ &= \sum_{\beta_1+\beta_2=\beta} q_{1,2*} \left( \tilde{\Delta}_* \tilde{e}^*(\underline{\gamma} \otimes \mathrm{id}) \cap ([\mathcal{K}_{g_1,n_1+1}(\mathcal{X}, \beta_1)]^{\mathrm{virt}} \times [\mathcal{K}_{g_2,n_2+1}(\mathcal{X}, \beta_2)]^{\mathrm{virt}}) \right) \\ &= \sum_{\beta_1+\beta_2=\beta} q_{1,2*} \left( e_{1,2}^*(\underline{\gamma} \otimes [\Delta]) \cap ([\mathcal{K}_{g_1,n_1+1}(\mathcal{X}, \beta_1)]^{\mathrm{virt}} \times [\mathcal{K}_{g_2,n_2+1}(\mathcal{X}, \beta_2)]^{\mathrm{virt}}) \right) \\ &= \sum_{\beta_1+\beta_2=\beta} I_{g_1,n_1+1,\beta_1}^{\mathcal{X}} \otimes I_{g_2,n_2+1,\beta_2}^{\mathcal{X}}(\underline{\gamma} \otimes [\Delta]). \quad \square \end{aligned}$$

**Genus reduction.** Let  $\mathrm{gl}: \overline{\mathcal{M}}_{g-1,n+2/k} \rightarrow \overline{\mathcal{M}}_{g,n/k}$  be the natural functor that identifies the last gerbes. We have

$$\mathrm{gl}^!I_{g,n,\beta}^{\mathcal{X}}(\bullet) = I_{g-1,n+2,\beta}^{\mathcal{X}}(\bullet \otimes [\Delta]),$$

where  $\Delta$  is the diagonal in  $\overline{\mathcal{I}}_{\mu}(\mathcal{X})^2$  (1.3.6).

PROOF. Let us consider the following commutative diagram

$$\begin{array}{ccccc} \mathcal{K}_{g-1,n+2}(\mathcal{X}/k, \beta) & \xleftarrow{\tilde{\Delta}} & \mathcal{K}_{g-1,n+2}(\mathcal{X}/k, \beta) \times_{\overline{\mathcal{I}}_{\mu}(\mathcal{X})^2} \overline{\mathcal{I}}_{\mu}(\mathcal{X}) & \rightarrow & \mathcal{K}_{g,n}(\mathcal{X}/k, \beta) \\ & \searrow q_{n+2} & \downarrow \tilde{q} & & \downarrow q_n \\ & & \overline{\mathcal{M}}_{g-1,n+2/k} & \xrightarrow{\mathrm{gl}} & \overline{\mathcal{M}}_{g,n/k} \end{array}$$

where the square is cartesian. Moreover, we have the following cartesian diagram

$$\begin{array}{ccc}
\mathcal{K}_{g-1,n+2}(\mathcal{X}/k, \beta) \times_{\bar{\mathcal{I}}_\mu(\mathcal{X})^2} \bar{\mathcal{I}}_\mu(\mathcal{X}) & \xrightarrow{\tilde{\Delta}} & \mathcal{K}_{g-1,n+2}(\mathcal{X}/k, \beta) \\
\downarrow \tilde{e} & & \downarrow e_{(n+2)} \\
\bar{\mathcal{I}}_\mu(\mathcal{X})^{n+1} & \xrightarrow{\text{id} \times \Delta} & \bar{\mathcal{I}}_\mu(\mathcal{X})^{n+2}
\end{array}$$

By Proposition 3.3.4,

$$\text{gl}^![\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} = \Delta^!([\mathcal{K}_{g-1,n+2}(\mathcal{X}, \beta)]^{\text{virt}}).$$

Then we have

$$\begin{aligned}
\text{gl}^! \mathbb{I}_{g,n,\beta}^{\mathcal{X}}(\underline{\gamma}) &= \text{gl}^! q_{n*} \left( e_{(n)}^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= \tilde{q}_* \text{gl}^! \left( e_{(n)}^*(\underline{\gamma}) \cap [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= \tilde{q}_* \left( \tilde{e}^* \pi^*(\underline{\gamma}) \cap \text{gl}^! [\mathcal{K}_{g,n}(\mathcal{X}/k, \beta)]^{\text{virt}} \right) \\
&= q_{n+2*} \tilde{\Delta}_* \left( \tilde{e}^*(\underline{\gamma} \otimes \text{id}) \cap \Delta^! [\mathcal{K}_{g-1,n+2}(\mathcal{X}, \beta)]^{\text{virt}} \right) \\
&= q_{n+2*} \left( \tilde{\Delta}_* \tilde{e}^*(\underline{\gamma} \otimes \text{id}) \cap [\mathcal{K}_{g-1,n+2}(\mathcal{X}, \beta)]^{\text{virt}} \right) \\
&= q_{n+2*} \left( e_{(n+2)}^*(\underline{\gamma} \otimes [\Delta]) \cap [\mathcal{K}_{g-1,n+2}(\mathcal{X}, \beta)]^{\text{virt}} \right) \\
&= \mathbb{I}_{g-1,n+2,\beta}^{\mathcal{X}}(\underline{\gamma} \otimes [\Delta]).
\end{aligned}$$

□

## Genus zero invariants in positive characteristic

### 5.1. Gromov-Witten potential

Let  $\mathcal{X}$  be a smooth proper tame Deligne-Mumford stack of finite presentation over an algebraically closed field  $k$  (of arbitrary characteristic), admitting a projective coarse moduli scheme  $X$ . Fix  $\beta \in A_1(X/k)$  and  $n \geq 0$ . Let  $l$  be a prime different from the characteristic of  $k$ .

**5.1.1. Remark.** Recall that we defined on the group  $H_{\text{st}}^*(\mathcal{X}) = H^*(\overline{\mathcal{I}}_\mu(\mathcal{X}))$  the following grading  $H_{\text{st}}^m(\mathcal{X}) = \bigoplus_{\Omega} H^{m-2\text{age}(\Omega)}(\Omega)$ , where the sum is taken over all connected components  $\Omega$  of  $\overline{\mathcal{I}}_\mu(\mathcal{X})$ . By [24] V.1.11,  $H_{\text{st}}^*(\mathcal{X}) = \sum_r H^r(\overline{\mathcal{I}}_\mu(\mathcal{X}), \mathbb{Q}_l(\bar{r}))$  is finitely generated over  $\mathbb{Q}_l$ . Let  $T_0 = 1, T_1, \dots, T_m$  be generators for  $H_{\text{st}}^*(\mathcal{X})$ . For each  $i = 1, \dots, m$ , we introduce a variable  $t_i$  of the same degree of  $T_i$ , such that the  $t_i$  supercommute, which means

$$t_i t_j = (-1)^{\deg t_i \deg t_j} t_j t_i,$$

and  $t_i^2 = 0$  if  $t_i$  has odd degree.

**5.1.2. Remark.** If  $\gamma_i \in H_{\text{st}}^{m_i}(\mathcal{X})$  then  $\langle I_{0,n,\beta}^{\mathcal{X}} \rangle(\gamma_1 \otimes \dots \otimes \gamma_n) \in \mathbb{Q}_l$  is zero unless

$$\sum_{i=1}^n m_i = 2(\dim_k \mathcal{X} + c_1(T_{\mathcal{X}/k}) \cdot \beta).$$

**5.1.3. Notation.** We denote the vector  $(a_0, \dots, a_m)$  as  $\underline{a}$ ; we set  $|\underline{a}| = a_0 + \dots + a_m$  and  $\underline{a}! = a_0! \dots a_m!$ . Moreover we set  $\langle I_{0,n,\beta}^{\mathcal{X}} \rangle = 0$  for  $n < 3$ .

**5.1.4. Definition.** Let  $\gamma = \sum_{i=0}^m t_i T_i$  (regarding  $T_i$  and  $t_i$  as supercommuting variables). We define the *genus 0 Gromov-Witten potential* as the formal series

$$\Phi(\gamma) = \sum_{n \geq 0} \sum_{\beta \in A_1(X/k)} \frac{1}{n!} \langle I_{0,n,\beta}^{\mathcal{X}} \rangle(\gamma^n) q^\beta,$$

where  $q^\beta$  is a free variable of degree  $\beta \cdot c_1(T_{\mathcal{X}/k})$  and

$$\frac{1}{n!} \langle I_{0,n,\beta}^{\mathcal{X}} \rangle(\gamma^n) = \sum_{|\underline{a}|=n} \epsilon(\underline{a}) \langle I_{0,n,\beta}^{\mathcal{X}} \rangle(T^{\underline{a}}) \frac{t^{\underline{a}}}{\underline{a}!},$$

with  $\epsilon(\underline{a}) = \pm 1$  determined by

$$(t_0 T_0)^{a_0} \cdots (t_m T_m)^{a_m} = \epsilon(\underline{a}) T_0^{a_0} \cdots T_m^{a_m} t_0^{a_0} \cdots t_m^{a_m}.$$

**5.1.5. Remark.** By effectivity axiom, the Gromov-Witten potential is a formal series in  $\mathcal{R} = R[[t_0, \dots, t_m]]$ , with  $R = \mathbb{Q}_l[[q^\beta; \beta \in A_1(X/k)_+]]$ .

## 5.2. Quantum product

By [24] VI.8,  $H^*(\bar{\mathcal{I}}_\mu(\mathcal{X}) \times_k \bar{\mathcal{I}}_\mu(\mathcal{X})) = H^*(\bar{\mathcal{I}}_\mu(\mathcal{X})) \otimes H^*(\bar{\mathcal{I}}_\mu(\mathcal{X}))$ . Let  $\Delta$  be the diagonal in  $\bar{\mathcal{I}}_\mu(\mathcal{X})^2$  (1.3.6), then

$$[\Delta] = \sum_{e,f} g^{ef} T_e \otimes T_f.$$

**5.2.1. Definition.** We define

$$T_i * T_j = \sum_{e,f} \frac{\partial^3 \Phi}{\partial t_i \partial t_j \partial t_e} g^{ef} T_f.$$

Extending this linearly gives the (*big*) quantum product on  $H_{\text{st}}^*(\mathcal{X}, \mathcal{R})$ .

**5.2.2. Remark.** Notice that the Gromov-Witten invariants with  $n < 3$  do not affect the quantum product.

**5.2.3. Lemma.** For all  $i, j, h$ , we have

$$\frac{\partial^3 \Phi(\gamma)}{\partial t_i \partial t_j \partial t_h} = \sum_{n \geq 0} \sum_{\beta \in A_1(X/k)} \frac{1}{n!} \langle I_{0,n+3,\beta}^{\mathcal{X}} \rangle (T_i \otimes T_j \otimes T_h \otimes \gamma^n) q^\beta.$$

PROOF. For simplicity, we will assume that  $H_{\text{st}}^*(\mathcal{X}, \mathcal{R})$  has only even cohomology so that we don't have to worry about signs. We have

$$\frac{\partial^3 \Phi(\gamma)}{\partial t_i \partial t_j \partial t_h} = \frac{\partial^3}{\partial t_i \partial t_j \partial t_h} \sum_{n, \beta} \sum_{|\underline{a}|=n} \langle I_{0,n,\beta}^{\mathcal{X}} \rangle (T^{\underline{a}}) \frac{t^{\underline{a}}}{\underline{a}!} q^\beta = \sum_{n, \beta} \sum_{|\underline{a}|=n} \langle I_{0,n,\beta}^{\mathcal{X}} \rangle (T^{\underline{a}'}) \frac{t^{\underline{a}'}}{\underline{a}'!} q^\beta,$$

where  $\underline{a}' = \underline{a} - e_i - e_j - e_h$  and  $e_i = (0, \dots, 0, 1, 0, \dots, 0)$  with 1 in the  $i$ -th position. Moreover

$$\begin{aligned} \sum_{n, \beta} \frac{1}{n!} \langle I_{0,n+3,\beta}^{\mathcal{X}} \rangle (T_i \otimes T_j \otimes T_h \otimes \gamma^n) q^\beta &= \sum_{n, \beta} \sum_{|\underline{a}|=n} \langle I_{0,n+3,\beta}^{\mathcal{X}} \rangle (T_i \otimes T_j \otimes T_h \otimes T^{\underline{a}}) \frac{t^{\underline{a}}}{\underline{a}!} q^\beta \\ &= \sum_{n, \beta} \sum_{|\underline{a}|=n+3} \langle I_{0,n+3,\beta}^{\mathcal{X}} \rangle (T^{\underline{a}+e_i+e_j+e_h}) \frac{t^{\underline{a}}}{\underline{a}!} q^\beta. \quad \square \end{aligned}$$

**5.2.4. Theorem** (WDVV equation). *The Gromov-Witten potential satisfies the equation*

$$\sum_{e,f} \frac{\partial^3 \Phi}{\partial t_i \partial t_j \partial t_e} g^{ef} \frac{\partial^3 \Phi}{\partial t_f \partial t_h \partial t_l} = (-1)^{\deg t_i (\deg t_j + \deg t_h)} \sum_{e,f} \frac{\partial^3 \Phi}{\partial t_j \partial t_h \partial t_e} g^{ef} \frac{\partial^3 \Phi}{\partial t_f \partial t_i \partial t_l},$$

for all  $i, j, h, l$ .

PROOF. For simplicity, we will assume that  $H_{\text{st}}^*(\mathcal{X}, \mathcal{R})$  has only even cohomology so that we don't have to worry about signs. If we set

$$F(ij|hl) = \frac{\partial^3 \Phi}{\partial t_i \partial t_j \partial t_e} g^{ef} \frac{\partial^3 \Phi}{\partial t_f \partial t_h \partial t_l},$$

then we want to show that  $F(ij|hl) = F(jh|il)$ . Consider the following cartesian diagram

$$\begin{array}{ccc} D(ij|hl) & \longrightarrow & \overline{\mathcal{M}}_{0, n+4/k} \\ \downarrow & & \downarrow \rho \\ \text{Spec } k = \overline{\mathcal{M}}_{0, \{i, j\} \cup \bullet/k} \times_k \overline{\mathcal{M}}_{0, \{h, l\} \cup \bullet/k} & \xrightarrow{\text{gl}} & \overline{\mathcal{M}}_{0, 4/k} \end{array}$$

where the image of  $\text{gl}$  is a boundary point of  $\overline{\mathcal{M}}_{0, 4/k} \cong \mathbb{P}_k^1$ . Since the boundary points are linearly equivalent, the same is true for the fibers of  $\rho$  over these points, hence  $D(ij|hl)$  and  $D(jh|il)$  are linearly equivalent divisors in  $\overline{\mathcal{M}}_{0, n+4/k}$ . Let  $A \cup B$  be a partition of  $\{1, \dots, n+4\}$  such that  $i, j \in A$  and  $h, l \in B$ . Let us set  $\overline{\mathcal{M}}_{A,B} = \overline{\mathcal{M}}_{0, A \cup \bullet/k} \times_k \overline{\mathcal{M}}_{0, B \cup \bullet/k}$  and form the fiber square

$$\begin{array}{ccc} D(A|B) & \longrightarrow & D(ij|hl) \\ \downarrow & & \downarrow \\ \overline{\mathcal{M}}_{A,B} & \xrightarrow{\text{gl}} & \overline{\mathcal{M}}_{0, n+4/k} \end{array}$$

then  $D(ij|hl) = \bigsqcup_{\substack{A \cup B = \{1, \dots, n+4\} \\ i, j \in A, h, l \in B}} D(A|B)$ . We set

$$\overline{\mathcal{K}}^{(\beta_1, \beta_2)} = \mathcal{K}_{0, A \cup \bullet}(\mathcal{X}/k, \beta_1) \times_k \mathcal{K}_{0, B \cup \bullet}(\mathcal{X}/k, \beta_2).$$

Let us set for simplicity  $\gamma_{n_1} = T_i \otimes T_j \otimes \gamma^{n_1}$  and  $\gamma_{n_2} = T_h \otimes T_l \otimes \gamma^{n_2}$ . Then, by Lemma 5.2.3 and splitting axiom,

$$\begin{aligned}
F(ij|hl) &= \sum_{\beta_1, \beta_2, n_1, n_2, e, f} \frac{1}{n_1! n_2!} \langle \mathbb{I}_{0, n_1+3, \beta_1}^{\mathcal{X}} \rangle (T_e \otimes \gamma_{n_1}) g^{ef} \langle \mathbb{I}_{0, n_2+3, \beta_2}^{\mathcal{X}} \rangle (T_f \otimes \gamma_{n_2}) q^{\beta_1 + \beta_2} \\
&= \sum_{\beta, n} \sum_{\substack{\beta_1 + \beta_2 = \beta \\ n_1 + n_2 = n}} \sum_{e, f} \frac{1}{n_1! n_2!} \int_{[\mathcal{K}^{(\beta_1, \beta_2)}]^{\text{virt}}} g^{e, f} e_{(1,2)}^* (T_e \otimes \gamma_{n_1} \otimes T_f \otimes \gamma_{n_2}) q^\beta \\
&= \sum_{\beta, n} \sum_{\substack{\beta_1 + \beta_2 = \beta \\ n_1 + n_2 = n}} \frac{1}{n_1! n_2!} \int_{[\mathcal{K}^{(\beta_1, \beta_2)}]^{\text{virt}}} e_{(1,2)}^* (\gamma_{n_1} \otimes [\Delta] \otimes \gamma_{n_2}) q^\beta \\
&= \sum_{\beta, n} \sum_{\substack{A \cup B = \{1, \dots, n+4\} \\ i, j \in A, h, l \in B}} \frac{1}{n!} \int_{\mathcal{M}_{A, B}} \text{gl}^1 \mathbb{I}_{0, n+4, \beta}^{\mathcal{X}} (T_i \otimes T_j \otimes T_h \otimes T_l \otimes \gamma^n) q^\beta \\
&= \sum_{\beta, n} \sum_{\substack{A \cup B = \{1, \dots, n+4\} \\ i, j \in A, h, l \in B}} \frac{1}{n!} \int_{D(A|B)} \mathbb{I}_{0, n+4, \beta}^{\mathcal{X}} (T_i \otimes T_j \otimes T_h \otimes T_l \otimes \gamma^n) q^\beta \\
&= \sum_{\beta, n} \frac{1}{n!} \int_{D(ij|hl)} \mathbb{I}_{0, n+4, \beta}^{\mathcal{X}} (T_i \otimes T_j \otimes T_h \otimes T_l \otimes \gamma^n) q^\beta.
\end{aligned}$$

Since  $D(ij|hl)$  and  $D(jh|il)$  are linearly equivalent, it follows that  $F(ij|hl) = F(jh|il)$ .  $\square$

**5.2.5. Proposition.** *The quantum product is supercommutative with identity  $T_0$  and associative.*

PROOF. By Lemma 5.2.3 and  $S_n$ -covariance axiom,

$$\begin{aligned}
T_i * T_j &= \sum_{\beta, n, e, f} \frac{1}{n!} \langle \mathbb{I}_{0, n+3, \beta}^{\mathcal{X}} \rangle (T_i \otimes T_j \otimes T_e \otimes \gamma^n) g^{ef} T_f q^\beta \\
&= \sum_{\beta, n, e, f} \frac{1}{n!} (-1)^{\deg T_i \deg T_j} \langle \mathbb{I}_{0, n+3, \beta}^{\mathcal{X}} \rangle (T_j \otimes T_i \otimes T_e \otimes \gamma^n) g^{ef} T_f q^\beta \\
&= (-1)^{\deg T_i \deg T_j} T_j * T_i.
\end{aligned}$$



Let  $\Delta: \bar{\mathcal{I}}_\mu(\mathcal{X}) \rightarrow \bar{\mathcal{I}}_\mu(\mathcal{X})^2$  be the diagonal (1.3.6) and let  $p_i: \bar{\mathcal{I}}_\mu(\mathcal{X})^2 \rightarrow \bar{\mathcal{I}}_\mu(\mathcal{X})$  be the natural projections for  $i = 1, 2$ . By the fundamental class axiom,

$$\begin{aligned} T_i &= p_{2*} \Delta_* (\Delta^! p_1^* \iota^*(T_i)) \\ &= p_{2*} (p_1^* \iota^*(T_i) \cup [\Delta]) \\ &= \sum_{e,f} g^{ef} p_{2*} ((\iota^*(T_i) \otimes T_0) \cup (T_e \otimes T_f)) \\ &= \sum_{e,f} g^{ef} p_{2*} ((\iota^*(T_i) \cup T_e) \otimes T_f) \\ &= \sum_{e,f} \langle I_{0,3,0}^{\mathcal{X}} \rangle (T_0 \otimes T_i \otimes T_e) g^{ef} T_f. \end{aligned}$$

Moreover, we have  $\langle I_{0,n+3,\beta}^{\mathcal{X}} \rangle (\bullet \otimes T_0) = 0$  unless  $\beta = 0$  and  $n = 3$ . Therefore

$$\begin{aligned} T_0 * T_i &= \sum_{\beta,n,e,f} \frac{1}{n!} \langle I_{0,n+3,\beta}^{\mathcal{X}} \rangle (T_0 \otimes T_i \otimes T_e \otimes \gamma^n) g^{ef} T_f q^\beta \\ &= \sum_{e,f} \langle I_{0,3,0}^{\mathcal{X}} \rangle (T_0 \otimes T_i \otimes T_e) g^{ef} T_f = T_i. \end{aligned}$$

Finally, we prove that the quantum product is associative. For simplicity, we will assume that  $H_{st}^*(\mathcal{X}, \mathcal{R})$  has only even cohomology so that we don't have to worry about signs. We have

$$(T_i * T_j) * T_h = \sum_{e,f} \frac{\partial^3 \Phi}{\partial t_i \partial t_j \partial t_e} g^{ef} T_e * T_h = \sum_{c,d,e,f} \frac{\partial^3 \Phi}{\partial t_i \partial t_j \partial t_e} g^{ef} \frac{\partial^3 \Phi}{\partial t_f \partial t_h \partial t_c} g^{cd} T_d$$

and

$$T_i * (T_j * T_h) = (-1)^{\deg T_i (\deg T_j + \deg T_h)} (T_j * T_h) * T_i,$$

since the quantum product is supercommutative. Therefore, associativity follows from Theorem 5.2.4.  $\square$

### 5.3. Reconstruction for genus zero Gromov-Witten invariants.

**5.3.1. Theorem.** *If  $H_{st}^*(\mathcal{X})$  is generated by  $H_{st}^2(\mathcal{X})$  then every genus zero Gromov-Witten invariant can be uniquely reconstructed starting with the following system of Gromov-Witten invariants*

$$\left\{ I_{0,3,\beta}^{\mathcal{X}}(\gamma_1 \otimes \gamma_2 \otimes \gamma_3) \mid \beta \cdot c_1(T_{\mathcal{X}/k}) \leq \dim_k \mathcal{X} + 1, \deg \gamma_3 = 2 \right\}.$$

PROOF. Apply the WDVV equation (Theorem 5.2.4) to  $\gamma_1 \otimes \cdots \otimes \gamma_{n+1}$  with indices  $\{i, j, h, l\} = \{1, 2, n, n+1\}$ . Let us define a partial order on pairs  $(\beta, n)$ , with  $n \geq 3$  and  $\beta \in A_1(X/k)_+$ , by setting  $(\beta, n) > (\beta', n')$  if and only if either  $\beta = \beta' + \beta''$  or  $\beta = \beta'$  and

$n > n'$ . Then there are four terms of higher order in the WDVV equation each of the form

$$I_{a,b} = \sum_{e,f} \langle I_{0,3,0}^{\mathcal{X}} \rangle (\gamma_a \otimes \gamma_b \otimes T_e) g^{ef} \langle I_{0,n-1,\beta}^{\mathcal{X}} \rangle (T_f \otimes (\otimes_{s \neq a,b} \gamma_s)),$$

with  $(a,b) \in \{(1,2), (n,n+1), (2,n), (1,n+1)\}$ . As shown in the proof of Proposition 5.2.5, we have

$$\gamma_a \cup \gamma_b = \sum_{e,f} \langle I_{0,3,0}^{\mathcal{X}} \rangle (\gamma_a \otimes \gamma_b \otimes T_e) g^{ef} T_f,$$

hence  $I_{a,b} = \langle I_{0,n-1,\beta}^{\mathcal{X}} \rangle (\gamma_a \cup \gamma_b \otimes (\otimes_{s \neq a,b} \gamma_s))$ . Let us consider  $\langle I_{0,n,\beta}^{\mathcal{X}} \rangle (\gamma_1 \otimes \cdots \otimes \gamma_n)$ . If  $\deg \gamma_n = 2$ , then we can apply divisor axiom to reduce  $n$ . Otherwise, since  $H_{\text{st}}^*(\mathcal{X})$  is generated by  $H_{\text{st}}^2(\mathcal{X})$ , we can write  $\gamma_n = \sum_i \delta'_i \cup \delta_i$ , with  $\deg \delta_i = 2$ . By linearity, we can assume  $\gamma_n = \delta' \cup \delta$ , with  $\deg \delta = 2$ . Apply the construction above with  $\gamma_n = \delta'$  and  $\gamma_{n+1} = \delta$ . Then, by WDVV equation, we get

$$\begin{aligned} & \pm \langle I_{0,n-1,\beta}^{\mathcal{X}} \rangle (\gamma_1 \cup \gamma_2 \otimes \gamma_3 \otimes \cdots \otimes \gamma_{n-1} \otimes \delta' \otimes \delta) \pm \langle I_{0,n-1,\beta}^{\mathcal{X}} \rangle (\gamma_1 \otimes \cdots \otimes \gamma_{n-1} \otimes \delta' \cup \delta) \\ & \pm \langle I_{0,n-1,\beta}^{\mathcal{X}} \rangle (\gamma_1 \cup \delta \otimes \gamma_2 \otimes \cdots \otimes \gamma_{n-1} \otimes \delta') \pm \langle I_{0,n-1,\beta}^{\mathcal{X}} \rangle (\gamma_1 \otimes \gamma_2 \cup \delta' \otimes \gamma_3 \otimes \cdots \otimes \gamma_{n-1} \otimes \delta) = \\ & = \text{a combination of higher order terms.} \end{aligned}$$

By divisor axiom, the first and the fourth summands are lifted from  $\overline{\mathcal{M}}_{0,n-1/k}$ . Moreover in the third summand we have  $\deg \delta' < \deg \gamma_n$ . If  $\deg \delta' = 2$  then, by divisor axiom, we can reduce  $n$ , otherwise we repeat this trick and in a finite number of iterations we will reduce  $n$ . Finally, we can apply the procedure described above to  $\langle I_{0,3,\beta}^{\mathcal{X}} \rangle (\gamma_1 \otimes \gamma_2 \otimes \gamma_3)$  and decrease  $\deg \gamma_3 \geq 2$ .  $\square$

## APPENDIX A

### Deformation theory

#### A.1. Formal criteria of smoothness

We recall a few results on smoothness and formal smoothness of morphisms of schemes and algebraic stacks.

**A.1.1. Definition.** A morphism of schemes  $f: X \rightarrow Y$  is *smooth* if it is flat, locally of finite presentation and the fibers of  $f$  are geometrically regular (i.e. for every point  $x \in X$ , all the localizations of  $\mathcal{O}_{X,x} \otimes_{\mathcal{O}_{Y,f(x)}} \overline{k(f(x))}$  are regular, where  $\overline{k(f(x))}$  is an algebraic closure of the residue field of  $f(x)$ ).

**A.1.2. Remark.** If  $Y$  is locally Noetherian, then  $f$  is locally of finite presentation if and only if it is locally of finite type ([17] 1.6).

**A.1.3. Definition.** A morphism of schemes  $f: X \rightarrow Y$  is *formally smooth* if for every ring  $A$ , for every nilpotent ideal  $I \subset A$  and for every morphism of schemes  $\text{Spec } A \rightarrow Y$ , the canonical map

$$\text{Hom}_Y(\text{Spec } A, X) \rightarrow \text{Hom}_Y(\text{Spec } A/I, X)$$

is surjective.

**A.1.4. Remark.** Both smoothness and formal smoothness can be checked locally.

**A.1.5. Remark.** It is enough to verify the condition of formal smoothness only on ideals  $I \subset A$  with  $I^2 = 0$ . Indeed, let  $A$  be a ring and  $I \subset A$  a nilpotent ideal. In particular  $I^n = 0$  for some  $n$ . Consider  $A_i = A/I^i$  for  $i = 1, \dots, n$ . Then  $A_{i-1} = A_i/J_i$ , where  $J_i = I^i/I^{i+1}$ . Notice that  $J_i^2 = 0$  and we have the following sequence of surjective maps

$$\text{Hom}_Y(\text{Spec } A, X) \rightarrow \text{Hom}_Y(\text{Spec } A/I^{n-1}, X) \rightarrow \dots \rightarrow \text{Hom}_Y(\text{Spec } A/I, X).$$

**A.1.6. Proposition** ([18] Corollary 17.5.2). *Let  $f: X \rightarrow Y$  be a morphism of finite type. Then  $f$  is smooth if and only if it is formally smooth.*

**A.1.7. Proposition** ([24] Proposition I.3.24). *Let  $f: X \rightarrow Y$  be a morphism of finite type. Then  $f$  is smooth of dimension  $d$  if and only if locally it can be written in the form  $\text{Spec } S \rightarrow \text{Spec } R$  with  $S = R[x_1, \dots, x_{d+r}]/(p_1, \dots, p_r)$  and the matrix of partial derivatives  $(\delta p_i / \delta x_j)$  has rank  $r$  at every point of  $\text{Spec } S$ .*

**A.1.8. Lemma.** *Let  $f: \text{Spec } S \rightarrow \text{Spec } R$  be a morphism of finite type. The following are equivalent:*

- (1)  $f$  is smooth;
- (2) for every prime ideal  $\mathfrak{p} \subset R$ , the induced morphism  $f_{\mathfrak{p}}: \text{Spec } S \otimes_R R_{\mathfrak{p}} \rightarrow \text{Spec } R_{\mathfrak{p}}$  is smooth;
- (3) for every prime ideal  $\mathfrak{p} \subset R$ , the induced morphism  $\hat{f}_{\mathfrak{p}}: \text{Spec } S \otimes_R \hat{R}_{\mathfrak{p}} \rightarrow \text{Spec } \hat{R}_{\mathfrak{p}}$  is smooth.

PROOF. Notice that  $S \otimes_R \hat{R}_{\mathfrak{p}} = (S \otimes_R R_{\mathfrak{p}}) \otimes_{R_{\mathfrak{p}}} \hat{R}_{\mathfrak{p}}$ . Since smoothness is stable under base change ([18] Proposition 17.3.3) we have (1)  $\Rightarrow$  (2) and (2)  $\Rightarrow$  (3).

We want to prove (2)  $\Rightarrow$  (1). Assume by contradiction that  $f$  is not smooth then, by Proposition A.1.7, we have  $S = R[x_1, \dots, x_n]/(p_1, \dots, p_r)$  and there exists a prime ideal  $\mathfrak{q} \subset R[x_1, \dots, x_n]$  such that  $p_1, \dots, p_r \in \mathfrak{q}$  (hence  $\mathfrak{q}$  corresponds to a prime ideal  $\mathfrak{q}' \subset S$ ) and  $\delta p_r / \delta x_j \in \mathfrak{q}$  for all  $j$ . In particular  $p_r \in \mathfrak{q}^2$ . Let  $f^{\#}: R \rightarrow S$  be the homomorphism induced by  $f$  and let  $\mathfrak{p} = f^{\#-1}(\mathfrak{q}')$ . Notice that  $\text{Spec } S \otimes_R R_{\mathfrak{p}} = R_{\mathfrak{p}}[x_1, \dots, x_n]/(p_1, \dots, p_r)$  with  $p_r \in \mathfrak{q}^2 R_{\mathfrak{p}}[x_1, \dots, x_n]$ . Since the relative dimension of  $f$  and  $f_{\mathfrak{p}}$  at  $\mathfrak{q}'$  are the same, we get that  $f_{\mathfrak{p}}$  is not smooth, which contradicts (2). Hence  $f$  is smooth.

Finally we prove (3)  $\Rightarrow$  (2). We assume that  $R$  is a local ring and that the morphism  $\hat{f}: \text{Spec } S \otimes_R \hat{R} \rightarrow \text{Spec } \hat{R}$  is smooth, then we want to prove that  $f: \text{Spec } S \rightarrow R$  is smooth. Assume by contradiction that  $f$  is not smooth then, by Proposition A.1.7, we have  $S = R[x_1, \dots, x_n]/(p_1, \dots, p_r)$  and there exists a prime ideal  $\mathfrak{q} \subset R[x_1, \dots, x_n]$  as above such that  $p_r \in \mathfrak{q}^2$ . Notice that  $\text{Spec } S \otimes_R \hat{R} = \hat{R}[x_1, \dots, x_n]/(p_1, \dots, p_r)$  with  $p_r \in \mathfrak{q}^2 \hat{R}[x_1, \dots, x_n]$ . Since the relative dimension of  $f$  and  $\hat{f}$  at  $\mathfrak{q}'$  are the same ([6] Corollary 11.19), we get that  $\hat{f}$  is not smooth, which contradicts (3). Hence  $f$  is smooth.  $\square$

**A.1.9. Proposition.** *Let  $f: X \rightarrow Y$  be a morphism of finite type. Then  $f$  is smooth if and only if for every point  $x \in X$ , for every Artinian local  $\mathcal{O}_{Y, f(x)}$ -algebra  $A$  and for every ideal  $I \subset A$  such that  $I^2 = 0$ , the canonical map*

$$\text{Hom}_Y(\text{Spec } A, X) \rightarrow \text{Hom}_Y(\text{Spec } A/I, X)$$

*is surjective.*

PROOF. Since both conditions are local, we can assume  $X = \text{Spec } S$  and  $Y = \text{Spec } R$ . Moreover, by Lemma A.1.8, we can assume that  $R$  is a local ring. If  $f$  is not smooth then, by Proposition A.1.7, we have  $S = R[x_1, \dots, x_n]/(p_1, \dots, p_r)$  and there exists a prime ideal  $\mathfrak{q} \subset R[x_1, \dots, x_n]$  such that  $p_1, \dots, p_r \in \mathfrak{q}$  (hence  $\mathfrak{q}$  corresponds to a prime ideal  $\mathfrak{q}' \subset S$ ) and  $p_i \in \mathfrak{q}^2$  for some  $i$ . In other words, we can write  $S = T/J$  with  $\text{Spec } T \rightarrow \text{Spec } R$  smooth and  $0 \neq J \subset \mathfrak{q}^2$ . Notice that we can assume that  $\mathfrak{q} \subset T$  is maximal. Then there exists  $i$  such that  $J \subset \mathfrak{q}^i$  but  $J \not\subset \mathfrak{q}^{i+1}$ . Consider the following commutative diagram

$$\begin{array}{ccc}
 R & \longrightarrow & T/\mathfrak{q}^{i+1} \\
 \downarrow & & \downarrow \\
 T & \xrightarrow{\psi} & T/J + \mathfrak{q}^i = T/\mathfrak{q}^i \\
 \downarrow & \nearrow \varphi & \\
 S = T/J & & 
 \end{array}$$

Notice that  $A = T/\mathfrak{q}^{i+1}$  is an Artinian local  $R$ -algebra and  $T/\mathfrak{q}^i = A/I$ , where  $I = \mathfrak{q}^i/\mathfrak{q}^{i+1}$  and  $I^2 = 0$ . Then by assumption there exists a lifting  $\hat{\varphi}: S \rightarrow A$  of  $\varphi$ . Moreover, since  $T$  is smooth over  $R$ , there exists a lifting  $\hat{\psi}: T \rightarrow A$  of  $\psi$ . In particular we get the following commutative diagram

$$\begin{array}{ccc}
 T & \longrightarrow & A = T/\mathfrak{q}^{i+1} \\
 \downarrow & & \nearrow \\
 S = T/J & & 
 \end{array}$$

which is absurd since  $J \not\subset \mathfrak{q}^{i+1}$ . Hence  $f$  is smooth.  $\square$

**A.1.10. Proposition.** *Let  $f: X \rightarrow Y$  be a morphism of finite type. Then  $f$  is smooth if and only if for every geometric point  $\bar{x}$  of  $X$ , for every Artinian local  $\hat{\mathcal{O}}_{Y,f(\bar{x})}$ -algebra  $A$  and for every ideal  $I \subset A$  such that  $I^2 = 0$ , the canonical map*

$$\text{Hom}_Y(\text{Spec } A, X) \rightarrow \text{Hom}_Y(\text{Spec } A/I, X)$$

*is surjective.*

PROOF. Follows from Lemma A.1.8 and Proposition A.1.9.  $\square$

**A.1.11. Definition.** A morphism of Artin stacks  $f: X \rightarrow Y$  is *smooth* if for every commutative diagram

$$\begin{array}{ccc} U & \xrightarrow{\tilde{f}} & V \\ u \downarrow & & \downarrow v \\ X & \xrightarrow{f} & Y \end{array}$$

where  $U, V$  are schemes,  $u, v$  are representable and smooth, and  $U \rightarrow X \times_Y V$  is smooth, then  $\tilde{f}$  is smooth.

**A.1.12. Remark.** If  $f$  is representable then the condition above is equivalent to require that for every morphism  $V \rightarrow Y$  from a scheme  $V$ , the induced morphism  $V \times_Y X \rightarrow V$  is smooth.

**A.1.13. Proposition.** Let  $f: X \rightarrow Y$  be a representable morphism of finite type of Artin stacks. The following are equivalent:

- (1)  $f$  is smooth;
- (2) for every geometric point  $\bar{x}$  of  $X$ , for every Artinian local  $\hat{\mathcal{O}}_{Y, f(\bar{x})}$ -algebra  $A$  and for every ideal  $I \subset A$  such that  $I^2 = 0$ , the canonical map

$$\mathrm{Hom}_Y(\mathrm{Spec} A, X) \rightarrow \mathrm{Hom}_Y(\mathrm{Spec} A/I, X)$$

is surjective;

- (3) for every smooth representable morphism  $w: W \rightarrow X$  from a scheme  $W$ , the morphism  $f \circ w$  is smooth.

PROOF. We prove (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3)  $\Rightarrow$  (1). Let  $\bar{x}$  be a geometric point of  $X$  and let  $A$  and  $I$  be as in the statement. Consider a commutative diagram

$$\begin{array}{ccc} \mathrm{Spec} A/I & \xrightarrow{g_X} & X \\ i \downarrow & & \downarrow f \\ \mathrm{Spec} A & \xrightarrow{g'_Y} & Y \end{array}$$

We claim that if  $f$  is smooth then there exists a morphism  $g'_X: \mathrm{Spec} A \rightarrow X$  such that  $g'_X \circ i = g_X$ ,  $f \circ g'_X = g'_Y$ . Set  $V = \mathrm{Spec} A/I$  and  $U = V \times_Y X$ . If  $f$  is smooth then, by definition, the induced morphism  $\tilde{f}: U \rightarrow V$  is smooth. Notice that  $i$  and  $g_X$  define a unique morphism  $g_U: \mathrm{Spec} A/I \rightarrow U$  such that  $\tilde{f} \circ g_U = i$ ,  $u \circ g_U = g_X$ . By Proposition A.1.10, there exists a morphism  $g'_U: \mathrm{Spec} A \rightarrow U$  such that  $g'_U \circ i = g_U$ ,  $\tilde{f} \circ g'_U = \mathrm{id}$ . Then we can take  $g'_X = u \circ g'_U$ .

Let  $w: W \rightarrow X$  be a smooth representable morphism from a scheme  $W$ . The morphism  $f \circ w$  is smooth if and only if, for every morphism  $v: V \rightarrow Y$  from a scheme  $V$ , the induced morphism  $V \times_Y W \rightarrow V$  is smooth. Set  $U = V \times_Y X$ ,  $Z = W \times_Y V$ , and let  $\tilde{f}: U \rightarrow V$ ,  $\tilde{w}: Z \rightarrow U$  be the induced morphisms. The morphism  $\tilde{f} \circ \tilde{w}$  is smooth if and only if, for every geometric point  $\bar{x}$  of  $Z = V \times_Y W$ , for every Artinian local  $\hat{\mathcal{O}}_{V, \bar{x}}$ -algebra  $A$  and for every commutative diagram

$$\begin{array}{ccc} \mathrm{Spec} A/I & \xrightarrow{g_Z} & Z \\ i \downarrow & & \downarrow \tilde{f} \circ \tilde{w} \\ \mathrm{Spec} A & \xrightarrow{g'_V} & V \end{array}$$

where  $I \subset A$  is an ideal such that  $I^2 = 0$ , there exists a morphism  $g'_Z: \mathrm{Spec} A \rightarrow Z$  such that  $g'_Z \circ i = g_Z$ ,  $\tilde{f} \circ \tilde{w} \circ g'_Z = g'_V$ . Let us fix such data. By assumptions, there exists a morphism  $g'_U: \mathrm{Spec} A \rightarrow U$  such that  $g'_U \circ i = \tilde{w} \circ g_Z$ ,  $\tilde{f} \circ g'_U = g'_V$ . Moreover  $\tilde{w}$  is smooth thus there exists a morphism  $g'_Z$  with the required properties.

By Proposition A.1.10,  $f$  is smooth if and only if, for every morphism  $v: V \rightarrow Y$  from a scheme  $V$ , for every geometric point  $\bar{x}$  of  $U = V \times_Y X$ , for every Artinian local  $\hat{\mathcal{O}}_{V, \bar{x}}$ -algebra  $A$  and for every commutative diagram

$$\begin{array}{ccc} \mathrm{Spec} A/I & \xrightarrow{g_U} & U \\ i \downarrow & & \downarrow \tilde{f} \\ \mathrm{Spec} A & \xrightarrow{g'_V} & V \end{array}$$

where  $I \subset A$  is an ideal such that  $I^2 = 0$ , there exists a morphism  $g'_U: \mathrm{Spec} A \rightarrow U$  such that  $g'_U \circ i = g_U$ ,  $\tilde{f} \circ g'_U = g'_V$ . Let us fix such data. Let  $w: W \rightarrow X$  be a representable smooth surjective morphism from a scheme  $W$ . Set  $Z = W \times_X U$  and let  $\tilde{w}: Z \rightarrow U$  be the induced morphism, which is smooth and surjective. Then  $\bar{x} \rightarrow U$  factors through  $\tilde{w}$  and there exists a morphism  $g_Z: \mathrm{Spec} A/I \rightarrow Z$  such that  $\tilde{w} \circ g_Z = g_U$ . By assumptions,  $\tilde{f} \circ \tilde{w}$  is smooth and thus there exists a morphism  $g'_Z: \mathrm{Spec} A \rightarrow Z$  such that  $g'_Z \circ i = g_Z$ ,  $\tilde{f} \circ \tilde{w} \circ g'_Z = g'_V$ . Therefore we take  $g'_U = \tilde{w} \circ g'_Z$ .  $\square$

**A.1.14. Proposition.** *Let  $f: X \rightarrow Y$  be a morphism of finite type of Artin stacks. Then  $f$  is smooth if and only if, for every geometric point  $\bar{x}$  of  $X$ , for every Artinian local  $\hat{\mathcal{O}}_{Y, f(\bar{x})}$ -algebra  $A$  and for every ideal  $I \subset A$  such that  $I^2 = 0$ , the canonical map*

$$\mathrm{Hom}_Y(\mathrm{Spec} A, X) \rightarrow \mathrm{Hom}_Y(\mathrm{Spec} A/I, X)$$

*is surjective.*

PROOF. Let us assume that  $f$  is smooth and let  $\bar{x}$ ,  $A$  and  $I$  as in the statement. Consider a commutative diagram

$$\begin{array}{ccc} \mathrm{Spec} A/I & \xrightarrow{g_X} & X \\ i \downarrow & & \downarrow f \\ \mathrm{Spec} A & \xrightarrow{g'_Y} & Y \end{array}$$

We want to show that there exists a morphism  $g'_X: \mathrm{Spec} A \rightarrow X$  such that  $g'_X \circ i = g_X$ ,  $f \circ g'_X = g'_Y$ . Let  $v: V \rightarrow Y$  be a representable smooth and surjective morphism from a scheme  $V$ , then  $\bar{x} \rightarrow Y$  factors through  $v$ . By Proposition A.1.13, there exists a morphism  $g'_V: \mathrm{Spec} A \rightarrow V$  such that  $v \circ g'_V = g'_Y$ . Let us form the fibre diagram

$$\begin{array}{ccc} U & \xrightarrow{\tilde{f}} & V \\ u \downarrow & & \downarrow v \\ X & \xrightarrow{f} & Y \end{array}$$

Then  $u$  is smooth surjective and, by assumptions,  $\tilde{f}$  is smooth. Moreover there exists a unique morphism  $g_U: \mathrm{Spec} A/I \rightarrow U$  such that  $u \circ g_U = g_X$ ,  $\tilde{f} \circ g_U = g'_V \circ i$ . Thus, by Proposition A.1.10, there exists a morphism  $g'_U: \mathrm{Spec} A \rightarrow U$  such that  $g'_U \circ i = g_U$ ,  $\tilde{f} \circ g'_U = g'_V$ . It follows that  $g'_X = u \circ g'_U$  has the required properties.

Now we want to prove the other implication. Let us consider a commutative diagram

$$\begin{array}{ccc} U & \xrightarrow{\tilde{f}} & V \\ u \downarrow & & \downarrow v \\ X & \xrightarrow{f} & Y \end{array}$$

where  $U, V$  are schemes,  $u, v$  are representable and smooth, and  $\tilde{u}: U \rightarrow X \times_Y V$  is smooth. By Proposition A.1.10,  $\tilde{f}$  is smooth if and only if, for every geometric point  $\bar{x}$  of  $U$ , for every Artinian local  $\hat{\mathcal{O}}_{V, \tilde{f}(\bar{x})}$ -algebra  $A$ , for every ideal  $I \subset A$  such that  $I^2 = 0$ , and for every commutative diagram

$$\begin{array}{ccc} \mathrm{Spec} A/I & \xrightarrow{g_U} & U \\ i \downarrow & & \downarrow \tilde{f} \\ \mathrm{Spec} A & \xrightarrow{g'_V} & V \end{array}$$

there exists a morphism  $g'_U: \mathrm{Spec} A \rightarrow U$  such that  $\tilde{f} \circ g'_U = g'_V$ ,  $g'_U \circ i = g_U$ . Let us fix such data. By assumptions, there exists  $g'_X: \mathrm{Spec} A \rightarrow X$  such that  $g'_X \circ i = u \circ g_U$ ,



$f \circ g'_X = v \circ g'_V$ . Let us form the fibre diagram

$$\begin{array}{ccc} W & \xrightarrow{\hat{f}} & V \\ w \downarrow & & \downarrow v \\ X & \xrightarrow{f} & Y \end{array}$$

There exists a unique morphism  $g'_W: \text{Spec } A \rightarrow W$  such that  $w \circ g'_W = g'_X$ ,  $\hat{f} \circ g'_W = g'_V$ . Since  $\tilde{u}$  is smooth, by Proposition A.1.10 there exists a morphism  $g'_U: \text{Spec } A \rightarrow U$  with the desired properties.  $\square$

## A.2. Deformation theory

We review some results of deformation theory (for further details see [30], [19]). Let  $S$  be a scheme. We consider Artin stacks of finite type over  $S$ . Fix a geometric point  $\text{Spec } \bar{k} \xrightarrow{\bar{s}} S$  of  $S$ . Let  $\Lambda = \hat{\mathcal{O}}_{S, \bar{s}}$  and consider the category  $(\text{Art}/\Lambda)$  of local artinian  $\Lambda$ -algebras with residue field  $\bar{k}$ .

Let  $\mathcal{F} \rightarrow (\text{Art}/\Lambda)^{\text{opp}}$  be a category fibered in groupoids. Let us consider two morphisms  $\pi': A' \rightarrow A$  and  $\pi'': A'' \rightarrow A$  in  $(\text{Art}/\Lambda)$ , with  $\pi''$  surjective. We form the cartesian diagram

$$\begin{array}{ccc} A' \times_A A'' & \xrightarrow{q''} & A'' \\ q' \downarrow & & \downarrow \pi'' \\ A' & \xrightarrow{\pi'} & A \end{array}$$

then the functors

$$\mathcal{F}(\pi') \circ \mathcal{F}(q'), \mathcal{F}(\pi'') \circ \mathcal{F}(q''): \mathcal{F}(A' \times_A A'') \rightarrow \mathcal{F}(A)$$

are isomorphic and we get an induced functor

$$\Psi: \mathcal{F}(A' \times_A A'') \rightarrow \mathcal{F}(A') \times_{\mathcal{F}(A)} \mathcal{F}(A'').$$

**A.2.1. Definition.** A *deformation category* over  $\Lambda$  is a category fibered in groupoids  $\mathcal{F} \rightarrow (\text{Art}/\Lambda)^{\text{opp}}$  such that, given morphisms  $\pi': A' \rightarrow A$  and  $\pi'': A'' \rightarrow A$  in  $(\text{Art}/\Lambda)$ , with  $\pi''$  surjective, the functor  $\Psi$  is an equivalence of categories.

**A.2.2. Definition.** A *small extension* is an exact sequence

$$0 \rightarrow I \rightarrow A' \rightarrow A \rightarrow 0$$

in  $(\text{Art}/\Lambda)$  such that  $\text{Im}_{A'} = 0$ , where  $\mathfrak{m}_{A'}$  is the maximal ideal of  $A'$ .

**A.2.3. Definition.** Let  $\mathcal{F}, \mathcal{G}$  be deformation categories and  $\nu: \mathcal{F} \rightarrow \mathcal{G}$  a functor of categories fibered in groupoids. Let  $T^1\nu$  and  $T^2\nu$  be  $\bar{k}$ -vector spaces. We say that  $\nu$  has *tangent space*  $T^1\nu$  and *obstruction space*  $T^2\nu$  if, for every small extension

$$0 \rightarrow I \rightarrow A' \rightarrow A \rightarrow 0,$$

there is a functorial exact sequence

$$T^1\nu \otimes_{\bar{k}} I \rightarrow \mathcal{F}(A') \rightarrow \mathcal{F}(A) \times_{\mathcal{G}(A)} \mathcal{G}(A') \xrightarrow{\text{ob}_\nu} T^2\nu \otimes_{\bar{k}} I.$$

**A.2.4. Definition.** Let  $\mathcal{F}, \mathcal{G}$  be deformation categories and  $\nu: \mathcal{F} \rightarrow \mathcal{G}$  a functor of categories fibered in groupoids. Let  $A' \rightarrow A = A'/I$  be a small extension and fix objects  $\sigma' \in \mathcal{F}(A'), \sigma \in \mathcal{F}(A), \tau \in \mathcal{G}(A), \tau' \in \mathcal{G}(A')$  such that  $\mathcal{F}(i)(\sigma') = \sigma, \nu(A')(\sigma') = \tau'$  and  $\mathcal{G}(i)(\tau') = \tau = \nu(A)(\sigma)$ . Let  $\text{Aut}_{A'}(\sigma')$  be the group of automorphisms of  $\sigma'$  in  $\mathcal{F}(A')$ . There is a natural homomorphism

$$\text{Aut}_{A'}(\sigma') \rightarrow \text{Aut}_A(\sigma) \times_{\text{Aut}_A(\tau)} \text{Aut}_{A'}(\tau').$$

An *infinitesimal automorphism* of  $\sigma'$  is an element of the kernel of this homomorphism.

**A.2.5. Notation.** Let  $\sigma \in \mathcal{F}(A), \tau' \in \mathcal{G}(A')$  be objects such that  $\mathcal{G}(i)(\tau') = \nu(A)(\sigma)$ . If  $\text{ob}_\nu(\sigma, \tau') = 0$ , we denote by  $\mathcal{S}_\nu$  the set of isomorphism classes of  $\sigma' \in \mathcal{F}(A')$  such that  $\mathcal{F}(i)(\sigma') = \sigma, \nu(A')(\sigma') = \tau'$ .

**A.2.6.** Let  $F: X \rightarrow Y$  be a Deligne-Mumford type morphism of algebraic Artin stacks over  $S$ . Let  $\text{Spec } \bar{k} \xrightarrow{\bar{x}} X$  be a geometric point of  $X$ . Let  $\Lambda = \hat{\mathcal{O}}_{S, \bar{x}}$ . Consider the deformation category  $h_{X, \bar{x}}$  such that, for all  $A \in (\text{Art}/\Lambda)$ , the objects of  $h_{X, \bar{x}}(A)$  are morphisms  $f_X: \text{Spec } A \rightarrow X$  such that  $f_X|_{\text{Spec } \bar{k}} = \bar{x}$ . There is a natural functor  $\nu_F: h_{X, \bar{x}} \rightarrow h_{Y, \bar{x}}$  given by the composition with  $F$ .

**A.2.7. Lemma.** Let  $L_F^\bullet$  be the relative cotangent complex of  $F$ . If  $F$  is representable then, for every geometric point  $\bar{x}$  of  $X$  and for every small extension  $A' \rightarrow A = A'/I$  in  $(\text{Art}/\hat{\mathcal{O}}_{S, \bar{x}})$ ,

(1) there is a functorial surjective set-theoretical map

$$\text{ob}_F: h_{X, \bar{x}}(A) \times_{h_{Y, \bar{x}}(A)} h_{Y, \bar{x}}(A') \rightarrow h^1((L_{\bar{x}}^* L_F^\bullet)^\vee) \otimes I$$

such that  $\text{ob}_F(f_X, f'_Y) = 0$  if and only if there exists  $f'_X \in h_{X, \bar{x}}(A')$  such that  $f'_X \circ i = f_X$  and  $F \circ f'_X = f'_Y$ ;

(2) if  $\text{ob}_F(f_X, f'_Y) = 0$  then the set of isomorphism classes of  $f'_X \in h_{X, \bar{x}}(A')$ , such that  $f'_X \circ i = f_X$  and  $F \circ f'_X = f'_Y$ , is a torsor under  $h^0((L_{\bar{x}}^* L_F^\bullet)^\vee) \otimes I$ ;

- (3) if  $\text{ob}_F(f_X, f'_Y) = 0$  and  $f'_X \in h_{X, \bar{x}}(A')$  is such that  $F \circ f'_X = f'_Y$ ,  $f'_X \circ i = f_X$ , then the group of infinitesimal automorphisms of  $f'_X$  with respect to  $(f_X, f'_Y)$  contains only the identity.

PROOF. Let  $v: V \rightarrow Y$  be a smooth surjective morphism from a scheme  $V$  and form the fibre diagram

$$\begin{array}{ccc} U & \xrightarrow{G} & V \\ u \downarrow & & \downarrow v \\ X & \xrightarrow{F} & Y \end{array}$$

By Theorem 2.3.1,  $Lu^*L_F^\bullet \cong L_G^\bullet$ . By Proposition A.1.14,  $\bar{x} \rightarrow X$  factors through  $u$  and we know, by deformation theory of schemes, that there exists a functorial exact sequence

$$0 \rightarrow h^0((L\bar{x}^*L_G^\bullet)^\vee) \otimes I \rightarrow h_{U, \bar{x}}(A') \rightarrow h_{U, \bar{x}}(A) \times_{h_{V, \bar{x}}(A)} h_{V, \bar{x}}(A') \xrightarrow{\text{ob}_G} h^1((L\bar{x}^*L_G^\bullet)^\vee) \otimes I \rightarrow 0.$$

Let us consider a commutative diagram

$$\begin{array}{ccc} \text{Spec } A & \xrightarrow{f_X} & X \\ i \downarrow & & \downarrow F \\ \text{Spec } A' & \xrightarrow{f'_Y} & Y \end{array}$$

By Proposition A.1.13, there exists  $f'_{V,1}: \text{Spec } A' \rightarrow V$  such that  $v \circ f'_{V,1} = f'_Y$ . Then there exists a unique morphism  $f_{U,1}: \text{Spec } A \rightarrow U$  such that  $u \circ f_{U,1} = f_X$ ,  $G \circ f_{U,1} = f'_{V,1} \circ i$ . If  $f'_{V,2}: \text{Spec } A' \rightarrow V$  is another morphism such that  $v \circ f'_{V,2} = f'_Y$  then there exists a unique morphism  $f'_{V \times_Y V}: \text{Spec } A' \rightarrow V \times_Y V$  such that  $v_j \circ f'_{V \times_Y V} = f'_{V,j}$  for  $j = 1, 2$ , where  $v_j: V \times_Y V \rightarrow V$  are the projections. Let us form the fibre diagram

$$\begin{array}{ccc} U \times_Y V & \xrightarrow{H} & V \times_Y V \\ u_j \downarrow & & \downarrow v_j \\ U & \xrightarrow{G} & V \\ u \downarrow & & \downarrow v \\ X & \xrightarrow{F} & Y \end{array}$$

There exists a unique morphism  $f_{U \times_Y V}: \text{Spec } A \rightarrow U \times_Y V$  such that  $u_j \circ f_{U \times_Y V} = f_{U,j}$  for  $j = 1, 2$ , and  $H \circ f_{U \times_Y V} = f'_{V \times_Y V} \circ i$ . Therefore

$$\text{ob}_G(f_{U,j}, f'_{V,j}) = \text{ob}_G(u_j \circ f_{U \times_Y V}, v_j \circ f'_{V \times_Y V}) = \text{ob}_H(f_{U \times_Y V}, f'_{V \times_Y V}).$$

Hence, if we set  $\text{ob}_F(f_X, f'_Y) = \text{ob}_G(f_{U,1}, f'_{V,1})$ , this gives a well-defined surjective map

$$\text{ob}_F: h_{X,\bar{x}}(A) \times_{h_{Y,\bar{x}}(A)} h_{Y,\bar{x}}(A') \rightarrow h^1((L\bar{x}^* L_G^\bullet)^\vee) \otimes I \cong h^1((L\bar{x}^* L_F^\bullet)^\vee) \otimes I.$$

Moreover, if  $\text{ob}_F(f_X, f'_Y) = 0$  then there exist  $f_U \in h_{U,\bar{x}}(A)$ ,  $f'_V \in h_{V,\bar{x}}(A')$  such that  $u \circ f_U = f_X$ ,  $v \circ f'_V = f'_Y$  and  $\text{ob}_G(f_U, f'_V) = 0$ . It follows that there exists a morphism  $f'_U \in h_{U,\bar{x}}(A')$  such that  $f'_U \circ i = f_U$ ,  $G \circ f'_U = f'_V$ , and  $f'_X = u \circ f'_U \in h_{X,\bar{x}}(A')$  is such that  $f'_X \circ i = f_X$ ,  $F \circ f'_X = f'_Y$ . Therefore  $T_{\nu_F}^2 = h^1((L\bar{x}^* L_F^\bullet)^\vee)$  is an obstruction space for  $\nu_F$ .

Let us now fix  $\bar{f}_X \in h_{X,\bar{x}}(A)$ ,  $\bar{f}'_Y \in h_{Y,\bar{x}}(A')$ ,  $\bar{f}'_V \in h_{V,\bar{x}}(A')$  such that  $F \circ \bar{f}_X = \bar{f}'_Y \circ i$ ,  $v \circ \bar{f}'_V = \bar{f}'_Y$ , and let  $\bar{f}_U \in h_{U,\bar{x}}(A)$  be the unique morphism such that  $u \circ \bar{f}_U = \bar{f}_X$ ,  $G \circ \bar{f}_U = \bar{f}'_V \circ i$ . Assume that  $\text{ob}_F(\bar{f}_X, \bar{f}'_Y) = 0$ . There is a natural map  $\rho: \mathcal{S}_G \rightarrow \mathcal{S}_F$  such that  $\rho(f'_U) = u \circ f'_U$ . We know that  $\mathcal{S}_G$  is a torsor under  $h^0((L\bar{x}^* L_G^\bullet)^\vee) \otimes I$ . We claim that  $\rho$  is an isomorphism and thus  $\mathcal{S}_F$  is a torsor under

$$h^0((L\bar{x}^* L_G^\bullet)^\vee) \otimes I \cong h^0((L\bar{x}^* L_F^\bullet)^\vee) \otimes I.$$

Let  $f'_X \in \mathcal{S}_F$ , then  $F \circ f'_X = v \circ \bar{f}'_V$  and therefore there exists a unique morphism  $f'_U \in h_{U,\bar{x}}(A')$  such that  $G \circ f'_U = \bar{f}'_V$ ,  $u \circ f'_U = f'_X$ . It follows that  $f'_U \in \mathcal{S}_G$  and  $f'_X = \rho(f'_U)$ .

Finally, let  $f_X \in h_{X,\bar{x}}(A)$ ,  $f_Y \in h_{Y,\bar{x}}(A)$ ,  $f'_Y \in h_{Y,\bar{x}}(A')$  such that  $F \circ f_X = f_Y = f'_Y \circ i$ . Assume that  $\text{ob}_F(f_X, f'_Y) = 0$  and fix  $f'_X \in h_{X,\bar{x}}(A')$  such that  $F \circ f'_X = f'_Y$ ,  $f'_X \circ i = f_X$ . We claim that the natural homomorphism

$$\text{Aut}_X(f'_X) \rightarrow \text{Aut}_X(f_X) \times_{\text{Aut}_Y(f_Y)} \text{Aut}_Y(f'_Y),$$

where  $\text{Aut}_X(f'_X)$  is the automorphism group of  $f'_X$  in  $X$ , is injective. Since  $F$  is representable, if an automorphism  $\alpha$  of  $f'_X$  induces the identity of  $F \circ f'_X$  in  $Y$  then  $\alpha = \text{id}$ .  $\square$

**A.2.8. Proposition.** *Let  $L_X^\bullet$  be the cotangent complex of  $X$ . Then, for every geometric point  $\bar{x}$  of  $X$  and for every small extension  $A' \rightarrow A = A'/I$  in  $(\text{Art}/\hat{\sigma}_{s,\bar{x}})$ ,*

(1) *there is a functorial set-theoretical map*

$$\text{ob}_X: h_{X,\bar{x}}(A) \rightarrow h^1((L\bar{x}^* L_X^\bullet)^\vee) \otimes I$$

*such that  $\text{ob}_X(f_X) = 0$  if and only if there exists  $f'_X \in h_{X,\bar{x}}(A')$  such that  $f'_X \circ i = f_X$ ;*

(2) *if  $\text{ob}_X(f_X) = 0$  then the set of isomorphism classes of  $f'_X \in h_{X,\bar{x}}(A')$  such that  $f'_X \circ i = f_X$  is a torsor under  $h^0((L\bar{x}^* L_X^\bullet)^\vee) \otimes I$ ;*

(3) if  $\text{ob}_X(f_X) = 0$  and  $f'_X \in h_{X,\bar{x}}(A')$  is such that  $f'_X \circ i = f_X$ , then the group of infinitesimal automorphisms of  $f'_X$  with respect to  $f_X$  is isomorphic to  $h^{-1}((L\bar{x}^*L_X^\bullet)^\vee) \otimes I$ .

PROOF. Let us consider a representable smooth and surjective morphism  $u: U \rightarrow X$  from a scheme  $U$ , then the map  $\bar{x} \rightarrow X$  factors through  $u$ . Recall that, by Theorem 2.3.1,  $h^1((L\bar{x}^*L_X^\bullet)^\vee) \cong h^1((L\bar{x}^*L_U^\bullet)^\vee)$ . We have the following exact sequence

$$0 \rightarrow h^{-1}((L\bar{x}^*L_X^\bullet)^\vee) \rightarrow h^0((L\bar{x}^*L_u^\bullet)^\vee) \rightarrow h^0((L\bar{x}^*L_U^\bullet)^\vee) \rightarrow h^0((L\bar{x}^*L_X^\bullet)^\vee) \rightarrow 0.$$

Moreover, by deformation theory of schemes, we know that there is a functorial exact sequence

$$0 \rightarrow h^0((L\bar{x}^*L_U^\bullet)^\vee) \otimes I \rightarrow h_{U,\bar{x}}(A') \rightarrow h_{U,\bar{x}}(A) \xrightarrow{\text{ob}_U} h^1((L\bar{x}^*L_U^\bullet)^\vee) \otimes I \rightarrow 0.$$

By Proposition A.1.13, for every  $f_X \in h_{X,\bar{x}}(A)$ , there exists  $f_{U,1} \in h_{U,\bar{x}}(A)$  such that  $u \circ f_{U,1} = f_X$ . If  $f_{U,2} \in h_{U,\bar{x}}(A)$  is another morphism such that  $u \circ f_{U,2} = f_X$  then there exists a unique morphism  $f_{U \times_X U} \in h_{U \times_X U, \bar{x}}(A)$  such that  $u_j \circ f_{U \times_X U} = f_{U,j}$  for  $j = 1, 2$ , where  $u_j: U \times_X U \rightarrow U$  are the projections. By Theorem 2.3.1,  $u_1$  and  $u_2$  induces isomorphisms  $h^1((L\bar{x}^*L_{U \times_X U}^\bullet)^\vee) \cong h^1((L\bar{x}^*L_U^\bullet)^\vee)$ . Therefore

$$\text{ob}_U(f_{U,j}) = \text{ob}_U(u_j \circ f_{U \times_X U}) = \text{ob}_{U \times_X U}(f_{U \times_X U}).$$

Hence, if we set  $\text{ob}_X(f_X) = \text{ob}_U(f_{U,1})$ , this gives a well-defined surjective map

$$\text{ob}_X: h_{X,\bar{x}}(A) \rightarrow h^1((L\bar{x}^*L_U^\bullet)^\vee) \otimes I \cong h^1((L\bar{x}^*L_X^\bullet)^\vee) \otimes I.$$

Moreover, if  $\text{ob}_F(f_X) = 0$  then there exist  $f_U \in h_{U,\bar{x}}(A)$  such that  $u \circ f_U = f_X$  and  $\text{ob}_U(f_U) = 0$ . Thus there exists a morphism  $f'_U \in h_{U,\bar{x}}(A')$  such that  $f'_U \circ i = f_U$  and  $f'_X = u \circ f'_U \in h_{X,\bar{x}}(A')$  is such that  $f'_X \circ i = f_X$ . Therefore  $h^1((L\bar{x}^*L_X^\bullet)^\vee)$  is an obstruction space for  $h_{X,\bar{x}}$ .

By Theorem 2.3.1, we have the following commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 \rightarrow h^{-1}((L\bar{x}^*L_X^\bullet)^\vee) & \rightarrow & h^0((L\bar{x}^*L_{\tilde{u}}^\bullet)^\vee) & \xrightarrow{\rho_{\tilde{u}}} & h^0((L\bar{x}^*L_{U \times_X U}^\bullet)^\vee) & \xrightarrow{\rho_{U \times_X U}} & h^0((L\bar{x}^*L_X^\bullet)^\vee) \rightarrow 0 \\ & & \parallel & & \downarrow \rho_{u_j} & & \parallel \\ 0 \rightarrow h^{-1}((L\bar{x}^*L_X^\bullet)^\vee) & \rightarrow & h^0((L\bar{x}^*L_u^\bullet)^\vee) & \xrightarrow{\rho_u} & h^0((L\bar{x}^*L_U^\bullet)^\vee) & \xrightarrow{\rho_U} & h^0((L\bar{x}^*L_X^\bullet)^\vee) \rightarrow 0 \end{array}$$

where we set  $\tilde{u} = u \circ u_j$ . Let us fix  $\bar{f}_X \in h_{X,\bar{x}}(A)$ ,  $\bar{f}_U \in h_{U,\bar{x}}(A)$ ,  $\bar{f}_{U \times_X U} \in h_{U \times_X U, \bar{x}}(A)$  such that  $u \circ \bar{f}_U = \bar{f}_X$ ,  $u_j \circ \bar{f}_{U \times_X U} = \bar{f}_U$ . Let us assume that  $\text{ob}_X(\bar{f}_X) = 0$  and fix  $\bar{f}'_X \in \mathcal{S}_X$ ,  $\bar{f}'_U \in \mathcal{S}_U$ ,  $\bar{f}'_{U \times_X U} \in \mathcal{S}_{U \times_X U}$  such that  $u \circ \bar{f}'_U = \bar{f}'_X$ ,  $u_j \circ \bar{f}'_{U \times_X U} = \bar{f}'_U$ . There is a natural surjective map  $\mathcal{S}_U \rightarrow \mathcal{S}_X$  given by composition with  $u$ . We know that  $\mathcal{S}_U$

and  $\mathcal{S}_U$  are torsors under  $h^0((L\bar{x}^*L_U^\bullet)^\vee) \otimes I$  and  $h^0((L\bar{x}^*L_u^\bullet)^\vee) \otimes I$ , respectively. Let  $\alpha_U \in h^0((L\bar{x}^*L_U^\bullet)^\vee) \otimes I$  be such that  $\rho_U(\alpha_U)$  is the identity  $e_X \in h^0((L\bar{x}^*L_X^\bullet)^\vee) \otimes I$ , then  $\alpha_U = \rho_u(\alpha_u)$  for some  $\alpha_u \in h^0((L\bar{x}^*L_u^\bullet)^\vee) \otimes I$ . It follows that  $\alpha_U \cdot \bar{f}'_U = \bar{f}'_U$  and therefore  $\alpha_U = e_U$ . As a consequence, for every  $\alpha_X \in h^0((L\bar{x}^*L_X^\bullet)^\vee) \otimes I$ , we can define  $\alpha_X \cdot \bar{f}'_X = u \circ (\alpha_U \cdot \bar{f}'_U) \in \mathcal{S}_X$  for some  $\alpha_U \in h^0((L\bar{x}^*L_U^\bullet)^\vee) \otimes I$  such that  $\rho_U(\alpha_U) = \alpha_X$ . We claim that this defines an action of  $h^0((L\bar{x}^*L_X^\bullet)^\vee) \otimes I$  over  $\mathcal{S}_X$  which is transitive and free. Let  $f'_X \in \mathcal{S}_X$ , then there exists  $f'_U \in \mathcal{S}_U$  such that  $u \circ f'_U = f'_X$ . Moreover  $f'_U = \alpha_U \cdot \bar{f}'_U$  for some  $\alpha_U \in h^0((L\bar{x}^*L_U^\bullet)^\vee) \otimes I$ , therefore  $f'_X = \rho_U(\alpha_U) \cdot \bar{f}'_X$ . Now if  $\alpha_X \cdot \bar{f}'_X = \bar{f}'_X$  then  $\alpha_X = \rho_U(\alpha_U)$  and  $u \circ (\alpha_U \cdot \bar{f}'_U) = u \circ \bar{f}'_U$ . As a consequence there exists  $\alpha'_{U \times_X U} \in h^0((L\bar{x}^*L_{U \times_X U}^\bullet)^\vee) \otimes I$  such that  $\rho_{u_1}(\alpha'_{U \times_X U}) \cdot \bar{f}'_U = \alpha_U \cdot \bar{f}'_U$  and  $\rho_{u_2}(\alpha'_{U \times_X U}) \cdot \bar{f}'_U = \bar{f}'_U$ . Hence we have that  $\rho_{u_2}(\alpha'_{U \times_X U}) = e_U$ ,  $\rho_{u_1}(\alpha'_{U \times_X U}) = \alpha_U$ , and  $\alpha_{U \times_X U} = \rho_{\tilde{u}}(\alpha_{\tilde{u}})$ . It follows that

$$\alpha_X = \rho_U(\alpha_U) = \rho_U(\rho_{u_1}(\alpha'_{U \times_X U})) = \rho_{U \times_X U}(\alpha'_{U \times_X U}) = e_X,$$

and this proves that  $\mathcal{S}_X$  is a torsor under  $h^0((L\bar{x}^*L_X^\bullet)^\vee) \otimes I$ .

Finally, let us consider an object  $f_X \in h_{X, \bar{x}}(A)$  such that  $\text{ob}_X(f_X) = 0$  and let us fix  $f'_X \in h_{X, \bar{x}}(A')$  such that  $f'_X \circ i = f_X$ . We claim that the kernel of the natural homomorphism  $\text{Aut}_X(f'_X) \xrightarrow{i_X^*} \text{Aut}_X(f_X)$  is isomorphic to  $h^{-1}((L\bar{x}^*L_X^\bullet)^\vee) \otimes I$ . Let consider  $f_U \in h_{U, \bar{x}}(A)$ ,  $f'_U \in h_{U, \bar{x}}(A')$  such that  $f'_U \circ i = f_U$ ,  $u \circ f'_U = f'_X$ . We know that we have the following commutative diagram with exact rows

$$\begin{array}{ccccc} \text{Aut}_U(f'_U) & \longrightarrow & \text{Aut}_U(f_U) \times_{\text{Aut}_X(f_X)} \text{Aut}_X(f'_X) & \xrightarrow{\omega} & h^0((L\bar{x}^*L_u^\bullet)^\vee) \otimes I \\ \parallel & & \downarrow & & \downarrow \rho_u \\ \text{Aut}_U(f'_U) & \longrightarrow & \text{Aut}_U(f_U) & \longrightarrow & h^0((L\bar{x}^*L_U^\bullet)^\vee) \otimes I \end{array}$$

where  $\text{Aut}_U(f'_U) = \text{Aut}_U(f_U) = \{\text{id}\}$  since  $U$  is a scheme. Therefore

$$\ker(i_X^*) = \text{Aut}_U(f_U) \times_{\text{Aut}_X(f_X)} \text{Aut}_X(f'_X) \subset h^0((L\bar{x}^*L_u^\bullet)^\vee) \otimes I.$$

Moreover, an element of  $h^0((L\bar{x}^*L_u^\bullet)^\vee) \otimes I$  acts trivially on  $\mathcal{S}_u$  if and only if it is in the image of  $\omega$ . Therefore  $\text{Aut}_U(f_U) \times_{\text{Aut}_X(f_X)} \text{Aut}_X(f'_X) = h^{-1}((L\bar{x}^*L_X^\bullet)^\vee) \otimes I$ .  $\square$

**A.2.9. Proposition.** *Let  $L_F^\bullet$  be the relative cotangent complex of  $F$ . Then, for every geometric point  $\bar{x}$  of  $X$  and for every small extension  $A' \rightarrow A = A'/I$  in  $(\text{Art}/\hat{\delta}_{S, \bar{x}})$ ,*

(1) there is a functorial surjective set-theoretical map

$$ob_F: h_{X,\bar{x}}(A) \times_{h_{Y,\bar{x}}(A)} h_{Y,\bar{x}}(A') \rightarrow h^1((L\bar{x}^* L_F^\bullet)^\vee) \otimes I$$

such that  $ob_F(f_X, f'_Y) = 0$  if and only if there exists  $f'_X \in h_{X,\bar{x}}(A')$  such that  $f'_X \circ i = f_X$  and  $F \circ f'_X = f'_Y$ ;

(2) if  $ob_F(f_X, f'_Y) = 0$  then the set of isomorphism classes of  $f'_X \in h_{X,\bar{x}}(A')$ , such that  $f'_X \circ i = f_X$  and  $F \circ f'_X = f'_Y$ , is a torsor under  $h^0((L\bar{x}^* L_F^\bullet)^\vee) \otimes I$ ;

(3) if  $ob_F(f_X, f'_Y) = 0$  and  $f'_X \in h_{X,\bar{x}}(A')$  is such that  $F \circ f'_X = f'_Y$ ,  $f'_X \circ i = f_X$ , then the group of infinitesimal automorphisms of  $f'_X$  with respect to  $(f_X, f'_Y)$  contains only the identity.

PROOF. Let  $v: V \rightarrow Y$  be a smooth surjective morphism from a scheme  $V$ , then  $U$  is a Deligne-Mumford stack. Let  $w: W \rightarrow U$  be an étale surjective morphism from a scheme  $W$  then, by Theorem 2.3.1,  $Lu^* L_F^\bullet \cong L_G^\bullet$  and  $Lw^* L_G^\bullet \cong L_{G \circ w}^\bullet$ . By Proposition A.1.14,  $\bar{x} \rightarrow X$  factors through  $u$  and  $u \circ w$ . Moreover  $h_{W,\bar{x}} \cong h_{U,\bar{x}}$ , because  $w$  is étale ([24] I.3.22), and, by deformation theory of schemes, we get a functorial exact sequence

$$0 \rightarrow h^0((L\bar{x}^* L_G^\bullet)^\vee) \otimes I \rightarrow h_{U,\bar{x}}(A') \rightarrow h_{U,\bar{x}}(A) \times_{h_{V,\bar{x}}(A)} h_{V,\bar{x}}(A') \xrightarrow{ob_G} h^1((L\bar{x}^* L_G^\bullet)^\vee) \otimes I \rightarrow 0.$$

Therefore the first and the second part of the statement follows by the proof of Lemma A.2.7.

By Theorem 2.3.1, we have the following exact sequence

$$\begin{aligned} 0 \rightarrow h^{-1}((L\bar{x}^* L_X^\bullet)^\vee) \rightarrow h^{-1}((L\bar{x}^* L_Y^\bullet)^\vee) \rightarrow \\ \rightarrow h^0((L\bar{x}^* L_F^\bullet)^\vee) \rightarrow h^0((L\bar{x}^* L_X^\bullet)^\vee) \rightarrow h^0((L\bar{x}^* L_Y^\bullet)^\vee). \end{aligned}$$

Let  $f_X \in h_{X,\bar{x}}(A)$ ,  $f_Y \in h_{Y,\bar{x}}(A)$ ,  $f'_Y \in h_{Y,\bar{x}}(A')$  such that  $F \circ f_X = f_Y = f'_Y \circ i$ . Assume that  $ob_F(f_X, f'_Y) = 0$  and fix  $f'_X \in h_{X,\bar{x}}(A')$  such that  $F \circ f'_X = f'_Y$ ,  $f'_X \circ i = f_X$ . By Proposition A.2.8, we have the following commutative diagram with exact rows

$$\begin{array}{ccccccc} & & & \text{Aut}_X(f'_X) & \longrightarrow & \text{Aut}_X(f_X) \times_{\text{Aut}_Y(f_Y)} & \text{Aut}_Y(f'_Y) \\ & & & \parallel & & \downarrow & \\ 0 & \longrightarrow & h^{-1}((L\bar{x}^* L_X^\bullet)^\vee) \otimes I & \longrightarrow & \text{Aut}_X(f'_X) & \longrightarrow & \text{Aut}_X(f_X) \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & h^{-1}((L\bar{x}^* L_Y^\bullet)^\vee) \otimes I & \longrightarrow & \text{Aut}_Y(f'_Y) & \longrightarrow & \text{Aut}_Y(f_Y) \end{array}$$

from which we deduce that the only infinitesimal automorphism is the identity.  $\square$

**A.2.10.** Let  $X$  be a smooth  $S$ -scheme and let  $\text{Spec } \bar{k} \xrightarrow{\bar{x}} X$  be a geometric point of  $X$ . Let  $C \rightarrow \text{Spec } \bar{x}$  be a flat morphism of schemes, with  $C$  separated. Let  $\Lambda = \hat{\mathcal{O}}_{S, \bar{x}}$ . We define the deformation category  $\text{Def}_C$  such that, for all  $A \in (\text{Art}/\Lambda)$ , the objects of  $\text{Def}_C(A)$  are flat morphisms  $C_A \xrightarrow{\pi_A} \text{Spec } A$  such that the following diagram is cartesian

$$\begin{array}{ccc} C & \xrightarrow{g} & C_A \\ \downarrow & & \downarrow \pi_A \\ \text{Spec } \bar{x} & \longrightarrow & \text{Spec } A \end{array}$$

If  $\pi: A' \rightarrow A$  is a morphism in  $(\text{Art}/\Lambda)$ , then

$$\text{Def}_C(\pi): \text{Def}_C(A') \rightarrow \text{Def}_C(A)$$

sends  $\pi_{A'}: C_{A'} \rightarrow \text{Spec } A'$  to  $C_{A'} \times_{\text{Spec } A'} \text{Spec } A \rightarrow \text{Spec } A$ . Given a morphism of schemes  $f: C \rightarrow X$ , we define the deformation category  $\text{Def}_{C,f}$  such that, for all  $A \in (\text{Art}/\Lambda)$ , the objects of  $\text{Def}_{C,f}(A)$  are pairs of morphisms  $(C_A \xrightarrow{\pi_A} \text{Spec } A, f_A)$  where  $\pi_A$  is an object of  $\text{Def}_C$  and  $f_A: C_A \rightarrow X$  is such that  $f_A \circ g = f$ . There is a natural functor  $\nu_f: \text{Def}_{C,f} \rightarrow \text{Def}_C$  which forgets the morphism to  $X$ .

**A.2.11. Proposition.** *The functor  $\nu_f$  has tangent and obstruction spaces, for  $i = 1, 2$ ,*

$$T^i \nu_f = H^{i-1}(C, f^* T_{X/S}).$$

PROOF. Given a small extension

$$(2) \quad 0 \rightarrow I \rightarrow A' \rightarrow A \rightarrow 0,$$

we want to study the functor

$$\text{Def}_{C,f}(A') \rightarrow \text{Def}_{C,f}(A) \times_{\text{Def}_C(A)} \text{Def}_C(A').$$

An object of  $\text{Def}_{C,f}(A) \times_{\text{Def}_C(A)} \text{Def}_C(A')$  is a cartesian diagram

$$\begin{array}{ccc} C_A & \longrightarrow & C_{A'} \\ \pi_A \downarrow & & \downarrow \pi_{A'} \\ \text{Spec } A & \longrightarrow & \text{Spec } A' \end{array}$$

together with a morphism  $f_A: C_A \rightarrow X$ . We want to know whether there exists a morphism  $f_{A'}: C_{A'} \rightarrow X$  such that  $f_{A'}|_{C_A} = f_A$  and how unique is such a morphism.



Assume  $X = \text{Spec } T$  and  $C = \text{Spec } R$ , then  $C_{A'} = \text{Spec } R_{A'}$  and  $C_A = \text{Spec } R_A$  with  $R_{A'} = R \otimes_{\Lambda} A'$  and  $R_A = R \otimes_{\Lambda} A$ . By assumption  $\pi_{A'}$  is flat, then the tensor product  $R_{A'} \otimes_{A'} \bullet$  is exact and from the sequence (2) we get an exact sequence

$$0 \rightarrow R \otimes_{\Lambda} I \rightarrow R_{A'} \rightarrow R_A \rightarrow 0.$$

Notice that  $(R_{A'} \otimes_{A'} I)(R_{A'} \otimes_{A'} \mathfrak{m}_{A'}) = 0$ , because  $I\mathfrak{m}_{A'} = 0$ . The morphism  $f_A$  induces a homomorphism  $f_A^{\sharp}: T \rightarrow R_A$ . We have that  $T = T'/J$ , with  $T' = B[x_1, \dots, x_n]$ . There exists always a lifting  $g_{A'}^{\sharp}: T' \rightarrow R_{A'}$  of  $f_A^{\sharp}$  and the set of such liftings is a principal homogeneous space under  $\text{Hom}_{T'}(\Omega_{T'/B}, R \otimes_{\Lambda} I)$ . Moreover there exists a homomorphism

$$\alpha: \text{Hom}_{T'}(\Omega_{T'/B}, R \otimes_{\Lambda} I) \rightarrow \text{Hom}_{T'}(J/J^2, R \otimes_{\Lambda} I),$$

induced by restriction, such that  $\ker \alpha = T^1\nu_f \otimes I$  and  $\text{coker } \alpha = T^2\nu_f \otimes I$ . Since

$$R \otimes_{\Lambda} I \cong (R \otimes_{\Lambda} \bar{k}) \otimes_{\bar{k}} I \cong R \otimes_{\bar{k}} I,$$

we have

$$\text{Hom}_{T'}(\Omega_{T'/B}, R \otimes_{\Lambda} I) \cong \text{Hom}_{T'}(\Omega_{T'/B}, R) \otimes_{\bar{k}} I \cong H^0(C, f^*T_{\mathbb{A}_S^n/S}|_X)$$

$$\text{Hom}_{T'}(J/J^2, R \otimes_{\Lambda} I) \cong \text{Hom}_{T'}(J/J^2, R) \otimes_{\bar{k}} I \cong H^0(C, f^*(J/J^2)^{\vee}).$$

We have an exact sequence

$$0 \rightarrow J/J^2 \rightarrow \Omega_{T'/B} \otimes_{T'} T \rightarrow \Omega_{T/B} \rightarrow 0,$$

from which we deduce the following exact sequence

$$0 \rightarrow H^0(C, f^*T_{X/S}) \rightarrow H^0(C, f^*T_{\mathbb{A}_S^n/S}|_X) \rightarrow H^0(C, f^*(J/J^2)^{\vee}) \rightarrow H^1(C, f^*T_{X/S}),$$

where  $H^1(C, f^*T_{X/S}) = 0$ , because  $C$  is affine and  $T_{X/S}$  is locally free. Hence  $T^2\nu_f = 0$  and  $T^1\nu_f = H^0(C, f^*T_{X/S})$ .

In general, we consider coverings  $\{X_i = \text{Spec } T_i\}$ ,  $\{C_i = \text{Spec } R_i\}$ ,  $\{C_{A,i} = \text{Spec } R_{A,i}\}$  and  $\{C_{A',i} = \text{Spec } R_{A',i}\}$  by open affine schemes of  $X$ ,  $C$ ,  $C_A$  and  $C_{A'}$ , respectively, where  $R_{A,i} = R_i \otimes_{\Lambda} A$  and  $R_{A',i} = R_i \otimes_{\Lambda} A'$ . We can choose these covers in such a way that  $f_A(C_{A,i}) \subset X_i$ . To define  $f_{A'}$  is the same as to define  $f_{A',i}: C_{A',i} \rightarrow X_i$  such that  $f_{A',i}|_{C_{A',ij}} = f_{A',j}|_{C_{A',ij}}$ , where  $C_{A',ij} = C_{A',i} \times_{C_{A'}} C_{A',j}$ . By the affine case, there exist always a collection of liftings  $\{f_{A',i}\}$ . We can assume that  $C_{A',ij}$  is affine (otherwise we consider an affine cover), then there exists a unique element  $\eta_{ij} \in H^0(C_{ij}, f^*T_{X/S}) \otimes I$  such that  $\eta_{ij}(f_{A',i}) = f_{A',j}$ . Over  $C_{hij}$  we have

$$\eta_{hj}(f_{A',h}) = \eta_{ij}(\eta_{hi}(f_{A',h})),$$

hence  $\eta_{hj} = \eta_{ij} + \eta_{hi}$  and so  $\{\eta_{ij}\} \in C^1(\{C_i\}, f^*T_{X/S}) \otimes I$  is a cocycle. For each  $i$ , the set of liftings  $\{f_{A',i}\}$  is a principal homogeneous space under  $H^0(C_i, f^*T_{X/S}) \otimes I$ . Therefore, given another collection of liftings  $\{\tilde{f}_{A',i}\}$ , there exists a unique collection  $\{\eta_i \in H^0(C_i, f^*T_{X/S}) \otimes I\}$  such that  $\eta_i(\{\tilde{f}_{A',i}\}) = \{f_{A',i}\}$ . Let  $\tilde{\eta}_{ij} \in H^0(C_{ij}, f^*T_{X/S}) \otimes I$  be such that  $\tilde{\eta}_{ij}(\tilde{f}_{A',i}) = \tilde{f}_{A',j}$ . Over  $C_{ij}$  we have

$$\eta_j(\tilde{\eta}_{ij}(\tilde{f}_{A',i})) = f_{A',j} = \eta_{ij}(f_{A',i}) = \eta_{ij}(\eta_i(\tilde{f}_{A',i})),$$

hence  $\tilde{\eta}_{ij} = \eta_{ij} + \eta_i - \eta_j$  and the cocycle  $\{\eta_{ij}\}$  is unique up to a coboundary. Now there exists a collection of morphisms  $f_{A',i}$  which coincide on  $C_{A',ij}$  if and only if the class of  $\{\eta_{ij}\}$  in  $H^1(\{C_i\}, f^*T_{X/S}) \otimes I$  is zero. In this case the set of such collections is equal to the set of  $\{\eta_i \in H^0(C_i, f^*T_{X/S}) \otimes I\}$  and the gluing condition is equivalent to  $\eta_i = \eta_j$  on  $C_{ij}$ . It follows that  $\{\eta_i\} \in H^0(\{C_i\}, f^*T_{X/S}) \otimes I$ . Finally

$$H^r(\{C_i\}, f^*T_{X/S}) \cong H^r(C, f^*T_{X/S}),$$

because  $C$  is separated. □

## APPENDIX B

### Intersection theory on Artin stacks over Dedekind domains

Intersection theory for schemes of finite type over a field was developed by Fulton and MacPherson ([14]) and was extended by Vistoli to a  $\mathbb{Q}$ -valued intersection theory on Deligne-Mumford stacks ([32]). In [10], Edidin and Graham define equivariant Chow groups, which provide integer-valued Chow groups for global quotient stacks. In [20], Kresch takes the idea of Edidin, Graham and Totaro further and develops an intersection theory on Artin stacks over a field together with an integer-valued intersection product on smooth Artin stacks which admit stratifications by global quotient stacks. Using an appropriate definition of relative dimension, one can define Chow groups for schemes over a Dedekind domain and show that they satisfy the properties expected from intersection theory ([14] 20). It follows that the theories in [32] and [10] are valid for stacks over a Dedekind domain ([32], [10] 6.2).

Although not mentioned in [20], one can verify that the theory can be extended to Artin stacks over a Dedekind domain. As a consequence we get that Manolache's construction of the virtual pullback in [23] is valid for Deligne-Mumford type morphisms of Artin stacks over a Dedekind domain. As a consequence we are able to extend Manolache's proof of Costello's pushforward formula to proper morphisms of Artin stacks with quasi-finite diagonal.

#### B.1. Chow groups of Artin stacks with quasi-finite diagonal

Let  $D$  be a Dedekind domain and let  $\mathcal{M}$  be an Artin stack over  $S = \text{Spec } D$ . For an integral closed substack  $Z \subset \mathcal{M}$ , we define the relative dimension ([14] 20.1)

$$\dim_S Z = \text{trdeg}_{k(T)} k(Z) - \text{codim}_S T,$$

where  $T$  is the closure of the image of  $Z$  in  $S$ , and  $k(Z)$ ,  $k(T)$  are function fields ([32] 1.14).

**B.1.1. Definition.** We denote by  $Z_*(\mathcal{M}/S)$  the free abelian group on the set of integral closed substacks of  $\mathcal{M}$ , graded by relative dimension. Let  $W_j(\mathcal{M}/S) = \bigoplus_Z k(Z)^*$ , where the sum is taken over all integral substacks  $Z$  of  $\mathcal{M}$  of relative dimension  $j + 1$ . There is

a homomorphism  $\partial: W_j(\mathcal{M}/S) \rightarrow Z_j(\mathcal{M}/S)$  which locally for the smooth topology sends a rational function to the corresponding Weil divisor. The *Chow groups* of  $\mathcal{M}$  are defined to be  $A_j(\mathcal{M}/S) = Z_j(\mathcal{M}/S)/\partial W_j(\mathcal{M}/S)$ .

**B.1.2. Theorem** ([20] 3.5.7, 5.3.1). *Let  $\mathcal{M}$  be an Artin stack with quasi-finite diagonal over a Dedekind domain  $D$ . Then  $A_*(\mathcal{M}/S) \cong A_*^{Kresch}(\mathcal{M}/S)$ , where  $A_*^{Kresch}(\mathcal{M}/S)$  are Kresch's Chow groups ([20] 2.1.11).*

**B.1.3. Theorem** ([11] 2.7). *Let  $\mathcal{M}$  be an Artin stack with quasi-finite diagonal over a Dedekind domain  $D$ . Then there exists a finite surjective morphism  $U \rightarrow \mathcal{M}$  from a scheme  $U$ .*

**B.1.4. Remark** ([11] 2.4). The morphism  $U \rightarrow \mathcal{M}$  is strongly representable.

## B.2. Proper pushforward

Let  $\pi: \mathcal{N} \rightarrow \mathcal{M}$  be a proper morphism of Artin  $S$ -stacks. If  $\mathcal{M}$  and  $\mathcal{N}$  have quasi-finite diagonal then it is possible to define a nonrepresentable proper pushforward  $\pi_*$  as follows ([11] 2.8).

**B.2.1. Definition.** Let  $u: U \rightarrow \mathcal{M}$  be a finite and surjective morphism from a scheme  $U$ . We define the *proper pushforward*

$$u_*: A_*(U/S) \rightarrow A_*(\mathcal{M}/S)$$

by  $u_*[Z] = \deg(Z/u(Z))[u(Z)]$ , where  $\deg(Z/u(Z)) = \deg(V \times_{\mathcal{M}} U/V)$  for a smooth atlas  $V \rightarrow u(Z)$ .

**B.2.2. Remark.** Notice that  $V \times_{\mathcal{M}} U \cong V \times_{u(Z)} Z$  is a scheme and the degree  $\deg(Z/u(Z))$  is independent of the chosen atlas. Let  $V' \rightarrow u(Z)$  be another smooth atlas and set  $W = V \times_{u(Z)} V'$ . Then

$$\deg(V \times_{\mathcal{M}} U/V) = \deg(W \times_{\mathcal{M}} U/W) = \deg(V' \times_{\mathcal{M}} U/V').$$

**B.2.3. Remark.** The proper pushforward commutes with projective pushforward and flat pullback (this follows easily from the properties of the relative degree).

**B.2.4. Notation.** We set  $A_*(\mathcal{M}/S)_{\mathbb{Q}} = A_*(\mathcal{M}/S) \otimes_{\mathbb{Z}} \mathbb{Q}$ .

**B.2.5. Lemma.** *Let  $u: U \rightarrow \mathcal{M}$  be a finite and surjective morphism from a scheme  $U$  and let  $u_1, u_2: U \times_{\mathcal{M}} U \rightarrow U$  be the projections. Then we have the following exact sequence*

$$A_j(U \times_{\mathcal{M}} U/S)_{\mathbb{Q}} \xrightarrow{u_{1*} - u_{2*}} A_j(U/S)_{\mathbb{Q}} \xrightarrow{u_*} A_j(\mathcal{M}/S)_{\mathbb{Q}} \rightarrow 0.$$

PROOF. For surjectivity of  $u_*$ , let  $[Z] \in A_j(\mathcal{M}/S)_{\mathbb{Q}}$ . Let  $Z$  be a  $j$ -dimensional component of  $\mathcal{Z} \times_{\mathcal{M}} U$ , then  $[Z] \in A_j(U/S)_{\mathbb{Q}}$  and

$$u_* \left( \frac{1}{\deg(Z/Z)} [Z] \right) = [Z] \in A_j(\mathcal{M}/S)_{\mathbb{Q}}.$$

Notice that also  $u_*: W_*(U/S) \rightarrow W_*(\mathcal{M}/S)$  is surjective. Moreover, for  $[Z] \in A_j(U \times_{\mathcal{M}} U/S)_{\mathbb{Q}}$ ,

$$\begin{aligned} u_*(u_{1*} - u_{2*})[Z] &= u_*(\deg(Z/u_1(Z))[u_1(Z)] - \deg(Z/u_2(Z))[u_2(Z)]) \\ &= \deg(Z/u(u_1(Z)))[u(u_1(Z))] - \deg(Z/u(u_2(Z)))[u(u_2(Z))] = 0. \end{aligned}$$

So it is enough to show that every  $\alpha = \sum_{i=1}^s n_i [Z_i] \in Z_j(U/S)_{\mathbb{Q}}$  such that  $u_*(\alpha) = 0$  in  $Z_j(\mathcal{M}/S)_{\mathbb{Q}}$  lies in the image of  $u_{1*} - u_{2*}$ . Since

$$u_*(\alpha) = \sum_{i=1}^s n_i \deg(Z_i/u(Z_i))[u(Z_i)] = 0,$$

we may assume that  $u(Z_i) = \mathcal{Z}$  for  $i = 1, \dots, s$ . Therefore we get  $\sum_{i=1}^s n_i d_i = 0$ , where we set  $d_i = \deg(Z_i/\mathcal{Z})$ . For  $i = 2, \dots, s$ , let  $V_i$  be a  $j$ -dimensional component of  $Z_1 \times_{\mathcal{Z}} Z_i$ , then

$$u_{2*}[V_i] = \deg(V_i/Z_i)[Z_i] = e_i d_i [Z_i],$$

where we set  $e_i = \deg(V_i/Z_i \times_{\mathcal{Z}} Z_1)$ . By properties of relative degree,

$$u_{1*}[V_i] = \deg(V_i/Z_1)[Z_1] = e_i d_i [Z_1],$$

and it follows that

$$(u_{1*} - u_{2*}) \sum_{i=2}^s \frac{n_i}{e_i d_i} [V_i] = \alpha. \quad \square$$

**B.2.6. Remark.** If  $p: T \rightarrow U$  is a finite surjective morphism from a scheme  $T$  and we set  $t = u \circ p$ , then we have the following commutative diagram with exact rows

$$\begin{array}{ccccccc} A_*(T \times_{\mathcal{M}} T/S)_{\mathbb{Q}} & \xrightarrow{t_{1*} - t_{2*}} & A_*(T/S)_{\mathbb{Q}} & \xrightarrow{t_*} & A_*(\mathcal{M}/S)_{\mathbb{Q}} & \longrightarrow & 0 \\ \downarrow & & \downarrow p_* & & \parallel & & \\ A_*(U \times_{\mathcal{M}} U/S)_{\mathbb{Q}} & \xrightarrow{u_{1*} - u_{2*}} & A_*(U/S)_{\mathbb{Q}} & \xrightarrow{u_*} & A_*(\mathcal{M}/S)_{\mathbb{Q}} & \longrightarrow & 0 \end{array}$$

**B.2.7.** Let  $u: U \rightarrow \mathcal{M}$  be a finite surjective morphism from a scheme  $U$  and form the fibre diagram

$$\begin{array}{ccc} V & \xrightarrow{\pi'} & U \\ v \downarrow & & \downarrow u \\ \mathcal{N} & \xrightarrow{\pi} & \mathcal{M} \end{array}$$

Then  $V$  is a scheme and  $v$  is finite surjective. Moreover, by Lemma B.2.5, we get the following commutative diagram with exact rows

$$\begin{array}{ccccccc} A_*(V \times_{\mathcal{N}} V/S)_{\mathbb{Q}} & \xrightarrow{v_{1*}-v_{2*}} & A_*(V/S)_{\mathbb{Q}} & \xrightarrow{v_*} & A_*(\mathcal{N}/S)_{\mathbb{Q}} & \longrightarrow & 0 \\ \downarrow & & \downarrow \pi'_* & & & & \\ A_*(U \times_{\mathcal{M}} U/S)_{\mathbb{Q}} & \xrightarrow{u_{1*}-u_{2*}} & A_*(U/S)_{\mathbb{Q}} & \xrightarrow{u_*} & A_*(\mathcal{M}/S)_{\mathbb{Q}} & \longrightarrow & 0 \end{array}$$

which induces a map  $\pi_*: A_*(\mathcal{N}/S)_{\mathbb{Q}} \rightarrow A_*(\mathcal{M}/S)_{\mathbb{Q}}$ .

**B.2.8. Lemma.** *The map  $\pi_*$  does not depend on the choice of the finite surjective morphism  $u: U \rightarrow \mathcal{M}$ .*

PROOF. Let  $u': U' \rightarrow \mathcal{M}$  be a finite surjective morphism from a scheme  $U'$  and consider  $T = U \times_{\mathcal{M}} U'$  with the natural morphism  $p: T \rightarrow U$ , which is finite surjective. Let us form the fibre diagram

$$\begin{array}{ccc} W & \xrightarrow{\pi''} & T \\ q \downarrow & & \downarrow p \\ V & \xrightarrow{\pi'} & U \\ v \downarrow & & \downarrow u \\ \mathcal{N} & \xrightarrow{\pi} & \mathcal{M} \end{array}$$

and set  $t = u \circ p$ ,  $w = v \circ q$ . Let us denote by  $\tilde{\pi}_*$  the pullback defined via  $t: T \rightarrow \mathcal{M}$ . Let  $\alpha \in A_*(\mathcal{N}/S)_{\mathbb{Q}}$  and let  $\alpha'' \in A_*(W/S)_{\mathbb{Q}}$  such that  $w_*\alpha'' = \alpha$ , then

$$\tilde{\pi}_*\alpha = t_*\pi''_*\alpha'' = u_*p_*\pi''_*\alpha'' = u_*\pi'_*(q_*\alpha'') = \pi_*\alpha,$$

where the last equality follows from the fact that  $v_*(q_*\alpha'') = t_*\alpha'' = \alpha$ .  $\square$

**B.2.9. Definition.** We call  $\pi_*: A_*(\mathcal{N}/S)_{\mathbb{Q}} \rightarrow A_*(\mathcal{M}/S)_{\mathbb{Q}}$  the *proper pushforward* for  $\pi$ .

### B.3. Costello's pushforward formula

In [23] Manolache uses the virtual pullback to give a short proof of Costello's pushforward formula ([9] 5.0.1). Here we apply Manolache's construction to prove the pushforward formula in a more general setting.

**B.3.1. Proposition.** *Let  $D$  be a Dedekind domain. Let us consider a cartesian diagram*

$$\begin{array}{ccc} \mathcal{M}_1 & \xrightarrow{f} & \mathcal{M}_2 \\ p_1 \downarrow & & \downarrow p_2 \\ \mathfrak{M}_1 & \xrightarrow{g} & \mathfrak{M}_2 \end{array}$$

where

- (1)  $\mathfrak{M}_1, \mathfrak{M}_2$  are Artin stacks over  $D$  of the same pure dimension,
- (2)  $\mathcal{M}_1, \mathcal{M}_2$  are Artin stacks over  $D$  with quasi-finite diagonal,
- (3)  $g$  is a Deligne-Mumford type morphism of degree  $d$ ,
- (4)  $f$  is proper,
- (5) for  $i = 1, 2$ ,  $p_i$  admits perfect obstruction theory  $E_i^\bullet$  such that  $f^*E_2^\bullet \cong E_1^\bullet$ .

Then

$$f_*[\mathcal{M}_1, E_1^\bullet]^{virt} = d[\mathcal{M}_2, E_2^\bullet]^{virt}$$

in each of the following cases

- (a)  $g$  is projective,
- (b)  $\mathfrak{M}_1, \mathfrak{M}_2$  are Deligne-Mumford stacks and  $g$  is proper,
- (c)  $\mathfrak{M}_1, \mathfrak{M}_2$  have quasi-finite diagonal and  $g$  is proper.

PROOF. Since in each of the cases listed above we are able to pushforward along  $g$ , the statement follows by the same argument of [23] 5.29, after noticing that non-representable proper pushforward commutes with virtual pullback (this can be shown in the same way as in [23] 4.1).  $\square$





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